

ESF Lump Sum Digital Interventions Call MI Guidance

Background - ESF Indicator Characteristics

Annex I and II of the ESF Regulation set out the indicators where data needs to be collected for every participant (for ESF and YEI respectively). These common indicators are covered in the published guidance:

https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/832354/output_and_results_indicator_definitions.pdf

In order to assess progress with implementation and the achievement of objectives, ESF monitoring for the 2014-2020 programme period uses a number of different types of indicator, i.e.:

- financial indicators (not covered by this guidance or the above guidance);
- common output indicators – relating to operations supported and covering characteristics of entities (projects/organisations) and participants (these output indicators are gathered when the participant joins the ESF operation) – see above guidance link;
- common result indicators (participants only) - to monitor the effectiveness of the programme and covering immediate results (i.e. within 4 weeks of leaving the operation) and longer term results (gathered 6 months after the participant leaves the operation -by a follow up survey to be commissioned by the DWP's ESF Evaluation Team) – see above guidance link;
- YEI result indicators (for participants only) covering immediate results (i.e. within 4 weeks of leaving) and longer term results (gathered 6 months after leaving the operation by using a follow up survey commissioned by the DWP's ESF Evaluation Team DWP) – see above guidance link;
- Programme-specific output and result indicators – see above guidance link and operational programme;
- **COVID 19- specific output indicators that relate specifically to Priority Axis (PA) 4 – see tables below.**

COVID-19 Programme-specific indicators

Priority Axes (PA)	Investment Priorities (IP)	Output Indicators	Result Indicators
<p>PA 4 – COVID-19 Response.</p> <p>The PA is time bound, all activity and defrayal of expenditure to be completed by 31 December 2020.</p>	<p>To address the digital divide by providing access to information and services, through digital technologies to ESF participants who have a need</p>	<p>CV30 - Value of ESF actions to combat or counteract the effects of the COVID-19 pandemic (total public cost)</p> <p>CV31 - Number of participants supported in combating or counteracting the effects of the COVID-19 pandemic</p>	<p>Not Applicable</p> <p>Not Applicable</p>



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CV30 - Value of ESF actions to combat or counteract the effects of the COVID-19 pandemic (total public cost)	
Unit of measurement	Euro
Count Criteria: Who can be recorded against this indicator?	Project delivery under PA 4/IP 4.1 must end by 31 December 2020 and all expenditure relating to project delivery must be defrayed by 31 December 2020. Projects will be required to submit their claim by 05 February 2021.
Count Threshold: What is the threshold minimum requirement for recording one count of this indicator?	Only the Funding Agreement financial threshold can be claimed - subject to all the necessary conditions of the Funding Agreement being met (including the total number of participants to be supported under PA 4/IP 4.1).
Count exclusions Which people cannot be counted against this indicator?	N/A – the unit of measurement is euros.
Additional information	This financial data for this indicator will be gathered from Grant Recipients via a quarterly claim (in this case one claim for the Quarter 4 claim period) subject to all conditions of Funding Agreement being met.



Relationship to other indicators	The indicator relates specifically to expenditure channelled through PA 4/IP 4.1.
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CV31 - Number of participants supported in combating or counteracting the effects of the COVID-19 pandemic	
Unit of measurement	ESF Participants - existing participants with complete ESF participant records
Count Criteria: Who can be recorded against this indicator?	Participants who receive digital support specifically funded via bolt-on support under PA 4/IP 4.1 Participants must have a need to access digital support.
Count Threshold: What is the threshold minimum requirement for recording one count of this indicator?	A participant who receives digital support (in the form of a digital device and data dongle) where this digital support has been specifically funded via bolt-on support under PA 4/IP 4.1 and is responding to a need that the individual has in terms of accessing digital support. A local record (list) of existing participants receiving such support will be maintained at local level by the operation. Participants will already be supported under PAs 1 or 2 and should, therefore have a participant record under the appropriate PA/IP. A participant receiving loan of a device can only be counted ONCE under PA 4/IP 4.1. Participants counted under PA 4 must NOT be added to the total number of participants for the ESF programme – since this will lead to double-counting of participants. The number of participants counted under PA 4 will be a sub-set of the total number of participants who are already being counted under the regulatory core output indicators.
Count exclusions	Participants in PAs 1 & 2 who did not receive digital support funded under PA 4/IP 4.1



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Which people cannot be counted against this indicator?	
Additional information	Data for this indicator will be gathered via a new indicator field on the PDS/e-claims.
Relationship to other indicators	<p>Participants counted under PA 4/IP 4.1 should already be an ESF participant. Mainstream ESF outputs should be recorded under the relevant PA/IP/CoR.</p> <p>Operations are expected to maintain a separate local list of the existing participants who are being loaned devices and use this list to help count how many participants should be recorded under this indicator (CV31).</p>