

1 **Outcomes of COVID-19 related hospitalisation among people with HIV in the ISARIC WHO**
2 **Clinical Characterisation Protocol UK Protocol: prospective observational study**

3

4 **Authors**

5 Anna Maria Geretti*¹, Alexander J. Stockdale*¹ , Sophie H. Kelly*¹, Muge Cevik², Simon
6 Collins³, Laura Waters^{4,5}, Giovanni Villa⁶, Annemarie B Docherty^{7,8}, Ewen M Harrison⁷, Lance
7 Turtle¹, Peter JM Openshaw⁹, J Kenneth Baillie^{8,10}, Caroline A. Sabin^{11,12}§, Malcolm G
8 Semple^{1,13}§ for ISARIC4C Investigators

9

10 *Joint first authors

11 § Joint senior authors

12

13 CHASE study group**

14 **Daniel Bradshaw¹⁴, Alison Brown¹⁴, Nicky Connor¹⁴, Valerie Delpech¹⁴, Saye Khoo¹, Tamyo
15 Mbisa^{12, 14}, Chloe Orkin¹⁵, Ann Sullivan¹⁶.

16

17 ISARIC4C Investigators [Listed separately]

18

19 **Affiliations**

- 20 1. National Institute for Health Research (NIHR) Health Protection Research Unit (HPRU) in
21 Emerging and Zoonotic Infections, Institute of Infection, Veterinary and Ecological
22 Sciences, Faculty of Health and Life Sciences, University of Liverpool, Liverpool, UK
- 23 2. Division of Infection and Global Health Research, School of Medicine, University of St
24 Andrews, St Andrews, UK
- 25 3. HIV i-Base, London, UK
- 26 4. Mortimer Market Centre, Central and North West London NHS Foundation Trust, London,
27 UK
- 28 5. British HIV Association
- 29 6. Department of Global Health and Infection, Brighton and Sussex Medical School,
30 University of Sussex, Brighton, UK
- 31 7. Centre for Medical Informatics, Usher Institute, University of Edinburgh, Edinburgh, UK
- 32 8. Intensive Care Unit, Royal Infirmary Edinburgh, Edinburgh, UK

- 33 9. National Heart and Lung Institute, Imperial College London, London, UK
34 10. Roslin Institute, University of Edinburgh, Edinburgh, UK
35 11. University College London, London, UK
36 12. NIHR HPRU in Blood Borne and Sexually Transmitted Infections at UCL
37 13. Respiratory Medicine, Alder Hey Children's Hospital, Institute in The Park, University of
38 Liverpool, Alder Hey Children's Hospital, Liverpool, UK
39 14. Public Health England, London, UK
40 15. Bart's Health and Queen Mary University of London, London, UK
41 16. Chelsea and Westminster Hospital, London, UK

42

43 **Correspondence**

44 **For queries related to people with HIV:**

45 Prof Anna Maria Geretti, MD, PhD, FRCPath

46 Institute of Infection, University of Liverpool, 8 West Derby Street, Liverpool L69 7BE

47 Email: geretti@liverpool.ac.uk

48 Telephone: +44 151 795 9625

49

50 **For queries related to the ISARIC CCP-UK study**

51 Prof Malcolm G Semple, PhD, FRCPE, FRCPCH

52 Institute of Infection, University of Liverpool, 8 West Derby Street, Liverpool L69 7BE

53 Email: M.G.Semple@liverpool.ac.uk

54 Telephone: +44 795 833 5337

55

56 **Author Contributions:**

57 AMG - designed the study concept, reviewed all aspects of the data analysis and
58 interpretation, contributed to the writing of the manuscript, and performed the final review
59 of the manuscript.

60 AJS - performed the data analysis and contributed to the data interpretation and the writing
61 of the manuscript.

62 SHK - performed the initial literature search and contributed to the data analysis and
63 interpretation and the writing of the manuscript.

64 MC - contributed to conceptualisation and review of the manuscript

65 LW - contributed to conceptualisation and review of the manuscript
66 SC - contributed to conceptualisation and review of the manuscript
67 GV - contributed to data analysis and interpretation and the writing of the manuscript
68 AD - contributed to the ISARIC CCP-UK study design and data collection and reviewed the
69 manuscript
70 EMH - contributed to the ISARIC-CCP UK study design and data collection and reviewed the
71 manuscript
72 LT- contributed to the ISARIC-CCP UK study design and data collection and reviewed the
73 manuscript
74 PJMO - ISARIC CCP-UK Co-Lead investigator, sourced funding, contributed to the ISARIC CCP-
75 UK study design and data collection and reviewed the manuscript
76 JKB - ISARIC CCP-UK Consortium lead investigator, sourced funding, contributed to the ISARIC
77 CCP-UK study design and data collection and reviewed the manuscript
78 CAS - advised on all aspects of the conceptualisation and data analysis and interpretation, and
79 contributed to the writing and final review of the manuscript
80 MGS - ISARIC CCP-UK Protocol Chief Investigator and guarantor of the data, sourced
81 permissions and funding, contributed to the ISARIC CCP-UK study design and data collection
82 and reviewed the manuscript.

83 **ABSTRACT**

84 **Background.** There is conflicting evidence about how HIV infection influences COVID-19. We
85 compared the presentation characteristics and outcomes of people with and without HIV
86 hospitalised with COVID-19 at 207 centres across the United Kingdom.

87 **Methods.** We analysed data from people with laboratory confirmed or highly likely COVID-19
88 enrolled into the ISARIC CCP-UK study. The primary endpoint was day-28 mortality after
89 presentation. We used Kaplan-Meier methods and Cox regression to describe the association
90 with HIV status after adjustment for sex, ethnicity, age, indeterminate/probable hospital
91 acquisition of COVID-19 (definite hospital acquisition excluded), presentation date, and
92 presence/absence of ten comorbidities. We additionally adjusted for disease severity at
93 presentation as defined by hypoxia/oxygen therapy.

94 **Findings.** Among 47,539 patients, 115 (0.24%) had confirmed HIV-positive status and 103/115
95 (89.6%) had a record of antiretroviral therapy. At presentation, relative to the HIV-negative
96 group, HIV-positive people were younger (median 55 versus 74 years; $p < 0.001$), had a higher
97 prevalence of obesity and moderate/severe liver disease, higher lymphocyte counts and C-
98 reactive protein, and more systemic symptoms. The cumulative incidence of day-28 mortality
99 was 25.2% in the HIV-positive group versus 32.1% in the HIV-negative group ($p = 0.12$);
100 however, stratification for age revealed a higher mortality among HIV-positive people aged
101 below 60 years. The effect of HIV-positive status was confirmed in adjusted analyses (adjusted
102 hazard ratio [HR] 1.49, 95% confidence interval [CI] 0.99-2.25; $p = 0.06$). Following additional
103 adjustment for disease severity at presentation, mortality was higher in HIV-positive people
104 (adjusted HR 1.63; 95% CI 1.07-2.48; $p = 0.02$). In the HIV-positive group, mortality was more
105 common among those who were slightly older and among people with obesity and diabetes
106 with complications.

107 **Interpretation.** HIV-positive status may be associated with an increased risk of day-28
108 mortality following a COVID-19 related hospitalisation.

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110 Melinda Gates Foundation.

111 **Study registration** ISRCTN66726260

112

113 **RESEARCH IN CONTEXT**

114 **Evidence before this study**

115 We searched PubMed for articles in all languages containing the words “COVID*”,
116 “coronavirus”, “SARS CoV-2” AND “HIV”. After screening on 23rd July 2020, we found 51
117 articles reporting outcomes of COVID-19 in HIV-positive people. Of these, 2 were systematic
118 reviews, 24 were single case reports or case series of under 10 participants, and 12 were
119 larger case series or retrospective cohorts without matched controls. There were two cohort
120 studies that matched HIV-positive people diagnosed with COVID-19 to the general population
121 attending for HIV care in the same area, and three studies that matched HIV-positive people
122 diagnosed with COVID-19 to HIV-negative controls. Some of the evidence from the United
123 States and Europe to date suggests that people with HIV experience a similar disease course
124 and outcomes of COVID-19 compared to the general population. However, many of the
125 studies are limited by small sample size, lack of comparator group and lack of adjustment for
126 potential confounding. In contrast, preliminary results from a cohort study of over 20,000
127 participants in South Africa indicate that HIV-positive status more than doubles the risk of
128 COVID-19 related mortality. Currently, the evidence from the United Kingdom is limited to
129 two case series comprising a total of 21 patients.

130

131 **Added value of this study**

132 This study analysed data collected from 207 sites across the United Kingdom as part of ISARIC
133 CCP, the largest prospective cohort of patients hospitalised with COVID-19, to evaluate the
134 association between HIV-positive status and day-28 mortality. The study has the benefit of a
135 relatively large number of participants with HIV (n=115, almost all receiving antiretroviral
136 therapy) and importantly, the ability to direct compare their presenting characteristics and
137 outcomes to those of 47,424 HIV-negative controls within the same dataset. This includes the
138 ability to assess the influence of gender, ethnicity and age, as well as the effect of key
139 comorbidities including chronic cardiac, pulmonary, renal and haematological disease,
140 diabetes, obesity, chronic neurological disorder, dementia, liver disease, and malignancy.
141 Unlike some of the other evidence to date, but in line with the data from South Africa, this
142 study indicates that HIV-positive status may increase the risk of mortality with COVID-19
143 compared to the general population, with an effect that was especially evident among people
144 with HIV aged below 60 years and was independent of gender or ethnicity. Although we

145 detected an association between mortality among people with HIV and occurrence of obesity
146 and diabetes with complication, the effect of HIV-positive status persisted after adjusting for
147 comorbidities.

148

149 **Implications of all the available evidence**

150 People with HIV may be at increased risk of severe outcomes from COVID-19 compared to the
151 general population. Ongoing data collection is needed to confirm this association. Linkage of
152 hospital outcome data to the HIV history will be paramount to establishing the determinants
153 of the increased risk. COVID-19 related hospitalisation should pursue systematic recording of
154 HIV status to ensure optimal management and gathering of evidence.

155

156 **Introduction**

157 Factors associated with COVID-19 related mortality include older age and presence of chronic
158 comorbidities, particularly obesity, chronic kidney disease (CKD), chronic obstructive
159 pulmonary disease (COPD), serious cardiovascular disease, type II diabetes, and transplant-
160 related immunosuppression.¹⁻⁵ There is no conclusive evidence about the relationship with
161 HIV infection. If untreated, HIV causes progressive immunosuppression; however,
162 antiretroviral therapy (ART) restores immune function and life-expectancy.⁶ Immune
163 restoration is not always complete despite effective ART, however, and a subset of people
164 with HIV (PWH) remains at risk of persistent immune dysfunction,⁷ which might augment
165 severity of COVID-19, or conversely, possibly reduce the immune responses that can
166 complicate COVID-19.⁸ Although some antiretroviral drugs have been proposed to protect
167 against COVID-19, the evidence remains uncertain.^{9,10} Importantly, HIV might increase the risk
168 of adverse COVID-19 outcomes due to the common prevalence of co-factors such as CKD,
169 COPD, and diabetes,¹¹ alongside socioeconomic variables that may carry a negative
170 influence.¹²

171

172 Several case series and observational cohort studies have described the outcomes of COVID-
173 19 in PWH across Europe,^{9,13-19} Asia,^{18,19} and the United States.^{8,18-22} These studies have often
174 been limited by small sample size, lack of direct comparative data from people without HIV,
175 or inability to adjust for comorbidities. Some reports from Italy and New York indicated that
176 HIV did not increase the risk of COVID-19 related hospitalisation or mortality,^{4,14,21} whereas
177 two others suggested an increased risk of mortality among PWH hospitalised with COVID-
178 19.^{9,20} Preliminary data from South Africa similarly suggest that HIV-positive status more than
179 doubled the risk of COVID-19 related mortality.²³

180

181 To characterise the presenting characteristics and outcomes of COVID-19 related
182 hospitalisation in PWH relative to those without HIV in the United Kingdom (UK), we analysed
183 data collected within the International Severe Acute Respiratory and emerging Infections
184 Consortium (ISARIC) Clinical Characterisation Protocol (CCP), the largest prospective
185 observational study of patients admitted to hospital with COVID-19 worldwide.²⁴

186

187 **Methods**

188 **Study design**

189 ISARIC CCP-UK is an ongoing prospective cohort study in acute care hospitals in England,
190 Scotland, and Wales.²⁴ The protocol, case report form (CRF, version 9.2) and other study
191 materials, and details of the Independent Data and Material Access Committee are available
192 online.²⁴ The study was activated in the UK on 17th January 2020. Inclusion criteria were
193 people aged ≥ 18 years who were admitted to one of the participating acute care trusts (207
194 at the time of data extraction) with either laboratory-confirmed or highly likely (based on
195 clinical, laboratory and radiological findings) SARS CoV-2 infection. PCR-based virus detection
196 in nasopharyngeal swabs was the only test available during the study and the decision to test
197 was at the discretion of the attending clinical team, who also decided upon hospital
198 admission, transfer into critical care and use of ventilation. For the present analyses, baseline
199 was defined as the date of hospital admission or symptom onset (for those with symptom
200 onset after hospitalisation, see below). Our analyses included individuals with a baseline date
201 that was on or before 4th June 2020 for whom ≥ 14 days had elapsed until the date of data
202 extraction on 18th June 2020. Individuals without information on the date of admission or
203 with a baseline date after 4th June 2020 were excluded. Where the date of symptom onset
204 was missing, we assumed that symptoms began on the date of the SARS-COV-2 PCR test, or if
205 this was not recorded, the date of admission. Information on positive HIV status, as reported
206 to ISARIC CCP-UK, was confirmed through cross-checking with reported receipt of ART
207 (n=103), receipt of *Pneumocystis jirovecii* prophylaxis in the absence of non-HIV indications
208 (n=2), or directly with a site investigator (n=10). Individuals with missing HIV status and those
209 with unconfirmed HIV-positive status were excluded from the analyses.

210

211 **Statistical analysis**

212 Presenting characteristics were compared between HIV-positive and HIV-negative people and
213 between PWH who died and those who survived to discharge using Wilcoxon rank sum tests
214 (for continuous variables) and Pearson's chi-squared or Fisher's exact test (for categorical
215 variables). For all individuals, follow-up ended on the date of death. Patients discharged to
216 receive palliative care in the community were considered to have died three days following
217 discharge. Follow-up was right-censored at day 28 for those remaining alive as an inpatient,
218 or for those who were discharged not for palliative care prior to day 28. A data check showed

219 that the vast majority of those discharged prior to day 28 were still alive on this date. Follow-
220 up on patients transferred to another facility was censored on the date of transfer. For
221 patients who died, were transferred or discharged on the date of admission or who had no
222 further follow-up recorded beyond the first day, we recorded 0.5 days of follow-up time. The
223 primary analysis used a Kaplan-Meier approach to visually display the cumulative incidence
224 of mortality over this period, overall and in strata defined by sex and age. Cox proportional
225 hazards regression with the Efron method for ties was then used to describe the association
226 of mortality with HIV status, before and after adjustment for the following potential
227 confounders: sex, ethnicity, age (in quadratic form), indeterminate/probable hospital
228 acquisition of COVID-19 (as defined above), and ten comorbidities at admission (a series of
229 binary variables to indicate the presence or absence of each of chronic cardiac disease,
230 chronic pulmonary disease, chronic renal disease, diabetes, obesity, chronic neurological
231 disorder, dementia, liver disease [mild, moderate or severe], malignancy, and chronic
232 haematological disease). We also included adjustment for the baseline date to account for
233 changes in mortality over the period of interest. Where entries on comorbidity (presence or
234 absence) were partially missing from the study CRF, we assumed that missing data indicated
235 the absence of the specific comorbidity; however, participants with missing entries on all
236 comorbidities were excluded from these adjusted analyses. Finally, we fitted a further model
237 with additional adjustment for hypoxia at presentation, defined as oxygen saturation (SpO₂)
238 <94% on air or a record of receiving oxygen, as a marker of presenting disease severity, in
239 order to assess whether any increased/decreased risk of mortality in PWH could be explained
240 by a different stage of disease advancement at hospitalisation. A series of sensitivity analyses
241 were performed for the main mortality outcome: i) we repeated the analyses after censoring
242 follow-up on the day of discharge for those discharged before day 28; ii) we included those
243 with definite hospital-acquired COVID-19 (baseline = date of symptom onset); iii) we used
244 symptom onset date as the baseline date for all (rather than admission date where
245 applicable); iv) we excluded PWH lacking a record of ART; v) we calculated propensity scores
246 for HIV-positive status using a logistic regression model based on sex, ethnicity, age (in
247 quadratic form), indeterminate/probable hospital acquisition of COVID-19, smoking status,
248 baseline date, and ten comorbidities, and included the propensity score in a Cox regression
249 model for death at 28 days; and vi) we considered a binary endpoint of 14-day mortality and
250 performed logistic regression (with the same confounder adjustment as described above). In

251 the HIV-positive group, we used a Cox proportional hazard model to investigate the
252 associations of presenting characteristics with day-28 mortality. Analyses were conducted in
253 Stata v16.1 (Statcorp, College Station, TX, USA).

254

255 **Results**

256 **Participants**

257 ISARIC CCP-UK recorded 53,992 people with COVID-19 between 17th January 2020 and 18th
258 June 2020. After excluding non-eligible participants (Figure 1), the final analysis included
259 47,539 patients, of whom 115 (0.24%) had confirmed HIV-positive status. The characteristics
260 of patients excluded from the analysis did not differ by sex, ethnicity or age; in particular, the
261 characteristics of those excluded due to missing data on HIV status closely resembled those
262 reported to be HIV-negative (Supplementary Table 1). Among PWH, one person was
263 diagnosed with HIV during the admission and 103 (89.6%) had an ART record. The regional
264 distribution of study participants with HIV compared to the total UK population of people
265 accessing HIV care (2018 data) is shown in Supplementary Table 2.

266

267 **Characteristics at presentation**

268 The presenting characteristics according to HIV status are summarized in Tables 1-3 and
269 Figure 2. PWH were younger than HIV-negative people (medians of 55 versus 74 years,
270 $p < 0.001$) (Table 1, Figure 2). There were fewer women in the HIV-positive group but
271 significantly larger proportions of people of black ethnicity. A similar proportion had no
272 recorded comorbidities, whereas occurrence of ≥ 2 comorbidities was more prevalent in the
273 HIV-negative group. PWH had lower prevalence of chronic cardiac disease, chronic pulmonary
274 disease, chronic neurological disorders, dementia, malignancy and rheumatological disease,
275 and higher rates of obesity and moderate/severe liver disease. There were small differences
276 in the prevalence of asthma, diabetes without complications, mild liver disease, and
277 malnutrition, whereas proportions with CKD, diabetes with complications and chronic
278 haematological disease were similar in the two groups.

279

280 The duration of symptoms was longer in the HIV-positive group (medians of 5 vs. 3 days,
281 $p = 0.001$) (Table 2). PWH were more likely to present with systemic symptoms and signs,
282 including fever, headache, myalgia and tachycardia, and to have cough, sore throat and chest

283 pain. To a lesser extent, they also had more common occurrence of gastrointestinal
284 symptoms. Respiratory rate, occurrence of tachypnoea and hypoxia, and radiological
285 evidence of chest infiltrates did not show significant differences between the two groups.
286 PWH presented with lower total white blood cell and platelet count, but higher lymphocyte
287 count and C-reactive protein (CRP) (Table 3). Other laboratory parameters showed no
288 significant differences.

289

290 **COVID-19 outcomes**

291 During admission, whereas there was no significant difference in the proportion of
292 participants who received oxygen between the two groups, significantly higher proportions
293 of PWH were admitted to critical care and received non-invasive and invasive ventilation
294 (Figure 3). However, after adjustment for sex, ethnicity, age, baseline date,
295 indeterminate/probable hospital acquisition of COVID-19, and ten comorbidities, the odds of
296 admission to critical care were similar between the two groups (odds ratio [OR] 1.13; 95%
297 confidence interval [CI] 0.72-1.75; $p=0.59$) (Supplementary Table 3).

298

299 Overall, by day 28, 13,981 (29.4%) participants were known to have died, 23,642 (49.7%) had
300 been discharged alive, 3,715 (7.8%) remained in hospital, and 1,801 (3.8%) had transferred to
301 other facilities; in the remaining 4,400 (9.2%) the outcome was unknown (Supplementary
302 Table 4). In the HIV-positive group, 26 (22.6%) were known to have died compared to 13,955
303 (29.1%) of the HIV-negative group; the cumulative incidence of day-28 mortality was 25.2%
304 vs. 32.1%, respectively ($p=0.12$, log-rank test, Figure 4 A). Whilst findings were similar (in
305 unadjusted analyses) regardless of sex (Figure 4 B, C), stratification for age revealed higher
306 mortality among PWH in the two younger age groups (<50 years and 50-59 years) but not in
307 those aged 60-79 years (Figure 3 D-F).

308

309 In unadjusted models (Table 4), the cumulative hazard of day-28 mortality was 26% lower in
310 HIV-positive vs. HIV-negative people (HR 0.74, 95% CI 0.50-1.08; $p=0.12$). The hazard was
311 similar after adjustment for either sex or ethnicity; in contrast, and as expected based on the
312 stratified Kaplan-Meier analyses, adjustment for age resulted in a change in the direction of
313 the association (adjusted HR 1.39, 95% CI 0.94-2.09; $p=0.10$) (Table 4). After further
314 adjustment for sex, ethnicity, age, baseline date, indeterminate/probable hospital acquisition

315 of COVID-19 and ten co-morbidities, the risk of mortality was 49% higher in PWH (adjusted
316 HR 1.49; 95% CI 0.99-2.26; p=0.06). Following additional adjustment for disease severity at
317 presentation (based on a record of hypoxia or oxygen therapy), the risk of mortality was 63%
318 higher in PWH (adjusted HR 1.63; 95% CI 1.07- 2.48; p=0.02) (Table 4). After day 28, there
319 were no deaths recorded in the HIV-positive group whereas 586 deaths occurred in the HIV-
320 negative group.

321

322 Sensitivity analyses showed consistent results (Supplementary Table 5). In particular,
323 censoring follow-up on the day of discharge for those discharged before day 28, including
324 patients with definite hospital acquired COVID-19, using symptom onset as the start of follow-
325 up, or excluding PWH lacking an ART record did not significantly alter the model. A separate
326 logistic regression model with a binary variable of day-14 mortality showed increased odds of
327 mortality in the HIV-positive group (adjusted OR 1.77; 95% CI 1.06-2.95; p=0.03).

328

329 In the HIV-positive group, relative to patients who survived by day 28, patients who died were
330 slightly older and had a higher prevalence of obesity and diabetes with complications (Table
331 5 and Supplementary Tables 6 and 7). An ART record was more often missing among those
332 who died. No indications of other major differences were observed.

333

334 Discussion

335 *Principal findings*

336 In this study of 115 HIV-positive and 47,979 HIV-negative people, we found evidence
337 suggesting a 63% increased risk of day-28 mortality among PWH hospitalised with COVID-19
338 compared to HIV-negative individuals in the same dataset, after adjustment for sex, ethnicity,
339 age, baseline date, ten key comorbidities, and disease severity at presentation (as indicated
340 by a record of hypoxia or receiving oxygen therapy). The latter adjustment took into
341 consideration that doctors may be more likely to admit HIV-positive people with COVID-19
342 despite less severe symptoms. A striking difference in mortality was seen in the younger age
343 groups (<50 years and 50-59 years), although the number of older PWH was small.

344

345 The role of age, sex and ethnicity on COVID-19 outcomes is the focus of much research.^{1,2,25}
346 PWH in our study were significantly younger than the HIV-negative group and adjusting for

347 age changed the direction of the association between HIV status and day-28 mortality,
348 suggesting that age was a significant confounder in our analyses. Men were more prevalent
349 in the HIV-positive group, which is consistent with the epidemiology of HIV infection in the
350 UK, where men represent just over two thirds of the whole population with HIV.²⁶ People of
351 black ethnicity made up nearly 42% of the HIV-positive group, whereas men and women of
352 black African ethnicity account for ~26% of the total number of PWH in the UK.²⁶ Nonetheless,
353 adjustment for sex or ethnicity alone did not impact our relative hazard estimates.

354

355 Whilst there is a recognised interplay between HIV and comorbidities, omitting the
356 adjustment for comorbidities did not modify the association. PWH had fewer comorbidities,
357 notably lower prevalence of chronic pulmonary disease and malignancies, and this is likely to
358 be partly a function of their younger age. HIV-positive people who died were older and were
359 more likely to suffer from obesity and diabetes with complications than those who survived
360 to discharge. Similar trends have been seen in the general population.^{1,25} While these
361 observations highlight the importance of obesity and diabetes as cofactors, adjustment for
362 comorbidities in our model did not modify the association, suggesting that the apparent
363 increased risk of COVID-19 related mortality in PWH was not merely due to the presence of
364 promoting comorbidities.

365

366 *Comparison with other studies*

367 Evidence from published studies is not entirely consistent about the interplay between HIV
368 and COVID-19.^{8,9,13-23} A case-control study from New York compared 88 PWH, all of whom
369 were receiving ART, and 405 HIV-negative controls matched by age, gender, ethnicity, and
370 calendar week of infection.²¹ The study found no difference in the outcomes of COVID-19
371 related hospitalisation after adjusting for demographics, COPD, smoking, and baseline ferritin
372 and white blood cell count. Apart from the differences in the study design and geography,
373 there are fundamental differences between our study population and the New York cohort
374 described by Sigel et al.²¹ Most importantly, participants in the latter study were older, with
375 a median age of 61 years (IQR 54-67 years), whereas we found that the excess mortality
376 occurred in HIV-positive people aged below 60 years. Whereas malignancies were recorded
377 less commonly (3% vs. 10%), prevalence of obesity was nearly double in our cohort (18% vs.
378 11%). At the other spectrum, preliminary data from the Western Cape Department of Health

379 in South Africa indicate that HIV-positive status was associated with increased hazard of
380 mortality [adjusted HR 2.75].²³ Although the South African model did not account for history
381 of tuberculosis, obesity and socioeconomic status, it is of significance that HIV suppression on
382 ART made no difference to the risk.

383

384 *Strengths and limitations of this study*

385 A key strength of our study is the ability to perform a direct comparison of people with and
386 without HIV in the same dataset. Our analysis does not address risk factors for a COVID-19
387 diagnosis or a COVID-19 related hospitalisation among PWH, and cannot add to the current
388 debate about the role of certain antiretroviral agents in modulating such risks.^{9,10} In addition,
389 due to the format of data collection in ISARIC CCP-UK, our analysis cannot provide evidence
390 of the role of HIV-related parameters on outcomes of COVID-19 related hospitalisation, as we
391 did not have details of the ART history, current and nadir CD4 cell count, plasma HIV-1 RNA
392 load, and history of previous HIV-related disease. Only a subset of CRFs from participants with
393 HIV included a record of receiving ART and the records were frequently incomplete.

394

395 Numerically, HIV-positive people who died were more likely not to have a record of being on
396 ART than those who remained alive at day 28. However, it cannot be stated with certainty
397 that those lacking an ART record were untreated nor therefore that lack of ART played a role
398 in the adverse outcomes. Our experience of working with large HIV datasets is that we often
399 find that people with missing data have worse mortality outcomes, simply because mortality
400 prevents collection of a detailed treatment history. In the UK, 93% of the 103,000 people
401 estimated to have HIV infection have been diagnosed and of these the vast majority (97%)
402 receives ART and maintains excellent suppression of the infection.²⁶ Among those with a HIV
403 diagnosis, only a small subset of ~3% is either not engaged with care or experiences problems
404 with virological control despite ART.²⁶ This suggests that the likelihood of PWH in our study
405 being off ART despite an absent record was overall low.

406

407 It is currently unclear how HIV infection and associated immune dysfunction modulates
408 infection with SARS CoV-2. Whilst immunosuppression was associated with poor COVID-19
409 outcomes in a recent meta-analysis,⁵ effective ART leads to immune reconstitution with
410 improved or normalised CD4 cell counts.⁶ We found no evidence of increased lymphopenia

411 among PWH in our study. Furthermore, compared to HIV-negative people, PWH were more
412 likely to experience systemic symptoms with fever and also showed higher CRP levels. These
413 observations are likely to be reflective of the younger age of PWH in our study,²⁷ and at the
414 same time indicate preserved inflammatory responses in this group. This suggests that the
415 likelihood of PWH in our study being severely immunosuppressed was overall low.

416

417 *Conclusions and policy Implications*

418 After careful considerations and multiple adjustments for demographics, comorbidities and
419 disease severity on admission, our initial analyses of the outcomes of patients hospitalised
420 with COVID-19 in the UK show a signal towards an increased risk of day-28 mortality due to
421 HIV-positive status. The data for this study were collected during the peak of the UK COVID-
422 19 epidemic and the analysis contains a significant proportion of missing data, including a high
423 number of patients with missing HIV status, who were excluded from the analysis. As the
424 pandemic continues to spread in areas of increased HIV prevalence, our observations
425 highlight the importance of recording the HIV status of people hospitalised with COVID-19 to
426 ensure appropriate management during hospitalisation and gather further data to improve
427 our understanding of the reciprocal interactions between SARS-CoV-2 and HIV.

428

429 Despite effective ART and normalised CD4 cell counts, a subset of PWH continue to
430 experience immune activation, inflammation and a pro-coagulatory state,⁷ which may be
431 postulated to modulate the risk of COVID-19 related morbidity and mortality.^{28,29} One
432 determinant of such persistent immune dysfunction is the degree of immunosuppression
433 experienced prior to the start of ART, defined by a low nadir CD4 cell count and inverted
434 CD4:CD8 ratio. In the UK, 43% of people newly diagnosed with HIV in 2018 had a CD4 count
435 <350 cells/mm³, a threshold indicative of significant immunosuppression.²⁶ Furthermore,
436 current guidelines about starting ART immediately at the time to diagnosis were implemented
437 relatively recently, whereas in the past ART initiation was deferred until the CD4 count had
438 declined below thresholds of initially 200, then 350 and subsequently 500 cells cells/mm³.^{6,30}
439 Thus, many PWH in the UK and worldwide will have experienced years of uncontrolled HIV
440 replication prior to commencing treatment, and may have experienced earlier regimens of
441 suboptimal efficacy, with lasting effects on immune function. Planned linkage of the hospital
442 dataset with the HIV clinic records will be required to clarify the role of ART history, current

443 and nadir CD4 cell count, plasma HIV-1 RNA load and previous history of HIV-related disease
444 on the outcomes observed in this study. Meanwhile, emphasis for PWH should be placed on
445 early HIV diagnosis, prompt ART initiation, and optimised screening for and control of
446 comorbidities including obesity and diabetes.

447

448 **Table 1.** Summary of participant characteristics, stratified by HIV status

Characteristic		HIV-positive n=115		HIV-negative n=47,424		P-value
Age, median years (IQR)		55	(49, 61)	74	(60, 84)	<0.001
Age group,	<40	7/113	(6.2)	2,574/46,882	(5.5)	<0.001
n (%)	40-49	26/113	(23.0)	3,242/46,882	(6.9)	
	50-59	47/113	(41.6)	5,940/46,882	(12.7)	
	60-69	23/113	(20.4)	7,266/46,882	(15.5)	
	≥70	10/113	(8.9)	27,860/46,882	(59.4)	
Female, n (%)		39/114	(34.2)	20,280/47,258	(42.9)	0.06
Ethnicity,	White	44/106	(41.5)	35,501/42,163	(84.2)	<0.001
n (%)	Black	48/106	(45.3)	1,473/42,163	(3.5)	
	Asian	1/106	(0.9)	2,247/42,163	(5.3)	
	Other	13/106	(12.3)	2,942/42,163	(7.0)	
Smoking,	Never	62/89	(69.7)	17,380/30,348	(57.3)	0.002
n (%)	Former	16/89	(18.0)	10,632/30,348	(35.0)	
	Current	11/89	(12.4)	2,336/30,348	(7.7)	
Comorbidities, median number (IQR)		1	(0, 2)	2	(1, 3)	<0.001
Comorbidities,	None	30/115	(26.1)	9,675/46,696	(20.7)	0.002
n (%)	1	44/115	(38.3)	13,532/46,696	(29.0)	
	2	29/115	(25.2)	11,518/46,696	(25.2)	
	≥3	12/115	(10.4)	11,971/46,696	(25.6)	
Type of	Chronic cardiac disease	20/111	(18.0)	14,603/45,008	(32.5)	0.001
comorbidities,	Chronic pulmonary disease ^a	12/114	(10.5)	8,048/44,872	(17.9)	0.04
n (%)	Asthma	11/110	(10.0)	6,228/44,712	(13.9)	0.23
	Chronic renal disease	19/110	(17.3)	7,861/44,683	(17.6)	0.93
	Diabetes, no complications	16/110	(14.6)	7,776/43,818	(17.8)	0.38
	Diabetes, with complications	8/110	(7.3)	3,300/43,543	(7.6)	0.90
	Obesity	19/105	(18.1)	4,588/40,419	(11.4)	0.03
	Chronic neurological disorder	7/110	(6.4)	5,579/44,432	(12.6)	0.05
	Dementia	3/111	(2.7)	7,458/44,508	(16.8)	<0.001
	Mild liver disease	3/111	(2.7)	631/44,183	(1.4)	0.21
	Moderate/severe liver disease	6/111	(5.4)	858/43,378	(1.9)	0.008
	Malignancy	3/111	(2.7)	4,586/44,314	(10.4)	0.004
	Chronic haematological disease	4/111	(3.6)	1,923/44,266	(4.3)	1.0
	Rheumatological disease	6/111	(5.4)	4,867/44,138	(11.0)	0.06
	Malnutrition	5/105	(4.8)	1,129/41,811	(2.7)	0.21

449 ^aExcludes asthma. Abbreviations: IQR, Interquartile range.

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Table 2. Presenting symptoms and observations, stratified by HIV status

Symptoms and Observations		HIV-positive n=115		HIV-negative n=47,424		P-value
Presenting symptoms, n (%)	Fever	94/114	(82.5)	30,623/47,039	(65.1)	<0.001
	Myalgia	26/96	(27.1)	6,349/34,806	(18.2)	0.03
	Headache	18/89	(20.2)	3,660/34,762	(10.5)	0.003
	Cough	88/114	(77.2)	30,997/47,031	(65.9)	0.01
	Dyspnoea	84/114	(73.7)	32,108/46,997	(68.3)	0.22
	Chest pain	24/101	(23.8)	5,221/38,269	(13.6)	0.003
	Sore throat	13/92	(14.1)	2,803/34,265	(8.2)	0.04
	Wheeze	5/94	(5.3)	3,289/36,209	(9.1)	0.28
	Rhinorrhoea	3/89	(3.4)	831/33,533	(2.5)	0.49
	Diarrhoea	25/100	(25.0)	7,271/39,305	(18.5)	0.10
	Nausea or vomiting	23/97	(23.7)	7,641/39,527	(19.3)	0.28
	Abdominal pain	13/96	(13.5)	4,026/38,105	(10.6)	0.34
	Fatigue	40/90	(44.4)	16,098/37,169	(43.3)	0.83
	Asymptomatic	0/115	(0)	887/47,424	(1.9)	0.28
Symptom group ^a , n (%)	Systemic	103/114	(90.4)	32,239/47,073	(68.5)	<0.001
	Respiratory	100/114	(87.7)	38,697/47,112	(82.1)	0.12
	Gastrointestinal	42/103	(40.8)	13,431/41,275	(32.5)	0.08
Symptom duration, median days (IQR)		5	(1, 9)	3	(0, 7)	0.001
Symptom duration ^b , n (%)	<3 days	108/115	(93.9)	41,247/47,055	(87.7)	0.13
	3-7 days	1/115	(0.9)	1,484/47,055	(3.2)	
	8-14 days	4/115	(3.5)	1,602/47,055	(3.4)	
	>14 days	2/115	(1.7)	2,722/47,055	(5.8)	
Presenting signs	Temperature, median °C (IQR)	37.8	(37.0, 38.6)	37.3	(36.6, 38.1)	0.002
	Fever ≥37.8 °C, n (%)	59/111	(53.2)	16,432/45,414	(36.2)	<0.001
	HR, median beats/min (IQR)	97	(82, 110)	90	(78, 105)	0.002
	Tachycardia ^c , n (%)	51/112	(45.5)	15,064/45,387	(33.2)	0.006
	RR, median breaths/min (IQR)	20	(18, 27)	21	(18, 26)	0.71
	Tachypnoea ^d , n (%)	50/107	(46.7)	23,284/45,165	(51.6)	0.32
	Hypoxia ^e /on oxygen, n (%)	50/108	(46.3)	23,948/45,199	(53.0)	0.16
	Infiltrates visible on CXR, n (%)	46/70	(65.7)	19,047/30,536	(62.4)	0.57
	Systolic BP, median mmHg (IQR)	130	(117, 143)	130	(114, 147)	0.90
Diastolic BP, median mmHg (IQR)	80	(68, 88)	74	(65, 84)	0.009	

453 ^aSystemic symptoms: ≥1 of fever, myalgia or headache; Respiratory symptoms: ≥1 of cough, dyspnoea, chest pain,
454 sore throat, wheeze; Gastrointestinal symptoms: ≥1 of: Diarrhoea, nausea, vomiting or abdominal pain. ^bBased on
455 the onset of symptoms relative to the date of admission, COVID-19 acquisition was classed as community (<3 days),
456 indeterminate (3-7 days), probable hospital (8-14 days), and definite hospital (>14 days). ^cDefined as HR >100
457 beats/min. ^dDefined as RR >20 breaths/min. ^eDefined as SpO2 <94% on air; proportions with SpO2 <94% or on oxygen
458 therapy at presentation were 30/108 (27.8%) and 32/105 (30.5%) respectively in the HIV-positive group, and
459 14,463/45,123 (32.1%) and 14,203/44,093 (32.2%) in the HIV-negative group. Abbreviations: IQR, Interquartile
460 range; HR, Heart rate; RR, Respiratory rate; CXR, Chest X-ray; BP, Blood pressure.
461

462 **Table 3.** Presenting laboratory parameters, stratified by HIV status

Laboratory parameter	People with HIV n=115		HIV-negative group n=47,424		P-value
Haemoglobin, median g/L (IQR)	129	(116, 144)	129	(113, 143)	0.77
Anaemia ^a , n (%)	37/101	(36.6)	15,549/40,450	(38.8)	0.65
WBC, median count x10 ⁹ /L (IQR)	6.6	(4.9, 9.0)	7.4	(5.4, 10.4)	0.01
Lymphocytes, median count x10 ⁹ /L (IQR)	1.0	(0.8, 1.5)	0.9	(0.6, 1.3)	<0.001
Lymphopenia ^b , n (%)	47/102	(46.1)	22,991/39,719	(57.9)	0.02
Platelets, median count x10 ⁶ /L (IQR)	200	(150, 263)	217	(164, 285)	0.04
Thrombocytopenia ^c , n (%)	24/99	(24.2)	7,431/39,698	(18.7)	0.16
Prothrombin time, median sec (IQR)	13.6	(11.0, 15.0)	13.2	(11.8, 15.0)	0.71
Creatinine, median μmol/L (IQR)	89	(72, 134)	86	(67, 121)	0.21
eGFR ^d , median ml/min/1.73m ² (IQR)	76	(52, 101)	73	(48, 97)	0.30
eGFR ml/min/1.73m ² , n (%)					
≥60	67/95	(70.5)	24,758/38,782	(63.8)	0.15
30-59	18/95	(19.0)	9,774/38,782	(25.2)	
<30	10/95	(10.5)	4,250/38,782	(11.0)	
15-29	4/95	(4.2)	2,844/38,782	(7.3)	
<15	6/95	(6.3)	1,406/38,782	(3.6)	
ALT, median U/L (IQR)	27	(19, 44)	26	(17, 43)	0.28
ALT >40 U/L, n (%)	26/85	(30.6)	8,452/30,443	(27.8)	0.56
Glucose, median mmol/L (IQR)	6.7	(5.8, 9.8)	6.8	(5.8, 8.9)	0.63
Hyperglycaemia ^e , n (%)	10/52	(19.2)	2,900/19,522	(14.9)	0.38
C-reactive protein, median mg/L (IQR)	110	(51, 200)	83	(36, 157)	0.02

463 ^aDefined as haemoglobin <130 g/L in males and <115 g/L in females. ^bDefined as lymphocyte count <1.0 x10⁹/L.
464 ^cDefined as platelet count <150 x10⁶/L. ^dBased on the Modification of Diet in Renal Disease (MDRD) formula
465 where eGFR (mL/min/1.73 m²) = 175 × (Scr/88.4)^{-1.154} × (Age)^{-0.203} × (0.742 if female) × (1.212 if Black
466 ethnicity). ^eDefined as glucose >11 mmol/L. Abbreviations: IQR, Interquartile range; Abbreviations: IQR,
467 Interquartile range; WBC, White blood cells; eGFR, estimated glomerular filtration rate; ALT, alanine
468 transaminase.
469

470 **Table 4.** Cox proportional hazards model of the association between HIV status and day-28
 471 mortality
 472

HIV-positive versus HIV-negative	Hazard ratio	95% CI	P-value
Unadjusted	0.74	0.50-1.09	0.12
Adjusted for sex	0.71	0.48-1.05	0.08
Adjusted for ethnicity	0.77	0.52-1.15	0.21
Adjusted for age	1.39	0.94-2.09	0.10
Adjusted for age and sex	1.39	0.93-2.08	0.11
Adjusted for sex, ethnicity, age, baseline date, and indeterminate/probable hospital acquisition of COVID-19	1.49	0.99-2.25	0.06
Adjusted for sex, ethnicity, age, baseline date, indeterminate/probable hospital acquisition of COVID-19, and 10 comorbidities ^a	1.49	0.99-2.26	0.06
Adjusted for sex, ethnicity, age, baseline date, indeterminate/probable hospital acquisition of COVID-19, 10 comorbidities ^a , and hypoxia at presentation ^b	1.62	1.06-2.46	0.02
Adjusted for sex, ethnicity, age, baseline date, indeterminate/probable hospital acquisition of COVID-19, 10 comorbidities ^a and hypoxia/ receiving oxygen at presentation ^b	1.63	1.07- 2.48	0.02

473
 474 ^aThe model adjusted for the following comorbidities: chronic cardiac disease, chronic pulmonary
 475 disease, chronic renal disease, diabetes, obesity, chronic neurological disorder, dementia. liver disease,
 476 malignancy, and chronic haematological disease. ^bHypoxia was defined as SpO2 <94% on air; a record
 477 of hypoxia or receiving oxygen at presentation were used as an indicator of disease severity.
 478

479 **Table 5.** Characteristics of patients with HIV, stratified by outcome at day 28, selected
 480 variables^a
 481

Characteristic		Died n=26		Alive n=89		P-value
Age, median years (IQR)		58	(52, 72)	54.5	(49, 60)	0.07
Age group, n (%)	<40	1/24	(4.2)	6/89	(6.7)	0.06
	40-49	4/24	(16.7)	22/89	(24.7)	
	50-59	10/24	(41.7)	37/89	(41.6)	
	60-69	3/24	(12.5)	20/89	(22.5)	
	≥70	6/24	(25.0)	4/89	(4.5)	
ART recorded, n (%)		22/26	(84.6)	83/89	(93.3)	0.17
Type of comorbidities, n (%)	Chronic pulmonary disease ^b	0/26	(0)	12/88	(13.6)	0.05
	Diabetes, with complications	5/26	(19.2)	3/84	(3.6)	0.02
	Obesity	8/24	(33.3)	11/81	(13.6)	0.03
Presenting symptoms, n (%)	Cough	22/25	(88.0)	66/89	(74.2)	0.15
	Diarrhoea	8/22	(36.4)	17/78	(21.8)	0.16
Symptom group, n (%)	Respiratory ^c	24/25	(96.0)	76/89	(85.4)	0.15
Presenting signs	HR, median beats/min (IQR)	106	(96, 120)	93	(80, 108)	<0.001
	Tachycardia ^d , n (%)	16/25	(64.0)	35/87	(40.2)	0.04
	RR, median breaths/min (IQR)	26	(19, 30)	20	(18, 24)	0.02
	Tachypnoea ^e , n (%)	15/23	(65.2)	35/84	(41.7)	0.05
	Hypoxia ^f /on oxygen, n (%)	18/24	(75.0)	32/84	(38.1)	0.001
	Diastolic BP, median mmHg (IQR)	70	(62, 81)	81	(69, 89)	0.04
	WBC, median count x10 ⁹ /L (IQR)	7.8	(5.5, 10.9)	5.7	(4.7, 8.7)	0.02
	eGFR ^g , median ml/min/1.73m ² (IQR)	72	(51, 87)	78	(58, 102)	0.12
Laboratory parameters	Glucose, median mmol/L (IQR)	10.3	(6.4, 12.3)	6.4	(5.8, 8.3)	0.06
	Hyperglycaemia ^h , n (%)	5/13	(38.5)	5/39	(12.8)	0.10
	C-reactive protein, median mg/L (IQR)	203	(103, 306)	92	(42, 149)	<0.001
	Interventions, n (%)	Oxygen therapy during admission	21/26	(80.8)	51/84	(60.7)
	Critical care admission	17/26	(65.4)	18/89	(20.2)	<0.001
	Non-invasive ventilation	9/24	(37.5)	15/83	(18.1)	<0.001
	Invasive ventilation	13/26	(50.0)	6/88	(6.8)	<0.001

482
 483 ^aA full list of demographic and clinical characteristics is shown in Supplementary Table 6. ^bExcludes asthma.
 484 ^cRespiratory symptoms: ≥1 of cough, dyspnoea, chest pain, sore throat, wheeze. ^dDefined as HR >100
 485 beats/minute. ^eDefined as RR >20 breaths/min. ^fDefined as SpO₂ <94% on air. ^gBased on the Modification of Diet
 486 in Renal Disease (MDRD) formula where eGFR (mL/min/1.73 m²) = 175 × (Scr/88.4)^{-1.154} × (Age)^{-0.203} × (0.742
 487 if female) × (1.212 if Black ethnicity). ^hDefined as glucose >11 mmol/L. Abbreviations: IQR, Interquartile range;
 488 ART, Antiretroviral therapy; HR, Heart rate; RR, Respiratory rate; BP, Blood pressure; WBC, White blood cells;
 489 eGFR, estimated glomerular filtration rate.
 490

491 **LEGENDS TO FIGURES**

492 **Figure 1.** Flowchart of study participants.

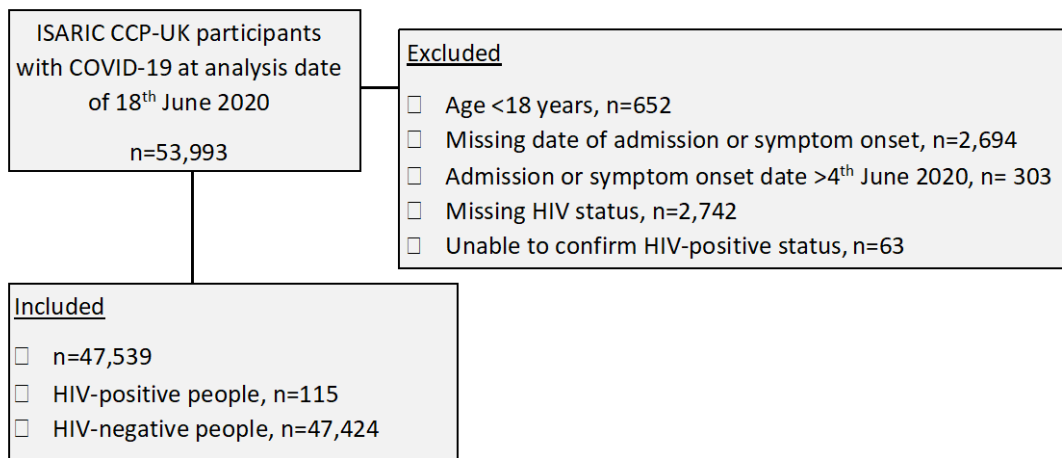
493 **Figure 2.** Kernel density plot of age distribution of study participants stratified by HIV status.

494 **Figure 3.** Interventions during hospitalisation by HIV status.

495 **Figure 4.** Kaplan Meier survival graphs, stratified by HIV status, sex and age group. P values
496 represent log-rank tests. Plots D, E and F include only individuals from age groups <50 years,
497 50-59 years and 60-79 years.

498

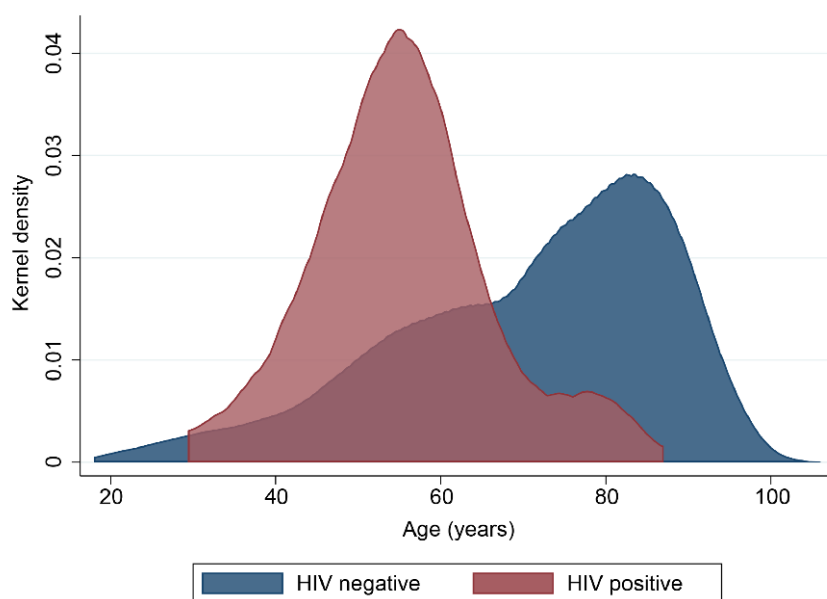
499 **Figure 1.** Flowchart of study participants



500

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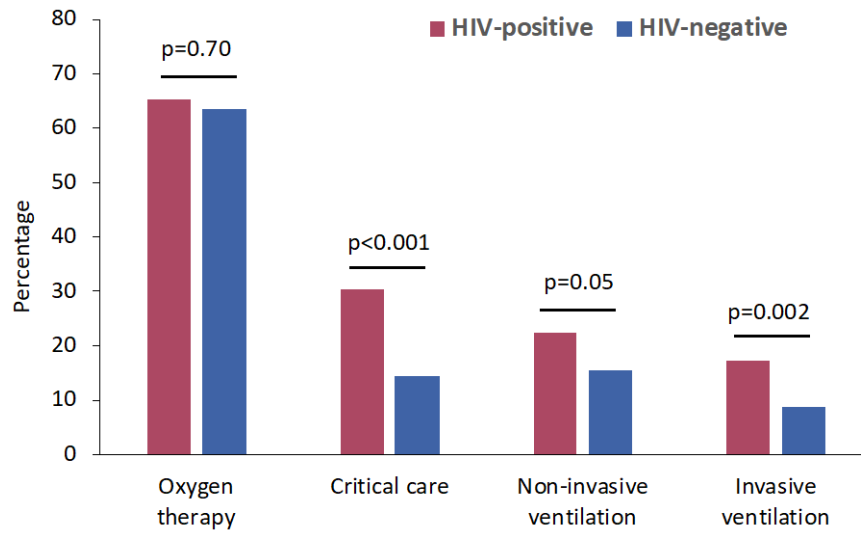
502 **Figure 2.** Kernel density plot of age distribution of study participants stratified by HIV status.



503

504

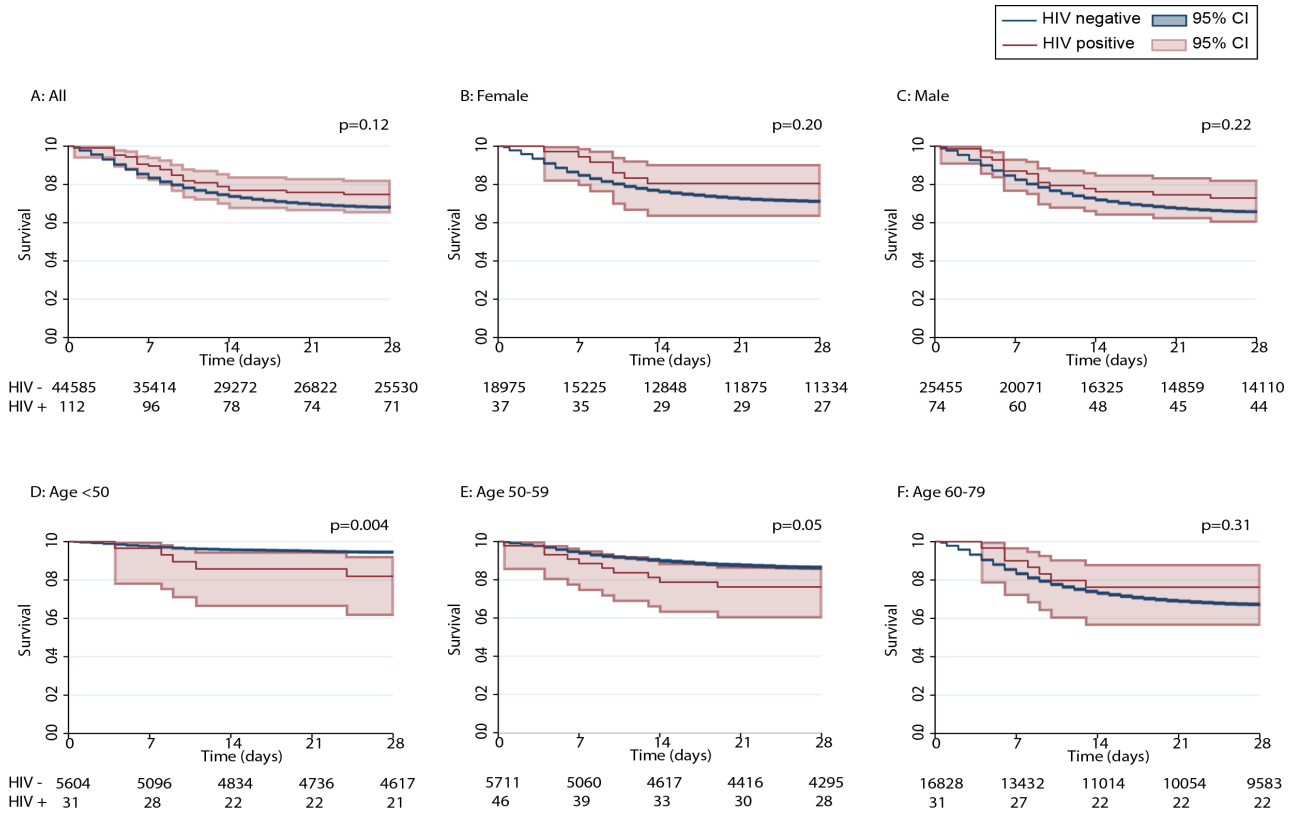
505 **Figure 3.** Interventions during hospitalisation by HIV status.



HIV-positive	72/110	35/115	24/107	19/109
HIV-negative	28,869/45,326	6,699/46,452	7,019/45,117	3,997/45,209

506

507 **Figure 4.** Kaplan Meier survival graphs, stratified by HIV status, sex and age group. P values
 508 represent log-rank tests. Plots D, E and F include only individuals from age groups <50 years,
 509 50-59 years and 60-79 years.
 510



511

512

513 **ETHICAL CONSIDERATIONS**

514 Ethical approval was given by the South Central - Oxford C Research Ethics Committee in
515 England (Ref 13/SC/0149), the Scotland A Research Ethics Committee (Ref 20/SS/0028), and
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517

518 **DATA AVAILABILITY**

519 The CO-CIN data were collated by ISARIC4C Investigators. ISARIC4C welcomes applications for
520 data and material access through our Independent Data and Material Access Committee
521 (<https://isaric4c.net>).

522

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572

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648

649 **ISARIC 4C Investigators**

650 Consortium Lead Investigator: J Kenneth Baillie, Chief Investigator Malcolm G Semple
651 Co-Lead Investigator Peter JM Openshaw. ISARIC Clinical Coordinator Gail Carson.

652 Co-Investigators: Beatrice Alex, Benjamin Bach, Wendy S Barclay, Debby Bogaert, Meera
653 Chand, Graham S Cooke, Annemarie B Docherty, Jake Dunning, Ana da Silva Filipe, Tom
654 Fletcher, Christopher A Green, Ewen M Harrison, Julian A Hiscox, Antonia Ying Wai Ho, Peter
655 W Horby, Samreen Ijaz, Saye Khoo, Paul Klenerman, Andrew Law, Wei Shen Lim, Alexander, J
656 Mentzer, Laura Merson, Alison M Meynert, Mahdad Noursadeghi, Shona C Moore, Massimo
657 Palmarini, William A Paxton, Georgios Pollakis, Nicholas Price, Andrew Rambaut, David L
658 Robertson, Clark D Russell, Vanessa Sancho-Shimizu, Janet T Scott, Louise Sigfrid, Tom
659 Solomon, Shiranee Srisakandan, David Stuart, Charlotte Summers, Richard S Tedder, Emma C
660 Thomson, Ryan S Thwaites, Lance CW Turtle, Maria Zambon. Project Managers Hayley
661 Hardwick, Chloe Donohue, Jane Ewins, Wilna Oosthuyzen, Fiona Griffiths. Data Analysts: Lisa
662 Norman, Riinu Pius, Tom M Drake, Cameron J Fairfield, Stephen Knight, Kenneth A Mclean,
663 Derek Murphy, Catherine A Shaw. Data and Information System Manager: Jo Dalton, Michelle
664 Girvan, Egle Saviciute, Stephanie Roberts Janet Harrison, Laura Marsh, Marie Connor. Data
665 integration and presentation: Gary Leeming, Andrew Law, Ross Hendry. Material
666 Management: William Greenhalf, Victoria Shaw, Sarah McDonald. Outbreak Laboratory

667 Volunteers: Katie A. Ahmed, Jane A Armstrong, Milton Ashworth, Innocent G Asiiimwe,
668 Siddharth Bakshi, Samantha L Barlow, Laura Booth, Benjamin Brennan, Katie Bullock,
669 Benjamin WA Catterall, Jordan J Clark, Emily A Clarke, Sarah Cole, Louise Cooper, Helen Cox,
670 Christopher Davis, Oslem Dincarslan, Chris Dunn, Philip Dyer, Angela Elliott, Anthony Evans,
671 Lewis WS Fisher, Terry Foster, Isabel Garcia-Dorival, Willliam Greenhalf, Philip Gunning,
672 Catherine Hartley, Antonia Ho, Rebecca L Jensen, Christopher B Jones, Trevor R Jones, Shadia
673 Khandaker, Katharine King, Robyn T. Kiy, Chrysa Koukorava, Annette Lake, Suzannah Lant,
674 Diane Latawiec, L Lavelle-Langham, Daniella Lefteri, Lauren Lett, Lucia A Livoti, Maria Mancini,
675 Sarah McDonald, Laurence McEvoy, John McLauchlan, Soeren Metelmann, Nahida S Miah,
676 Joanna Middleton, Joyce Mitchell, Shona C Moore, Ellen G Murphy, Rebekah Penrice-Randal,
677 Jack Pilgrim, Tessa Prince, Will Reynolds, P. Matthew Ridley, Debby Sales, Victoria E Shaw,
678 Rebecca K Shears, Benjamin Small, Krishanthi S Subramaniam, Agnieska Szemiel, Aislynn
679 Taggart, Jolanta Tanianis, Jordan Thomas, Erwan Trochu, Libby van Tonder, Eve Wilcock, J.
680 Eunice Zhang. Local Principal Investigators: Kayode Adeniji, Daniel Agranoff, Ken Agwuh,
681 Dhiraj Ail, Ana Alegria, Brian Angus, Abdul Ashish, Dougal Atkinson, Shahedal Bari, Gavin
682 Barlow, Stella Barnass, Nicholas Barrett, Christopher Bassford, David Baxter, Michael
683 Beadsworth, Jolanta Bernatoniene, John Berridge , Nicola Best , Pieter Bothma, David Brealey,
684 Robin Brittain-Long, Naomi Bulteel, Tom Burden , Andrew Burtenshaw, Vikki Caruth, David
685 Chadwick, Duncan Chamblor, Nigel Chee, Jenny Child, Srikanth Chukkambotla, Tom Clark, Paul
686 Collini, Catherine Cosgrove, Jason Cupitt, Maria-Teresa Cutino-Moguel, Paul Dark, Chris
687 Dawson, Samir Dervisevic, Phil Donnison, Sam Douthwaite, Ingrid DuRand, Ahilanadan
688 Dushianthan, Tristan Dyer, Cariad Evans , Chi Eziefula, Chrisopher Fegan, Adam Finn, Duncan
689 Fullerton, Sanjeev Garg, Sanjeev Garg, Atul Garg, Jo Godden, Arthur Goldsmith, Clive Graham,
690 Elaine Hardy, Stuart Hartshorn, Daniel Harvey, Peter Havalda, Daniel B Hawcutt, Maria
691 Hobrok, Luke Hodgson, Anita Holme, Anil Hormis, Michael Jacobs, Susan Jain, Paul Jennings,
692 Agilan Kaliappan, Vidya Kasipandian, Stephen Kegg, Michael Kelsey, Jason Kendall, Caroline
693 Kerrison, Ian Kerlake, Oliver Koch, Gouri Koduri, George Koshy , Shondipon Laha, Susan
694 Larkin, Tamas Leiner, Patrick Lillie, James Limb, Vanessa Linnett, Jeff Little, Michael
695 MacMahon, Emily MacNaughton, Ravish Mankregod, Huw Masson , Elijah Matovu, Katherine
696 McCullough, Ruth McEwen , Manjula Meda, Gary Mills , Jane Minton, Mariyam
697 Mirfenderesky, Kavya Mohandas, Quen Mok, James Moon, Elinoor Moore, Patrick Morgan,
698 Craig Morris, Katherine Mortimore, Samuel Moses, Mbiye Mpenge, Rohinton Mulla, Michael

699 Murphy, Megan Nagel, Thapas Nagarajan, Mark Nelson, Igor Otahal, Mark Pais, Selva
700 Panchatsharam, Hassan Paraiso, Brij Patel, Justin Pepperell, Mark Peters, Mandeep Phull ,
701 Stefania Pintus, Jagtur Singh Pooni, Frank Post, David Price, Rachel Prout, Nikolas Rae, Henrik
702 Reschreiter, Tim Reynolds, Neil Richardson, Mark Roberts, Devender Roberts, Alistair Rose,
703 Guy Rousseau, Brendan Ryan, Taranprit Saluja, Aarti Shah, Prad Shanmuga, Anil Sharma, Anna
704 Shawcross, Jeremy Sizer, Richard Smith, Catherine Snelson, Nick Spittle, Nikki Staines , Tom
705 Stambach, Richard Stewart, Pradeep Subudhi, Tamas Szakmany, Kate Tatham, Jo Thomas,
706 Chris Thompson, Robert Thompson, Ascanio Tridente, Darell Tupper - Carey, Mary Twagira,
707 Andrew Ustianowski, Nick Vallotton, Lisa Vincent-Smith, Shico Visuvanathan , Alan Vuylsteke,
708 Sam Waddy, Rachel Wake, Andrew Walden, Ingeborg Welters, Tony Whitehouse, Paul
709 Whittaker, Ashley Whittington, Meme Wijesinghe, Martin Williams, Lawrence Wilson, Sarah
710 Wilson, Stephen Winchester, Martin Wiselka, Adam Wolverson, Daniel G Wooton, Andrew
711 Workman, Bryan Yates, Peter Young.