

Trade Union's details

Name of Trade Union:

UNION OF DEMOCRATIC MINeworkERS
NOTTINGAM SECTION

Year ended:

31 DECEMBER 2019

List number:

682

Head or Main Office address:

C/O Notts Miners Pension Scheme
Berry Hill Lane
Mansfield
Notts
NG18 4JR

Has the address changed during the year to which the return relates?

Yes

No

(Tick as appropriate)

Website address (if available)

General Secretary:

Jeff Wood

Telephone Number:

01623 626094

Contact name for queries regarding the completion of this return:

M. Corrigan

Telephone Number:

01623 624494

E-mail:

mike.corrigan@wrightvigar.co.uk

Please follow the guidance notes in the completion of this return. Any difficulties or problems in the completion of this return should be directed to the Certification Officer as below or by telephone to: 0330 109 3602.

The address to which returns and other documents should be sent are:

-For Unions based in England and Wales: Certification Office for Trade Unions and Employers' Associations, Lower Ground Floor, Fleetbank House, 2-6 Salisbury Square, London EC4Y 8JX.

-For Unions based in Scotland: Certification Office for Trade Unions and Employers' Associations, Melrose House, 69a George Street, Edinburgh EH2 2JG.

Return of members

(see notes 10 and 11)

Number of members at the end of the year					
	Great Britain	Northern Ireland	Irish Republic	Elsewhere Abroad (including Channel Islands)	Totals
Male	69				69
Female	2				2
Total	71				A 71

Number of members at end of year contributing to the General Fund

	71
Number of members included in totals box 'A' above for whom no home or authorised address is held:	0

Change of officers

Please complete the following to record any changes of officers during the twelve months covered by this return.

Position held	Name of Officer ceasing to hold office	Name of Officer Appointed	Date of change

State whether the union is:

a. A branch of another trade union?

Yes

No

If yes, state the name of that other

National Union of Democratic Mineworkers

b. A federation of trade unions?

Yes

No

If yes, state the number of affiliated

and names:

UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION

LIST OF OFFICERS AS AT 31 DECEMBER 2019

President/General Secretary

J. Wood

Council Members

C. Hunter
S. Norwood

Trustees

T. Barker
D. Nettleship

Officers in post

(see note 12)

Please insert a complete list of all officers in post at the end of the year to which this return relates.

Name of Officer

Position held

General fund
(see notes 13 to 18)

	£	£
Income		
From Members: Contributions and Subscriptions		7191
From Members: Other income from members (specify)		
Total other income from members		7191
Total of all income from members		
Investment income (as at page 12)		10213
Other Income		
Income from Federations and other bodies (as at page 4)		
Income from any other sources (as at page 4)	7996	
Total of other income (as at page 4)		7996
		Total income
		25400
Expenditure		
Benefits to members (as at page 5)		
Administrative expenses (as at page 10)		198712
Federation and other bodies (UDM National)		758
Actuarial Gains on Pension Scheme		(84000)
Total expenditure Federation and other bodies		115470
Taxation		1719

Total expenditure	117189
Surplus (deficit) for year	(91789)
Amount of general fund at beginning of year	82955
Amount of general fund at end of year	(8834)

Analysis of income from federation and other bodies and other income
(see notes 19 and 20)

Description	£	£
Federation and other bodies		
Total federation and other bodies		
Other income		
Commission	45	
Medical Fees	2600	
Change in Market Value of Investments	5301	
Donations	50	
Total other income		7996
Total of all other income		7996

Analysis of benefit expenditure shown at general fund

(see notes 21 to 23)

	£		£
Representation – Employment Related Issues		brought forward	
		Education and Training services	
Representation – Non Employment Related Issues			
		Negotiated Discount Services	
Communications			
		Salary Costs	
Advisory Services			
		Other Benefits and Grants (specify)	
Dispute Benefits			
Other Cash Payments			
carried forward		Total (should agree with figure in General Fund)	

Accounts other than the revenue account/general fund

(see notes 21 to 23)

Fund 2	Fund Account	
Name:	£	£
Income		
From members		
Investment income (as at page 12)		
Other income (specify)		
	Total other income as specified	
	Total Income	
Expenditure		
Benefits to members		1567
Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure	1567
	Surplus (Deficit) for the year	(1567)
	Amount of fund at beginning of year	3288
	Amount of fund at the end of year (as Balance Sheet)	1721
	Number of members contributing at end of year	

Fund 3	Fund Account	
Name:	£	£
Income		
From members		
Investment income (as at page 12)		
Other income (specify)		
	Total other income as specified	
	Total Income	
Expenditure		
Benefits to members		
Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure	
	Surplus (Deficit) for the year	
	Amount of fund at beginning of year	
	Amount of fund at the end of year (as Balance Sheet)	
	Number of members contributing at end of year	

(See notes 21 to 23)

Fund 4		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

Fund 5		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

(See notes 21 to 23)

Fund 6		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

Fund 7		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

Political fund account

(see notes 24 to 33)

Political fund account 1		To be completed by trade unions which maintain their own political fund	
	Income	Members contributions and levies	£
		Investment income (as at page 12)	£
		Other income (specify)	£
		Total other income as specified	
		Total income	
Expenditure under section (82) of the Trade Union and Labour Relations (Consolidation) Act 1992 on purposes set out in section (72) (1) where consolidation of expenditures from the political funds exceeds £2,000 during the period			
	Expenditure A (as at page i)		£
	Expenditure B (as at page ii)		£
	Expenditure C (as at page iii)		£
	Expenditure D (as at page iv)		£
	Expenditure E (as at page v)		£
	Expenditure F (as at page vi)		£
	Non-political expenditure (as at page vii)		£
		Total expenditure	
		Surplus (deficit) for year	
		Amount of political fund at beginning of year	
		Amount of political fund at the end of year (as Balance Sheet)	
		Number of members at end of year contributing to the political fund	
		Number of members at end of the year not contributing to the political fund	
		Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund	
Political fund account 2		To be completed by trade unions which act as components of a central trade	
	Income	Contributions and levies collected from members on behalf of central political fund	£
		Funds received back from central political fund	£
		Other income (specify)	£
		Total other income as specified	
		Total income	
Expenditure	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)		£
	Administration expenses in connection with political objects (specify)		£
	Non-political expenditure		£
		Total expenditure	
		Surplus (deficit) for year	
		Amount held on behalf of trade union political fund at beginning of	
		Amount remitted to central political fund	
		Amount held on behalf of central political fund at end of year	
		Number of members at end of year contributing to the political fund	
		Number of members at end of the year not contributing to the political fund	
		Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund	

Political fund account expenditure (c)

Expenditure under section 72 (1) (c) of the Trade Union and Labour Relations (Consolidation) Act.

To be completed where total expenditure from the political fund exceeds £2,000
during the period to which return relates.

Expenditure in connection with the registration of electors, the candidature of any person, the selection of any candidate or the holding of any ballot by the union in connection with any election to a political office

Title and Date of election	Name of political party/organisation	Name of candidate, organisation or political party (see note 33(iii))	£
Total			

Political fund account expenditure (e)

Expenditure under section 72 (1) (e) of the Trade Union and Labour Relations (consolidation) Act.

To be completed where total expenditure from the political fund exceeds £2,000 during the period to which return relates.

The expenditure of money on the holding of any conference or meeting by or on behalf of a political party or of any other meeting the main purpose of which is the transaction of business in connection with a political party

Name of political party	£
Total	

Expenditure from the political fund not falling within section 72(1) of the trade union & labour relations (consolidation) act 1992

For expenditure not falling within section 72(1) the required information is-

(a) the nature of each cause or campaign for which money was expended, and the total amount expended in relation to each one	£
Total Total expenditure	
(b) the name of each organisation to which money was paid (otherwise than for a particular cause or campaign), and the total amount paid to each one	£
Total Total expenditure	
(c) the total amount of all other money expended	£
Total Total expenditure	

Analysis of administrative expenses and other outgoings excluding amounts charged to political fund accounts

(see notes 34 and 35)

		£
Administrative Expenses		
Remuneration and expenses of staff		162425
Salaries and Wages included in above	£78560	
Auditors' fees		5025
Legal and Professional fees		836
Occupancy costs		7402
Stationery, printing, postage, telephone, etc.		6108
Expenses of Executive Committee (Head Office)		
Expenses of conferences		
Other administrative expenses (specify)		
o Motor & Travel		204
Bank charges		226
General District Expenditure		3918
Other Outgoings		
Interest payable:		
Bank loans (including overdrafts)		
Mortgages		
FRS 12 Pension Interest Charge		8000
Depreciation		4568
Taxation		
Outgoings on land and buildings (specify)		
<i>Other outgoings (specify)</i>		
<i>FRS102 Pension Interest</i>		
	Total	198712
Charged to:	General Fund (Page 3)	198712
	Fund (Account)	
	Fund (Account)	
	Fund (Account)	
	Fund (Account)	
	Total	198712

Analysis of officials' salaries and benefits

(see notes 36 to 46)

Position held	Gross Salary £	Employers N.I. contributions £	Benefits			Total £
			Pension Contributions £	Other Benefits		
				Description	Value £	
President/General Secretary	40,570	4,415				44,985

Analysis of investment income

(see notes 47 and 48)

	Political Fund £	Other Fund(s) £
Rent from land and buildings		50
Dividends (gross) from:		
Equities (e.g. shares)		
Interest (gross) from:		
Government securities (Gilts)		2100
Mortgages		
Local Authority Bonds		8048
Bank and Building Societies		15
Other investment income (specify)		
		10213
	Total investment income	10213
Credited to:		
	General Fund (Page 3)	10213
	Fund (Account)	
	Fund (Account)	
	Fund (Account)	
	Fund (Account)	
	Fund (Account)	
	Political Fund	
	Total Investment Income	

Balance sheet as at

31 December 2019

(see notes 49 to 52)

Previous Year Restated		£	£
73348	Fixed Assets (at page 14)		71480
	Investments (as per analysis on page 15)		
423216	Quoted (Market value £302012)	302012	
	Unquoted		
	Total Investments		295387
	Other Assets		
	Loans to other trade unions		
4897	Sundry debtors	3584	
32547	Cash at bank and in hand	57314	
	Income tax to be recovered		
686	Stocks of goods	686	
	Others (specify)		
38040	Total of other assets		61584
534694	Total assets		435076
82955	Fund (Account 1)		(8834)
3288	Fund (Account 2)		1721
	Fund (Account)		
	Superannuation Fund (Account)		
	Political Fund (Account)		
	Revaluation Reserve		
	Liabilities		
	Amount held on behalf of central trade union political fund		
	Loans: From other trade unions		
	Loans: Other		
	Bank overdraft		
2819	Tax payable		1719
3832	Sundry creditors		2970
4800	Accrued expenses		4500
437000	Provisions		433000
	Other liabilities		
534694	Total liabilities		435076
534694	Total assets		435076

Fixed assets account

(see notes 53 to 57)

	Land and Buildings		Furniture and Equipment £	Motor Vehicles £	Not used for union business £	Total £
	Freehold £	Leasehold £				
Cost or Valuation						
At start of year	207272		15598			222870
Additions						
Disposals						
Revaluation/Transfers						
At end of year	207272		15998			222870
Accumulated Depreciation						
At start of year	136803		10020			146823
Charges for year	3494		1074			4568
Disposals						
Revaluation/Transfers						
At end of year	140297		11094			151391
Net book value at end of year	66975		4504			71479
Net book value at end of previous year	70469		5578			76047

Analysis of investments

(see notes 58 and 59)

Quoted	All Funds Except Political Funds £	Political Fund £
Equities (e.g. Shares)		
Government Securities (Gilts)	113571	
Corporate Bonds	188441	
Total quoted (as Balance Sheet)	302012	
Market Value of Quoted Investment		
Unquoted		
Equities		
Government Securities (Gilts)		
Mortgages		
Bank and Building Societies		
Other unquoted investments (to be specified)		
Total unquoted (as Balance Sheet)		
Market Value of Unquoted Investments		

Analysis of investment income (controlling interests)

(see notes 60 and 61)

Does the union, or any constituent part of the union, have a controlling interest in any limited company?		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
If YES name the relevant companies:			
Company name	Company registration number (if not registered in England & Wales, state where registered)		
Are the shares which are controlled by the union registered in the names of the union's trustees?		YES <input type="checkbox"/>	NO <input type="checkbox"/>
If NO, state the names of the persons in whom the shares controlled by the union are registered.			
Company name	Names of shareholders		

Summary sheet
(see notes 62 to 73)

	All funds except Political Funds £	Political Funds £	Total Funds £
Income			
From Members	7191		7191
From Investments	10213		10213
Other Income (including increases by revaluation of assets)	7996		7996
Total Income	25400		25400
Expenditure (including decreases by revaluation of assets)	118756		118756
Total Expenditure	118756		118756
Funds at beginning of year (including reserves) Restated	86243		86243
Funds at end of year (including reserves)	(7113)		(7113)
Assets			
Fixed Assets			71480
Investment Assets			302012
Other Assets			61584
		Total Assets	435076
Liabilities		Total Liabilities	442189
Net assets (Total Assets less Total Liabilities)			(7113)

Information on Industrial action ballots

(see notes 74 to 80)

Did the union hold any ballots in respect of industrial action during the return period?

NO

If Yes How many ballots were held:

For each ballot held please complete the information below:

Ballot 1

Number of individuals who were entitled to vote in the ballot

Number of votes cast in the ballot

Number of Individuals answering "Yes" to the question ¹

Number of Individuals answering "No" to the question ²

Number of invalid or otherwise spoiled voting papers returned ³

*** 1-3 should total "Number of votes cast in the ballot"**

Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Y / N

Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? Y / N

If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of individuals who were entitled to vote in the ballot Y / N

Ballot 2

Number of individuals who were entitled to vote in the ballot

Number of votes cast in the ballot

Number of Individuals answering "Yes" to the question ¹

Number of Individuals answering "No" to the question ²

Number of invalid or otherwise spoiled voting papers returned ³

*** 1-3 should total "Number of votes cast in the ballot"**

Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Y / N

Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? Y / N

If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of individuals who were entitled to vote in the ballot Y / N

For additional ballots please continue on next page

Ballot 3

Number of individuals who were entitled to vote in the ballot

Number of votes cast in the ballot

Number of Individuals answering "Yes" to the question 1

Number of Individuals answering "No" to the question 2

Number of invalid or otherwise spoiled voting papers returned 3

*** 1-3 should total "Number of votes cast in the ballot"**

Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Y / N

Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? Y / N

If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of individuals who were entitled to vote in the ballot Y / N

Ballot 4

Number of individuals who were entitled to vote in the ballot

Number of votes cast in the ballot

Number of Individuals answering "Yes" to the question 1

Number of Individuals answering "No" to the question 2

Number of invalid or otherwise spoiled voting papers returned 3

*** 1-3 should total "Number of votes cast in the ballot"**

Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Y / N

Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? Y / N

If yes, were the number of individuals answering "Yes" to the question (or each question) at least 40% of the number of individuals who were entitled to vote in the ballot Y / N

Ballot 5

Number of individuals who were entitled to vote in the ballot

Number of votes cast in the ballot

Number of Individuals answering "Yes" to the question 1

Number of Individuals answering "No" to the question 2

Number of invalid or otherwise spoiled voting papers returned 3

*** 1-3 should total "Number of votes cast in the ballot"**

Were the number of votes cast in the ballot at least 50% of the number of individuals who were entitled to vote in the ballot Y / N

Does section 226(2B) of the 1992 Act apply in relation to this ballot (see notes 76-80)? Y / N

If yes, were the number of individuals answering "Yes" to the question(or each question) at least 40% of the number of individuals who were entitled to vote in the ballot Y / N

Use a continuation sheet if necessary

Information on Industrial action

(see note 81)

*Categories of Nature of Trade Dispute :

A: terms and conditions of employment, or the physical conditions in which any workers are required to work;

B: engagement or non-engagement, or termination or suspension of employment or the duties of employment, of one or more workers;

C: allocation of work or the duties of employment between workers or groups of workers;

D: matters of discipline;

E: a worker's membership or non-membership of a trade union;

F: facilities for officials of trade unions;

G: machinery for negotiation or consultation, and other procedures, relating to any of the above matters, including the recognition by employers or employers' associations of the right of a trade union to represent workers in such negotiation or consultation or in the carrying out of such procedures

Did Union members take industrial action during the return period in response to any inducement on the part of the Union? YES / NO

If **YES**, for each industrial action taken please complete the information below:

Industrial Action 1

1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:

A B C D E F G

2. Dates of the industrial action taken:

3. Number of days of industrial action:

4. Nature of industrial action. Strike Action / Action Short of a Strike (delete one as appropriate)

Industrial Action 2

1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:

A B C D E F G

2. Dates of the industrial action taken:

3. Number of days of industrial action:

4. Nature of industrial action. Strike Action / Action Short of a Strike (delete one as appropriate)

Industrial Action 3

1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:

A B C D E F G

2. Dates of the industrial action taken:

3. Number of days of industrial action:

4. Nature of industrial action. Strike Action / Action Short of a Strike (delete one as appropriate)

use a continuation page if necessary

Industrial Action 4

1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:

A B C D E F G

2. Dates of the industrial action taken:
3. Number of days of industrial action:
4. Nature of industrial action. Strike Action / Action Short of a Strike (delete one as appropriate)

Industrial Action 5

1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:

A B C D E F G

2. Dates of the industrial action taken:
3. Number of days of industrial action:
4. Nature of industrial action. Strike Action / Action Short of a Strike (delete one as appropriate)

Industrial Action 6

1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:

A B C D E F G

2. Dates of the industrial action taken:
3. Number of days of industrial action:
4. Nature of industrial action. Strike Action / Action Short of a Strike (delete one as appropriate)

Industrial Action 7

1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:

A B C D E F G

2. Dates of the industrial action taken:
3. Number of days of industrial action:
4. Nature of industrial action. Strike Action / Action Short of a Strike (delete one as appropriate)

Industrial Action 8

1. please tick the nature of the trade dispute for which industrial action was taken using the categories* below:

A B C D E F G

2. Dates of the industrial action taken:
3. Number of days of industrial action:
4. Nature of industrial action. Strike Action / Action Short of a Strike (delete one as appropriate)

Notes to the accounts

(see notes 82 and 83)

All notes to the accounts must be entered on or attached to this part of the return.

A large, empty rectangular box with a black border, intended for the user to enter notes to the accounts. The box occupies most of the page's vertical space below the instructions.

UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION INDUSTRIAL FUND
NOTES TO THE ACCOUNTS
FOR THE YEAR ENDED 31 DECEMBER 2019

1. **Accounting Policies**

a) **Accounting convention**

The accounts are prepared under the historical cost convention and in accordance with applicable accounting standards and the Financial Reporting Standard 102 'The Financial Reporting Standard applicable to the UK and Republic of Ireland'.

b) Contributions are brought into account on the basis of the amounts receivable in the year.

c) **Fixed Assets**

Depreciation is provided on fixed assets, other than freehold land, and calculated on the straight line basis as follows:-

Freehold Property -	5% per annum for first 10 years 2.1/2% per annum thereafter
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Furniture and Equipment -	10% per annum on cost
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Motor Vehicles -	25% per annum on cost
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d) **Staff Superannuation**

The Union of democratic Mineworkers officials and Permanent Staff Pension Scheme operates a Superannuation scheme for the whole of the U.D.M. Benefits are paid in line with those payable by the Mineworkers Pension Scheme.

The union operates a pension scheme providing benefits based on final pensionable pay. The assets of the scheme are held separately from those of the union, being invested in a separately administered fund. Contributions to the scheme are charged to the income and expenditure account so as to spread the cost of pensions over employees' working lives with the union. The contributions are determined by a qualified actuary on the basis of triennial valuations using the attained age method.

The pension charge for the year was £83,910 (2018 - £160,000)

The Union participates in a defined benefit scheme in the UK. A full actuarial valuation was carried out at 31 December 2019 by a qualified independent actuary.

UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION INDUSTRIAL FUND

NOTES TO THE ACCOUNTS

FOR THE YEAR ENDED 31 DECEMBER 2019

e) Staff Superannuation Scheme (continued)

The assets in the scheme and the present value of the schemes liabilities are as follows:

	31/12/2018 £000's	31/12/2019 £000's
Equities	1,104	936
Bonds	2,181	2,519
Cash	<u>123</u>	<u>84</u>
Total Market Value of assets	3,408	3,539
Present Value of Scheme liabilities	<u>(3,845)</u>	<u>(3,972)</u>
Surplus / (Deficit) in the Scheme	(437)	(433)

At the actuarial valuation at 31 December 2014, the actuary recommended a Union contribution rate of 30% of Pensionable Pay, plus special contributions.

Under the projected unit method, the current service cost will increase as members approach retirement.

f) Investments

Listed investments are included at fair value in line with the requirements of Financial Reporting Standard 102 section 1a. Movements in Fair value are included in the profit and loss account. This is a change in accounting policy which has required the previous years accounts to be adjusted.

UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION INDUSTRIAL FUND
FIXED ASSETS AS AT 31 DECEMBER 2019

Note 2 (a)	<u>Freehold Property</u> £	<u>Furniture & Equipment</u> £	<u>and Total</u> £
Cost at 1 January 2019	207,272	15,598	222,870
Additions	-	-	-
Disposals	-	-	-
Cost at 31 December 2019	<u>207,272</u>	<u>15,598</u>	<u>222,870</u>
Depreciation at 1 January 2019	136,803	10,020	146,823
Charge for the Year	3,494	1,074	4,568
On Disposals	-	-	-
Depreciation at 31 December 2019	<u>140,297</u>	<u>11,094</u>	<u>151,391</u>
Net Book Value at 31 December 2019	<u>66,975</u>	<u>4,504</u>	<u>71,479</u>
Net Book Value at 31 December 2018	<u>70,469</u>	<u>5,578</u>	<u>76,047</u>

UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION INDUSTRIAL FUND
SCHEDULE OF PROPERTIES AS AT 31 DECEMBER 2019

Note 2(b)

	At Cost Including	Depreciation	Book Value		Depreciation	
	Improvements	Written Off	2019	2018	2019	2018
	<u>Less Sales</u>	<u>To Date</u>	<u>£</u>	<u>£</u>	<u>£</u>	<u>£</u>
	£	£				
30 Linwood Crescent, Ravenshead, Mansfield, Notts	54,689	39,654	15,035	15,975	930	930
143 Huthwaite Road, Sutton in Ashfield, Notts	<u>152,583</u>	<u>100,643</u>	<u>51,940</u>	<u>54,494</u>	<u>2,564</u>	<u>2,564</u>
	207,272	140,297	66,975	70,469	3,494	3,494
	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
			As per Note 2(a)		As per Income and Expenditure Account	

30 Linwood Crescent is subject to a charge in respect of The Union of Democratic Mineworkers Officials and Permanent Staff Pension Scheme to cover any potential shortfall on the UDM pension scheme.


Accounting policies

(see notes 84 and 85)

Signatures to the annual return

(see notes 86 and 87)

including the accounts and balance sheet contained in the return. Please send the return with the original signatures. Copies will not be accepted.

Secretary's Signature: Name: Date:	Chairman's Signature: (or other official whose position should be stated)  Name: Jeffrey Wood Date: 01/06/2020
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Checklist

(see notes 88 and 89)

(please tick as appropriate)

Has the return of change of officers been completed? (see Page 2 and Note 12)	Yes		No	
Has the list of officers in post been completed? (see Page 2a and Note 12)	Yes		No	
Has the return been signed? (see Pages 23 and 25 and Notes 86 and 95)	Yes		No	
Has the auditor's report been completed? (see Pages 24 and 25 and Notes 2 and 92)	Yes		No	
Is a rule book enclosed? (see Notes 8 and 88)	Yes		No	
A member's statement is: (see Note 104)	Enclosed		To follow	
Has the summary sheet been completed? (see Page 17 and Notes 7 and 62)	Yes		No	
Has the membership audit certificate been completed? (see Page i to iii and Notes 97 to 103)	Yes		No	

Checklist for auditor's report

(see notes 90 to 96)

The checklist below is for guidance. A report is still required either set out overleaf or by way of an attached auditor's report that covers the 1992 Act requirements.

1. In the opinion of the auditors or auditor do the accounts they have audited and which are contained in this return give a true and fair view of the matters to which they relate?
(See section 36(1) and (2) of the 1992 Act and notes 92 and 93)

Yes

2. Are the auditors or auditor of the opinion that the union has complied with section 28 of the 1992 Act and has:
 - a. kept proper accounting records with respect to its transactions and its assets and liabilities; and
 - b. established and maintained a satisfactory system of control of its accounting records, its cash holding and all its receipts and remittances.
(See section 36(4) of the 1992 Act set out in note 92)

Yes

3. Your auditors or auditor must include in their report the following wording:

In our opinion the financial statements:

- give a true and fair view of the matters to which they relate to.
- have been prepared in accordance with the requirements of the section 28 to 36 of the Trade Union and Labour Relations (consolidation) Act 1992.

INDEPENDENT AUDITORS' REPORT TO THE MEMBERS OF
THE UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION

Opinion

We have audited the financial statements of the Union of Democratic Mineworkers, Nottingham Section for the year ended 31 December 2019 which comprise the income and expenditure account, the balance sheet and the notes to the financial statements. These financial reporting framework that has been applied in their preparation is Applicable Law and United Kingdom Accounting Standards (United Kingdom Generally Accepted Accounting Practice), including FRS 102 Section 1A 'The Financial Reporting Standard applicable in the UK and Republic of Ireland'.

This report is made solely to the Union's members, as a body, in accordance with The Trade Union and Labour Relations Consolidation Act 1992. Our audit work has been undertaken so that we might state to the Union's members those matters that we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the Union and the Union's members as a body, for our audit work, or the opinions we have formed.

In our opinion, the financial statements:

- Give a true and fair view of the state of the Union's affairs as at 31 December 2019 and of its loss for the year then ended;
- Have been properly prepared in accordance with United Kingdom Generally Accepted Practice, applicable to smaller entities;
- Have been prepared in accordance with the requirements of The Trade Union and Labour Relations Consolidation Act 1992.

Basis for Opinion

We conducted our audit in accordance with Applicable Law and International Standards on Auditing (UK) (ISAs (UK)). Our responsibilities under those standards are further described in the Auditor's responsibilities for the audit of the financial statements section of our report. We are independent of the company in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Material Uncertainty Relating to Going Concern

We draw attention to Note 6 which indicates the Union's falling revenues, the pension scheme deficit and other matters that may cast significant doubt on the union's ability to continue as a going concern. Our opinion is not modified in respect of this matter.

Other Information

The other information comprises the information included in the annual report, other than the financial statements and our auditor's report thereon. The trustees are responsible for the other information. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon.

In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether there is a material misstatement in the financial statements or a material misstatement of the other information. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

Matter on which we are required to report by exception

In the light of knowledge and understanding of the company and its environment obtained in the course of the audit, we have not identified material misstatements in the directors' report.

We have nothing to report in respect of the following matters where the Trade Union and Labour Relations Consolidation Act 1992 requires us to report to you if, in our opinion;

- Adequate accounting records have not been kept, or returns adequate for our audit have not been received from branches not visited by us; or
- The financial statements are not in agreement with the accounting records or returns; or
- We have not received all the information and explanations we require for our audit; or
- The trustees were not entitled to prepare the financial statements and the directors' report in accordance with the small companies' regime and take advantage of the small companies' exemptions in preparing the directors' report and from the requirement to prepare a strategic report.

Responsibilities of Trustees

The trustees are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view, and for such internal control as the trustees determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the trustees are responsible for assessing the Union's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the directors either intend to liquidate the company or to cease operations, or have no realistic alternative but to do so.

Auditors' responsibilities for the audit of financial statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

A further description of our responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website at: www.frc.org.uk/auditorsresponsibilities. This description forms part of our auditor's report.

Matthew Chadwick BA(Hons) ACA
(senior statutory auditor)
For and on behalf of Wright Vigar Limited
Chartered Accountants
Statutory Auditors
25 Brunts Street
Mansfield
Nottinghamshire
NG18 1AX

10th June 2020

Auditor's report (continued)

Signature(s) of auditor or auditors:	<i>M. Chadwick</i>	
Name(s):	Wright Vigar Ltd	
Profession(s) or Calling(s):	Chartered Accountants	
Address(es):	25 Brunts Street Mansfield Notts NG18 1AX	
Date:		
Contact name for enquiries and telephone number:	Matthew Chadwick 01623 623317	

N.B. When notes to the accounts are referred to in the auditor's report a copy of those notes must accompany this return.

Membership audit certificate
made in accordance with section 24ZD of the
Trade Union and Labour Relations (Consolidation) Act 1992
(See notes 97 to 103)

At the end of the reporting period preceding the one to which this audit relates was the total membership of the trade union greater than 10,000?

NO

If "YES" please complete SECTION ONE below or provide the equivalent information on a separate document to be submitted with the completed AR21.

If "NO" please complete SECTION TWO below or provide the equivalent information on a separate document to be submitted with the completed AR21

Membership audit certificate
Section one

For a trade union with more than 10,000 members, required by section 24ZB of the 1992 Act to appoint an independent assurer

1. In the opinion of the assurer appointed by the trade union was the union's system for compiling and maintaining its register of the names and addresses of its members satisfactory to secure, so far as is reasonably practicable, that the entries in its register were accurate and up-to-date throughout the reporting period?

YES/NO

2. In the opinion of the assurer has he/she obtained the information and explanations necessary for the performance of his/her functions?

YES/NO

If the answer to **either** questions 1 or 2 above is "NO" the assurer must:

- (a) set out below the assurer's reasons for stating that
- (b) provide a description of the information or explanation requested or required which has not been obtained
- (c) state whether the assurer required that information or those explanations from the union's officers, or officers of any of its branches or sections under section 24ZE of the 1992 Act
- (d) send a copy of this certificate to the Certification Officer as soon as is reasonably practicable after it is provided to the union.


Membership audit certificate
Section two

For a trade union with no **more than 10,000 members** at the end of the reporting period preceding the one to which this audit relates.

To the best of your knowledge and belief has the trade union during this reporting period complied with its duty to compile and maintain a register of the names and addresses of its members and secured, so far as is reasonably practicable, that the entries in the register are accurate and up-to-date?

YES

If "NO" Please explain below:

Signature	
Name	MICHAEL CORRIGAN
Office held	ACCOUNTANT
Date	10/06/2020