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# He Said, She Said: Child Labor Measurement in Ethiopia

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## ABSTRACT

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This study reports the findings of three randomized survey design experiments implemented in different seasons of the agricultural calendar among Fairtrade coffee households in rural Ethiopia. The design focuses on the random allocation of the same survey instrument across child and proxy respondents for the measurement of child labor statistics. Our findings show the work of girls in agricultural settings is systematically underreported by the head of household relative to the child's reports. Underreporting is explained by the child/proxy gender mismatch as differences in child labor reports ranges from 7 to 10 percentage points for girls when the proxy respondent is male. On the contrary, no reporting differences are found for boys across all seasons when the head of household is male. The magnitude of the child labor underreporting is larger among households with mixed child gender composition relative to households with homogeneous child gender composition. Underreporting by the proxy respondent, relative to the child's own report, is not observed across other related outcomes such as schooling and household chores. Knowledge of Fairtrade standards and the degree and scope of effective commercial links between farmers and Fairtrade cooperatives do not have a systematic differential effect on the proxy reporting of child labor.

**JEL Classification:**

C8, J22, O12, Q12

**Keywords:**

survey design, child labor, gender

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## Introduction

Child labor continues to be an economic necessity for many households, especially poor households in developing countries. The International Labor Organization (ILO) estimates that 218 million children ages 5 to 17 were engaged in an economic activity around the world in 2016, mostly in developing countries. Over 73 million of these children were engaged in hazardous and exploitative forms of child labor. The agricultural sector accounts for by far the largest share of child labor (70 percent of those in child labor), where most children are engaged in family farm activities (ILO 2017). Accordingly, the measurement of child labor is essential to our understanding of the main factors that drive children to work and the development of sound policy in agricultural areas.

Despite the increasing availability of sources of information on child labor, and a large body of research on its main determinants and the effects of social protection policies (Edmonds 2009; Basu and Tzannatos 2003; Dammert et al 2018), there is little evidence on the validity of data collection methods for child labor (Dillon et al 2012). Three overlooked features in child labor survey design and data collection deserve attention. Unlike adult work statistics, child labor statistics are mainly generated by proxy reporting. Proxy reporting could lead to attenuation bias in child labor statistics due to social and cultural values or asymmetries of information due to the gendered segmentation of labor markets. Moreover, the timing of the survey is important as the seasonality of the household production process is an important component of the demand for child labor in agricultural settings. While there is a growing body of work on the impact of seasonality on rural labor markets (e.g. Beegle et al. 2017), there is scant evidence on how seasonality may affect the measurement of child labor statistics (Guarcello 2010). Furthermore, the gender stratification of work and social lives in poor economies could lead to important information gaps in child labor measurement. In East Africa, for instance, child's work is seen as an extension of women's work (e.g., Bass 2004), which could lead the work of girls to be unaccounted because their work is directed by women but reported by male heads of households.

This paper contributes to the literature by examining the extent to which seasonality and reliance on the head of household as proxy respondent affect child labor statistics in rural Ethiopia. Specifically, our experimental survey design intervention consists of the random manipulation of the survey respondent in the application of the same survey instrument to 1200 Fairtrade households in three different seasons of the coffee production, which enable us to capture seasonal variation in the demand for child labor. A component of the household survey elicits information about the specific farm and non-farm labor activities of children. In some households, children are randomly selected as respondents, while in others the head of households are randomly selected as respondents. We conducted the same survey experiment during the *Meher* season (main rainy season), the *Belg* season (short rainy season) and the harvest season. By interviewing the same households in different seasons of the agricultural calendar, we incorporate into the analysis the fact that rural households' demand for child labor differs during the pre- and post-harvest seasons.

We focus on coffee-growing households engaged in Fairtrade activities, and as such, households whose income mostly depends on seasonal agricultural coffee output (Dragusanu, Giovannucci, and Nunn 2015). Fairtrade constitutes one of the fastest-growing niches in the global food market, with more than 5.5 billion Euros in registered sales in 2014, directly impacting more than 1.4 million small-scale producers in more than 74 developing countries (Fairtrade International 2014). Coffee is the second most traded commodity worldwide after oil and an intensive child labor cash crop (Kruger 2007).<sup>1</sup>

Our results indicate seasonal variation in child labor statistics at the extensive and intensive margins. Indeed, child labor participation rate changes from 45 percent in the main rainy season to 76 percent in the harvest season, which reflects households relying heavily on their children's work during harvest season. Controlling for seasonality effects, the estimates show statistically significant underreporting of child labor by proxy respondents compared to the data reported by children themselves. Proxy underreporting is driven by the child/proxy gender mismatch, as differences in child labor statistics for girls emerge when the proxy respondent is the male head of household. No reporting differences are found for boys across all seasons when the proxy respondent is the male head of household. The estimates also show that the estimated differences in the reports are not driven by seasonality, variation in the knowledge of Fairtrade standards, nor the degree and scope of effective commercial link practices between farmers and Fairtrade cooperatives.

From a policy standpoint, these results suggest that one possible way to improve the accuracy of female child labor measurement in contexts in which there is gender segmentation in women's participation in economic activities is to address child labor questions to both the household heads and their spouses. Results show a significant reduction in the child/proxy reporting gap in child labor statistics for girls when the proxy respondent is the spouse of the head of household. Our findings do not suggest, however, that spouses should be the only one providing the information on child labor since spouses tend to underreport labor outcomes of boys. This finding may further explain the differences between reported estimates on child labor from different surveys for the same country after controlling for child, age, and work definition (Guarcello et al 2010).

Our paper contributes to the previous literature in several domains. First, our results contribute to the small literature on the measurement of child labor outcomes in developing countries. The paper most closely related to ours is Dillon et al. (2012) who study the effects of survey design on child labor, in particular, the authors find no differences between child-based and proxy-based responses in a field survey experiment in Tanzania. While Dillon et al.'s (2012) sample included urban and rural households, which might entail different opportunity costs and demand for

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<sup>1</sup> It is worth noting that to date there is no evidence of the magnitude and significance of child labor in the context of Fairtrade smallholder associations. Theoretical papers addressing the economic consequences of child labor-free labelling yield ambiguous effects on child labor participation, as the final impact depends on institutional features such as the intensity of the monitoring (Basu et al. 2006), the share of certified producers (Baland and Duprez 2009), and competition among certification agencies (Brown 2006). Fair trade is not a child labor-free label, instead Fair trade allows for child labor in farm activities as long as the activities are not detrimental to the child's welfare.

children's activities, we focus on a sample composed of a homogenous sample of rural certified coffee farmers. Furthermore, since a large fraction of children in rural areas are working as unpaid family members in the household farm, we restrict the proxy respondent to household heads or spouses. Dillon et al. (2012) randomly selected proxy respondents among all household members at least 15 years old, and as a result, parents made up 67 percent of the proxy respondents, while other relatives accounted for 33 percent. Unlike Dillon et al. (2012), two non-experimental studies have shown significant differences in child labor measures when comparing proxy versus self-reported information based on nationally representative household surveys (Dammert and Galdo (2013) for Peru and Janzen (2016) for Tanzania). None of these studies, however, have directly addressed the gender dimension or seasonality of work in the measurement of child labor statistics.

Second, there is a consensus in the literature that women's work is poorly measured in developing countries (e.g., Mata-Greenwood 2000, Anker 1983). In fact, research on adult labor markets indicates that female labor statistics are affected by the gender division of farm labor across agricultural seasons (e.g. Beegle et al. 2017), and by gendered norms and beliefs about female employment when the proxy respondent is male (Reynolds and Wagner 2012, Lee and Lee 2012). We extend these results to the context of child labor statistics and assess the importance of the proxy-child gender match for the accuracy of child labor statistics.

Third, we expand the growing body of work on the impact of seasonality on rural labor markets (e.g. Beegle et al. 2017). While most of the existing literature has focused on adult labor markets, seasonality in agricultural work may affect child labor estimates as well. For instance, if surveys are conducted systematically during the harvest period when the demand for labor is at its peak, they may bias upward employment and hours of work of children. In contrast, if surveys are conducted systematically during the planting or lean season, they may bias child labor estimates downward. Moreover, as informational constraints may be present in contexts where farms are mostly operated by families and monitoring is costly (Bharadwaj 2015), misreporting of children's activities by proxy respondents could be affected by the degree of complementarity of child's effort to adult seasonal labor. One would expect the differences in the report to move seasonally due to patterns of activities, our findings suggest, however, that the proxy-self discrepancy is not driven by seasonality.

Fourth, this study responds directly to the increasing call for assessing the 'external validity' of randomized interventions in developing countries (e.g. Banerjee 2015, Deaton and Cartwright 2016). By implementing the same design experiment in three different seasons, this study aims at providing 'credibility enhancing arguments' (Rodrick 2009) to our intervention. Finally, our findings contribute to the current debate on the measurement of agricultural productivity (e.g., Gollin et al 2014), wherein child labor is used as an input in the production function. Our results suggest that asking labor information to male head of households on behalf of girls may result in a downward bias in the reported child labor estimate, which in turn would affect the measured value-added per worker and, therefore, the productivity gap between agricultural and non-agricultural sectors.

This study is organized as follows. Section 2 describes the context of this study, while Section 3 explains the sampling procedure and survey design intervention. Section 4 presents the main results and Section 5 assesses the role of differential treatment effects across a set of policy variables of interest. Finally, Section 6 presents conclusions and policy recommendations.

## **2. Coffee Cultivation and Child Labor in Ethiopia**

This study focuses on Ethiopia, one of the poorest countries in the world, with an estimated GDP per capita of US\$500 dollars. Ethiopia is the second most populous country in Africa, with a total population close to 100 million, of which 80 percent live in rural areas and 60 percent are below 25 years of age (World Bank 2014). The agricultural sector accounts for 46 percent of the GDP, 85 percent of total employment, and 90 percent of export revenues (FAO 2015). Ethiopia is believed to have one of the highest rates of child labor in the world, with 54 percent of rural 5 to 14-year-old children directly involved in economic activities, mostly as unpaid workers in family farms (Guarcello and Rosati 2007).<sup>2</sup>

Ethiopia is the birthplace of the Arabica coffee, a variety of coffee crop that is quite popular in international markets. It is estimated that over 4 million primarily smallholder households are engaged in coffee cultivation across the country (Minten et al. 2014), which implies that around 25 percent of the Ethiopian population depends directly or indirectly on coffee output and prices for their livelihood (Backman 2009). Indeed, coffee is Ethiopia's most important export crop, accounting for 22 percent of Ethiopia's commodity exports in 2014.

Coffee cultivation is a child labor-intensive crop due to the characteristics of the tasks associated with the pre-harvesting and harvesting production process (Kruger 2007). Before the harvest season, it has been documented that children participate actively in pruning, weeding, and fertilizing. At harvest, coffee producers employ children mainly as pickers of red coffee beans which must be picked immediately upon ripening to maximize their quality. (ILO 2004). ILO rapid assessments of child labor in coffee plantations show that child workers are either children of farm workers or the children of farmers residing near the plantations (Kifle et al 2005).

After the harvest, the red-cherry is dried using either the wet method or the dry method. The former involves drying the whole cherries on mats in the sun after which the beans are separated from the pulp. The latter requires specialized equipment and is usually done at a mill where the cherry skin is removed with a pressing machine and the bean is left to ferment in water in order to remove any remaining skin before the drying stage. In both methods, the dried beans, known as parchment coffee, are bagged and transported to processors.<sup>3</sup> All in all, two important

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<sup>2</sup> In contrast to other developing settings, there are no social stigmas or negative perceptions of child labor in Ethiopia, as it is the case throughout eastern Africa. Child labor historically was viewed positively as a means of social reproduction and the useful training for children, which is explained by the cultural and historical influence of East Africa's triple heritage i.e., African, Islamic, and colonial (Bass 2004).

<sup>3</sup> Moisture content and the careful sorting of ripe cherries and dried beans from defective ones during the drying process are essential for quality (Minten et al 2014).

features of small-scale coffee cultivation are its seasonal nature and high dependency on family work.

Fairtrade's presence in the Ethiopian coffee markets has consistently expanded in the past few years from a handful of certified primary cooperatives in early 2000 to 128 certified cooperatives in 2014 (Minten et al 2015). Fairtrade labeling is a voluntary certification scheme that aims to respond to price uncertainty in agricultural markets and to improve the living conditions of smallholder farmers in developing countries (Dragusanu et al 2014, Dammert and Mohan 2015)<sup>4</sup>. Certification is issued on behalf of cooperatives of smallholder producers after specific environmental, labor, and producer organization standards are met.<sup>5</sup>

Farmers associated with the Fairtrade cooperative benefit from two price mechanisms: a guaranteed Fairtrade minimum price and a price premium for their certified crop, both set by the Fairtrade Labelling Organization (FLO). Whenever the international market price of a commodity is below the Fairtrade minimum price, farmers receive the Fairtrade minimum price, which covers the average cost of sustainable production. In addition, Fairtrade farmers benefit from a price premium, which is directly paid to Fairtrade cooperatives to be invested in community development in health, education, and the environment. At least 25 percent of the Fairtrade premium must be invested in the improvement of productivity and quality of the Fairtrade coffee. Farmers are expected to choose democratically how the premium is spent.<sup>6</sup>

In contexts in which child labor is widespread, Fairtrade standards encourage cooperatives to include a mitigation and elimination plan to prevent children from being employed whenever child work is identified as a risky activity (i.e. work that jeopardizes schooling or the social, moral or physical development of the person). Child work on the household farm is allowed, provided the work is appropriate to the children's age and it takes place outside of school hours or during school holidays (Fairtrade International 2015).

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<sup>4</sup> The definition of small-scale farmer applies to "smallholders who do not depend on hired workers all the time but run their farm mainly by using their own and their family's labor" (Fairtrade International 2012).

<sup>5</sup> The Fairtrade Labelling Organization sets products and small producer organization standards based on environmental agricultural practices, labor regulations, the empowerment of women, and democratic management and participation of cooperatives and their associates. Monitoring of standards is implemented as a three-stage process: self-assessment of producers against Fairtrade standards, peer reviews from trading partners, and random external inspections. It should be noted that Fairtrade certification is not free of cost, as small-scale organizations pay a non-negligible initial membership fee. The yearly certification fee ranges from 1,430 Euros for an organization with less than 50 members to 3,470 Euros for an organization with more than 1,000 members (FLO-CERT 2011). Initial certification is provided for a specific period of time (usually three years), to which it is subject to renewal by FLO-CERT conditional on the inspection according to Fairtrade's standards and regulations in the field and the payment of a renewal certification fee.

<sup>6</sup> In the case of coffee, the 2015-2017 Fairtrade minimum price was set to \$1.4 dollars/pound of certified conventional washed Arabica coffee, while the price premium was set to \$0.20 dollars/pound.



### 3. Experimental Design

#### 3.1 Study Area and Sample

Our survey design experiment is carried out in two different regions in Ethiopia, Jimma, and Sidama. These regions produce two different varieties of coffee with high demand in international markets, Limu and Sidama. As Figure 1 shows, Jimma and Sidama are situated in the west- and south-central part of the country, within the preeminent coffee-producing areas of the country (Minten 2015). These two regions also represent two different cultural and social settings within Ethiopia: Jimma is a Muslim populated region (90 percent of households in our sample), while Sidama is an Orthodox Christian region (97 percent).

Our population framework is based on 5,100 smallholder farmers who are active members of four Fairtrade cooperatives that are spread out over 12 different districts (Kebeles).<sup>7</sup> Administrative data from these cooperatives were used for sampling purposes. Within each selected region, we selected two representative Fairtrade Coffee Cooperatives, one characterized as of 'high' productivity and the second as of 'low' productivity to improve the external validity of the sample. In Sidama, we worked with two Fairtrade cooperatives, each with around 1,500 active smallholder farmer associates. One cooperative reported a yearly average production of 1,122 kilograms of coffee crops per associate, while the other reported average production of 789 kilograms in 2014. In Jimma, we also worked with two Fairtrade cooperatives. The first cooperative had 800 active farmer associates and yearly average production of over 1,600 kilograms of coffee per associate in 2014, while the second cooperative had 1,100 active farmer associates and yearly average production of 600 kilograms of coffee per associate in 2014. Our population framework, therefore, includes variation across several dimensions such as geography, cultural environments, and levels of production across smallholder farmers.

Sample selection is based on a 2x2 stratified random design. Stratification is based on two variables of interest: the level of production and the gender of the household head. We split the population of farmers into high- and low-production groups according to whether they were above or below household median coffee production in 2014. This information is collected directly from the administrative records of each Fairtrade cooperative. As variation in household coffee production can entail different combinations of adult/child work, this approach yields a sample that is representative of low and high coffee production household units. Likewise, we stratified the population data according to the gender of the household head as there may be gender differences in preferences and attitudes toward child labor. Information about the name of the household head was collected from administrative records from Fairtrade cooperatives and was validated in the initial field survey operation in July 2015. We obtained a representative sample of 1,203 households in the sub-samples within all four cooperatives.

The selection of experimental treatment and control households is based on complete randomization of the stratified sample. One-third of the sample is randomly allocated to the

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<sup>7</sup> Wayicho, Degara, Moto, Shilicho, Babe, Shabe, Qeway, Tassano, Haro, Omo Boqo, and Omo Gurude.

treatment group (self-response survey design), while the remaining two-thirds to the control group (proxy-response survey design). The selection of the proxy respondent is limited to the household head or the spouse thereof. This statistical design yields 401 and 802 household units in the treatment and control groups, respectively. A statistical power analysis of a two-sample mean difference based on a two-sided 5 percent-level test and an effect size of 10 points shows a statistical power above the conventional threshold of 80 percent.<sup>8</sup>

### 3.2 Survey Design Treatment

The ILO's guidelines for the measurement of child labor recommends that questions should be answered directly by the child, provided the child is at least 10 years old while younger children should be assisted by their parents only when they have cognitive difficulties that hinder their ability to understand the questions and communicate the answers (IPEC 2004). Nonetheless, in practice, there is a lot of variation on the type of respondent even among household surveys carried out in the same country. For instance in Ethiopia, the 2011 Demographic Health Survey (DHS) ask mothers or caretakers of the children information on child labor in a specific child labor module.<sup>9</sup> On the contrary, the parent, guardian or responsible proxy in the household where the child resides answers the labor module on behalf of the child in the 2001 Ethiopia Stand-alone Child Labor Survey (SIMPOC). The child only provides the information if he lives alone or is the head of the household.<sup>10</sup> Finally, in the Ethiopia Living Standards Measurement Study-Socioeconomic Survey (ESS), guardians or caretakers of children age 7 to 9 years of age provide the responses to the labor module on behalf of the child and children age 10 or above provide the labor information themselves.<sup>11</sup>

In order to understand the role of the survey design, particularly the role of the proxy respondent, we conducted a randomized survey experiment among coffee growers in rural Ethiopia. Treatment status in this study is determined through the application of the same survey instrument to randomly selected respondents, children themselves in the treatment group and heads of households or spouses in the control group. Field surveyors ask the randomly selected respondent a specific labor-market module about child labor activities in the last 30 days before the survey (a child is defined as 6-14 years of age).

In the presence of widespread seasonal activities and a labor force composed predominantly of casual and/or temporary workers, the choice of the length of the reference period is important. A short length of the reference period (e.g., a day or a week) may not capture seasonal work depending on the precise timing of the survey work if labor inputs vary considerably across weeks

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<sup>8</sup> The size effect considered for power analysis is based on a conservative approach to the survey design results found by Dammert and Galdo (2013) in Peru and Janzen (2016) in Tanzania. Both studies have found self/proxy treatment effects for child labor statistics above 10 percentage points.

<sup>9</sup> <https://dhsprogram.com/pubs/pdf/FR255/FR255.pdf>

<sup>10</sup> <http://www.ilo.org/ipecinfo/product/viewProduct.do?productId=5105>

<sup>11</sup> [http://siteresources.worldbank.org/INTLSMS/Resources/3358986-1233781970982/5800988-1367841456879/9170025-1367841502220/ERSS\\_Manual\\_HH\\_AG\\_COM\\_APRIL\\_30\\_ENGLISH.pdf](http://siteresources.worldbank.org/INTLSMS/Resources/3358986-1233781970982/5800988-1367841456879/9170025-1367841502220/ERSS_Manual_HH_AG_COM_APRIL_30_ENGLISH.pdf)

(Levison et al 2007, Arthi et al 2017), or if the chosen day or week is atypical due to religious holidays or community celebrations (Matta-Greenwood 2000, Comblon and Robilliard 2017). A longer length of the reference period (e.g., 'last year'), on the other hand, could introduce bias in the measurement of variables due to recall error. We, therefore, ask respondents about child labor in the 30 days prior to the survey. There is no distinction in the wording nor in the sequencing of the questions across treatment and control groups to avoid the possibility of bias in the manner in which information is elicited.

It should be noted that the advantages of using proxy-based reports rather than child-based reports are not clear. Child-reported information may be more accurate than proxy responses if a child knows best how she allocates her time. That said, children can misreport their own labor status if they are not fully knowledgeable about what "work" entails or because it is difficult to track their activities and hours over time. On the other hand, a proxy respondent like the household head may be familiar with the children's activities since many child laborers in developing countries work on the family farm or enterprise. However, due to social and cultural values, institutional (Fairtrade) standards, or asymmetries of information due to the gendered segmentation of labor markets, a proxy respondent may tend to underreport these activities. In any case, since smallholder farmers typically employ mostly family labor, there are no written records nor wage income to anchor recall which may affect measurement error (Arthi et al 2017). Therefore, we do not test whether children provide more accurate information compared to the one provided by proxies since we do not have the true value of work status<sup>12</sup>.

As the measurement of child labor statistics could be affected by how child labor definitions are operationalized in the survey design questionnaire (e.g., Bardasi et al. 2012), we employ a relatively long rather than a short questionnaire design. Specifically, the survey contains 12 questions that aim to elicit information about the specific farm and non-farm labor activities. The most important questions refer to work at the household farm, as this activity accounts for most child work in rural Ethiopia (Guarcello and Rosati 2007). A typical labor question asks: "*Did [name] work any time in the household farm in the last 30 days?*" Since the keyword 'work' can have different meaning for respondents, we supplement this question with a detailed, standard explanation of the concept of work by using a set of typical farming activities which is read aloud to the respondents: planting, watering, weeding, mulching, seedling, fertilizing, handpicking cherry coffee, cattle herding. This question is followed by an 'intensive margin' question, "*how many hours in the last 30 days did [name] spend working on the household farm?*"

The subsequent questions use the same length of the reference period to capture information on work in non-farm household business, Fairtrade Coffee Cooperatives, coffee plantations, other households' farms, work as an exchange laborer in other farms, and non-farm wage work. A negligible percentage (2%) of both self and proxy responses report children working outside the domain of household farm and, thus, this information is not used in the empirical analysis due to lack of variation. It is worth noting that household chores such as fetching water and/or

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<sup>12</sup> Administrative information, a validation study, or a respondent debriefing study would be required to know the true classification of children's work. In our context, such measures do not exist.

firewood, house cleaning, cooking, and providing child and elderly care are left out of these work categories and are explicitly explained as activities that do not belong to farm work. This approach aims to provide children and proxy respondents a clear delineation of what constitutes work activities at the farm.

Surveyors were selected among seasoned field officers with relevant experience in the specific areas of intervention and who were very familiar with the local languages and customs to minimize potential misreporting problems due to linguistic and cultural barriers.<sup>13</sup> Two additional features of this experimental design require acknowledgment. First, as the survey questionnaire includes multiple sections that cover additional topics related to gender empowerment, trust, and knowledge of Fairtrade regulations, among others, the sequencing of the survey modules becomes important, as this may influence or shape the responses given by the heads of households regarding child labor activities. In this respect, we placed the labor market module as the second section of the survey, immediately after household demographics questions. Thus, concerns about survey-design-induced responses are minimized. Likewise, due to the nature of the treatment under analysis, and in contrast to other types of intervention in labor markets (e.g., training), neither proxy- nor self-respondents are aware of the survey variation and/or the exogenous manipulation of the (survey) environment. This minimizes bias induced by the presence of 'John Henry' effects or, more generally, 'Hawthorne' effects (List and Rasul 2013).

### **3.3 Timeline and Sample Attrition**

Fieldwork took place from July 2015 to January 2017. As the variation in work activities across the year depend on the agricultural calendar, we implement the same survey design experiment over the same households in three different coffee seasons coinciding with Ethiopia's rainfall seasons:

i) First survey: July-August 2015, during the *Meher* or main rainy season. This is the period of final coffee fruit development and sowing of other crops (Moat et al 2017, USAID 2015). This period is also known as the lean season due to the relatively low agricultural activity and its negative impact on agricultural income. This is also the time of year during which children are out of school.

ii) Second Survey: during April-May 2016, the *Belg* season or short rainy season. This is the period that corresponds to coffee planting, seedlings, weeding, and early development of the coffee fruit. Land preparation for other crops takes place as well<sup>14</sup>.

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<sup>13</sup> The official national language is Amharic, which is spoken by 30 percent of the population. Oromo and Sidamo are two additional local languages spoken in the areas of intervention.

<sup>14</sup> This period is also known as the short rainy season. Few plots have a bi-annual cropping pattern of crop growing during the rainy and short rainy seasons (Minten et al 2017).

iii) Third Survey: during December 2016-January 2017, harvest season or dry season, the busiest agricultural season for coffee-growing households (Dercon and Krishnan 2000). Red cherry coffee crops are harvested and coffee processing and selling take place.

As shown in Table A.1 of the appendix, levels of attrition are very low. Out of 1,198 households surveyed in 2015, only one household was not surveyed in early 2016 and 10 households were not surveyed in late 2016. Regarding children aged 6 – 14 years, we surveyed 1,890 children in the first survey. We observe some children dropping out of the sample in the second and third surveys due to reaching the age of 15 during that year (100 children) and other children not present in the first survey but returning to the household in later surveys (46 children).

Comparability of labor statistics across these three surveys follows from the similarity of the survey design, data collection and processing procedures. We used the same group of field surveyors across all rounds of data collection and the same software and personnel for data entry and coding. The wording and type of questions, the length of labor modules and the reference period are the same across the three survey rounds<sup>15</sup>.

### **3.4 Sample Characteristics**

Out of the 1,200 households that form the experimental sample, a total of 1,198 were interviewed in July/August 2015, including 405 treatment and 792 control households, respectively. Table 1 provides summary statistics of characteristics associated with the household, head of households, and children, along with the p-values of the t-test for the equality of means between the experimental treated and control groups. We present these statistics for the overall experimental sample and the sub-sample of households that have at least one child in the 6-14 age category, as the latter is the sample used in the estimation of the main effects.

The average household is composed of six members. 57 percent of the households are Christian, and 43 percent are Muslim, which is consistent with the geographic distribution of the survey sample selection. The average monthly household income is around 1200 birr (about US\$52), which is somewhat higher with respect to income than the average farmer in Ethiopia. Nonetheless, our sample participants live in houses with poor infrastructures such as mud-based floors (70 percent), no electricity (78 percent), and no sanitary services (80 percent). Nearly two of every three households have access to mobile phones, which shows the high penetration of digital technologies in agricultural rural markets in Eastern Africa. The average household head is male, 50 years of age, married and has 4 years of formal schooling. On average, there are 1.5 children aged 6 to 14 per household. These children are 10 years of age on average, with 2.5 years of formal schooling. These variables are balanced between the full and the restricted experimental treated and control groups as shown by the corresponding p-values.

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<sup>15</sup> Child labor questions related to non-farm remunerated work, non-farm household business work, remunerated work in Fairtrade cooperatives, coffee plantations, and other households' farm are not included in the second and third round of survey as the initial survey shows that only a negligible share of children aged 6-14 are involved in these types of activities.

Regarding the characteristics of household agricultural production and sales, one observes that, on average, the extent of the household plot is close to one hectare of which 58 percent is used for coffee production while the remaining land is mainly used for cultivation of enset and maize. On average, 430 kg of cherry coffee is bought by the Fairtrade cooperative at an average price of 9.5 birrs per kg. Farmers also process part of the coffee harvest at home and sell it as dried coffee (178 kg on average) mostly to private merchants at an average price of 24 birrs per kg. It is important to note that one kg of dried coffee is equivalent to 3 to 5 kg of red cherries (FAO 2014, Minten et al 2014) depending on quality.<sup>16</sup> The p-values reported in column 6 show that these variables are statistically balanced between the experimental treated and control groups.

In sum, observable characteristics are well balanced across the two groups (self- and proxy-respondents), which provides internal validity to our estimates as both groups are sampled from the same population.

### **3.5 Seasonality of Child Labor Statistics**

Figures 2A and 2B display the extent of variation of child labor statistics in rural Ethiopia across three different agricultural seasons. Regardless of the type of respondent, and at the extensive margin, we observe that the proportion of children working in farming activities in the past 30 days changes from 45 percent in the main rainy season to 52 percent in the short rainy season to 76 percent in the harvesting season. Figure 2A also depicts important gender gaps in child labor participation with boys having higher participation rates than girls. Although these gender differences are expected, they show variation across different agricultural seasons. In the main rainy season, the boy/girl child labor gap reaches 20 percentage points (55 vs 35), which decreases to 17 percentage points (60 vs 43) in the short rainy season and to 9 percentage points (80 vs 71) in the harvesting season. This indicates that intrahousehold time allocation varies according to the seasonality of the household farm activities.

At the intensive margin, we observe the same patterns as the (unconditional) number of monthly hours of work increases from an average of 16 hours during the main rainy season to 20 hours during the short rainy season and to 35 hours during the harvest season. Similarly, the boy/girl gap in monthly worked hours changes from 11 hours (22 vs 11) in the main rainy season, to 12 hours (26 vs 14) in the short rainy season, and to 7 hours (39 vs 32) in the harvesting season. In sum, the evidence indicates that the timing of survey data collection is of importance in agricultural settings for child labor statistics and policy recommendations based on those statistics.

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<sup>16</sup> Standard conversion rates show that for their dried coffee, farmers receive an average price that ranges from 5 to 8 Birrs per cherry kg which is lower than the price they would have received if the coffee was sold as cherry coffee. This finding is in line with Macchiavello and Morjaria (2015)'s study on Rwandan coffee growers, where cherry coffee was sold, on average, at a 40% higher price than processed dried coffee.

How do these child labor statistics fare relative to national, representative estimates in rural Ethiopia? To answer this question, we rely on the 2015/2016 Ethiopian Socioeconomic Survey (ERSS) that was implemented by the Central Statistics Agency (CSA) as part of the Integrated Surveys on Agriculture program in close collaboration with the World Bank. The ERSS data is a representative survey of 4,954 households living in rural areas, small-town, and medium and large-sized towns. The reference period for the child labor questions is the last 7 days before the survey, the age cohort refers to children 7 to 14, and the data collection took place between February and April 2016, a timing that overlaps with our second survey intervention (short rainy season). The labor module is answered by children aged 10 or older, while guardians/caretakers answer the questionnaire on behalf of children age 7 to 9 years.<sup>17</sup> With these caveats in mind, we proceed to the comparison of child labor statistics after restricting the ERSS sample only to rural households. Results show that child labor statistics from a rural representative survey in Ethiopia are comparable to the numbers that emerge from our sample of Fairtrade coffee farmers interviewed during the short rainy season: 52.4 percent of children aged 7 to 14 works in the household farm. This data also reports important gender gaps, with 58 percent of boys and 46 percent of girls working on the household farm.

#### 4. Survey Design Experimental Results

The estimation of survey design treatment effects for child labor measures is based on a reduced-form linear regression model for individual  $i$ , in Fairtrade cooperative  $j$  at season  $t$ ,

$$y_{ijt} = \alpha + \beta D_{ij} + X_{ijt}' \gamma + S_t + c_j + \varepsilon_{ijt}, \quad (1)$$

where the parameter of interest,  $\beta$ , represents the average impact of survey design on child labor status  $y_{ijt}$ ,  $D_{ij}$  denotes the treatment indicator that equals 1 for individuals aged 6 to 14 whose labor status is self-reported and 0 for individuals aged 6 to 14 whose labor status is reported by the proxy respondent.  $X_{ijt}$  denotes a set of socio-demographic covariates of children and household heads that are deemed important determinants of child labor in the literature (e.g., Basu and Van 1998, Edmonds 2009).  $S_t$  denotes the agricultural season with the main rainy season as the base category, while  $c_j$  is a Fairtrade cooperative fixed-effect that controls for potential institutional differences that could be correlated with the outcome of interest, while  $\varepsilon_{ijt}$  is the idiosyncratic mean-zero error term.

Since we are also interested in estimating whether self-proxy differences in the reports varies according to the specific agricultural season, we extend the basic specification (1) by incorporating the corresponding interaction terms between the treatment indicator ( $T_i$ ) and season ( $S_t$ ),

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<sup>17</sup>Available at [http://siteresources.worldbank.org/INTLSMS/Resources/3358986-1233781970982/5800988-1367841456879/9170025-1367841502220/ERSS\\_Manual\\_HH\\_AG\\_COM\\_APRIL\\_30\\_ENGLISH.pdf](http://siteresources.worldbank.org/INTLSMS/Resources/3358986-1233781970982/5800988-1367841456879/9170025-1367841502220/ERSS_Manual_HH_AG_COM_APRIL_30_ENGLISH.pdf)

$$y_{ijt} = \alpha + \beta_1 D_{ij} + \beta_2 S_t + \beta_3 S_t \times T_{ij} + X_{ijt}' \gamma + c_j + \varepsilon_{ijt}, \quad (2)$$

where  $\beta_3$  is the parameter measuring the differential survey design impacts by agricultural season on child labor status  $y_{ijt}$ .

A corresponding Tobit specification is implemented at the intensive margin as the dependent variable ‘hours of work’ has limited support with a mass point of zero, which could bias the point estimates under standard OLS specifications (Angrist 2001). Since we target household heads as proxy respondents, we excluded from estimation a small number of households in which other family members acted as proxy respondents (2 percent of the sample).

Table 2 depicts the results at the extensive (left panel) and intensive (right panel) margins. Within each panel odd-numbered columns follow the specification given in equation (1), while the even-numbered columns add treatment by season interaction terms as described in equation (2). Robust standard errors are reported in parentheses. Results show statistically significant variation by the type of respondent in child labor statistics for girls and at both the extensive and intensive margins. As shown in columns 3 and 7, the overall child/proxy reporting differences for girls reach 7.6 percentage points in labor participation and 2.7 worked hours per month—representing a 15 and 9 percent difference in the reports, respectively. On the other hand, columns 1 and 5 depict no statistically significant child/proxy differences for boys, which suggests that the systematic underestimation of women’s work in agricultural settings reported in the literature (e.g., Anker 1983, Mata-Greenwood 2000), is also observed when measuring the work of girls at the household farm. Setting the gender of the child aside, these results, albeit smaller in magnitude, are in line with non-experimental studies from Dammert and Galdo (2013) and Janzen (2016), that showed large underreporting of child labor participation by proxy respondents relative to the child’s own responses.

By looking into the second and third rows of Table 2, we observe statistically significant differences in the extent of child labor across different agricultural seasons for boys and girls. Child labor participation in the household farm is 3.9 and 6.2 percentage points higher for boys and girls in the short rainy season relative to the main rainy season and 24.5 and 34.5 percentage points higher in the harvest season relative to the main rainy season. This reflects high variation in the demand for child labor across different agricultural seasons as depicted by the p-values (p-value=0.00) for the test of equality of the season coefficients reported at the bottom of Table 2. Indeed, the main rainy season corresponds to the lean season in which farm income is relatively scarce and, therefore, the head of household might allocate effort to other off-farm activities to supplement income as agricultural work is at its lowest. In addition, children do not follow the daily school routine as the timing of the survey coincides with the school year vacation<sup>18</sup>. The short rainy season, on the other hand, coincides with the planting season in which the main agricultural activities consist of weeding, land preparation, and planting. These tasks imply

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<sup>18</sup> 80 percent of children aged 6-14 attend formal school in our sample. No differences are observed for school enrollment between boys and girls.



routine steps with predictable timing and involve the participation of woman and children alike (Admassie and Bedi 2008). In the coffee harvest season, which only occurs once per year in Ethiopia, the household labor demand is at its peak (Dercon and Krishnan 2000). This is the time of the year in which all household members are actively engaged in picking, transporting, selling, storing, and drying coffee cherry crops. This period is also characterized by active social festivities in coffee towns as coffee farmers receive cash windfalls from selling their cherry-coffee crops.

Whether this strong variation in the intensity of child labor demand across agricultural seasons leads to differential self-proxy differences in child labor statistics is reported in columns 2 and 6 for boys and 4 and 8 for girls. By looking at the estimated coefficients one observes that the interaction terms are in all but one case negative suggesting that the differences in the child labor reports between the child and the proxy respondent are smaller in the short raining season and harvest season relative to the main rainy season. However, these point estimates are not statistically meaningful except for girls in the short raining season and at the intensive margin. All in all, these results suggest that while seasonality strongly affects the incidence of child labor among coffee agricultural households, the self/proxy survey treatment effects do not vary across agricultural seasons.

As our results show that heads of households tend to underreport girls' work at the household farm relative to the girls' own account (or, alternatively, that girls tend to over-report their work status relative to that of proxy respondents), we next assess whether self/proxy differences in reports are driven by the child's age, since it is acknowledged in the literature that data quality based on self-reports may improve with the age of children due to the continuing development of cognitive and communicative skills (Borgers et al. 2000). Table 3 presents this set of results following the specification given in equation (2) and after splitting the sample of children in two age groups, 6 to 9 and 10 to 14. Results show that the same proxy underreporting is observed for younger and older girls, with the point estimates showing similar, statistically significant differences of 10.4 and 10.5 percentage points for children aged 6 to 9 and children aged 10 to 14. Consistent with the results at the extensive margin, there is little difference in the number of monthly hours worked between the subsamples of children aged 6 to 9 and 10 to 14, as the child/proxy gaps are 4.2 and 4.7 hours, respectively. All in all, these numbers do not support the idea that our self/proxy differences in child labor statistics are driven by the age of the children.

Is underreporting of girls' work by proxy respondents inherent to child labor statistics? To answer this question, we implement a form of placebo test for estimating self/proxy reporting differences in two important child-related dimensions: household chores and schooling. Household chores (i.e. fetching water, firewood, house cleaning, cooking, laundry, childcare, and elderly care), are performed mostly within the household premises and are therefore relatively easy to monitor as they involve routine activities regardless of the seasonality of agricultural production. Schooling is a well-defined activity that follows a formal yearly schedule with around five hours of daily classes during the school year in our rural areas of intervention. Table 4 reports the point estimates for school participation and household chores in the short rainy season and

harvesting season following the specification given in equation (2)<sup>19</sup>. Results show negligible and no statistically significant differences between the child and the proxy reports across three outcomes of interest, participation in household chores, weekly hours spent on household chores and school enrollment.

These results suggest that, after controlling for seasonality effects, child labor statistics derived from surveys are affected by respondent type, particularly in settings where social norms regarding female work is a salient feature. The next section discusses and tests potential mechanisms that may explain gender differences in child labor statistics.

## **5. Understanding Child Labor Survey Design Variation in Agricultural Settings**

### **5.1 Gender Information Effects**

There is evidence that farm production is mainly the occupation of the male household heads who oversee and monitor work on the household farm more closely (Bass 2004). In the context of coffee-producing households in Ethiopia, as reported by Fafchamps et al (2009) and Lim et al (2007), men are mainly responsible for coffee farming (harvesting and maintenance of coffee plants), while women contribute to coffee harvesting and are responsible for household chores.

To support this argument, we elicit information on the weekly distribution of worked hours for both male and female proxy respondents in the first round of survey design intervention (main rainy season). This data shows gender differences in the time spent in farming activities by proxy respondents. On average, the male head of household spends 25 hours per week in farm work while their spouses spend 14 hours per week in farm work during the main rainy season. On the other hand, the male head of household spends 11 weekly hours in household chores, while their spouses spend 47 weekly hours on these activities<sup>20</sup>. This gender division of labor might be reflected in child work on the farm and evolve with the age of the child. Several studies indicate that younger children spend most of their time with their mothers and perform tasks that tend to be performed by women (e.g., Nkamleu and Kielland 2006). As children age, children increasingly take on the tasks that are typical for adults of their gender. For instance, while picking and weeding are activities performed by both boys and girls, pruning and spraying demand more physical strength and the ability to endure long hours of work, and are thus performed mostly by men and boys (ILO 2002).

Thus, it is possible that gender differences in misreporting reflect different responsibilities and tasks that males and females perform on the family farm and the degree of complementarity of tasks performed by adults and children of the same gender (Foster and Rosenzweig 1996,

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<sup>19</sup> We did not collect information on household chores and school enrollment in the first household survey (main rainy season) due to time and budgetary constraints as this initial survey included several additional modules.

<sup>20</sup> For other types of activities such as religious and social activities, non-farm household business, and non-farm work, there are no significant differences in the weekly time allocation between male head of households and their spouses.

Fafchamps et al 2009). To analyze whether the gender match between the child and the proxy respondent matters, we restricted the proxy respondent sample to male heads of households (around 90 percent of the proxy sample).<sup>21</sup> Not surprisingly, the estimates reported in Appendix Table A2 show the same value and statistical significance with respect to the (full sample) estimates presented in Table 2. The main estimates show that underreporting of child labor statistics by the male head of household, relative to the child's own report, is driven by the gender mismatch between child and proxy respondents. While the estimates show statistically significant differences in the report for girls when the proxy respondent is the male head of household, we do not observe statistically significant differences for boys when the proxy/child gender is matched.

While we do not have information about monitoring mechanisms and incentives, we investigate next the offspring gender composition of the household and child/proxy survey gaps. If monitoring or knowledge of child labor activities is affected by the gender stratification of work and social lives in Ethiopia, then one would expect to observe larger child/proxy reporting gaps within households that have mixed gender composition of children, relative to households that have homogeneous gender composition, as the former would entail more diversified intrahousehold allocation of child activities. We then split the data between these two types of households and estimate survey treatment effects following the same specification model as given in equation (2) after restricting the proxy sample only to male heads of households. The left (right) panel of Table 5 presents the results for boys and girls who belong to households with mixed (homogeneous) gender composition.

Sizable and statistically significant child/proxy report gaps (11 percentage points) emerge for girls who lived in households with mixed gender offspring composition. This child/proxy gap in child labor participation varies across different agricultural seasons as indicated by the corresponding p-value (p-value=0.03) at the bottom of Table 5. For boys who belong to this type of households, we also observe underreporting in child labor statistics by the male head of household relative to the child's own report (5.4 percentage points), yet the point estimates are not statistically significantly different from zero and do vary across seasons (p-value=0.60). On the other hand, and by looking at the right panel, we do not observe statistically significant child/proxy report gaps for girls and boys who belong to households with homogeneous offspring composition. However, the size of the point estimates shows large and opposite signs for girls (8 percentage points) and boys (-8 percentage points). As a result, this data cannot reject the null of equality of treatment effects for girls residing in households with mixed and homogeneous offspring gender distribution (p-value=0.70). Unreported similar patterns are observed at the intensive margin as well.

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<sup>21</sup> FAO (2011) showed female-headed households are comprised by women who are not married, are widowed, divorced or separated and those whose spouses are away from home because of work or for other reasons. We do not compare male vs female-headed households since female-headed households, on average, tend to be smaller than male-headed households and have lower incomes (Doss 2001). Thus, access to family and non-family labor may be different among these two types of households.

Furthermore, it has been documented that resources controlled by different members of the household affect labor allocation decisions within the households. For instance, Udry (1996) finds that child labor is used less intensively on plots controlled by women than on similar plots controlled by men in Burkina Faso. Oseni et al (2015) find that male managed plots are more likely to use male family labor compared to female-managed plots (and vice versa) in Nigeria. Thus, given the gender stratification of labor in Ethiopia, it is possible that asymmetries of information are exacerbated by the gender stratification of work and social lives in Ethiopia, as adult males may be able to observe boys' output and activities, while adult females may have more information about girls' work.

We asked household heads questions regarding time allocation decisions to ascertain the degree of control and information within the household. We recorded whether the head of household self-reported as being the only decision maker or strongly influencing the decisions about farm child labor and household chores. We then estimated the differential child/proxy treatment effects on child labor statistics with respect to the base category in which the proxy respondent self-reported sharing equally in decision-making with the spouse or not influencing these decisions at all. This data is available only in the main rainy season survey and thus a version of equation (1) is estimated after including the decision-making variable along with its interaction term with the survey design treatment variable. As before, we focus on male head of households acting as proxy respondents.

Table 6 shows the differential impacts of household decision making on the child/proxy gaps in child labor statistics. The upper and lower panels report the point estimates for boys and girls, respectively. Whenever the male head of household is the only decision maker or strongly influences the work time allocation of girls, underreporting of child labor statistics by the proxy respondent, relative to self-reporting, decreases significantly. It is likely that male proxy respondents are highly knowledgeable about a child's schedule and activities, particularly when the former has sole responsibility for making decisions on the time allocation of the child. In fact, we observe that these differential impacts are sizable and statistically significant for girls: the child/proxy reporting gap decreases 20 and 10 percentage points when a male proxy respondent is the only decision maker or strongly influences the time allocation of girls to farm work and to household chores. On the other hand, we do not statistically significant differential impacts for boys. All in all, these results point in one direction: variation in child labor statistics due to the type of respondent is lower in agricultural settings whenever the (male) proxy respondent is actively engaged or more familiar with the girls' activities and work schedule.<sup>22</sup>

If the gender of the child and proxy respondent matters for child labor measurement, what does this suggest from a policy standpoint? One implication of our findings is that the large underreporting of girls' work by the male head of household acting as proxy respondent, relative to the child' report, may decline if the spouse of the male head of household provides

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<sup>22</sup> One may also wonder whether the head of household do not have information on children's activities due to temporarily migration. This is not the case in our sample of smallholder farmers since migration rate is very small (1 percent).

information on girls' activities as well. Thus, to further understand gender differences in child labor reports, we elicit information about farm labor participation for each child from two proxies, the male household head and the spouse within the control group households (proxy-information). Due to budgetary constraints, this additional information was collected during the harvest season survey only, and thus, the comparison of the survey design treatment effects emerging from these two different proxy respondents are carried out for that particular agricultural season. Upper and lower panels in Table 7 show the point estimates at the extensive and intensive margins. Results show that the child/proxy reporting gaps in child labor statistics significantly decreases when the spouse of the male household head provides information on girl's work at the household farm: the statistically significant child/proxy report gap of 6.5 percentage points for girls when the proxy is the male head of household declines by more than half and loses statistical significance<sup>23</sup>. Our findings do not suggest, however, that the proxy informant should be the spouse only. As the lower panel of Table 7 shows, even though there are not statistically significant differences in participation rates for boys regardless of the type of proxy respondent, the divergence in reported hours of work for boys increases when the spouse reports this information for boys. This is not surprising given the stratification of work by gender in rural Ethiopia. Overall, our results suggest that having the spouse providing information on girls' activities could help alleviate the problem of missing work for girls in agricultural settings.<sup>24</sup>

## 5.2. The Role of Fairtrade Standards

There is no social stigma associated with child labor in Ethiopia, as is the case in all eastern Africa (see Bass 2004). Yet, by focusing on smallholder farmers that are active members of Fairtrade cooperatives, it is possible that our estimates might reflect a set of specific labor standards that affect behavior or incentives when reporting child work. For instance, Fairtrade does not ban children's engagement in the household farm but instead regulates it by allowing children to work in family farms as long it is outside school hours and free of risky activities. In this section, we investigate differential impacts of self- and proxy-based reports of child labor due to variations in farmers' knowledge of Fairtrade standards and the degree and scope of informal relational contracts between farmers and Fairtrade cooperatives. We acknowledge that these Fairtrade variables can be correlated with the error term in the estimation framework, and

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<sup>23</sup> One additional benefit of having two proxy measures of child labor status for every child in the proxy control subsample is that one can implement an ex-post survey random assignment that randomly selects one report for each child, either from the male proxy subsample or from the female proxy subsample. This strategy provides an opportunity to compare the child report against the male proxy report and the female proxy after randomly generating the male and female proxy groups. By repeating this ex-post random assignment 1000 times, one can estimate child/proxy treatment effects with the added value of randomly assigning the gender of the proxy respondent. These unreported set of estimates are quite similar the results shown in Table 7.

<sup>24</sup> One alternative channel we assess is the impact of survey fatigue on the differences in child labor reports by randomly manipulating the order of an additional time discounting module in the survey design questionnaire. One subset of the sample is randomly assigned to answer the time discounting module early in the main rainy season survey and right after the labor module is applied, while the remaining subsample answer the same time discounting module at the end of the household survey which contains more than 12 different modules. The survey fatigue random assignment is orthogonal to the type of respondent survey design as an independent, cross-randomization strategy is used. Results shows negligible and statistical not significant impacts of survey fatigue in our sample.

therefore, interpretation should proceed with caution. As in the previous section, we only include male head of households acting as proxies in the sample.

We first assess whether proxy respondent knowledge about Fairtrade regulations and standards has a differential impact on child labor reports. We gathered information about Fair Trade standards related to labor, environmental regulations and Fairtrade pricing and computed a knowledge index using the first factor of principal component analysis. The higher the value of the index, the higher the overall knowledge of Fairtrade standards and regulations<sup>25</sup>. The index is standardized by the mean and standard deviation of the control group for easiness of interpretation.

Table 8 shows the differential impacts after interacting the respondent treatment variable with the Fairtrade knowledge index. If the male head of household answers the child labor module strategically, one would expect they would be more prone to underreport their children's work activities, and therefore, we would see positive and significant interaction treatment coefficients. The first two columns show that the child/proxy discrepancy in child labor reports is statistically significant for girls while the differential treatment effects related to Fairtrade knowledge of regulations and standards are small and not statistically significant.

Still, it is possible that Fairtrade farmers have limited knowledge about what Fairtrade entails even though they belong to Fairtrade certified cooperatives (Valkila and Nygren 2010), or that their self-reported knowledge of standards may be affected by recall error. Thus, we also assess the extent of effective 'relational contracts' between Fairtrade cooperatives and smallholder farmers as a source of variation in child labor reporting. The term 'relational contracts' refers to the degree and scope of effective trade links pre and post-harvest between cooperatives and their smallholder associates in the absence of contractual enforcement (MacLeod 2007, Macchiavello and Morjaria 2015). In our sample, for instance, the share of coffee that each farmer associate sells on average to Fairtrade cooperatives is 57 percent, only 6 percent of farmers are part of cooperative boards, while 37 percent of farmers did not exercise their right to vote in the last cooperative democratic election. Indeed, the extent of relational contracts is relevant as not every associate farmer benefits equally from their association to Fairtrade cooperatives (De Janvry et al 2015).

Columns 3 and 4 of Table 8 report estimated coefficients after including a term interacting treatment status and a (normalized) relational contract index<sup>26</sup>. By looking at the point estimates, we still observe positive statistically significant differences in the reports for girls. The reported

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<sup>25</sup> Questions about Fair Trade knowledge were asked in the first survey round (June – July 2015). Households with response of "don't know" were treated as missing observations.

<sup>26</sup> Specifically, we use in the computation of the relational contract index the following variables: share of red-cherry coffee sold to Fairtrade cooperatives (in kilos), membership to cooperative board, having voted in last cooperative election, attendance at cooperative meetings, walking distance (in minutes) from home to the closest cooperative collection center and to cooperative administrative center. For ease of interpretation, we normalized this relational contract index by using the mean and standard deviation of the control group.

point estimate for the interaction term is small and only statistically significant at the 10 percent level.

Overall, these findings suggest that knowledge of Fairtrade standards and the degree and scope of effective commercial links between farmers and Fairtrade cooperatives do not have a systematic differential effect on the proxy reporting of child labor status relative to the child's own report.<sup>27</sup>

## 6. Conclusions

This study addresses the measurement of child labor in agricultural settings with particular attention to seasonality, respondent type, and gender-based information gaps. To account for seasonality effects, we implemented three similar survey design experiments among Fairtrade coffee-growing households in Ethiopia in different agricultural seasons. The survey design experiments randomly assign each of 1200 households in our sample to one of two groups: the child (treatment) and the proxy (control) reporting groups. Regardless of the gender and type of respondent, this study uncovers substantial variation in farm child labor across three agricultural seasons with rates of participation ranging from 45 to 76 percent in the rainy and harvest season. Regression analysis also shows important seasonality effect, which means that studies of child labor in agricultural settings should explicitly acknowledge and discuss the seasonality of their results for a better understanding of its determinants and conditions, and for the design of social protection programs and policy. Moreover, interventions in areas that are strongly affected by the seasonality of productive activities and income, should make efforts to incorporate this contextual reality in their design, implementation and in the analysis of results.

This study also uncovers statistically significant child/proxy reporting gaps in child labor statistics. Relative to the child's own report, underreporting by the head of household is particularly salient for girls but not for boys. The survey design treatment effects are mainly explained by the gender mismatch between the child (girl) and the proxy (male) respondent. In fact, no significant impacts are observed for boys when the proxy respondent is male. This result suggests that the systematic underestimation of women's work in agricultural settings, commonly reported in the literature, also permeates the measurement of child labor statistics due to the gender stratification of work and social lives in East Africa that exacerbates asymmetries of information. Indeed, girls' work is seen as an extension of, and subordinate to, women's work.

Two additional pieces of evidence uncovered in this study also point in that direction. Firstly, the child/proxy measurement gaps are observed in the subsample of households with mixed gender composition of the children, while no statistically significant gaps are reported across all agricultural seasons in the subsample of households with homogenous offspring gender composition. Secondly, the magnitude of child labor underreporting by the proxy respondent,

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<sup>27</sup> We were also interested in assessing differential effects by age and education of the head of household, wealth, and coffee production for girls. As Table A3 in the appendix shows, the marginal effects are not statistically significant.

relative to the child's report, decreases substantially when the proxy respondent is actively engaged in the allocation of the child's time schedule in farm work.

Since the sample of farmers in this study belongs to Fairtrade coffee cooperatives, a plausible competing hypothesis is that knowledge of Fairtrade standards by smallholder associates, or the presence of effective relational links between farmers and cooperatives, could lead to strategic behavior by proxy respondents when answering the survey questions. After all, though Fairtrade does not ban child labor, it does aim to regulate it. We provide evidence against these competing hypotheses.

From a policy standpoint, and taking together all of these results, the main challenge is the underreporting of the work of girls in the agricultural sector by male household heads who typically answer household surveys in developing settings. We propose eliciting this information from their spouses. We implemented this recommendation in the last round of survey and results show that the child/proxy information gaps on girls' child labor status show a three-fold reduction and loses statistical significance. This study calls for an expanded role for female proxy respondents in the application of survey questions related to girls' outcomes in areas that are intrinsically affected by gender segmentation in work and social lives.



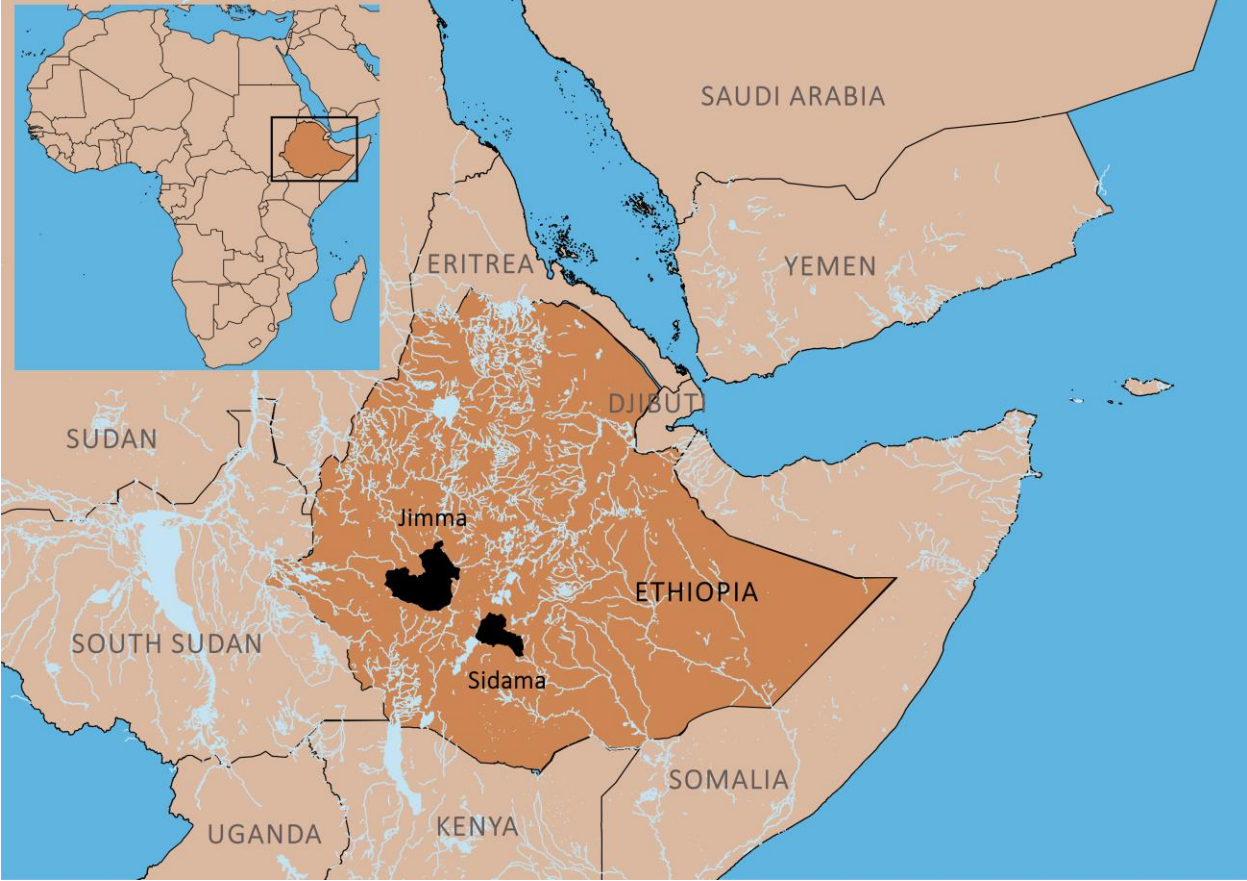
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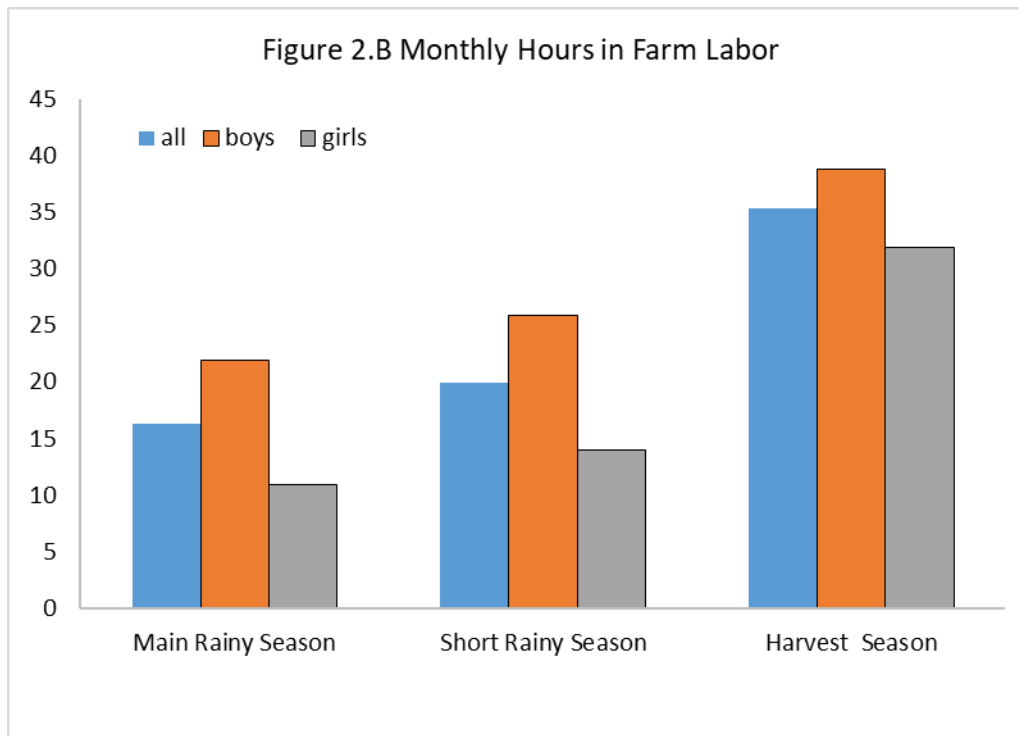
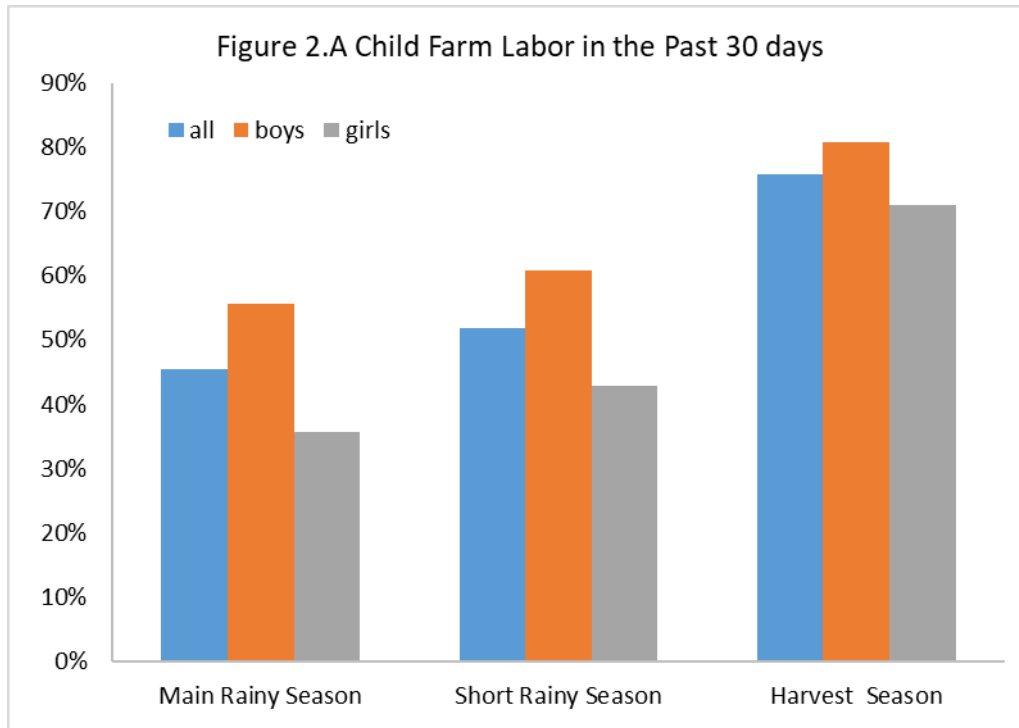
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Figure 1: Areas of Intervention



**Figure 2: Seasonality of Child Labor in Rural Ethiopia**



**Table 1: Balancing Test for Experimental Survey Assignment (July/August 2015 – Main Rainy Season)**

	Full Sample				Households w/ children aged 6-14	
	Self-reported	Proxy-reported	Difference	p-value	Difference	p-value
<b>Panel A: Household socio-demographics</b>						
Household size	5.61	5.59	0.02	0.90	-0.03	0.81
Children aged 6-14	1.60	1.57	0.04	0.65	0.05	0.47
Christian (%)	0.57	0.55	0.02	0.57	0.01	0.81
Muslim (%)	0.43	0.44	-0.01	0.66	-0.01	0.88
Main source for lighting is electricity/generator (%)	0.23	0.22	0.01	0.63	0.03	0.33
Mud floor (%)	0.71	0.71	0.00	0.92	0.01	0.70
Pit latrine not ventilated (%)	0.81	0.77	0.03	0.20	0.07	0.02
Owns a mobile phone (%)	0.67	0.65	0.02	0.51	0.03	0.37
Last month total income (Birr)	880.13	819.83	60.30	0.61	114.04	0.44
Yearly average monthly income (Birr)	1266.41	1258.54	7.87	0.93	-8.95	0.94
Walking distance from house to closest prim school (min)	22.39	21.74	0.65	0.47	0.80	0.44
Walking distance from house to closest sec school (min)	70.17	72.02	-1.84	0.41	0.61	0.81
<b>Panel B: Head of Household</b>						
Gender (% Male)	0.89	0.87	0.02	0.24	0.01	0.53
Age	50.22	49.65	0.57	0.53	0.41	0.65
Years of schooling	3.92	3.82	0.11	0.63	0.09	0.73
Married	0.86	0.84	0.02	0.44	0.01	0.59
<b>Panel C: Children aged 6-14</b>						
Gender (% Male)					0.01	0.69
Age					0.14	0.25
Years of schooling					0.05	0.65
Currently attending school					-0.01	0.44
Illness/injury in the past 12 months					0.00	0.99

**Note:** Sample means computed from the first survey design experiment carried out in July 2015. P-values refer to the null hypothesis of equality of means between self-reporting and proxy-reporting measures

**Table 1: Balancing Test for Experimental Survey Assignment (July/August 2015 – Main Rainy Season) (continued)**

	Full Sample				Households w/ children aged 6-14	
	Self- reported	Proxy- reported	Difference	p-value	Difference	p-value
<b>Panel D: Household Agricultural Output</b>						
Land size (hectare)	1.11	1.06	0.05	0.49	0.04	0.63
Coffee cultivated % total area	0.58	0.58	0.00	1.00	0.00	0.79
Share of red cherry sold to Fairtrade Coop (%)	94.47	94.55	-0.08	0.94	0.03	0.98
Total sales of cherry coffee to Fairtrade Coop (kg)	428.82	433.96	-5.14	0.88	-22.06	0.53
Total sales of dried coffee to Fairtrade Coop (kg)	182.68	171.72	10.96	0.66	4.52	0.88
Yield of coffee per hectare	3323.31	3273.56	49.75	0.83	100.09	0.72
Selling price of cherry coffee (Birr per kg)	9.53	9.50	0.03	0.93	0.04	0.92
Selling price of dried coffee (Birr per kg)	23.72	23.56	0.16	0.73	-0.04	0.94
N Households	405	792				
N Children aged 6-14	640	1240				

**Note:** Sample means computed from the first survey design experiment carried out in July 2015. P-values refer to the null hypothesis of equality of means between self-reporting and proxy-reporting measures.

**Table 2: Survey Design Average Treatment Effects**

	Participation in Household Farm Activities over the Past Month				Hours Spent on Household Farm Activities (Tobit) over the Past Month			
	Boys		Girls		Boys		Girls	
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
Treatment (1 = self-reported)	0.005 (0.018)	0.028 (0.033)	0.076*** (0.019)	0.102*** (0.032)	1.183 (1.192)	2.320 (2.265)	2.706*** (0.907)	4.498*** (1.690)
Short Raining Season	0.039* (0.021)	0.052** (0.027)	0.062*** (0.021)	0.081*** (0.026)	3.035** (1.463)	4.429** (1.833)	3.459*** (1.134)	4.786*** (1.449)
Harvest Season	0.245*** (0.020)	0.256*** (0.025)	0.345*** (0.021)	0.352*** (0.026)	16.847*** (1.328)	16.717*** (1.662)	19.38*** (1.048)	19.953*** (1.343)
Treatment* Short Raining Season		-0.036 (0.045)		-0.057 (0.045)		-3.936 (3.059)		-3.778* (2.318)
Treatment* Harvest Season		-0.032 (0.042)		-0.020 (0.045)		0.433 (2.917)		-1.559 (2.184)
<b>P-values for tests of equality of coefficients</b>								
Short Raining Season = Harvest Season	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
T*Short Raining Season = T*Harvest Season		0.925		0.420		0.113		0.296
N	2,592	2,592	2,677	2,677	2,591	2,591	2,676	2,676

**Notes:** Robust standard errors in parenthesis. Control covariates include child age and gender, gender of head of household, dummy variables for schooling of the head of household, household size, and dummy variables for quartiles of household wealth. Cooperative and season fixed effects are included. Main rainy season is the omitted category. Household wealth index is estimated by the first component of Principal Component Analysis which includes information from 13 variables related to house infrastructure (own house, per capita number of rooms, source of drinking water, type of sewage, type of floor, type of roof, electricity), and household assets (radio, TV, oven, bicycle, motorcycle, telephone). \*p<0.1, \*\*p<0.05, \*\*\*p<0.01



**Table 3: Survey Design Average Treatment Effects by Age of Children**

	6- 9 years old				10- 14 years old			
	Participation in Household Farm Activities over the Past Month		Hours Spent on Household Farm Activities (Tobit) over the Past Month		Participation in Household Farm Activities over the Past Month		Hours Spent on Household Farm Activities (Tobit) over the Past Month	
	Boys	Girls	Boys	Girls	Boys	Girls	Boys	Girls
Treatment (1 = self-reported)	0.053 (0.057)	0.104** (0.046)	1.256 (3.152)	4.224* (2.225)	0.017 (0.041)	0.105*** (0.044)	3.614 (3.194)	4.759** (2.441)
Short Raining Season	-0.018 (0.043)	0.045 (0.037)	0.025 (2.706)	3.816* (2.014)	0.105*** (0.034)	0.104*** (0.037)	7.643*** (2.476)	5.198*** (2.031)
Harvest Season	0.308*** (0.043)	0.353*** (0.039)	15.587*** (2.258)	16.111*** (1.777)	0.219*** (0.031)	0.349*** (0.035)	16.877*** (2.305)	22.631*** (1.938)
T* Short Raining Season	-0.017 (0.075)	-0.075 (0.065)	2.004 (4.544)	-3.449 (3.240)	-0.055 (0.056)	-0.051 (0.062)	-8.870** (4.116)	-4.358 (3.233)
T* Harvest Season	-0.118 (0.076)	0.001 (0.070)	-2.645 (3.977)	-1.255 (2.831)	0.028 (0.049)	-0.040 (0.058)	3.316 (4.100)	-1.941 (3.177)
<b>P-values for tests of equality of coefficients</b>								
Short Raining Season = Harvest Season	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
T*Short Raining Season = T*Harvest Season	0.153	0.276	0.258	0.459	0.076	0.846	0.001	0.413
N	1,068	1,118	1,067	1,118	1,524	1,559	1,524	1,558

**Notes:** Robust standard errors in parenthesis. Control covariates are listed in Table 2.

\*p<0.1, \*\*p<0.05, \*\*\*p<0.01

**Table 4: Survey Design Average Treatment Effects, Household Chores and School Participation**

	Participation in Household Chores		Weekly Hours Spent on Household Chores		School Enrollment	
	Boys	Girls	Boys	Girls	Boys	Girls
Treatment (1 = self-reported)	-0.018 (0.022)	-0.028 (0.019)	0.006 (0.559)	0.226 (0.672)	0.032 (0.021)	-0.007 (0.020)
Harvest Season	-0.021 (0.019)	0.016 (0.013)	-0.406 (0.454)	0.461 (0.494)	0.043*** (0.018)	0.010 (0.016)
T* Harvest Season	0.030 (0.031)	0.032 (0.024)	-0.194 (0.767)	-0.781 (0.902)	-0.035 (0.029)	0.040 (0.026)
N	1,719	1,770	1,711	1,767	1,713	1,759

**Notes:** Robust standard errors in parenthesis. Control covariates are listed in Table 2. We collected information on household chores and schooling from both the proxy and the child in the second and third surveys. Short raining season is the omitted category.

\*p<0.1, \*\*p<0.05, \*\*\*p<0.01

**Table 5: Children Gender Composition and Survey Design Treatment Effects in Male-Headed Households (Extensive Margin)**

	Households with Mixed Gender Composition of Children		Households with the Same Gender Composition of Children		
	All	Boys	Girls	Only Boys Household	Only Girls Household
Treatment (1 = self-reported)		0.054 (0.045)	0.110*** (0.043)	-0.081 (0.054)	0.084 (0.056)
Short Raining Season		0.105*** (0.036)	0.099*** (0.036)	-0.020 (0.045)	0.078* (0.041)
Harvest Season		0.295*** (0.034)	0.333*** (0.035)	0.177*** (0.045)	0.403*** (0.043)
T* Short Raining Season		-0.097 (0.062)	-0.101* (0.060)	0.101 (0.074)	-0.007 (0.079)
T* Harvest Season		-0.069 (0.057)	0.025 (0.058)	0.104 (0.071)	-0.130 (0.079)
Short Raining Season = Harvest Season		0.000	0.000	0.000	0.000
T*Short Raining Season = T*Harvest Season		0.608	0.030	0.960	0.125
T(Boys, mixed) = T(Boys, same)				0.0532	
T(Girls, mixed) = T(Girls, same)				0.7031	
N		1,448	1,517	904	932

**Notes:** Robust standard errors in parenthesis. Control covariates are listed in Table 2. Proxies are composed by male heads of households. \*p<0.1, \*\*p<0.05, \*\*\*p<0.01

**Table 6: Differential Treatment Effects by Male Head of Household Decision-Making Power (July/August 2015-Main Rainy Season)**

	Decision on...	Participation in Household Farm Activities over the Past Month	Participation in Household Chores over the Past Month
<b>Boys</b>			
	Treatment (1 = self-reported)	-0.011 (0.038)	0.006 (0.037)
	Male head of household is the main decision maker	-0.006 (0.061)	0.080 (0.077)
	T* Male head of household is the main decision maker	0.026 (0.101)	-0.144 (0.117)
<b>Girls</b>			
	Treatment (1 = self-reported)	0.132*** (0.037)	0.116*** (0.036)
	Male head of household is the main decision maker	-0.042 (0.058)	-0.039 (0.075)
	T* Male head of household is the main decision maker	-0.199** (0.094)	-0.098 (0.113)
	N Boys	787	787
	N Girls	832	832

**Notes:** Robust standard errors in parenthesis. Control covariates are listed in Table 2. Proxies are composed by male heads of households. Decision-making represents whether the male head of household is the only decision maker or strongly influence the decision process about child labor and household chores, separately.

\*p<0.1, \*\*p<0.05, \*\*\*p<0.01

**Table 7: Survey Design Treatment Effects for Both Male Head of Household and Spouse (Dec 2016 / Jan 2017 - Harvest Season)**

	Proxy is		p-value
	Male Head of Household	Spouse	$(\hat{\beta}_{head} = \hat{\beta}_{spouse})$
<b>Panel A: Participation in Household Farm Activities</b>			
Boys	-0.013 (0.028)	-0.003 (0.028)	0.350
Girls	0.065*** (0.031)	0.021 (0.031)	0.001
<b>Panel B: Monthly Hours Spent on Household Farm Activities (Tobit)</b>			
Boys	2.629 (2.140)	4.370*** (2.173)	0.050
Girls	3.207 (1.977)	2.228 (1.936)	0.216

**Notes:** Robust standard errors in parenthesis. Control covariates are listed in Table 2. Spouse covariates include age and years of education Proxies are composed by male heads of households. \*p<0.1, \*\*p<0.05, \*\*\*p<0.01

**Table 8: Survey Design Treatment Effects and Fair Trade**

	FT= Fairtrade Knowledge Standardized Index		FT= Relational Contract Standardized Index	
	Boys	Girls	Boys	Girls
Treatment (1 = self-reported)	-0.011 (0.019)	0.071*** (0.020)	-0.017 (0.019)	0.055*** (0.020)
Fair Trade Index (FT)	-0.009 (0.006)	-0.001 (0.006)	-0.007 (0.013)	-0.007 (0.014)
T*Fair Trade Index	0.008 (0.010)	0.004 (0.010)	0.037 (0.022)	0.040* (0.023)
Short Raining Season	0.050** (0.023)	0.067*** (0.022)	0.051** (0.023)	0.065*** (0.023)
Harvest Season	0.249*** (0.022)	0.349*** (0.022)	0.249*** (0.022)	0.343*** (0.023)
N	2,352	2,449	2,287	2,357

**Notes:** Robust standard errors in parenthesis. Control covariates are listed in Table 2. Proxies are composed by male heads of households. Fairtrade knowledge index is measured using a principal component analysis model that includes seven standardized variables: head of household knowledge on FT price-setting, FT certification, FT standards on child labor, gender equality, environmental practices and democratic participation on Cooperative practices. Fairtrade relational index is measured principal component analysis model that includes six variables related to the share of total coffee production sold to FT Coops, walking distances from the house to FT coop collection and administrative centers, whether head of household is part of FT Coop Board, whether head of the household vote in last FT Coop elections, whether head of household made participated in FT Coop meetings in the past 12 months. Estimation includes the same set of control covariates as reported in main Table 2.

\*p<0.1, \*\*p<0.05, \*\*\*p<0.01

**Table A1: Number of Observations in Each Survey Design Experiment**

	<b>Main Rainy Season (July - August 2015)</b>	<b>Short Raining Season (April - May 2016)</b>	<b>Harvest Season (Dec 2016 - Jan 2017)</b>
Number of Households	1198	1197	1188
Number of Households with Children 6-14	909	891	874
Self-Reported	307	305	298
Proxy-Respondent	602	586	576
Head of Household Proxy	583	568	561
Number of Children 6-14	1890	1829	1793
Out of main rainy season	-----	20	26
Out of short rainy season	40	-----	87
Out of harvest season	24	36	-----
# children 6- 14 with complete information	1850	1813	1765
# boys 6- 14 with complete information	911	893	875
# girls 6- 14 with complete information	939	920	890
# children aged 6-9 with complete information	767	753	735
# children aged 10-14 with complete information	1083	1060	1030

**Table A2: Survey Design Average Treatment Effects – Male Head of Households as Proxies**

	Participation in Household Farm Activities over the Past Month		Hours Spent on Household Farm Activities (Tobit) over the Past Month	
	Boys	Girls	Boys	Girls
Treatment (1 = self-reported)	0.002 (0.035)	0.106*** (0.034)	0.657 (2.386)	3.904** (1.732)
Short Raining Season	0.057*** (0.028)	0.090*** (0.027)	4.717** (1.886)	5.416*** (1.518)
Harvest Season	0.251*** (0.027)	0.359*** (0.027)	15.644*** (1.720)	19.720*** (1.393)
T* Short Raining Season	-0.020 (0.047)	-0.067 (0.048)	-2.748 (3.194)	-3.589 (2.406)
T* Harvest Season	-0.003 (0.045)	-0.031 (0.047)	1.975 (3.030)	-0.715 (2.250)
<b>P-values for tests of equality of coefficients</b>				
Short Raining Season = Harvest Season	0.000	0.000	0.000	0.000
T*Short Raining Season = T*Harvest Season	0.695	0.441	0.092	0.191
N	2,352	2,449	2,352	2,448

**Notes:** Robust standard errors in parenthesis. Control covariates are listed in Table 2. Proxies are composed by male heads of households. \*p<0.1, \*\*p<0.05, \*\*\*p<0.01



**Table A3: Heterogeneous Survey Design Treatment Effects for Girls**

Participation in Household Farm Activities over the Past Month					
	(1)	(2)	(3)	(4)	(5)
Treatment	0.074***	0.058*	0.074***	0.056**	0.076***
(1 = self-reported)	(0.020)	(0.035)	(0.020)	(0.028)	(0.019)
HH Years of education		-0.001			
		(0.011)			
T*HH education		0.004			
		(0.006)			
Standardized Wealth Index			-0.097***		
			(0.029)		
T*Wealth Index			-0.008		
			(0.019)		
Total area				-0.009	
				(0.017)	
T*total area				0.013	
				(0.017)	
Standardized Coffee production					0.024**
					(0.011)
T*Coffee					-0.022*
					(0.012)
N	2,449	2,363	2,449	2,434	2,449

**Notes:** Robust standard errors in parenthesis. Control covariates included are listed in Table 2. Proxies are composed by male heads of households.

\*p<0.1, \*\*p<0.05, \*\*\*p<0.01