



The Coal
Authority

Application for a surface coal mining operating licence

The complete application form (either as a pdf or hard copy) should be sent to us at licensing&permissions@coal.gov.uk or

Licensing Team
The Coal Authority
200 Lichfield Lane
Mansfield
Nottinghamshire
NG18 4RG

Please complete the following information. If there is insufficient space in a relevant box please indicate and append the additional details.

1. Applicant

Name of organisation/company	
Name of primary contact	
Address	
Email	
Contact number	
Company number (if appropriate)	

Consultant for the purpose of managing the application *(if any)*

Name of organisation/company	
Name of primary contact	
Address	
Email	
Contact number	
Company number (if appropriate)	

Legal representative (if any)

Name of organisation/company	
Name of primary contact	
Address	
Email	
Contact number	
Company number (if appropriate)	

2. General information

Name given to the proposed Licence applied for		
National grid reference of the centre of the application area		
The application area in hectares (<i>Max. area = 10,000 ha</i>)		
The relevant Planning Authority		
Type of Application	New Operating Licence	
	De-conditionalise existing Conditional Licence	
	Variation to an existing Operating Licence	
The estimated recoverable reserves for the project		

The anticipated annual rate of extraction	
The proposed date of commencement of operations	
The proposed term requested for the Licence	

3. Corporate structure

Where the applicant is not an existing licence holder please give the following information:

<i>Where the applicant is a corporate body</i>
The corporate structure of the applicant including details and company numbers of holding and subsidiary companies. An explanatory diagram can be appended, if appropriate.
Details of any other directorships, offices, or employment of the directors of the applicant including whether they are directors, officers or employees of other companies with direct or indirect interests in coal mining.
<i>Where the applicant is not a corporate body (for example individual or partnership)</i>
Details of any other directorships, offices, employments or similar interests (either current or intended after the grant of a licence), including whether they are directors, officers or employees of other companies with direct or indirect interests in coal mining.

4 Technical information

Please provide the following (appended to the application where necessary):

		Appended
An Ordnance Survey based plan showing the features described to the right (<i>on a scale suitable for accurately delineating those features</i>)	The licence application area defined by a red line (<i>this is normally the limit of excavation</i>)	
	The site boundary defined by a coloured line (<i>other than red</i>)	
	The location of the proposed site offices and the means of access	
	Current surface and major sub-surface structures and features within the site and up to 250 metres outside the licence application area (<i>sub surface features such as trunk sewers; water and gas mains to be shown but not domestic services</i>)	
A generalised vertical section detailing and representative of the seams and strata relevant to the application area including seams below the basal seam of the proposed operation		

A brief summary of the geology of the application area including the sequence of coal seams to be worked, the geological structure, dips, faults and so on
Details of any other minerals to be extracted or disturbed in the course of coal-mining operations
Known details of the quality and intended market of the coal seam(s) to be worked

		Appended
	The proposed limit of excavation	

A geological plan (or plans) showing the features described to the right <i>(on a scale suitable for accurately delineating those features)</i>	The outcrop position of the seams to be worked; geological structure; conjectured basal seam(s) contours and working depths	
	The location of any known abandoned mine outlets and abandoned underground or surface mining workings within the site and up to 250 metres outside its boundary	
	Any old workings (with abandonment references if possible) which are (or could be) connected to other old workings close to the surface which could allow the emission of gases or water at the surface and which could be affected by the working of the application seams	
	The location of all boreholes and trial pits	
Representative geological cross sections through the site showing the seams, geological structure, final seam limits, limit of excavation and site boundary		
A plan indicating the outline of method and proposed phasing of operations for the duration of the project		
The summary and conclusions from the geotechnical assessment prepared for the proposed operation under the provisions of the Quarry Regulations 1999		

An assessment of any interaction effects with other coal mining operations likely to be caused by the proposed operations

5. Other necessary rights, permissions or consents obtained

4.1 Please supply the following information (appended to the application where necessary):

	Appended
Details of the access rights obtained in relation to the proposed operations. Such access rights include the mine surface and other mineral rights if required and should include a certified Ordnance	

		Appended
Survey based plan, on a scale suitable for accurately delineating the features, showing the area of the rights obtained.		
A copy of (or the relevant electronic link to) the planning consent for the proposed operation including the certified consent plan.		
Link		

6. Financial information

6.1 Please provide the following (appended to the application where necessary):

		Appended
A projected statement of year by year income and expenditure for the mining project (or the first five years if the project has a longer expected life). This statement should be accompanied by any key assumptions on annual production and expected selling price; upfront capital expenditure and operating costs.		
Details of how the applicant is proposing to finance the project including the initial stages before revenue from coal sales is generated. This evidence can include current bank statements if working capital is to be utilised; letters of confirmation from the bank or other fund providers or formal director's guarantees.		

Depending on the details provided, we may require further clarification or confirmation of individual elements of the applicant's projections.

6.2 Where the applicant is not an existing licence holder please provide (copies appended to the application or the relevant electronic link):

		Appended
The most recent 3 years' audited accounts of the applicant, where available. Where they are not available alternative supporting documentation must be provided.		
Link		
Any consolidated accounts for the most recent 3 years of which the applicant's accounts form part.		
Link		

The most recent 3 years' accounts of any ultimate holding company if not part of such consolidated accounts.	
Link	
Any Interim Statements subsequently published (including any Notes, the Chairman's Statement and Report of the Directors), in respect of the applicant and any ultimate holding company.	
Link	

The information provided under 6.1 and 6.2 above will be treated as confidential

7. Security

7.1 We take security against any liabilities that could fall on the Authority through non-compliance by the operator of the terms of the Licence and associated Lease. To assist us in making an assessment to agree the level of security with the applicant please provide:

<p>The estimated cost of subsidence damage during the proposed surface mining operations. applicants should have particular regard to:</p> <ul style="list-style-type: none"> • the potential for excavation instability within or outside the site boundary affecting land, structures or major services • the effects of changing the hydrogeological regime both within and outside the site boundary • the effect of the surface mining operations on old underground workings in the area
<p>Proposals for the amount of security for lease liabilities including such items as 3 months production related rent, the estimated cost of treatment of any mine outlets and the sealing of old workings exposed in the base or the walls of the excavation.</p>

Proposals for the form(s) of security to cover the amounts assessed above. Acceptable forms of security include a cash deposit with the Authority, a cash deposit in an approved external bank escrow or deposit account accessible to the Authority or a Deed of Indemnity / Guarantee Bond with an approved financial institution.

7.2 The Coal Authority will stipulate the Area of Responsibility for subsidence damage in accordance with the relevant provisions of the Surface Mining Operating Licence but in most cases this will be a minimum of the site boundary.

7.3 The level of security and the Area of Responsibility for subsidence damage will be reviewed annually.

8. Technical competence

Please give details of relevant expertise and experience, including statutory appointments, which will be available to the applicant for the proposed surface coal mining operations.

9. Surface hazards

9.1 A surface hazard in this context can be a surface collapse; flooding; landslip; the escape of gas or the effects of spontaneous combustion on or near the surface, due wholly or partly to coal mining operations, which constitutes an imminent

danger or hazard to any person. In the context of the surface mining proposals please provide:

Details of the proposed management systems to be put in place and proposed measures to be taken to deal with any surface hazards which might arise within the application area.
Details of a 24 hour, 365 day contact to whom the Coal Authority can report details of any surface hazard of which it becomes aware.

10. Financial terms for acquisition of interests or rights in coal and other minerals

- 10.1 We charge a standard rate per tonne of coal produced and for any other minerals produced that are owned by the Coal Authority. The current rates, which are subject to periodic review, are shown on our website (<https://www.gov.uk/government/organisations/the-coal-authority/about-our-services>)
- 10.2 If we revise our charges these Guidance Notes will be revised and the information will be published on our website (<https://www.gov.uk/government/organisations/the-coal-authority>).

11. Declarations

The applicant as named in Section 1 above, hereby:

- (a) submits an application to the Coal Authority as described above
- (b) certifies that the information provided is correct and not misleading
- (c) certifies that the required application fee has been paid to the Coal Authority

Print name		Date	
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