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DRAFT STATUTORY INSTRUMENTS

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**2020 No.**

**SPACE INDUSTRY**

**The Space Industry Regulations 2020**

*Made - - - - 2020*

*Coming into force in accordance with regulation 1(2)*

**CONTENTS**

**PART 1**

Preliminary

1.	Citation and commencement	13
2.	Interpretation	13

**PART 2**

Appointment of the regulator

3.	Appointment of Civil Aviation Authority	18
4.	Concurrent appointment and conditions on appointment	18

**PART 3**

Grant of a licence – general

**CHAPTER 1**

Eligibility criteria and prescribed roles for licensees

5.	Application of eligibility criteria	18
6.	Eligibility criteria	18
7.	Prescribed roles: spaceport licensees	19
8.	Prescribed role: all operators	20
9.	Prescribed roles: spaceflight operators	20
10.	Additional prescribed role for operators to whom regulation 9 does not apply	20
11.	Prescribed roles: range control licensees	20
12.	Duty to inform regulator of changes: individuals in prescribed roles	21
13.	Offence of failure to inform regulator of changes: individuals in prescribed roles	21
14.	Duty to inform regulator of changes: eligibility	21
15.	Offence of failing to inform the regulator of changes: eligibility	21
16.	Offence of providing false information	21
17.	Penalties for offences	22

CHAPTER 2		
Operator licences: exemptions		
18.	Operator licences: exemptions	22
CHAPTER 3		
Grant of a licence: general		
19.	Interpretation of this Chapter	22
20.	How to apply for a licence	23
21.	Delegation of power to the regulator	23
22.	How the regulator considers an application	23
23.	How the regulator determines the application	24
24.	Preparation of the licence and informing the applicant of its grant	24
25.	Informing the applicant of the refusal of the licence	24
26.	Renewal of a licence	24
27.	Withdrawal of an application for a licence	24
PART 4		
Grant of a spaceflight operator licence: risk		
CHAPTER 1		
Interpretation		
28.	Interpretation	24
CHAPTER 2		
Risks to persons who are not crew or spaceflight participants		
SECTION 1		
<i>Steps applicant must take to ensure that risks are as low as reasonably practicable</i>		
29.	Flight safety analysis	25
30.	Ground safety analysis	25
31.	Steps to be taken for each identified hazard	26
SECTION 2		
<i>Information to be provided to the regulator</i>		
32.	Contents of the safety case	26
33.	Applicant to provide safety operations manual to regulator	27
CHAPTER 3		
Risk assessments		
34.	Prescribed roles	27
35.	Prescribed requirements for risk assessment	27
36.	Information to be provided to regulator about risk assessment	28
PART 5		
Grant of a spaceport licence		
CHAPTER 1		
Interpretation		
37.	Interpretation	28
CHAPTER 2		
Prescribed criteria and requirements		
38.	Grant of a spaceport licence: prescribed criteria for horizontal spaceports	29

39.	Grant of a spaceport licence: safety case requirement	29
40.	Grant of a spaceport licence: safety clear zone requirement	31
41.	Grant of a spaceport licence: siting assessment requirement	31

### CHAPTER 3

#### Members of the public

42.	“Members of the public”: prescribed meaning for the purpose of section 10(a)	31
43.	Persons who are not members of the public	31

### PART 6

#### Range Control Services

### CHAPTER 1

#### Interpretation

44.	Interpretation	32
-----	----------------	----

### CHAPTER 2

#### Requirements about the licensee’s capability

45.	The licensee’s organisation and management	33
-----	--------------------------------------------	----

### CHAPTER 3

#### Agreements with relevant authorities

46.	Relevant agreements	34
47.	Relevant authorities	35
48.	Communication with relevant authorities	35

### CHAPTER 4

#### The range and hazard areas

49.	Identification of the designated range	35
50.	Identification of hazard areas	36
51.	Monitoring of a hazard area	37

### CHAPTER 5

#### Notification requirements

52.	Requirement to notify persons	37
53.	Notification requirements	38
54.	Warning notices	38

### CHAPTER 6

#### Safety

55.	Quality management system requirement	39
-----	---------------------------------------	----

### CHAPTER 7

#### Applicable conditions where an operator is authorised to provide range control services in respect of the operator’s spaceflight activities

56.	Application	40
57.	Conditions applying to spaceflight operator	40

### PART 7

#### Training, qualifications and medical fitness

### CHAPTER 1

#### General

58.	Interpretation	41
-----	----------------	----

59.	Specified roles and criteria	41
60.	Specified capacities and criteria	42
61.	Responsibility of licensees	42
62.	Training resources	43
63.	Records	44

## CHAPTER 2

### The training manager

64.	The training manager	44
65.	The training manager (application for approval)	45
66.	The training manager's functions	45
67.	Approval of the appointment of training manager	45
68.	Termination of the training manager's approval	46

## CHAPTER 3

### Training manual

69.	Training manual	46
70.	Approval of the training manual	47
71.	Changes to the training manual	47

## CHAPTER 4

### Training programme

72.	Training programme	48
73.	Training and assessments	49
74.	Training equipment	49

## CHAPTER 5

### Medical Fitness

75.	Medical obligations	50
76.	Certificates and confirmation of medical fitness	51
77.	Illness, injury and related conditions	52
78.	Disability	52
79.	Validity of medical certificate	53
80.	Medical records	53

## PART 8

### Safety of operator's spaceflight activities during the launch operator licence or the return operator licence

## CHAPTER 1

### Interpretation

81.	Interpretation	53
-----	----------------	----

## CHAPTER 2

### A spaceflight operator's safety duty

82.	A spaceflight operator's safety duty	55
-----	--------------------------------------	----

## CHAPTER 3

### Review and revision of safety case and risk assessment

83.	Safety case and risk assessment review and revision requirements	55
84.	Steps required after review, or review and revision, of the safety case	56
85.	Steps required after review, or review and revision, of the risk assessment	56

CHAPTER 4		
Other safety requirements		
SECTION 1		
<i>Demonstrating requirements</i>		
86.	Demonstration of compliance with safety requirements	56
SECTION 2		
<i>Requirements about a spaceflight operator's organisation and management</i>		
87.	A spaceflight operator's organisation	57
88.	Safety management system	57
SECTION 3		
<i>Requirements about specific safety roles</i>		
89.	Responsibilities of the safety manager	57
90.	Responsibilities of the accountable manager	58
91.	Responsibilities of the launch director	58
92.	Flight termination personnel	58
SECTION 4		
<i>Safety operations manual</i>		
93.	Safety operations manual	58
SECTION 5		
<i>Preparations for launch, return and other operations</i>		
94.	The launch vehicle	59
95.	The launch vehicle's ground support equipment	59
96.	A reusable launch vehicle	60
97.	Verification by testing etc of the launch vehicle and ground support equipment	60
98.	The spaceport and the range	60
99.	Communication during the operator's spaceflight activities	60
100.	Monitoring the environmental and meteorological conditions	61
101.	Dangerous goods	61
SECTION 6		
<i>Launch, return and other operations</i>		
102.	Conditions for commencing the operator's spaceflight activities	61
103.	During flight: monitoring and termination	62
104.	Additional requirement relating to the launch vehicle during operator's spaceflight activities	62
SECTION 7		
<i>Recording, retaining and preserving information for safety purposes</i>		
105.	Information on human occupants and dangerous goods on board a launch vehicle	63
106.	Recording, collecting, retaining and preserving information made before or during the operator's spaceflight activities	63
SECTION 8		
<i>Emergency response</i>		
107.	Emergency response plan requirement	64

## CHAPTER 5

### Additional safety requirements for launch vehicles with human occupants

#### SECTION 1

##### *Interpretation*

108. Application and interpretation 65

#### SECTION 2

##### *The crew*

109. The roles and duties of each member of the crew 65  
110. Unfit for duties 65  
111. Information about the flight 65  
112. Authority to pilot in command or remote pilot 66

#### SECTION 3

##### *The launch vehicle*

113. Additional condition if the launch vehicle has a human occupant 66  
114. Numbers of crew or spaceflight participants on board 66  
115. Accessibility of instruments and equipment 67  
116. Emergency equipment 67  
117. Atmospheric conditions on board 67

#### SECTION 4

##### *Specific obligations of pilot in command, flight crew or remote pilot*

118. Obligations of pilot in command or remote pilot immediately before the flight 67  
119. Obligations of pilot in command or remote pilot to carry out flight safely 68  
120. Pilot in command, flight crew or remote pilot to remain at stations 68  
121. Pilot in command's obligations to a spaceflight participant about stations 68  
122. Remote pilot's obligations to a spaceflight participant about stations 68  
123. Launch director's or safety manager's obligations to a spaceflight participant about stations 69

#### SECTION 5

##### *Spaceflight participants*

124. Prohibiting the launch vehicle carrying a spaceflight participant 69  
125. A spaceflight participant to remain at station 69  
126. Availability of seating requirement to a spaceflight participant 69

#### SECTION 6

##### *Human occupant: information to be given after consent form is signed*

127. Information about the operator's spaceflight activities 69

## CHAPTER 6

### Contraventions and sanctions

128. Failure of launch director to check conditions met before operator's spaceflight activities commence 70  
129. Failure of flight termination personnel to follow obligation to make a flight termination decision 70  
130. Being unfit for duty 70  
131. Failure of a pilot in command or remote pilot to carry out obligations before the flight 70  
132. Failure of pilot in command or remote pilot to carry out flight safely 70  
133. Failure of a pilot in command, flight crew or a remote pilot to remain at stations 70

134.	Failure of a pilot in command to carry out obligations to a spaceflight participant about stations	70
135.	Failure of a remote pilot to carry out obligations to a spaceflight participant about stations	71
136.	Failure of a launch director or a safety manager to carry out obligations to a spaceflight participant about stations	71
137.	Failure of a spaceflight participant to remain at station	71
138.	Penalties	71

## PART 9

### Spaceport safety

#### CHAPTER 1

##### Interpretation

139.	Interpretation	71
------	----------------	----

#### CHAPTER 2

##### A spaceport licensee's safety duty

140.	A spaceport licensee's safety duty	72
------	------------------------------------	----

#### CHAPTER 3

##### Horizontal spaceport location requirement

141.	Horizontal spaceport location requirement	72
------	-------------------------------------------	----

#### CHAPTER 4

##### Safety Case: retention, review and revision

142.	Requirement to retain the safety case	72
143.	Safety case review and revision requirement	73
144.	Steps required after review, or review and revision, of safety case	73

#### CHAPTER 5

##### Safety clear zones

145.	Safety clear zone requirement	74
------	-------------------------------	----

#### CHAPTER 6

##### Hazardous material, testing areas and safety equipment at spaceports

146.	Hazardous material storage facilities: location requirements and plan	74
147.	Hazardous material: loading, unloading and venting areas	75
148.	Fuels, oxidants etc: fit for purpose requirement	75
149.	Static engine test area	76
150.	Safety equipment	76

#### CHAPTER 7

##### Requirements about the spaceport licensee's organisation and management

151.	Safety management system requirement	76
152.	Spaceport manual requirement	76

#### CHAPTER 8

##### Emergencies

153.	Emergency response plan requirement	77
154.	Spaceport rescue and fire-fighting provision	77
155.	Powers of spaceport firefighters in an emergency	78

## PART 10

### Security

#### CHAPTER 1

##### Interpretation

156.	Interpretation	78
------	----------------	----

#### CHAPTER 2

##### Physical and Personnel Security

157.	Responsibilities of a security manager	80
158.	Space site security programme	80
159.	Operator security programme	81
160.	Access control to space sites: sufficient security measures	82
161.	Access control to space sites: further provisions	82
162.	Space site security restricted area and controlled area	83
163.	Access control to space sites: emergency services	84
164.	Security controls for prohibited articles	84
165.	Security controls for supplies	85
166.	Security controls for payloads and launch vehicles	86
167.	Access control to space sites: approval of suppliers	86
168.	Surveillance of space sites	87
169.	Security controls: hazardous material	87
170.	Protection of carrier aircraft, launch vehicle or payload: pre-integration	87
171.	Protection of carrier aircraft, launch vehicle or payload: post-integration	88
172.	Security controls for flight safety systems	88

#### CHAPTER 3

##### Cyber Security

173.	Spaceflight cyber security strategy	88
174.	Duty to report a notifiable incident to the regulator	89

#### CHAPTER 4

##### Vetting, Clearance, Training and Qualifications

175.	National security vetting procedures	90
176.	Appropriate security training and qualifications	90
177.	Training records and qualifications	91
178.	Renewal of security training	91

#### CHAPTER 5

##### Critical national infrastructure and essential services

179.	Spaceflight activities: critical national infrastructure and essential services	91
------	---------------------------------------------------------------------------------	----

#### CHAPTER 6

##### Security provisions for the protection of US technology

180.	Segregated areas	92
181.	Access control to segregated area	92
182.	Control of access to imported US technology	92
183.	Monitoring and oversight of US technology	93
184.	Monitoring and oversight of launch activities	93
185.	Restrictions on the use of and access to US technology	93
186.	Restrictions on importing US technology	94
187.	Security training for spaceflight activities involving US technology	94



188.	Return of US technology if export licence etc is revoked	94
189.	Processing of US technology after a normal launch	94
190.	Information about nationality of contributors to launch activities etc	95

## PART 11

### Informed Consent

#### CHAPTER 1

##### Interpretation

191.	Interpretation	95
------	----------------	----

#### CHAPTER 2

##### Prescribed matters

192.	Prescribed role or capacity	96
193.	Prescribed criteria with respect to age and mental capacity	96

#### CHAPTER 3

##### The consent form

194.	Details to be included in the consent form	96
195.	Statements to be included in the consent form	97
196.	No derogation from statements in the consent form	97

#### CHAPTER 4

##### Information to be given to a human occupant before the consent form is signed

197.	Information about operator's spaceflight activities carried out by the spaceflight operator	97
198.	Information about the operator's spaceflight activities	98
199.	Opportunity for questions	98

#### CHAPTER 5

##### Procedural requirements with regard to the signification of consent

200.	Who prepares the consent form	98
201.	When a human occupant signs the consent form	98
202.	What happens after the consent form is signed	99

#### CHAPTER 6

##### Evidential requirements with regard to the information and the signification of consent

203.	A written record of the information provided to the human occupant	99
204.	The signification of consent	99
205.	The consent form as evidence of signification of consent	99

## PART 12

### Liabilities and indemnities

206.	Prescribed description of individuals to whom section 34(2) does not apply	99
207.	Prescribed cases or circumstances under which a limit on the operator's liability to government does not apply	100

PART 13  
Monitoring and enforcement  
CHAPTER 1

General

208.	Interpretation	101
209.	Offence to obstruct inspector or regulator	101
210.	Penalty for obstructing inspector or regulator	101
211.	Offence to impersonate inspector	101
212.	Penalty for impersonating inspector	101

CHAPTER 2

Obligation to provide information to the regulator

213.	Persons to whom obligation applies	101
214.	Regulator may require provision of information	102
215.	Obligation to provide information to regulator	102
216.	Offence of failing to comply with information notice	102
217.	Penalty for failing to comply with information notice	102
218.	Offence of providing false information	102
219.	Penalty for providing false information	103
220.	Offences of false recording	103
221.	Defence to offence of destroying document	103
222.	Penalty for false recording	103

CHAPTER 3

Inspectors

SECTION 1

*Appointment and powers*

223.	Appointment of inspector	104
224.	Duties of inspector	104
225.	Powers of inspector	104
226.	References to inspector	104

SECTION 2

*Powers exercisable by inspectors*

227.	Power of entry	104
228.	Power to take persons and equipment etc onto premises or vehicle	105
229.	Powers of inspection and examination and to take samples	105
230.	Powers to require information and documents	106
231.	Powers exercisable in relation to particular articles or substances	107
232.	Power to require the use of facilities and assistance	108
233.	Power to issue contravention notice	108
234.	Power to issue warning notice	108
235.	Power to issue prohibition notice	109
236.	Appealing against notice under regulation 235	109
237.	Supplementary powers	109
238.	Protection for documents subject to legal professional privilege etc	109

CHAPTER 4

Sharing of information between regulator and other bodies

239.	Regulator may share information	110
------	---------------------------------	-----

CHAPTER 5	
Restrictions on disclosure of information	
SECTION 1	
<i>Prohibition against disclosure of protected information</i>	
240.	Meaning of “protected information” 110
241.	Prohibition on disclosing protected information 110
242.	Offence of disclosing protected information 111
243.	Defences to offence of disclosing protected information 111
244.	Penalty for disclosing protected information 111
SECTION 2	
<i>Exceptions to prohibition against disclosure of protected information</i>	
245.	Disclosure with consent 111
246.	Disclosure by the regulator, inspectors etc 111
247.	Disclosure to authorities 111
248.	Disclosure required under legislation 112
249.	Legal proceedings, inquiries and investigations 112
250.	Anonymised information 112
PART 14	
Civil Sanctions (stop notices)	
251.	Stop notices 112
252.	Contents of stop notice 113
253.	Appeal against decision to serve stop notice 113
254.	Completion certificate 113
255.	Appeal against decision not to issue completion certificate 114
256.	Compensation 114
257.	Offence of failing to comply with stop notice 114
PART 15	
Occurrence reporting	
CHAPTER 1	
Interpretation	
258.	Interpretation 114
CHAPTER 2	
Duty to report an occurrence and the objective of that report	
259.	Duty to report an occurrence 116
260.	Objective of an occurrence report 116
CHAPTER 3	
Events elsewhere which could threaten safety	
261.	When an event elsewhere which could result in an accident is an occurrence 116
CHAPTER 4	
Contents of the occurrence report	
262.	Contents of the occurrence report 116
263.	Categories of occurrence 117

## CHAPTER 5

### The regulator's actions when it receives an occurrence report

264. What the regulator must do on receipt of an occurrence report	117
--------------------------------------------------------------------	-----

## CHAPTER 6

### Confidential information

265. Protection of information and permitted disclosures	118
266. Court application for disclosure	119

## CHAPTER 7

### Contraventions and sanctions

267. Providing false information	119
268. Failure to protect confidential information	119
269. Penalties	120

## PART 16

### Miscellaneous

270. Duty on licensee to inform regulator of changes	120
271. Offence of failure to inform regulator of changes	120
272. Penalty for failure to inform regulator of changes	120
273. Sending of notices and other documents	121
274. Review	121

---

SCHEDULE 1 — Information the safety case must contain	122
SCHEDULE 2 — Matters applicant must take into account for the risk assessment	124
SCHEDULE 3 — Training and Qualification	125
PART 1 — Specified criteria	125
PART 2 — Training Manual	129
PART 3 — Training for specified roles and capacities	130
SCHEDULE 4 — Requirements, information and processes which must be included in the spaceflight operator's safety management system	132
SCHEDULE 5 — Safety operations manual	133
SCHEDULE 6 — Requirements, information and processes which must be included in a spaceport licensee's safety management system	136
SCHEDULE 7 — Information and instructions which must be included in a spaceport manual	137

The Secretary of State, in exercise of the powers conferred by sections 2(7), 3(5), 4(2) and (4), 5(2)(a) to (c), 7(6)(a) to (e), 8(5) to (7), 9(2), (3), (5) and (6), 10(b), 14(4), 16(1) and (2)(a), (b) and (c), 17(1) and (3), 18, 19(1), 23(1), 26(3), 34(3)(a), 36(3)(a), 54, 56(4), 59(2), 68(1) and (2) to (4) of, and Schedule 2, paragraphs 1(1), 1(3)(a) and (b), 2(1) and (3), 3(1), 5(a), 6(1)(c) and (3) of Schedule 3 and paragraphs 1(1), (2), (4) and 5 of Schedule 5 to the Space Industry Act 2018(a), makes the following Regulations.

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(a) 2018 c. 5. See section 69(1) for the definition of "prescribed".

In accordance with section 4(2) of that Act, the Secretary of State is satisfied that in the cases set out in these Regulations, the requirement for an operator licence is not necessary to secure public safety, to secure the health and safety of individuals taking part in spaceflight activities in a role or capacity prescribed under section 17(1) or to secure compliance with the international obligations of the United Kingdom.

In accordance with section 68(6) of that Act, a draft of this instrument was laid before, and approved by, a resolution of each House of Parliament.

In accordance with section 68(7) of that Act the Secretary of State has carried out a public consultation.

## PART 1

### Preliminary

#### Citation and commencement

- 1.—(1) These Regulations may be cited as the Space Industry Regulations 2020.
- (2) These Regulations come into force [on the day after the day on which they are made].

#### Interpretation

2. In these Regulations—

“the Act” means the Space Industry Act 2018 and a reference to a section without more is a reference to a section of the Act;

“accident”, other than in Part 15, includes any fortuitous or unexpected event by which the safety of any launch vehicle or person is threatened, and “spaceflight accident”, other than in Part 15, means an accident arising out of, or in the course of, spaceflight activities and occurring—

- (a) in or over the United Kingdom, or
- (b) elsewhere, if any of the circumstances referred to in regulation 16 of the Spaceflight Activities (Investigation of Spaceflight Accidents) Regulations 2020(a) apply;

“accountable manager” means the individual appointed under regulation 7(1)(a), 8 or 11(1)(c);

“blast overpressure” means pressure above normal atmospheric pressure as the result of a shock wave caused by an explosion or detonation;

“CAA licensed” in relation to an aerodrome means an aerodrome which is licensed under an Air Navigation Order(b);

“cabin crew” means individuals who take part in spaceflight activities on board a launch vehicle to perform duties assigned by the spaceflight operator or the pilot in command of the launch vehicle, but who are not members of the flight crew;

“carrier aircraft”, except in regulation 83, Part 10 and where otherwise provided, means an aircraft which is carrying a launch vehicle which is to separate from that aircraft before the aircraft lands;

“crew” means—

- (a) members of the cabin crew, and
- (b) members of the flight crew;

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(a) S.I. 2020/\*\*\*.

(b) Air Navigation Orders are defined in, and made under, section 60 of the Civil Aviation Act 1982 (c. 16). Section 60 was amended by the Aviation and Maritime Security Act 1990 (c. 31).

“current risk assessment” means—

- (a) if the risk assessment has not been revised and accepted by the regulator in accordance with regulations 83 and 85, the risk assessment, or
- (b) if the risk assessment has been revised and accepted by the regulator in accordance with regulations 83 and 85, that revised risk assessment;

“dangerous goods” means any article or substance which is identified as such in the 2019-2020 English language edition of the Technical Instructions for the Safe Transport of Dangerous Goods by Air<sup>(a)</sup>, approved and published by decision of the Council of the International Civil Aviation Authority but does not include propellants or other substances necessary for the normal functioning of the launch vehicle;

“designated range” means the range identified by the licensee pursuant to regulation 49(1) in respect of the operator’s spaceflight activities;

“EASA” means the European Aviation Safety Agency established under Regulation (EU) 2018/1139 of the European Parliament and of the Council of 4 July 2018 on common rules in the field of civil aviation and establishing a European Union Safety Agency<sup>(b)</sup>;

“EASA aerodrome certificate” means a certificate issued under the EASA Aerodromes Regulation;

“EASA Aerodromes Regulation” means Commission Regulation (EU) No. 139/2014 of 12 February 2014 laying down requirements and administrative procedures related to aerodromes pursuant to Regulation (EU) 2018/1139 of the European Parliament and of the Council<sup>(c)</sup>;

“EASA certified” in relation to an aerodrome means an aerodrome for which an EASA aerodrome certificate is in force;

“emergency services” means—

- (a) police, fire, rescue and ambulance services, and
- (b) Her Majesty’s Coastguard;

“flight crew” means individuals who take part in spaceflight activities on board a launch vehicle as a pilot or flight engineer of the launch vehicle;

“flight envelope” means—

- (a) the set of limiting combinations of speed and altitude, or speed and range, which it is possible for the launch vehicle to operate within, and
- (b) the expected set of trajectories of that vehicle taking account of—
  - (i) planned variations to those trajectories, and
  - (ii) any deviation from those trajectories within which the operator’s spaceflight activities can be carried out safely;

“flight safety system” means a system, including all hardware and software, that provides a controlled means of ending the flight of a launch vehicle for the purposes of ensuring that the operator’s spaceflight activities are carried out safely;

“flight termination personnel” means the persons who are not on board the launch vehicle and operate, or oversee the operation of, a flight safety system which is not an autonomous flight safety system;

“fragmentation debris” means debris that is emitted as a result of an explosion or deflagration;

“ground control at the spaceport” means a site at a spaceport from which spaceflight activities are controlled or are to be controlled”;

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(a) ISBN 978-92-9258-545-7. The Technical Instructions can be purchased from: ICAO (<https://store.icao.int/>); Labeline, Midas House, Chivenor Business Park, Barnstable, Devon EX31 4AY (<http://labeline.com> and T: 0870 850 5051); and Freight Merchandising Services Limited, Unit 383, Sykes Road, Slough Trading Estate, Slough, Berkshire SL1 4SP (<http://www.fmslondon.co.uk> and T: 01784 240840).

(b) O.J. No. L 212, 22.8.2018, p.1-122.

(c) O.J. No. L 44, 14.02.2014, p.1.

“ground support equipment” means equipment including any hardware and software which is used on the ground to assemble, integrate, test, transport, access, handle, maintain, calibrate, verify, protect or service a launch vehicle;

“hazardous material” includes any fuel or oxidant, radioactive material or any substance or material prescribed as a hazardous substance for the purposes of the Planning (Hazardous Substances) Act 1990(a), the Planning (Hazardous Substances) (Scotland) Act 1997(b) or the Planning Act (Northern Ireland) 2011(c) by regulations made under those Acts(d);

“hazardous material storage facility” has the meaning given in regulation 146(1);

“hazardous pre-flight and post-flight operations” means any operation or activity at a spaceport(e) the carrying out of which involves a risk to any person from blast overpressure, fragmentation debris, thermal radiation or toxic release;

“horizontal spaceport” means a spaceport from which spaceflight activities requiring the use of a runway may be carried out by virtue of a spaceport licence;

“human occupant” means a member of the crew or a spaceflight participant;

“investigator-in-charge” means an individual charged, on the basis of the individual’s qualifications, with responsibility for the organisation, conduct and control of a safety investigation;

“launch director” means the individual appointed by the holder of a launch operator licence under regulation 9(2)(a);

“launch operator licence” means an operator licence(f) which authorises a person to carry out spaceflight activities that include launching a launch vehicle or launching a carrier aircraft and a launch vehicle;

“launch vehicle”, other than in references to a “US launch vehicle”, means—

- (a) a craft to which section 1(5) applies and the component parts of that craft, or
- (b) a space object(g) which is a vehicle and the component parts of that vehicle,

that is used for the purpose of the proposed spaceflight activities or the operator’s spaceflight activities, as applicable, but does not include a satellite carried by the launch vehicle;

“licensed activities”, except in Parts 5 and 9, means the activities which a person is authorised to carry out by virtue of an operator licence, a spaceport licence or a range control licence;

“licensee”, other than in references to a “US licensee”, and unless otherwise provided, means the holder of an operator licence, a spaceport licence(h) or a range control licence(i) under the Act;

“major accident” means an accident arising out of, or in the course of, spaceflight activities or preparation for spaceflight activities that is highly likely to—

- (a) cause death or serious injury to, or

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(a) 1990 c. 10. See section 39(2) for the definition of “prescribed”.

(b) 1997 c. 10. See section 38(2) for the definition of “prescribed”.

(c) 2011 c. 25. See section 250(1) for the definition of “prescribed”.

(d) In relation to England, the Planning (Hazardous Substances) Regulations 2015 (S.I. 2015/627) are made under section 5 of the 1990 Act, and regulation 3 of S.I. 2015/627 specifies the substances that are hazardous substances for the purposes of that Act. Equivalent provision is made in relation to Wales by the Planning (Hazardous Substances) (Wales) Regulations 2015 (S.I. 2015/1597 (W. 196)). In relation to Scotland, the Town and Country Planning (Hazardous Substances) (Scotland) Regulations 2015 are made under section 3 of the 1997 Act, and regulation 3 of those Regulations specifies the substances that are hazardous substances for the purposes of that Act. In relation to Northern Ireland, the Planning (Hazardous Substances) (No. 2) Regulations (Northern Ireland) 2015 (S.R. 2015 No. 344) are made under section 108(4) of the 2011 Act, and regulation 3 of those Regulations specifies the substances that are hazardous substances for the purposes of that Act.

(e) See section 3(2) and (3) for the definition of “spaceport”.

(f) See section 3(2) for the definition of “operator licence”.

(g) Space object is defined in section 69(1) of the Act, by reference to the Outer Space Act 1986. The definition is given in section 13(1) of that Act. It “includes the component parts of a space object, its launch vehicle and the component parts of that”.

(h) See section 3(2) for the definition of “spaceport licence”.

(i) See section 7(2) for the definition of “range control licence”.

- (b) destroy or seriously damage the property of, persons who are not human occupants;
- “major accident hazard” means a hazard that could cause a major accident;
- “malfunctions” means the launch vehicle deviates from operating within its flight envelope and “malfunction” means the deviation of such a vehicle from that envelope;
- “NASP” means National Aviation Security Programme(a);
- “occurrence”, for the purposes of Parts 8, 9 and 15, has the meaning given in regulation 258(1);
- “occurrence report” means a report referred to in regulation 259(1);
- “operator” means the holder of an operator licence;
- “operator security programme” means the programme specified in regulation 159(1);
- “operator’s spaceflight activities” means spaceflight activities which are authorised by an operator licence and which are any of—
  - (a) launching a launch vehicle;
  - (b) launching a carrier aircraft;
  - (c) operating the launch vehicle or a carrier aircraft whose launch is authorised by the operator licence, in so far as necessary for one or more of the following assignments—
    - (i) to carry a satellite until its release or separation from the launch vehicle,
    - (ii) to carry a spaceflight participant,
    - (iii) to carry a payload other than a satellite until its release or separation from the launch vehicle,
    - (iv) to carry out sub-orbital activities, or
    - (v) to return to earth and complete its flight,
 including orbital activities only in so far as they are necessary to complete such an assignment;
  - (d) operating a launch vehicle whose launch is not authorised by the operator licence, in order to cause that vehicle to land in the United Kingdom;
- “orbital activities” means spaceflight activities using a launch vehicle which has reached orbital velocity;
- “orbital velocity” means the velocity required to complete at least one orbit of the earth;
- “pilot in command” means the pilot who—
  - (a) takes part in the operator’s spaceflight activities on board the launch vehicle, and
  - (b) is designated by the spaceflight operator as being in command and charged with the safe conduct of the flight, without being under the direction of any other pilot;
- “proposed spaceflight activities” means any of the spaceflight activities mentioned in subparagraphs (a) to (d) of the definition of “operator’s spaceflight activities” which would be authorised by the operator licence that the applicant is applying for, if granted;
- “range control licensee” means the holder of a range control licence;
- “range operations manager” means the individual appointed under regulation 11(1)(b);
- “range safety manager” means the individual appointed under regulation 11(1)(a);
- “remote pilot” means an individual who—
  - (a) has the ability to control, in real time, the flight path of the launch vehicle, and
  - (b) is not on board that launch vehicle;

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(a) The National Aviation Security Programme relates to the security regime applicable for civil aviation. It provides a comprehensive security framework incorporating the European baseline security requirements as well as additional national more stringent measures which are set out in the Single Consolidated Direction (Aviation) 2/2019.



“return operator licence” means an operator licence which is not a launch operator licence and which authorises a person to operate a launch vehicle, launched elsewhere than the United Kingdom, in order to cause that vehicle to land in the United Kingdom;

“safety investigation” means a process conducted by the SAIA, or other relevant national or international body, for the purposes of spaceflight accident prevention, which includes the gathering and analysis of information, the drawing of conclusions, including the determination of causes and contributing factors, and, where appropriate, the making of safety recommendations;

“safety management system” means a systematic approach to managing safety, including the necessary organisational structure, accountabilities, policies and procedures and is to be construed in accordance with—

(a) regulation 88 and Schedule 4, in respect of the spaceflight operator;

(b) regulation 151 and Schedule 6, in respect of the spaceport licensee;

“safety manager” means the individual appointed under regulation 7(1)(b) or 9(1);

“SAIA” means the space accident investigation authority nominated by the Secretary of State under regulation 5 of the Spaceflight Activities (Investigation of Spaceflight Accidents) Regulations 2020;

“safety policy” has the meaning given in—

(a) paragraph 2 of Schedule 4, in respect of the spaceflight operator;

(b) paragraph 1 of Schedule 6, in respect of the spaceport licensee;

“safety recommendation” means a proposal of the SAIA based on information derived from a safety investigation or other sources such as safety studies, made with the intention of preventing spaceflight accidents;

“security manager” means the individual appointed under regulation 7(1)(c), 9(2)(c), 10(2) or 11(1)(d);

“spaceflight operator” means the holder of a launch operator licence or a return operator licence;

“spaceflight participant” means an individual, other than a member of the crew, who is to be carried on board a launch vehicle with the spaceflight operator’s permission;

“spaceport licensee” means the holder of a spaceport licence;

“technical requirements specification” means a written document prepared by the spaceflight operator which prescribes the technical requirements to be fulfilled by a launch vehicle or its ground support equipment [xxxxxxxxxxxx];

“thermal radiation” means energy emitted as electromagnetic radiation caused by an explosion or deflagration that can be experienced as light or heat;

“toxic release” means the release of a substance that is toxic to humans;

“training manager” means the individual appointed under regulation 7(1)(d), 9(2)(b) or 11(1)(e);

“US technical data” has the meaning given in the Agreement between the Government of the United States of America and the Government of the United Kingdom of Great Britain and Northern Ireland on technology safeguards associated with United States’ participation in space launches from the United Kingdom entered into on [\*\*\*].

## PART 2

### Appointment of the regulator

#### Appointment of Civil Aviation Authority

**3.**—(1) Subject to paragraph (2), the CAA(a) is appointed to exercise every function conferred on the regulator by or under the Act.

(2) The CAA is not appointed to exercise functions conferred by—

- (a) regulations under section 4(2);
- (b) regulations under section 7(4).

#### Concurrent appointment and conditions on appointment

**4.**—(1) Where the CAA is appointed to exercise functions under regulation 3(1), it is appointed instead of the Secretary of State, except for exercising functions of issuing guidance—

- (a) conferred by section 2(4), 7(7) or 11(6), or
- (b) conferred under the Act.

(2) For exercising the functions of issuing guidance mentioned in paragraph (1), the CAA is appointed as regulator concurrently with the Secretary of State.

## PART 3

### Grant of a licence – general

#### CHAPTER 1

#### Eligibility criteria and prescribed roles for licensees

#### Application of eligibility criteria

**5.**—(1) The eligibility criteria in regulation 6 apply to—

- (a) a licensee,
- (b) any individual appointed by a spaceport licensee or an operator to undertake a role prescribed in regulation 7, 8, 9 or 10, and
- (c) any individual appointed by a range control licensee to undertake a role prescribed in regulation 11.

(2) Where a licensee is a body corporate, “licensee” in paragraph (1)(a) includes every officer of that body corporate.

(3) Where a licensee is a partnership, “licensee” in paragraph (1)(a) includes every partner in that partnership.

(4) For the purposes of this regulation—

- (a) “officer” has the meaning given in section 57(3), and
- (b) “partner” includes a person purporting to act as a partner.

#### Eligibility criteria

**6.** A person to whom regulation 5(1) applies will not be eligible if—

- (a) that person is an undischarged bankrupt—

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(a) “The CAA” is defined in section 69(1) of the Act as “the Civil Aviation Authority”.

- (i) in England and Wales or Scotland, or
  - (ii) in Northern Ireland;
- (b) a bankruptcy restrictions order or undertaking is in force in respect of that person under—
  - (i) the Bankruptcy (Scotland) Act 2016<sup>(a)</sup> or the Insolvency Act 1986<sup>(b)</sup>, or
  - (ii) the Insolvency (Northern Ireland) Order 1989<sup>(c)</sup>;
- (c) a debt relief restrictions order or undertaking is in force in respect of that person under—
  - (i) the Insolvency Act 1986, or
  - (ii) the Insolvency (Northern Ireland) Order 1989;
- (d) a moratorium period under a debt relief order applies in relation to that person under—
  - (i) the Insolvency Act 1986, or
  - (ii) the Insolvency (Northern Ireland) Order 1989; or
- (e) that person has been convicted of any offence involving fraud or dishonesty, or any indictable offence, and for this purpose “offence” includes any act or omission which would have been an offence if it had taken place in the United Kingdom, unless—
  - (i) in Great Britain, that person’s conviction is spent within the meaning of the Rehabilitation of Offenders Act 1974<sup>(d)</sup>, or
  - (ii) in Northern Ireland, that person’s conviction is spent within the meaning of the Rehabilitation of Offenders (Northern Ireland) Order 1978<sup>(e)</sup>.

#### **Prescribed roles: spaceport licensees**

**7.—(1)** A spaceport licensee must appoint individuals to undertake, on that licensee’s behalf, the roles of—

- (a) accountable manager, who is responsible for establishing and maintaining an effective management system and for ensuring that the spaceport licensee’s licensed activities can be financed and carried out in accordance with the provisions contained in or made under the Act and the conditions of the licence,
- (b) safety manager, who—
  - (i) is responsible for the day-to-day development, administration and maintenance of an effective safety management system,
  - (ii) examines all aspects of the spaceport licensee’s activity relating to securing that its licensed activities are carried out safely, and
  - (iii) monitors those involved in the spaceport licensee’s licensed activities to ensure compliance with the spaceport licensee’s safety policies and procedures,
- (c) security manager, who is responsible for all security aspects of the spaceport licensee’s licensed activities, and
- (d) training manager, who is responsible for the conduct and management of the spaceport licensee’s training programme in accordance with Part 7.

**(2)** A spaceport licensee may appoint the same individual to undertake more than one of the roles prescribed in paragraph (1).

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<sup>(a)</sup> 2016 asp 21.  
<sup>(b)</sup> 1986 c. 45.  
<sup>(c)</sup> N.I. SI 1989/2405.  
<sup>(d)</sup> 1974 c. 53.  
<sup>(e)</sup> S.I. 1978/1908 (N.I. 27).

**Prescribed role: all operators**

8. An operator must appoint an individual to undertake, on the operator's behalf, the role of accountable manager, who is responsible for establishing and maintaining an effective management system and for ensuring that the operator's licensed activities can be financed and carried out in accordance with the provisions contained in or made under the Act and the conditions of the licence.

**Prescribed roles: spaceflight operators**

9.—(1) A spaceflight operator must appoint an individual to undertake, on the spaceflight operator's behalf, the role of safety manager, who—

- (a) is responsible for the day-to-day development, administration and maintenance of an effective safety management system,
- (b) examines all aspects of the spaceflight operator's activity relating to securing that its spaceflight activities are carried out safely, and
- (c) monitors those involved in the operator's spaceflight activities to ensure compliance with the spaceflight operator's safety policies and procedures.

(2) Where the operator's spaceflight activities are authorised by a launch operator licence, the spaceflight operator must appoint individuals to undertake, on its behalf, the roles of—

- (a) launch director, who is responsible for ensuring that any safety concerns are addressed prior to launch,
- (b) training manager, who is responsible for the conduct and management of the spaceflight operator's training programme in accordance with Part 7, and
- (c) security manager, who is responsible for all security aspects of the operator's spaceflight activities.

(3) A spaceflight operator may—

- (a) subject to paragraph (4), appoint the same individual to undertake more than one of the roles under this regulation;
- (b) appoint the same individual to undertake the role of safety manager under this regulation and accountable manager under regulation 8.

(4) A spaceflight operator to whom paragraph (2) applies must not appoint the same individual to undertake the role of safety manager and launch director.

**Additional prescribed role for operators to whom regulation 9 does not apply**

10.—(1) This regulation applies to an operator other than a spaceflight operator.

(2) Where the licence of an operator to whom this regulation applies authorises the carrying out of activities which may give rise to any issue of national security, the regulator must require that operator to appoint an individual to undertake the role of security manager, who is responsible for all security aspects of that operator's licensed activities.

(3) An operator to whom this regulation applies may appoint the same individual to undertake the role of security manager under this regulation and the role of accountable manager under regulation 8.

**Prescribed roles: range control licensees**

11.—(1) A range control licensee must appoint individuals to undertake, on that licensee's behalf, the roles of—

- (a) range safety manager, who is responsible for safety in the operation of the designated range and for any safety elements of the operator's spaceflight activities which are to be carried out by personnel of the range control licensee,

- (b) range operations manager, who is responsible for ensuring that the range control licensee's licensed activities are properly and safely undertaken in accordance with the range control licence and requirements imposed on the range control licensee in or under the Act,
- (c) accountable manager, who is responsible for establishing and maintaining an effective management system and for ensuring that the range control licensee's licensed activities can be financed and carried out in accordance with the provisions contained in or made under the Act and the conditions of the licence,
- (d) security manager, who is responsible for all security aspects of the range control licensee's licensed activities, and
- (e) training manager, who is responsible for the conduct and management of the range control licensee's training programme in accordance with Part 7.

(2) A range control licensee may appoint the same individual to undertake more than one of the roles prescribed in paragraph (1).

#### **Duty to inform regulator of changes: individuals in prescribed roles**

**12.**—(1) Where there is to be a change of individual undertaking a prescribed role under this Chapter the licensee must, subject to paragraph (2), inform the regulator in writing, and in advance of making the proposed change.

(2) Where an individual appointed to a prescribed role—

- (a) dies, or
- (b) is otherwise unable or unwilling to carry out or to continue to carry out that role,

the licensee must inform the regulator in writing as soon as possible.

#### **Offence of failure to inform regulator of changes: individuals in prescribed roles**

**13.** A licensee who fails to comply with regulation 12 commits an offence, but it is a defence for a licensee charged with such an offence to show that it took all reasonable steps and exercised all due diligence to avoid committing the offence.

#### **Duty to inform regulator of changes: eligibility**

**14.** A licensee must inform the regulator in writing as soon as possible—

- (a) of any change in the licensee's circumstances that may affect the licensee's eligibility, and
- (b) of any circumstances which mean that an individual appointed by the licensee to a prescribed role would no longer satisfy the eligibility criteria provided for in regulations 5 and 6.

#### **Offence of failing to inform the regulator of changes: eligibility**

**15.** A licensee who fails to comply with regulation 14 commits an offence, but it is a defence for a licensee charged with such an offence to show that it took all reasonable steps and exercised all due diligence to avoid committing the offence.

#### **Offence of providing false information**

**16.** A licensee who, in purported compliance with regulation 12 or 14, makes a statement it knows to be false in a material particular, or recklessly makes a statement that is false in a material particular, commits an offence.

## Penalties for offences

17. A licensee who commits an offence under regulation 13, 15 or 16 is liable—

- (a) on summary conviction in England and Wales, to a fine;
- (b) on summary conviction in Scotland or Northern Ireland, to a fine not exceeding the statutory maximum;
- (c) on conviction on indictment, to imprisonment for a term not exceeding two years, or a fine, or both.

## CHAPTER 2

### Operator licences: exemptions

#### Operator licences: exemptions

18.—(1) If the conditions set out in paragraphs (2) and (3) are satisfied, the requirement to hold an operator licence to carry out spaceflight activities does not apply in relation to the launch or return to earth of a carrier aircraft which is being used to transport a space object, launch vehicle or the component parts of either from one place to another.

(2) The condition in this paragraph is satisfied if the flight following the launch does not include the launch of a space object or launch vehicle.

(3) The condition in this paragraph is satisfied if—

- (a) the operator of the carrier aircraft has an air operator certificate to carry out commercial air transport operations, including the transport of the items referred to in paragraph (1)—
  - (i) granted by a state which is a signatory to the Chicago Convention, and
  - (ii) accepted by the CAA, or
- (b) the operator possesses any necessary approvals, authorisations or permissions for the flight—
  - (i) required by the State of the Operator, and
  - (ii) accepted by the CAA.

(4) Section 36 does not apply to any person to the extent that that person is carrying out the activities described in paragraph (1).

(5) For the purposes of this regulation—

- (a) “Chicago Convention” means the Convention on International Civil Aviation and its Annexes, signed in Chicago on 7th December 1944(a), as amended;
- (b) “air operator certificate”, “commercial air transport operation” and “State of the Operator” have the meanings given in Chapter 1 of Annex 6 to the Chicago Convention.

## CHAPTER 3

### Grant of a licence: general

#### Interpretation of this Chapter

19. In this Chapter “licence” means an operator licence, a range control licence or a spaceport licence.

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(a) Treaty Series No 8 (1953); Cmd 8742. The Chicago Convention is published at [https://www.icao.int/safety/airnavigation/NationalityMarks/annexes\\_booklet\\_en.pdf](https://www.icao.int/safety/airnavigation/NationalityMarks/annexes_booklet_en.pdf). A hard copy can be obtained from the Department for Transport, Great Minster House, 33 Horseferry Road, London, SW1P 4DR.

## **How to apply for a licence**

- 20.**—(1) An application for a licence must be—
- (a) made in writing to the regulator in the specified form, and
  - (b) accompanied by the specified information in writing.
- (2) In this regulation “specified” means specified by the regulator in accordance with regulation 21.

## **Delegation of power to the regulator**

- 21.** The regulator responsible for determining an application for a licence must specify—
- (a) the form and contents of that application;
  - (b) information to be provided in connection with that application;
  - (c) the procedure for rectifying procedural irregularities in that application;
  - (d) time limits for doing anything required to be done in connection with that application and the procedure for extending any period so specified.

## **How the regulator considers an application**

- 22.**—(1) The regulator must consider an application for a licence in accordance with this regulation.
- (2) The regulator must consider—
- (a) the information contained in the application form, and
  - (b) the information provided by the applicant in connection with the application.
- (3) For the purposes of assisting the regulator’s consideration of the application the regulator may—
- (a) request additional information from the applicant;
  - (b) inspect sites, facilities, equipment, spacecraft, carrier aircraft and other vehicles to be used by the applicant in connection with activities to be authorised by the licence;
  - (c) obtain information, whether by inspecting documents, interviewing individuals or otherwise;
  - (d) prepare written reports of inspections and findings or written records of interviews;
  - (e) obtain technical assessments;
  - (f) consult any person acting on behalf of a country which is a party to a relevant agreement<sup>(a)</sup>;
  - (g) ask the SAIA, or any other national or international body investigating spaceflight accidents for the purposes of accident prevention, about any safety recommendations relevant to the activities to be authorised by the licence.
- (4) In addition to the information referred to in paragraph (3), the regulator must gather any other information needed for the regulator to be satisfied—
- (a) that the applicant satisfies the eligibility criteria provided for in regulations 5 and 6 in relation to the particular type of licence to which the application relates;
  - (b) that all the criteria and requirements in sections 8(2) and (3) (grant of licences: general), 9(2) and (4) (grant of operator licences: safety) and 10 (grant of spaceport licence) and all other relevant requirements have been met.

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(a) “relevant agreement” has the meaning given in section 65(2).

(5) The regulator must have regard to licence conditions which may be imposed by the regulator if the licence is granted, and any views expressed about those conditions by the persons consulted under section 13(6) (conditions of licences) or any other person.

(6) On receipt of any information in accordance with paragraphs (3) and (4), the regulator must, if it considers it appropriate to do so, request from the applicant, or any other person referred to in paragraph (3), any further information, comments or answers to questions which the regulator considers may be helpful in enabling it to consider and determine the application.

(7) The regulator is a prescribed person for the purposes of section 8(7).

### **How the regulator determines the application**

**23.** The regulator must determine the application having regard to—

- (a) the information provided by the applicant in connection with the application;
- (b) the information gathered by the regulator during the consideration of the application;
- (c) any other licence condition under section 13(1) which the regulator thinks appropriate to include in the licence, if the licence is granted.

### **Preparation of the licence and informing the applicant of its grant**

**24.** If the regulator grants a licence, the regulator must—

- (a) prepare the licence and any conditions to be included in the licence, in writing,
- (b) send that licence to the applicant, and
- (c) give the applicant written reasons for including any conditions in the licence.

### **Informing the applicant of the refusal of the licence**

**25.** If the regulator refuses an application for a licence, the regulator must—

- (a) inform the applicant in writing of the decision, and
- (b) give the applicant written reasons for the refusal.

### **Renewal of a licence**

**26.** Regulations 20 to 25 and 27 apply in relation to an application for the renewal of a licence as they apply in relation to an application for a licence.

### **Withdrawal of an application for a licence**

**27.** An applicant may withdraw an application for a licence by giving notice to the regulator at any time before the regulator determines the application.

## **PART 4**

### **Grant of a spaceflight operator licence: risk**

#### **CHAPTER 1**

#### **Interpretation**

### **Interpretation**

**28.** In this Part—

“applicant” means an applicant for a launch operator licence or a return operator licence;



“proposed range control service provider” means a person whom the applicant proposes should provide range control services for the applicant’s proposed spaceflight activities;

“proposed spaceport licensee” means—

- (a) the spaceport licensee for the spaceport which the applicant proposes to use for spaceflight activities, or
- (b) if no spaceport licence has yet been granted, any person who has applied or intends to apply for a spaceport licence for that spaceport;

“safety case” is to be construed in accordance with regulation 32(1).

## CHAPTER 2

### Risks to persons who are not crew or spaceflight participants

#### SECTION 1

*Steps applicant must take to ensure that risks are as low as reasonably practicable*

#### **Flight safety analysis**

**29.**—(1) An applicant must carry out a flight safety analysis in which the applicant—

- (a) identifies the major accident hazards that could, whether or not the launch vehicle malfunctions—
  - (i) arise from, or cause a major accident during, the proposed spaceflight activities, or
  - (ii) arise from the launch vehicle, or any part of it, during the proposed spaceflight activities,
- (b) completes the steps listed in regulation 31(1) for each hazard identified under sub-paragraph (a), and
- (c) estimates numerically the risk of death or serious injury arising from the hazards mentioned in sub-paragraph (a) to persons who are not human occupants.

(2) When identifying hazards under paragraph (1)(a), the applicant must consider the hazards referred to in paragraph 15(1) of Schedule 1.

(3) In carrying out the flight safety analysis the applicant must take into account the matters listed in paragraph 15(2) of Schedule 1.

#### **Ground safety analysis**

**30.**—(1) An applicant for a launch operator licence must carry out a ground safety analysis in which the applicant identifies the major accident hazards that could arise—

- (a) during, or cause a major accident during, preparations for the launch from the time when the launch vehicle or its components arrive at the spaceport or other place from which the launch is to take place, or
- (b) from the launch vehicle, or any part of it, upon or after landing, whether or not the launch vehicle malfunctions.

(2) “Preparations for the launch” in paragraph (1)(a) includes each of the following that are applicable—

- (a) transporting, handling and storing of any hazardous material in relation to the launch vehicle;
- (b) loading of the launch vehicle;
- (c) testing of—
  - (i) the launch vehicle;
  - (ii) systems on board the launch vehicle;
  - (iii) ground support equipment.

(3) An applicant for a return operator licence must carry out a ground safety analysis that identifies the major accident hazards that could arise from the launch vehicle, or any part of it, upon or after landing, whether or not the launch vehicle malfunctions.

(4) In the ground safety analysis, the applicant must complete the steps listed in regulation 31(1) for each hazard identified under paragraph (1) or (3).

(5) When identifying hazards under paragraph (1) or (3), the applicant must consider the hazards referred to in paragraph 16 of Schedule 1.

(6) In carrying out the ground safety analysis the applicant must take into account any existing legal requirements relevant to safety.

### **Steps to be taken for each identified hazard**

**31.**—(1) The steps mentioned in regulations 29(1)(b) and 30(4) which the applicant must complete for each major accident hazard identified are—

- (a) identify the conditions under which it could occur;
- (b) identify what could cause it or contribute to it;
- (c) assess the likelihood of it arising;
- (d) assess its foreseeable consequences;
- (e) use the assessments required by sub-paragraphs (c) and (d) to evaluate the risk of a major accident;
- (f) define any appropriate measures to take to—
  - (i) prevent a major accident occurring, and
  - (ii) mitigate the consequences if a major accident does occur.

(2) An applicant must—

- (a) define appropriate performance standards for the measures mentioned in paragraph (1)(f);
- (b) decide what mechanisms to use for reviewing—
  - (i) the flight safety analysis required by regulation 29(1),
  - (ii) the ground safety analysis required by regulation 30, and
  - (iii) the measures mentioned in sub-paragraph (1)(f).

(3) An applicant must produce a safety operations manual that will, if the applicant is granted the licence for which it applies, fulfil the requirements of regulation 93 and Schedule 5.

(4) When producing the safety operations manual, the applicant must—

- (a) take into account the outcomes of the steps taken under paragraph (1);
- (b) consult any proposed spaceport licensee;
- (c) consult any proposed range control service provider.

## **SECTION 2**

### *Information to be provided to the regulator*

### **Contents of the safety case**

**32.**—(1) On making an application for a launch operator licence or a return operator licence, an applicant must give the regulator a safety case that includes—

- (a) the information about the applicant and the applicant's proposed spaceflight activities listed in paragraphs 1 to 9 of Schedule 1;
- (b) the technical particulars listed in paragraphs 10 to 14 of Schedule 1;
- (c) the outcomes of each of the steps taken as part of the flight safety analysis required by regulation 29(1);

- (d) the outcomes of each of the steps taken as part of the ground safety analysis required by regulation 30;
- (e) any measures that the applicant considered but does not intend to implement to prevent, or to control or mitigate the consequences of, an identified hazard, and an explanation of why it was not reasonably practicable to implement those measures;
- (f) a description of any consultation with, or involvement in the preparation of the safety case of—
  - (i) representatives of the applicant's workforce;
  - (ii) a proposed spaceport licensee;
  - (iii) proposed range control service providers.

(2) If the applicant revises the safety case after giving it to the regulator, the applicant must give the regulator the revised safety case without delay.

#### **Applicant to provide safety operations manual to regulator**

**33.**—(1) On making an application for a launch operator licence or a return operator licence, an applicant must give the regulator a copy of the safety operations manual required by regulation 31(3).

(2) If the applicant revises the safety operations manual after giving it to the regulator, the applicant must give the regulator the revised safety operations manual without delay.

### **CHAPTER 3**

#### **Risk assessments**

##### **Prescribed roles**

**34.** For the purposes of section 9(2), crew member is a prescribed role and spaceflight participant is a prescribed capacity.

##### **Prescribed requirements for risk assessment**

**35.**—(1) In carrying out the risk assessment required by section 9(2) for human occupants, an applicant must identify hazards that could harm the health or safety of human occupants, at any time, by—

- (a) causing an accident during the relevant time, or
  - (b) arising during the relevant time.
- (2) In particular, the applicant must comply with Schedule 2.
- (3) For each hazard identified an applicant must—
- (a) identify the conditions under which it could occur;
  - (b) identify what could cause it or contribute to it;
  - (c) assess the likelihood of it arising;
  - (d) assess its foreseeable consequences;
  - (e) use the assessments required by sub-paragraphs (c) and (d) to evaluate the risks to the health and safety of human occupants;
  - (f) define any appropriate measures to take to—
    - (i) prevent it occurring, and
    - (ii) mitigate its consequences if it does occur.
- (4) For the measures defined under paragraph (3)(f), an applicant must—
- (a) define appropriate performance standards;

- (b) decide what mechanisms to use for reviewing those measures.
- (5) When considering measures under paragraph (3)(f), an applicant must take into account—
  - (a) training to be provided to human occupants;
  - (b) medical requirements for human occupants;
  - (c) the technical requirements specification of the launch vehicle.
- (6) In this regulation “the relevant time” for a human occupant starts when the human occupant boards the launch vehicle for the purpose of being carried on it during the proposed spaceflight activities and ends when all human occupants have disembarked.

### **Information to be provided to regulator about risk assessment**

- 36.**—(1) On making an application for a licence that would authorise spaceflight activities involving human occupants, an applicant must submit to the regulator—
- (a) evidence that the applicant will, if granted the licence, be able to meet the requirements of any of regulations 109 to 127 that will apply, and
  - (b) a written record of the risk assessment carried out under section 9(2).
- (2) The written record must also set out any measures that the applicant considered under regulation 32(1)(e) but does not intend to implement, with an explanation of why the applicant decided not to implement those measures.
- (3) The regulator may request that an applicant who has carried out a risk assessment under section 9(2) provide the regulator with details of the risk assessment in an easily understandable form, and an applicant must comply with such a request.
- (4) The easily understandable form of the details of the risk assessment must set out in writing the outcome of each of the steps the applicant has taken under regulation 35(1) to (3).
- (5) If an applicant revises a risk assessment after providing a written record of the risk assessment to the regulator, the applicant must, without delay, give the regulator—
- (a) a written record of the revised risk assessment, and
  - (b) the details of the updated risk assessment in an easily understandable form.

## **PART 5**

### **Grant of a spaceport licence**

#### **CHAPTER 1**

#### **Interpretation**

### **Interpretation**

**37.** In this Part—

- “actual vehicle” means a launch vehicle or carrier aircraft<sup>(a)</sup> in respect of which there is an operator applicant;
- “applicant” means an applicant for a spaceport licence;
- “licensed activities” means the activities that a person is authorised to carry out by virtue of a spaceport licence;
- “operator applicant” means a person who is applying, has applied or intends to apply for a launch operator licence or a return operator licence to authorise spaceflight activities at the proposed spaceport;

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(a) See section 2(6) for the definition of “carrier aircraft”.

“representative vehicle” means a launch vehicle or a carrier aircraft of the type that the applicant considers most likely to be used to carry out spaceflight activities at the proposed spaceport;

“safety case” is to be construed in accordance with regulation 39;

“safety clear zone” means an area which will be subject to restrictions, exclusions and warnings during the carrying out of hazardous pre-flight and post-flight operations;

“siting assessment” means an assessment carried out under regulation 41;

“the proposed spaceport” means the site in respect of which an application for a spaceport licence is being, or has been, made.

## CHAPTER 2

### Prescribed criteria and requirements

#### **Grant of a spaceport licence: prescribed criteria for horizontal spaceports**

**38.**—(1) Where the proposed spaceport is a horizontal spaceport, the proposed spaceport must be located at an aerodrome which is—

- (a) either—
  - (i) CAA licensed, or
  - (ii) EASA certified, and
- (b) NASP directed.

(2) For the purposes of this regulation “NASP directed” in relation to an aerodrome means an aerodrome which is subject to the direction of the Secretary of State under sections 12, 13, 13A, 14, 15 and 17 of the Aviation Security Act 1982<sup>(a)</sup> and Regulation (EC) No 300/2008<sup>(b)</sup>, as it forms part of domestic law on IP completion day under section 3 of the European Union (Withdrawal) Act 2018<sup>(c)</sup>.

#### **Grant of a spaceport licence: safety case requirement**

**39.**—(1) An applicant must carry out a safety case which, unless the circumstances in paragraph (2) apply, meets the requirements in paragraphs (3) to (6).

(2) The circumstances referred to in paragraph (1) are that there is no actual vehicle known to the applicant.

(3) The applicant’s safety case must take into account—

- (a) any operator applicant,
- (b) any other known user of the proposed spaceport site, and
- (c) if the proposed spaceport is a horizontal spaceport—
  - (i) the aerodrome licence holder, and
  - (ii) any other aerodrome user.

(4) The applicant’s safety case must as a minimum contain the following information—

- (a) a description of the proposed spaceport, including a description of—
  - (i) the licensed activities proposed to be conducted at that spaceport,
  - (ii) the spaceflight activities proposed to be conducted at that spaceport,
  - (iii) the activities of any other known spaceport user;
- (b) if the proposed spaceport is a horizontal spaceport, a description of the aerodrome;

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(a) 1982 c. 36.

(b) Regulation (EC) No 300/2008 of the European Parliament and of the Council of 11 March 2008 on the common rules in the field of civil aviation security and repealing Regulation (EC) No 2320/2002.

(c) 2018 c. 16.

- (c) a description of the vicinity of the proposed spaceport, including identification of any areas, developments or features which could affect the assessment of risks or hazards or the mitigation measures to be applied in the event of an emergency occurring at the spaceport;
  - (d) a plan of the proposed spaceport identifying and showing the proposed location of—
    - (i) any known spaceport infrastructure including runways, taxiways, launch pads, test stands and launch vehicle parking areas,
    - (ii) any proposed physical barrier under regulation 160(2),
    - (iii) any proposed installations including maintenance facilities, integration facilities, hangars or any other buildings,
    - (iv) any proposed hazardous material storage facilities to be designated under regulation 146, including, if known, the types and maximum quantities to be stored at each hazardous material storage facility,
    - (v) any proposed loading, unloading or venting areas or buildings, and
    - (vi) any proposed static engine or other test areas.
  - (e) a description of any spaceflight activities which the applicant believes likely to be carried out from the spaceport, including a description of any carrier aircraft or launch vehicle to be launched and any satellite or other payload to be carried by such an aircraft or vehicle if the spaceport licence is granted, including—
    - (i) any associated infrastructure requirements;
    - (ii) any associated operational requirements, such as integration facilities and hangars;
    - (iii) any operating characteristics which may affect licensed activities;
    - (iv) any rescue and firefighting requirements;
    - (v) any hazardous material to be used by a carrier aircraft, launch vehicle or satellite or other payload, or to be stored at the spaceport.
- (5) The applicant's safety case must include an assessment of possible accident or incident scenarios to identify potential hazards and risks at the spaceport and an assessment of the likelihood and severity of the consequences and the mitigation measures that will be applied in relation to, as a minimum—
- (a) the transporting, handling and storing of any hazardous material at the spaceport,
  - (b) the loading of any carrier aircraft, launch vehicle or satellite or other payloads,
  - (c) any static engine or other testing, and
  - (d) any other proposed licensed activities or spaceflight activities which may give rise to risks to members of the public.
- (6) Taking into account the findings of the assessment required under paragraph (5), the applicant's safety case must, except where regulation 40(2) applies—
- (a) identify that a safety clear zone is required,
  - (b) define the area that will comprise the safety clear zone,
  - (c) stipulate the times that the safety clear zone will be in place,
  - (d) set out the measures the applicant will take to ensure that a safety clear zone is put in place and is monitored, and
  - (e) set out the arrangements the applicant will have in place to ensure that no person, other than a person permitted to be present under regulation 145(3)(d), is inside a safety clear zone.
- (7) Where the circumstances in paragraph (2) apply, the applicant's safety case must—
- (a) be based on the representative vehicle,
  - (b) take into account the matters listed in paragraph (3), as far as these are known to the applicant,

- (c) contain as much of the information set out in paragraph (4) as is known to the applicant,
- (d) include an assessment that meets, as far as possible, the requirements of paragraph (5), and
- (e) taking into account the assessment carried out under paragraph (d), and unless regulation 40(2) applies, as far as possible satisfy the requirements in paragraph (6).

(8) In this regulation “aerodrome licence holder” means the holder of the CAA licence or EASA certificate for the aerodrome at which the proposed horizontal spaceport is to be located in accordance with regulation 38(1).

#### **Grant of a spaceport licence: safety clear zone requirement**

**40.**—(1) An applicant must, unless paragraph (2) applies, show that it will be able to put in place an appropriate safety clear zone to ensure that the risk to any person from blast overpressure, fragmentation debris, thermal radiation or toxic release will be as low as reasonably practicable during any hazardous pre-flight and post-flight operations.

(2) Paragraph (1) does not apply if the safety case demonstrates that a safety clear zone will not be required for the hazardous pre-flight or post-flight operations.

(3) For the purposes of paragraph (1), an appropriate safety clear zone is one that is determined by the assessment made in the applicant’s safety case under regulation 39(6).

#### **Grant of a spaceport licence: siting assessment requirement**

**41.**—(1) An applicant must conduct a siting assessment that meets the requirements in this regulation.

(2) The applicant’s siting assessment must—

- (a) relate to the site of the proposed spaceport,
- (b) subject to paragraph (4), be based on the actual launch vehicle or vehicles, and
- (c) result in a numerical estimate of the annualised risk of death or serious injury to members of the public posed by the spaceflight activities proposed.

(3) The level of risk determined under paragraph (2)(c) must be acceptable to the regulator.

(4) Where there is no actual launch vehicle known to the applicant the applicant’s siting assessment must be based on the representative vehicle.

### **CHAPTER 3**

#### **Members of the public**

#### **“Members of the public”: prescribed meaning for the purpose of section 10(a)**

**42.** “Members of the public” for the purpose of section 10(a) does not include any person who is—

- (a) listed in regulation 43, and
- (b) voluntarily in close proximity to a source of danger at a spaceport.

#### **Persons who are not members of the public**

**43.**—(1) The persons referred to in regulation 42 are—

- (a) an appointee, employee or agent of a licensee;
- (b) a member of the crew who has consented to accept the risks involved in the operator’s spaceflight activities in accordance with section 17 (informed consent);
- (c) a spaceflight participant who has consented to accept the risks involved in the operator’s spaceflight activities in accordance with section 17;

- (d) an individual not falling within paragraphs (a) to (c) who is taking part in the operator's spaceflight activities;
  - (e) an officer or partner of a licensee;
  - (f) an individual who is at a spaceport at the invitation of a licensee;
  - (g) an employee or an individual acting on behalf of the regulator or with the regulator's authority;
  - (h) an employee or an individual acting on behalf of the government of another country in connection with spaceflight activities;
  - (i) an employee of the emergency services;
  - (j) an employee of the SAIA;
  - (k) compliance authority personnel;
  - (l) an employee of a qualifying health and safety authority;
  - (m) a member of the armed forces of the Crown.
- (2) For the purposes of paragraph (1)—
- “compliance authority personnel” includes the following persons—
- (a) SAIA Inspectors;
  - (b) CAA Inspectors and Auditors;
  - (c) Inspectors from the Department for Environment, Food and Rural Affairs and its agencies;
  - (d) National Air Traffic Controllers;
  - (e) National Crime Agency personnel<sup>(a)</sup>;
  - (f) Officers of the Health and Safety Executive;
  - (g) United Kingdom Security and Intelligence Services;
  - (h) Police Officers;
  - (i) Police Community Support Officers;
  - (j) Public Health England;
- “officer”, in sub-paragraph (e), has the meaning given in section 57(3);
- “partner”, in sub-paragraph (e), includes a person purporting to be a partner.

## PART 6

### Range Control Services

#### CHAPTER 1

##### Interpretation

#### Interpretation

##### 44. In this Part—

- “communication network” means a set of individuals connected to each other by real time communicative interaction;
- “current safety case” has the meaning given in regulation 81(1);
- “designated site” means—
- (a) a spaceport at which the operator's spaceflight activities are to be carried out, or

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<sup>(a)</sup> The National Crime Agency was established by section 1 of the Crime and Courts Act 2003 (c. 22).



- (b) where the operator's spaceflight activities include a controlled and planned landing of a launch vehicle at a site other than a spaceport, that site;
- "hazard area" has the meaning given in regulation 50(1);
- "land" means land situated in the United Kingdom;
- "licence" means a range control licence(a);
- "licensee" means the holder of a range control licence;
- "the licensee's range control services" means the particular range control services(b) that are authorised by the licence held by the licensee;
- "relevant agreement" has the meaning given in regulation 46;
- "relevant authorities" has the meaning given in regulation 47;
- "warning zone" has the meaning given in regulation 50(4)(c).

## CHAPTER 2

### Requirements about the licensee's capability

#### **The licensee's organisation and management**

**45.—**(1) The matters which the licensee must ensure are in place to provide the licensee's range control services in support of the operator's spaceflight activities include—

- (a) the equipment, financial and technical resources necessary to provide those range control services and do any other matter authorised by the licence;
- (b) where the licensee's range control services consist of or include the management of the designated range, the capability to ensure that the licensee is able to exercise effective control over each zone(c) comprised in the designated range prior to and during the operator's spaceflight activities, including co-ordinating operations with the spaceflight operator and the relevant authorities;
- (c) where the licensee's range control services consist of or include the issue of notifications in connection with monitoring the designated range, the capability to issue notifications or ensure that such notifications are issued by the relevant authorities;
- (d) where the licensee's range control services consist of or include the identification of the designated range, the technical capability to identify a range taking account of the operator's spaceflight activities and the characteristics of the designated site;
- (e) where the licensee's range control services consist of or include monitoring functions, the technical capability—
  - (i) where the operator's spaceflight activities are authorised by a launch operator licence, to track the position of the launch vehicle from launch to the point at which the licensee's responsibility for tracking the launch vehicle ceases as provided in the current safety case for the operator's spaceflight activities;
  - (ii) where the operator's spaceflight activities are authorised by a return operator licence, to track the position of the launch vehicle until that vehicle lands in the United Kingdom as provided in the current safety case for the operator's spaceflight activities;
  - (iii) to conduct surveillance of the designated range in order to detect the positions and speeds of objects, including boats and aircraft, entering the designated range prior to and during the operator's spaceflight activities;

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(a) "range control licence" has the meaning given in section 7(2).  
 (b) "range control services" has the meaning given in section 6(1).  
 (c) See section 5(1) for the definition of "zone".

- (f) sufficient suitably qualified and experienced employees or agents to do the things authorised by the licence and an appropriate management structure;
- (g) effective communication networks to enable all parties involved in the provision of the licensee's range control services to communicate with each other and with persons involved in the operator's spaceflight activities during the provision of the licensee's range control services;
- (h) facilities or infrastructure;
- (i) the capability to comply with—
  - (i) all relevant requirements under any enactment relating to the provision of the licensee's range control services including health and safety requirements, and
  - (ii) any requirement contained in a condition subject to which the licence is granted.

(2) In paragraph (1)(h) "facilities or infrastructure" include facilities or infrastructure relating to communications, the collection and retention of information and data, and access to a supply of electrical power and other utilities.

(3) The licensee must ensure that the supply of electrical power and other utilities referred to in paragraph (2) is provided and maintained so as to ensure that the provision of the licensee's range control services is not interrupted or compromised prior to or during the operator's spaceflight activities.

## CHAPTER 3

### Agreements with relevant authorities

#### **Relevant agreements**

**46.**—(1) Where the licensee's range control services consist of or include monitoring the designated range, before commencing those services, the licensee must enter into an agreement in writing (a "relevant agreement") with each of the persons specified in regulation 47 (a "relevant authority") and provide a copy of such agreement to the regulator.

(2) A relevant agreement must—

- (a) relate to the provision of the licensee's range control services in respect of the operator's spaceflight activities at the designated site;
- (b) set out the terms under which the licensee will co-operate with the relevant authority to ensure the effective and safe operation of the range prior to and during the operator's spaceflight activities including—
  - (i) the information which the licensee must provide to the relevant authority in order for the relevant authority to fulfil its function of issuing notifications to third party air users and mariners;
  - (ii) the time period within which and the format in which such information must be provided;
  - (iii) the procedures for communication between the parties to the relevant agreement.

(3) The licensee must review the relevant agreement on an annual basis to determine whether, having regard to its operation over the preceding period of 12 months, any amendment is appropriate or necessary and the first review must take place on the first anniversary of the date of the relevant agreement.

(4) If, following such review, the licensee considers that an amendment to the agreement is appropriate or necessary, it must take all reasonable steps to agree an amendment to the relevant agreement with the relevant authority which it considers reasonably necessary to address matters arising out of the review.

(5) The licensee must not terminate or enter into any amendment to a relevant agreement unless it has notified the regulator and obtained the regulator's prior written approval to such termination or amendment.

(6) If a relevant agreement is terminated by a party other than the licensee (a “terminated agreement”), the licensee must, without delay, notify the regulator and take steps to enter into an agreement in place of the terminated agreement (a “replacement agreement”) and the provisions of this regulation apply to the replacement agreement as they applied to the terminated agreement.

### **Relevant authorities**

**47.** The relevant authorities are—

- (a) the appropriate air navigation service provider for aircraft flying in the volume of airspace falling within the designated range;
- (b) the Maritime and Coastguard Agency(a), Marine Scotland(b) or such other appropriate management organisation having responsibility for the United Kingdom territorial waters, if any, falling within the designated range;
- (c) the United Kingdom Hydrographic Office(c).

### **Communication with relevant authorities**

**48.—**(1) The licensee must establish and maintain effective communication networks with the relevant authorities to enable—

- (a) the parties to the relevant agreements to co-ordinate the monitoring of the movements of third party air users and mariners which might pose a hazard to the operator’s spaceflight activities or to which the operator’s spaceflight activities might pose a hazard;
- (b) warnings to be issued to third party air users and mariners or other action to be taken with a view to avoiding or mitigating any loss or damage which may otherwise be caused—
  - (i) to the operator’s spaceflight activities by such third parties’ actions, or
  - (ii) to such third parties resulting from the operator’s spaceflight activities.

(2) The licensee must ensure that the communication networks which it has established with the relevant authorities permit real time contact between their respective personnel during the operator’s spaceflight activities.

## **CHAPTER 4**

### **The range and hazard areas**

#### **Identification of the designated range**

**49.—**(1) Where the licensee’s range control services consist of or include identifying an appropriate range for the operator’s spaceflight activities, prior to the commencement of the operator’s spaceflight activities, the licensee must—

- (a) identify an appropriate range for the operator’s spaceflight activities, taking into account the matters referred to in paragraph (2), and
- (b) provide details of the range set out in paragraph (3) to the persons mentioned in paragraph (4).

(2) The matters to be taken into account under paragraph (1)(a) include—

- (a) the characteristics of the launch vehicle;
- (b) the planned trajectory of the launch vehicle;
- (c) the capabilities of the equipment to be used by the licensee in the provision of the licensee’s range control services;

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(a) The Maritime and Coastguard Agency is an executive agency of the Department for Transport.

(b) Marine Scotland is a directorate of the Scottish Government.

(c) The United Kingdom Hydrographic Office is an executive agency of the Ministry of Defence.

- (d) relevant environmental and meteorological conditions which will apply prior to and during the launch, flight or controlled or planned landing, as the case may be, of the launch vehicle including the information provided by the spaceflight operator referred to in regulation 100(2);
  - (e) areas of population and activities regularly conducted by individuals not involved in the operator's spaceflight activities—
    - (i) at or in the vicinity of the designated site, or
    - (ii) in any other area in respect of which the operator's spaceflight activities may pose a risk to the health, safety or property of such areas of population or individuals.
- (3) The licensee must, utilising meteorological, chronological and other relevant measurement systems, accurately identify—
- (a) the locations of the designated site, the mission management facility<sup>(a)</sup> and of any relevant equipment to be used in the provision of the licensee's range control services during the operator's spaceflight activities;
  - (b) the dimensions of—
    - (i) any area of land,
    - (ii) any area of sea, and
    - (iii) the volume of airspace,
 falling within the designated range;
  - (c) the outer boundaries of the designated range, being the estimated location at which the launch vehicle, or any part or debris from it, may land following the activation of the flight safety system relating to the operator's spaceflight activities;
  - (d) the inner boundaries of the designated range, being the activation points for the flight safety system as provided by the spaceflight operator's current safety case.
- (4) The licensee must provide written details of the designated range without delay to—
- (a) the regulator,
  - (b) the spaceflight operator, and
  - (c) the spaceport licensee authorised to operate the spaceport at the designated site.

### Identification of hazard areas

**50.**—(1) This regulation applies where the licensee's range control services consist of or include identifying a volume of airspace or an area or areas of land or sea falling within the designated range (a "hazard area") which require to be made subject to restrictions, exclusions or warnings for keeping the area clear at relevant times of—

- (a) persons or things that might pose a hazard to the operator's spaceflight activities, and
  - (b) persons or things to which the operator's spaceflight activities might pose a hazard.
- (2) Prior to the commencement of the operator's spaceflight activities, the licensee must identify the dimensions, location and boundary of each hazard area and must promulgate—
- (a) which part or parts of the hazard area should be designated—
    - (i) an exclusion zone,
    - (ii) a restricted zone, and
    - (iii) a warning zone, and
  - (b) the time periods within which such zones should be operative.
- (3) The licensee must communicate the information in paragraph (2), in relation to each hazard area, without delay to—

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(a) "Mission management facility" is defined in section 69(1) as having the meaning given in section 19(4).

- (a) the regulator;
  - (b) the spaceflight operator;
  - (c) the spaceport licensee authorised to operate the spaceport at the designated site;
  - (d) the relevant authorities.
- (4) In paragraph (2)—
- (a) an “exclusion zone” is part of a hazard area to which entry by any vehicle, craft, individual or domestic animal is excluded;
  - (b) a “restricted zone” is part of a hazard area to which entry is restricted to authorised individuals whose presence is necessary for the carrying out of spaceflight activities or for the performance of duties in connection with such activities;
  - (c) a “warning zone” is part of a hazard area to which entry is not restricted but which is subject to a requirement to provide a warning notice in accordance with regulation 54.

### **Monitoring of a hazard area**

**51.**—(1) Where the licensee’s range control services consist of or include monitoring a hazard area, the licensee must ensure that—

- (a) appropriate measures are taken to protect an exclusion zone or restricted zone from unauthorised entry during time periods within which the zones are operative, and
- (b) a warning notice has been issued in respect of a warning zone in accordance with regulation 54.

(2) Where a licensee becomes aware of any unauthorised entry to an excluded or restricted zone during a relevant time period which poses a hazard to the operator’s spaceflight activities or the provision of range control services in support of those activities (an “incident”), it must, within 48 hours beginning with the time of the incident, provide a written report to the regulator providing details of the incident including—

- (a) the date, time and location of the incident,
- (b) a physical description of, or other identifying information relating to, the individual or object involved in the incident,
- (c) the type, description and registration details of any vehicle, boat, aircraft, rocket or other craft involved in the incident, and
- (d) any action taken in response to the incident by the licensee or the spaceflight operator.

(3) Following receipt of a report referred to in paragraph (2), the regulator may issue a written request to the licensee to provide such further information relating to the incident as it may specify.

(4) Where the regulator has requested the provision of further information, the licensee must provide such information within such period as is reasonable in the circumstances.

## **CHAPTER 5**

### **Notification requirements**

#### **Requirement to notify persons**

**52.**—(1) Where the licensee’s range control services consist of or include the issue of notifications in connection with monitoring the designated range, the licensee must notify the persons listed in paragraph (2) of certain information relating to the operator’s spaceflight activities in accordance with regulation 53.

(2) The persons referred to in paragraph (1) are—

- (a) the local authority<sup>(a)</sup> in whose administrative area any area of land comprised in the designated range is situated, whether wholly or partially;
- (b) the emergency services which are relevant to the location of the designated site;
- (c) the CAA;
- (d) every owner, lessee or occupier of any part of the land falling within the designated range;
- (e) any other person or organisation specified in a condition subject to which the licence is granted which the regulator considers should be notified of spaceflight activities taking place within the designated range.

(3) In this regulation “owner” has the meaning given in section 56 of the Civil Aviation Act 1982<sup>(b)</sup>.

### Notification requirements

**53.**—(1) This regulation applies to the provision of a notification referred to in regulation 52.

(2) Where the notification is to be provided to a local authority, the emergency services or the CAA, the notification must be provided—

- (a) within such period prior to the commencement of the operator’s spaceflight activities,
- (b) containing such data and information, and
- (c) in such form and manner,

as the recipient may require.

(3) Where the notification is to be provided to an owner, lessee or occupier of land, the notification must—

- (a) be provided at any time not later than 4 weeks prior to the proposed date of commencement of the operator’s spaceflight activities,
- (b) contain such information regarding the nature and timing of the operator’s spaceflight activities as is reasonably necessary to ensure that the owner, lessee or occupier of land does not pose a hazard to the operator’s spaceflight activities and the operator’s spaceflight activities do not pose a hazard to the owner, lessee or occupier of land, and
- (c) be in writing and delivered by post or by leaving it at the address of the owner, lessee or occupier of the land.

(4) If it is not practicable, after reasonable enquiry, to ascertain the name or address of any owner, lessee or occupier of land to whom notification must be provided, the notification may be provided by addressing it to them by the description of “owner”, “lessee” or “occupier” of the land, with a description of the land to which the notification relates and by delivering it to some person on the premises or, if there is no person on the premises to whom it can be delivered, by affixing it, or a copy of it, to some conspicuous part of the premises.

(5) Where the notification is to be provided to a person or organisation referred to in regulation 52(2)(e), the notification must be provided in accordance with the terms of the condition subject to which the licence has been granted.

### Warning notices

**54.**—(1) Where the licensee’s range control services consist of or include monitoring a part of a hazard area designated as a warning zone, the licensee must issue a warning notice or notices in accordance with paragraph (2).

(2) A warning notice must—

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<sup>(a)</sup> “local authority” has the meaning given in section 69(1) of the Act.  
<sup>(b)</sup> 1982 c. 16.

- (a) contain such information as is reasonably necessary to make the individuals referred to in paragraph (3) aware of the operator's spaceflight activities, with a view to ensuring that—
    - (i) they do not pose a hazard to the operator's spaceflight activities;
    - (ii) the operator's spaceflight activities do not pose a hazard to them;
  - (b) be provided within a reasonable period prior to the commencement of the operator's spaceflight activities;
  - (c) be issued in a manner which is reasonably necessary to alert the individuals referred to in paragraph (3) to the operator's spaceflight activities.
- (3) The individuals are—
- (a) an individual whose regular place of work is situated on any part of the land falling within the designated range, and
  - (b) any individual who might enter or traverse any part of the land falling within the designated range in exercise of a legal right, entitlement or privilege including, but not limited to, an easement or a public right of way,
- but do not include excluded individuals.
- (4) The following individuals are “excluded individuals” for the purposes of paragraph (3)—
- (a) an individual who is involved with the operator's spaceflight activities,
  - (b) an individual who is involved with the operation of the spaceport at the designated site,
  - (c) an individual who is involved with the provision of the licensee's range control services,
  - (d) a member of the police, fire, rescue or ambulance services, or
  - (e) an individual employed by, or appointed to act on behalf of, the regulator.

## CHAPTER 6

### Safety

#### **Quality management system requirement**

**55.—**(1) Without prejudice to any conditions attached to a licence, a licensee must establish and implement management systems that give due priority to safety in relation to the provision of the licensee's range control services.

(2) The licensee must, within its management systems, make, implement and maintain adequate quality management arrangements in respect of all matters relating to the provision of the licensee's range control services which may affect the safety of the operator's spaceflight activities.

(3) Prior to the commencement of the licensee's range control services, the licensee must submit to the regulator a written record of the systems and arrangements referred to in paragraphs (1) and (2).

(4) The licensee must provide prior notice to the regulator of any significant alteration or amendment to the management systems or quality management arrangements referred to in paragraph (3).

(5) The licensee must provide to the regulator copies of records or documents referred to in or relating to such management systems or quality management arrangements as the regulator may require.

## CHAPTER 7

Applicable conditions where an operator is authorised to provide range control services in respect of the operator's spaceflight activities

### Application

**56.** This Chapter applies where a spaceflight operator is authorised by a licence to provide range control services in respect of the operator's spaceflight activities carried out by that spaceflight operator.

### Conditions applying to spaceflight operator

**57.**—(1) The spaceflight operator must establish and implement management systems so that the part of its organisation which is responsible for providing the licensee's range control services is distinct and separate from the part of its organisation which is engaged in the operator's spaceflight activities, in order to ensure that the performance of the obligations imposed upon the licensee under this Part are neither compromised nor adversely affected by a conflict of interest between the different parts of the organisation.

(2) To achieve that objective, the management systems must include—

- (a) separate personnel appointed solely to undertake roles in the provision of the licensee's range control services;
- (b) authorised lines of communication between the appropriate individuals involved in the provision of the licensee's range control services and the individuals engaged in the operator's spaceflight activities, for the transmission of data or information relating to the safety of the operator's spaceflight activities;
- (c) measures which ensure that the individuals appointed to the prescribed roles under regulation 11 and the other personnel involved in the provision of the licensee's range control services report to and are managed by independent individuals;
- (d) a process under which—
  - (i) an individual involved in the provision of the licensee's range control services can lodge a formal complaint or concern regarding any act or omission by personnel involved in the operator's spaceflight activities which the individual considers may compromise, or adversely affect, the proper performance of the range control services, with particular regard to the safety of the operator's spaceflight activities;
  - (ii) a complaint or concern referred to in sub-paragraph (i) can be properly investigated by an independent individual appointed by the spaceflight operator; and
  - (iii) appropriate action is taken in the light of the results of the investigation by the independent individual referred to in sub-paragraph (ii).

(3) For the purposes of this regulation, an individual is to be regarded as independent only where—

- (a) the individual's function or role will not involve the consideration by that individual of any aspect of the operator's spaceflight activities for which that individual bears or has borne responsibility or where that individual's objectivity may be compromised, and
- (b) the individual is sufficiently independent of a management scheme which has, or has had, any responsibility for any aspect of the operator's spaceflight activities.



## PART 7

### Training, qualifications and medical fitness

#### CHAPTER 1

##### General

##### Interpretation

**58.** In this Part—

“competence assessment” has the meaning given in regulation 72(3)(c);

“EU Aircrew Regulation” means Commission Regulation (EU) No 1178/2011 of 3rd November 2011 laying down technical requirements and administrative procedures related to civil aviation aircrew pursuant to Regulation (EC) No 216/2008 of the European Parliament and of the Council, as it forms part of domestic law on IP completion day under section 3 of the European Union (Withdrawal) Act 2018;

“ICAO compliant”, in relation to a licence, means a licence which complies with Annex 1 to the Convention on International Civil Aviation signed on behalf of the Government of the United Kingdom at Chicago on 7th December 1944, and is issued by the licensing authority in a country which is a party to that Convention;

“licensee” means a person who holds a launch operator licence, a return operator licence, a spaceport licence or a range control licence under the Act;

“medical assessment” has the meaning given in regulation 75(5);

“medical examination” has the meaning given in regulation 75(5);

“normal conditions” in relation to a flight means conditions in which—

- (a) all launch vehicle aerodynamic parameters are as expected,
- (b) all the internal and external systems of the launch vehicle perform exactly as planned, and
- (c) there are no external perturbing influences other than atmospheric drag and gravity;

“relevant individual” has the meaning given in regulation 61(1);

“relevant requirement” has the meaning given in regulation 68(3);

“safety-critical function” means any function the performance of which is essential for preventing serious or fatal injuries as a result of the spaceflight operator’s spaceflight activities;

“simulated training device” has the meaning given in regulation 74;

“specified capacity” means a capacity which is specified for the purposes of section 18(4)(a) by regulation 60(1);

“specified role” means a role which is specified for the purposes of section 18(4)(b) by regulation 59(1).

##### Specified roles and criteria

**59.**—(1) The following roles are specified for the purposes of section 18(4)—

- (a) the training manager;
- (b) the launch director;
- (c) the flight termination personnel;
- (d) the flight crew and remote pilots;
- (e) the engineer;
- (f) the range operations manager;
- (g) the range safety manager.

(2) The criteria set out in Part 1 of Schedule 3 are specified criteria for the purposes of section 18(4) as follows—

- (a) the criteria in paragraphs 1 to 6 are specified for the role of launch director;
- (b) the criteria in paragraphs 8 to 12 are specified for the role of flight termination personnel;
- (c) the criteria in paragraphs 13 to 20 are specified for the role of flight crew and remote pilots;
- (d) the criteria in paragraph 21 are specified for the role of engineer;
- (e) the criteria in paragraphs 22 to 24 are specified for the role of range operations manager;
- (f) the criteria in paragraphs 25 to 29 are specified for the role of range safety manager.

### **Specified capacities and criteria**

**60.**—(1) Spaceflight participant is a specified capacity for the purposes of section 18(4)(a).

(2) The criteria in paragraph 52 of Schedule 3 are specified criteria in relation to spaceflight participants for the purposes of section 18(4).

### **Responsibility of licensees**

**61.**—(1) The licensee is responsible for ensuring that—

- (a) individuals who perform any specified role or have a specified capacity (“relevant individuals”) satisfy—
  - (i) the conditions set out in paragraph (2), or
  - (ii) if paragraph (4) applies in relation to the individual concerned, the conditions set out in paragraph (5);
- (b) individuals who participate in the licensed activities but do not perform a specified role or have a specified capacity, satisfy the conditions set out in paragraph (3).

(2) An individual (“A”) satisfies the conditions in this paragraph if A—

- (a) has the qualifications, skills, experience and competencies required by this Part,
- (b) has received training appropriate to A’s role or capacity which—
  - (i) enables A to satisfy any criteria specified for that role by regulation 59(2) or for that capacity by regulation 60(2), and
  - (ii) otherwise complies with the requirements set out in this Part, and
- (c) is medically fit to perform any duties assigned to A.

(3) An individual (“B”) satisfies the conditions in this paragraph if B—

- (a) has participated in a training programme, including instruction on safety, appropriate to B’s role,
- (b) has been assessed as being competent to perform B’s duties, and
- (c) is medically fit to perform B’s duties.

(4) This paragraph applies to an individual who is taking part in licensed activities as a remote pilot for a spaceflight operator who holds a return operator licence but no other licence under the Act.

(5) An individual (“C”) satisfies the conditions in this paragraph if C—

- (a) has been assessed by a competent authority in the United Kingdom, or in a jurisdiction outside the United Kingdom, as being competent to perform the duties of a remote pilot in relation to the type of launch vehicle for which C has that role, and
- (b) is medically fit to perform those duties.

(6) For these purposes, subject to paragraph (9), the licensee must—

- (a) establish and maintain a training programme which complies with the requirements in regulation 72,
  - (b) prepare and maintain a training manual which complies with the requirements in regulation 69, and
  - (c) ensure that relevant individuals are medically fit to perform their duties in accordance with regulation 75(1).
- (7) The licensee may satisfy the obligation in paragraph (1), in relation to any relevant individuals who are not its employees or spaceflight participants, by checking that—
- (a) the individual concerned (“RI”)—
    - (i) satisfies the condition in paragraph (2)(a), and
    - (ii) has received any training required to ensure that RI satisfies the conditions in paragraph (2)(a) from RI’s employer, and
  - (b) RI is medically fit to perform RI’s duties and, where regulation 75 applies, has been certified as medically fit in accordance with that regulation.
- (8) The licensee must have in place a training management system which—
- (a) is proportionate to the scale, nature and complexity of the licensed activities and the training programme;
  - (b) sets out clearly defined lines of responsibility and accountability for training, including—
    - (i) a direct accountability for training on the part of senior management of the licensee, and
    - (ii) the persons to whom and for whom the training manager is accountable;
  - (c) includes the means of measuring and verifying the effectiveness of the training programme;
  - (d) provides the means of monitoring the provision of any services or equipment by a third party contractor under regulation 62.
- (9) A spaceflight operator who holds no licence under the Act apart from a return operator licence is not required to appoint a training manager or comply with paragraph (6)(a) or (b), or regulations 69, 71 and 72 to 74.

### **Training resources**

- 62.—**(1) A licensee must ensure that it has adequate personnel, facilities and equipment to satisfy its obligations under this Part.
- (2) If the conditions set out in paragraph (4) are satisfied, a licensee may enter into arrangements with a third party—
- (a) to provide personnel, facilities or equipment for use in the licensee’s training programme,
  - (b) to perform any part of its training programme, or
  - (c) to carry out medical assessments and medical examinations.
- (3) Before entering into any such arrangements with a third party, the licensee must satisfy itself that the personnel, services, equipment or other facilities to be provided by the third party satisfy the requirements of this Part.
- (4) The conditions referred to in paragraph (2) are that—
- (a) the arrangements between the licensee and the third party ensure that the licensee retains full control over—
    - (i) the content of any service provided by the third party, and the way in which that service is provided, and
    - (ii) what equipment or facilities are to be provided by the third party, and the condition of that equipment or those facilities;

- (b) the arrangements between the licensee and the third party enable the licensee to provide access to the regulator, or any person authorised by the regulator, to any records or other material held by the third party which relates to the licensed activities;
- (c) the arrangements between the licensee and the third party are set out in full in a contract between them.

(5) The use by the licensee of a third party to provide services to the licensee for the purpose of the licensee's obligations under the Act, these Regulations and its licence does not relieve the licensee from any liability in relation to those obligations.

## **Records**

**63.**—(1) The licensee must keep the records specified in paragraph (2) for a period of at least two years beginning on the first day of the calendar year following the year in which the records were created.

(2) The records are—

- (a) the training manual, including any previous versions of the training manual, and all associated documents, including all documents referred to in the training manual;
- (b) records relating to individual participants in the training, including results of competence assessments, and details of the training received by each individual participant;
- (c) records relating to any mission rehearsals carried out;
- (d) details of the training equipment used;
- (e) records of the instructors employed on the training programme;
- (f) records of any arrangements made with a third party to provide services or equipment to the licensee under regulation 62(2);
- (g) a record of any mission simulation which took place in accordance with regulation 73(5), including details of every person who participated in the rehearsal, and of any problems which arose during the rehearsal;
- (h) the records relating to medical examinations and medical assessments produced under regulation 80;
- (i) records of the training given to spaceflight participants;
- (j) copies of any consent form signed by all relevant individuals and spaceflight participants in accordance with section 17(2);
- (k) any other records needed for the licensee to demonstrate that its training activity has been conducted in accordance with the training manual, any conditions on its licence, and these Regulations.

## **CHAPTER 2**

### **The training manager**

#### **The training manager**

**64.**—(1) An individual may only be appointed, whether under regulation 7(1)(d) (in the case of a spaceport licensee), 9(2)(b) (in the case of a spaceflight operator) or 11(1)(e) (in the case of a range control licensee) or otherwise, to act as the training manager of a licensee if—

- (a) that individual satisfies the eligibility criteria provided for in regulations 5 and 6, and
- (b) the appointment of that individual has been approved by the regulator.

(2) The training manager must be an employee of the licensee.

(3) The training manager is responsible for—

- (a) ensuring that the licensee complies with the requirements in these Regulations in relation to the qualification, training and medical fitness of individuals performing specified roles and spaceflight participants,

- (b) establishing and running a system for managing the provision of training and qualifications and ensuring the medical fitness of individuals performing specified roles and spaceflight participants, and
- (c) carrying out the functions referred to in regulation 66.

#### **The training manager (application for approval)**

**65.**—(1) An application for the regulator’s approval of the training manager under regulation 64 must be made by a licensee, or by an applicant for a licence.

(2) The application must—

- (a) be made in such manner as the regulator may direct;
- (b) include a statement of the matters for which the training manager is to be responsible;
- (c) contain, or be accompanied by, such other information as the regulator may reasonably require.

(3) At any time after the application is received and before it is determined, the regulator may require the applicant to provide it with such further information as it reasonably considers necessary to enable it to determine the application.

(4) The applicant must inform the regulator in writing as soon as possible of any significant change in any of the information provided to the regulator in or with the application or in response to a requirement from the regulator under paragraph (3).

(5) No change may be made to the extent of the responsibilities of the training manager, as described in the statement referred to in paragraph (2)(b), unless this has been approved by the regulator.

(6) Paragraphs (1) to (4) apply to an application for approval under paragraph (5), as they apply to an application for approval under paragraph (1).

#### **The training manager’s functions**

**66.** The training manager has the following functions—

- (a) organising and managing such instructors and medical staff, facilities and equipment as are sufficient and appropriate to comply with the licensee’s obligations under this Part;
- (b) reviewing, and where appropriate, supervising the training provided by instructors and the progress of relevant individuals, making improvements to training activity where possible, and ensuring that any unsafe training practices are corrected;
- (c) ensuring that the training is kept up to date, and that it meets the needs of all the activities which the licensee is licensed to carry out;
- (d) establishing a system to record and document all training activity undertaken by the licensee, with information on the progress of each relevant individual (“RI”), including—
  - (i) whether each RI has completed the training relevant to that individual,
  - (ii) whether each RI has met the approved performance criteria necessary to be qualified to perform the RI’s role, and
  - (iii) the results of any tests undertaken by an RI;
- (e) maintaining records of all medical examinations and medical assessments carried out in relation to RIs;
- (f) administering any informed consent process required by Part 11.

#### **Approval of the appointment of training manager**

**67.**—(1) The regulator may approve an application under regulation 65 only if—

- (a) it is satisfied that the individual who is the subject of the application—

- (i) is a suitable person to perform the role of training manager with the licensee or, where relevant, the applicant for a licence,
    - (ii) satisfies the condition in regulation 76(1), and
    - (iii) satisfies the eligibility criteria prescribed for the licensee in regulations 5 and 6 in relation to that role;
  - (b) where the application is made by an applicant for a licence, that licence application is granted;
  - (c) where the application is made by a licensee, the licence of that holder is not suspended or revoked.
- (2) The matters the regulator may take into account in making the determination in paragraph (1)(a) include—
- (a) the individual’s qualifications, including—
    - (i) knowledge of good educational practice and instruction techniques suitable for complex technical subjects;
    - (ii) an ability to devise appropriate courses for the training programme;
  - (b) the individual’s training and experience, including whether—
    - (i) they have practical experience as an instructor in technical subjects relevant to the activities for which the licensee is licensed;
    - (ii) they can provide evidence of good organisational skills and managerial capability.
- (3) The regulator may approve an application under this regulation—
- (a) for a specified time, and
  - (b) subject to specified conditions,
- and for these purposes, “specified” means specified by the regulator.

### **Termination of the training manager’s approval**

- 68.**—(1) An approval given by the regulator under regulation 67 ceases to be valid if—
- (a) the licence of the applicant is surrendered or revoked,
  - (b) the training manager ceases to satisfy the eligibility criteria referred to in regulation 67(1)(a)(iii), or
  - (c) the applicant or the approved individual is convicted of an offence under the Act.
- (2) The regulator may revoke the approval given to the appointment of the training manager if the licensee or the training manager has contravened a relevant requirement.
- (3) For the purposes of paragraph (2), a “relevant requirement” is—
- (a) a requirement imposed by or under the Act, including a requirement imposed under these Regulations;
  - (b) a condition included in the licence of the licensee;
  - (c) a condition on the approval of the appointment of the training manager.

## **CHAPTER 3**

### **Training manual**

#### **Training manual**

- 69.**—(1) The licensee must—
- (a) compile a training manual which complies with the requirements in Part 2 of Schedule 3, and
  - (b) apply to the regulator for approval of those sections of that training manual which relate to relevant individuals (the “relevant sections”).

(2) An application for the regulator's approval of the relevant sections of the training manual must be made by—

- (a) a licensee, or
- (b) an applicant for a licence.

(3) The application must—

- (a) be made in such manner as the regulator may direct, and
- (b) contain, or be accompanied by, such information as the regulator may reasonably require.

(4) At any time after the application is received and before it is determined, the regulator may require the applicant to provide it with such further information as it reasonably considers necessary to enable it to determine the application.

(5) Once the relevant sections of the training manual have been approved, the licensee must make available to its staff, and any person contracted to provide training services to the licensee, the manual, or those sections of the manual which are relevant to their duties.

(6) The licensee must also, where appropriate, provide copies of sections of the manual relevant to students to those students admitted to its training programme.

(7) The licensee must ensure that each copy of the training manual is kept up to date.

### **Approval of the training manual**

**70.**—(1) The regulator may only approve sections of a training manual under regulation 69(1)(b) if it is satisfied that the sections of the training manual referred to in regulation 69(1)(b)—

- (a) comply with the requirements set out in Part 2 of Schedule 3, and
- (b) are fit for their purpose.

(2) In determining whether the requirement in paragraph (1)(b) is satisfied, the regulator must take into account—

- (a) the scope, structure and content of the relevant sections of the training manual,
- (b) any material referred to in those sections of the training manual, and
- (c) how applicable those sections of the training manual are to the licensed activities.

### **Changes to the training manual**

**71.**—(1) The applicant must inform the regulator in writing as soon as possible of any significant change in any of the information provided to the regulator in or with the application for approval of sections of the training manual relating to relevant individuals or in response to a requirement from the regulator under regulation 69(4).

(2) No change may be made to any of the following items referred to in the training manual without the approval of the regulator—

- (a) the scope of the training for relevant individuals carried out by or on behalf of the licensee (“the training”);
- (b) the locations where the training is carried out;
- (c) the course structure and training methods adopted for the training;
- (d) the use of instructors in the training;
- (e) the standards of competence set for each role in relation to the licensed activities;
- (f) the facilities and equipment used in the training, including the simulated training devices and aircraft where appropriate;
- (g) the arrangements for medical examinations for crew and spaceflight participants.

(3) The regulator may not approve a change to any of the matters referred to in paragraph (2) if amending the training manual to reflect that change would in the regulator's opinion mean that the

sections of the training manual relating to relevant individuals no longer satisfied the requirements in regulation 70.

(4) Regulation 69(2) to (4) applies to an application for approval under paragraph (2), as it applies to an application for approval under regulation 69(2).

## CHAPTER 4

### Training programme

#### **Training programme**

**72.—**(1) The licensee must establish and maintain a training programme—

- (a) combining practical and theoretical training for—
  - (i) all individuals who will be on board a launch vehicle or a carrier aircraft in flight;
  - (ii) any other individual employed by the licensee who will perform a specified role;
  - (iii) any other individual contracted to provide services for the licensee who will perform a specified role;
- (b) providing appropriate training for individuals who participate in the licensed activities but do not perform a specified role or act in a specified capacity.

(2) The objective of the training programme is to ensure that all participants in it are adequately qualified, trained, and medically fit to perform their assigned duties or otherwise participate in the licensed activities.

(3) To achieve that objective, the training programme must include—

- (a) initial proficiency and readiness training in accordance with paragraphs (4), (5) and (6) respectively for all relevant individuals;
- (b) safety instruction for individuals who do not perform a specified role, and
- (c) assessments to determine—
  - (i) what training is required by relevant individuals;
  - (ii) whether individuals on a course of training have received and successfully completed all the necessary elements of that training (“a training assessment”);
  - (iii) if individuals are competent to perform their functions in relation to the licensed activities (“a competence assessment”).

(4) Initial training must enable individuals to become familiar with—

- (a) the licensee’s organisation and methods of working;
- (b) the safety regulations in Part 8, including the procedures set out in the safety operations manual applying to the licensed activities;
- (c) the other regulations applying to the licensee and its licensed activities,

where this is necessary to enable individuals to perform their roles, or to act as spaceflight participants.

(5) Proficiency training must be provided for each specified role to ensure that individuals appointed to perform that role—

- (a) satisfy the criteria specified in relation to that role in Part 1 of Schedule 3,
- (b) receive the training specified in Part 3 of Schedule 3, and
- (c) are able to perform the duties associated with that role and otherwise take part in the licensed activities.

(6) Readiness training must be provided in relation to each mission undertaken by the licensee for all individuals who are to participate in that mission, to ensure that they—

- (a) understand the objectives of that mission;
- (b) rehearse the role which they are to perform in the mission in accordance with regulation 73(5), and demonstrate adequate performance in that role.



(7) The training programme must also provide training for spaceflight participants who do not perform a specified role in accordance with paragraphs 50 and 52 of Schedule 3.

### **Training and assessments**

**73.**—(1) Competence assessments must be conducted at intervals determined by the training manager.

(2) No person who has failed a competence assessment relevant to a function may be permitted to perform that function until the person concerned has—

- (a) undertaken further training to address the reasons for that failure, and
- (b) successfully passed a further competence assessment.

(3) Training and competence assessments for flight crew and remote pilots may be undertaken by one or more of the following methods, provided that the chosen methods cover all phases of flight of the launch vehicle to which the flight crew or remote pilots will be assigned—

- (a) flight in that launch vehicle;
- (b) use of simulated training devices;
- (c) flight in an aircraft—
  - (i) possessing similar characteristics to those of that launch vehicle, or
  - (ii) that has similar phases of flight to that launch vehicle.

(4) No emergency situation may be simulated in a launch vehicle on which spaceflight participants are being carried, unless the only spaceflight participants on the flight are persons authorised by the regulator to train the crew or to check any aspect of the licensee's spaceflight activities, including the work of the crew.

(5) The licensee must, before a launch (a "mission"), conduct a simulation of the mission, which as nearly as possible reproduces the intended spaceflight, spaceport and range control activities which would be carried out on the mission.

(6) A mission simulation conducted under paragraph (5) may include a spaceflight participant if this is necessary to enable the spaceflight participant to achieve the training objectives set for that participant, or to complete a competence assessment satisfactorily.

### **Training equipment**

**74.**—(1) The licensee must ensure that it has access to sufficient training equipment to enable it to provide practical training where required as part of its training programme.

(2) Training equipment used for this purpose may include devices which are capable of simulating a launch vehicle, or any equipment or facilities which are used in the course of carrying out one or more of the licensed activities of the licensee ("a simulated training device").

(3) A simulated training device may only be used if, so far as practically possible—

- (a) the device accurately represents the same configuration, graphical and instrument displays and environment as the launch vehicle, equipment or facilities it is simulating, and
- (b) the device accurately represents conditions on the launch vehicle, equipment or facilities it is simulating as they will be experienced during the course of a licensed activity.

(4) Any difference between the simulated training device and the actual launch vehicle, equipment or facilities which it is simulating must be identified and described as part of the training programme.

(5) The licensee may also use devices designed to reproduce the effects of spaceflight on the human body, such as the effects of acceleration, disorientation, loss of pressurisation or other adverse physical effects connected with spaceflight.

(6) The licensee must establish and maintain a system for monitoring any simulated training device or other device which is used in its training programme, to identify any changes in the

capability or configuration of any such device and ensure that such changes do not reduce the effectiveness of any training or assessment for which that device is used.

## CHAPTER 5

### Medical Fitness

#### Medical obligations

**75.**—(1) The licensee must ensure that—

- (a) all the crew of the launch vehicle, and remote pilots taking part in spaceflight activities, hold a valid medical certificate as required by regulation 76(1);
- (b) none of the crew has suffered a decrease in fitness due to illness or injury since the date of issue of their medical certificate which might affect their ability to—
  - (i) withstand the physical and mental rigours of spaceflight;
  - (ii) perform safety-critical functions reliably during the spaceflight activities;
  - (iii) carry out any emergency procedures which may be required during the spaceflight activities, including the evacuation of the launch vehicle;
- (c) no person takes part in spaceflight activities, either as a crew member or a spaceflight participant, if that person is not medically fit to fly;
- (d) no person participates in the licensed activities if they are not fit to do so.

(2) The procedures established by the licensee to ensure that it satisfies its obligations under paragraph (1) may include—

- (a) medical examinations and medical assessments by an approved aeromedical examiner,
- (b) requirements for the crew and remote pilots who hold valid medical certificates to complete self-declarations of fitness, and
- (c) requirements for individuals who do not perform a specified role to report to the licensee on any occasion when they are not fit to perform their duties.

(3) For the purposes of paragraph (2), every crew member and remote pilot must submit to such a medical examination or medical assessment when required by the spaceflight operator to do so.

(4) The procedures referred to in paragraph (2) must be completed before—

- (a) a crew member performs any duty on board a launch vehicle, and
- (b) a remote pilot takes control of a launch vehicle.

(5) In this Chapter—

“approved aeromedical examiner” means an individual who—

- (a) is qualified and holds a valid licence to practise medicine from the General Medical Council,
- (b) has qualifications in aviation or space medicine, and
- (c) has been approved by the CAA for the purposes of carrying out medical assessments or medical examinations for the purposes of these Regulations;

“approved medical assessor” means an individual employed by the CAA who—

- (a) is qualified and holds a valid licence to practise medicine from the General Medical Council,
- (b) has qualifications in aviation or space medicine, and
- (c) has been authorised by the CAA for the purposes of regulations 76(5) and 77(5);

“medical assessment” means the conclusion on the medical fitness of an individual based on evaluation of that individual’s medical history, medical examinations and medical tests;

“medical examination” means a physical inspection, palpation, percussion, auscultation or other means of investigation, especially for determining medical fitness or diagnosing disease.

## **Certificates and confirmation of medical fitness**

**76.**—(1) No individual may take part in any spaceflight activities on board a launch vehicle, or training for spaceflight activities which takes place on board a launch vehicle unless that individual—

- (a) in the case of a crew member or remote pilot, holds a valid medical certificate issued by an approved aeromedical examiner;
- (b) in the case of a spaceflight participant, has been confirmed as being medically fit to fly by an approved aeromedical examiner.

(2) An approved aeromedical examiner may only issue a medical certificate under paragraph (1), or confirm that a spaceflight participant is medically fit to fly, if—

- (a) the examiner has carried out a medical examination of the applicant and an assessment of that individual's medical history, and
- (b) following that assessment and examination, the examiner is satisfied that the individual concerned is medically fit—
  - (i) to participate in the spaceflight activities proposed for that individual, and
  - (ii) to carry out that individual's duties, if any, in relation to those activities.

(3) In determining whether an individual is medically fit for the purposes of paragraph (2)(b), the approved aeromedical examiner must take into account any operational or environmental conditions which—

- (a) the spaceflight operator has identified as being likely to apply in relation to the spaceflight activities in which the individual would be participating or acting as a crew member, and
- (b) are relevant to the individual's state of fitness.

(4) An approved aeromedical examiner may not determine that a crew member or remote pilot is medically fit for the purposes of paragraph (2)(b), unless—

- (a) that individual meets—
  - (i) in the case of a member of the flight crew, other than a balloon pilot, or a remote pilot, the requirements for a Class 1 certificate for single pilot commercial air transport operations carrying passengers set out in section 2 of Sub-Part B of Annex IV to the EU Aircrew Regulation,
  - (ii) in the case of a member of the cabin crew or a balloon pilot, the requirements for a Class 2 certificate set out in that section of Sub-Part B of Annex IV to the EU Aircrew Regulation, and
  - (iii) any medical requirements imposed by the regulator in conditions on the spaceflight operator's licence, or
- (b) paragraph (5) applies.

(5) This paragraph applies if—

- (a) the individual concerned has been assessed by an approved medical assessor, and
- (b) the assessor is satisfied that the individual's condition—
  - (i) will not compromise the safety of any spaceflight activities in which the individual will be participating or of any other individual on board the launch vehicle, and
  - (ii) will not prevent the individual from performing the tasks assigned by the licensee to the individual's role.

(6) An approved aeromedical examiner may—

- (a) issue a medical certificate, or
- (b) confirm that an individual is medically fit to fly,

subject to any conditions which the examiner reasonably considers must be satisfied for the individual concerned to be considered to be medically fit to fly.

(7) Where an approved aeromedical examiner has issued a medical certificate, or confirmed that the individual concerned is medically fit to fly subject to conditions under paragraph (6), that individual does not meet the requirement in paragraph (1) unless those conditions are satisfied.

### **Illness, injury and related conditions**

**77.**—(1) An individual (“P”) may not act as a member of the crew of a launch vehicle or as a remote pilot if P knows or suspects that P’s physical or mental condition renders P temporarily or permanently unfit to perform those functions, including unfitness by reason of—

- (a) injury or sickness,
- (b) taking or using any prescribed or non-prescribed medication which is likely to interfere with the ability to perform such functions,
- (c) receipt of any medical, surgical or other treatment that is likely to interfere with the ability to perform such functions,
- (d) the effects of any psychoactive substance, or
- (e) fatigue.

(2) If paragraph (3) applies, an individual (“P”) who holds a medical certificate issued under regulation 76(1) must inform the licensee’s approved aeromedical examiner as soon as possible about P’s condition and seek medical advice.

(3) This paragraph applies if—

- (a) the individual concerned (“P”) suffers any personal injury which means that P is not capable of undertaking P’s functions as a member of the crew or a remote pilot,
- (b) P suffers any significant illness which means that P is not capable of undertaking P’s functions as a member of the crew or a remote pilot,
- (c) P has reason to think that P is pregnant,
- (d) P has undergone a surgical operation or invasive procedure,
- (e) P has commenced the regular use of any medication,
- (f) P has been admitted to a hospital or medical clinic, or
- (g) P first requires correcting glasses or contact lenses.

(4) Once P has recovered from an injury or illness within paragraph (3)(a) or (b), or a condition described in paragraph (3)(c), (d) or (f) has ceased to apply, P must receive a medical assessment by the spaceflight operator’s approved aeromedical examiner to confirm whether P is fit to take part in spaceflight activities.

(5) The spaceflight operator’s approved aeromedical examiner must consult an approved medical assessor appointed by the CAA in relation to that assessment, and may only determine that P is fit for the purposes of paragraph (4) if the approved medical assessor agrees.

### **Disability**

**78.** If a spaceflight participant with a disability or reduced mobility has been certified as being fit to fly under regulation 76(1), the spaceflight operator may make arrangements for that participant to take part in a spaceflight if—

- (a) doing so would not compromise the safety of the flight;
- (b) the presence of an individual with that disability or reduced mobility would not impede or obstruct any member of the crew or spaceflight participant in carrying out their functions during the course of the flight, including executing emergency procedures or leaving the launch vehicle.

### **Validity of medical certificate**

**79.**—(1) Subject to paragraph (3), a medical certificate is valid for the period which is stated in the certificate.

(2) The period referred to in paragraph (1) may not be more than 12 months.

(3) The medical certificate is suspended—

- (a) if the subject of the certificate (“S”) suffers a personal injury which means that S is not capable of undertaking S’s functions as a member of the crew, from the date on which the injury occurred,
- (b) if S suffers any significant illness which means that S is not capable of undertaking S’s functions as a member of the crew, during the period of S’s illness, or
- (c) if S becomes pregnant, from the confirmation of the pregnancy.

(4) In the case of injury or illness the suspension ceases upon S being medically assessed under arrangements made by the spaceflight operator and pronounced fit to resume S’s functions as a member of the crew.

(5) In the case of a pregnancy, the suspension—

- (a) may be lifted by the CAA or an approved aeromedical examiner for such period, and subject to such conditions as the CAA or the approved aeromedical examiner thinks fit, and
- (b) ceases upon S being medically assessed under arrangements made by the licensee after the pregnancy has ended and pronounced fit to resume S’s functions as a member of the crew.

### **Medical records**

**80.**—(1) Every medical assessment or examination of an individual intending to be carried on board a launch vehicle during the spaceflight operator’s spaceflight activities, and of a remote pilot, must be recorded by the approved aeromedical examiner who carried out that assessment or examination on behalf of the spaceflight operator, and the result of that assessment or examination reported to the individual concerned and to the spaceflight operator.

(2) The aeromedical examiner must send copies of records of medical assessments and examinations carried out in relation to members of the flight crew to the CAA.

(3) The licensee and aeromedical examiner must make the records of other medical assessments and examinations carried out available to the CAA on request.

(4) The licensee and aeromedical examiner must take measures to ensure that all medical records are protected as confidential information, and not disclosed otherwise than in accordance with this regulation.

## **PART 8**

**Safety of operator’s spaceflight activities during the launch operator licence or the return operator licence**

### **CHAPTER 1**

#### **Interpretation**

### **Interpretation**

**81.**—(1) In this Part—

“current safety case” means—

- (a) if the safety case has not been revised and accepted by the regulator in accordance with regulations 83 and 84, the safety case, or

(b) if the safety case has been revised and accepted by the regulator in accordance with regulations 83 and 84, that revised safety case;

“fit for the operator’s spaceflight activities”—

(a) in the case of a launch vehicle has the meaning given in regulation 94(2);

(b) in the case of a range means that—

(i) the range is suitable for carrying out those activities safely, and

(ii) the Secretary of State is providing range control services for those activities or another person providing those services is authorised to do so by a range control licence.

(c) in the case of a spaceport means that—

(i) the spaceport is suitable for carrying out those activities safely, and

(ii) a spaceport licensee is authorised to carry out those activities at the spaceport by a spaceport licence;

“fit for supporting the operator’s spaceflight activities” in relation to a launch vehicle’s ground support equipment, has the meaning given in regulation 95(2);

“flight” means—

(a) in regulations 92, 103 and 104 and Schedule 5, paragraph 19, any period from the moment when the launch vehicle first moves with the intention of launching until the completion of the operator’s spaceflight activities but does not include any period after that vehicle has reached orbital velocity;

(b) in other regulations, any period from the moment when the launch vehicle first moves with the intention of launching until the completion of the operator’s spaceflight activities;

“flight recorder” means any device for recording data relating to the flight of the launch vehicle, whether or not the device is located on the launch vehicle;

“operating staff” means an employee or agent of a spaceflight operator;

“range control service provider” means the Secretary of State or a person who holds a range control licence and provides range control services to a spaceflight operator;

“relevant air navigation service providers” means air navigation service providers which are relevant to the operator’s spaceflight activities;

“relevant emergency services” means emergency services which are likely to be required to respond to an emergency at the location of the operator’s spaceflight activities;

“relevant meteorological service providers” means meteorological service providers which are relevant to the operator’s spaceflight activities;

“risk assessment” is to be construed in accordance with regulation 35;

“safety case” is to be construed in accordance with regulation 32;

“safety-critical information” has the meaning given in paragraph 2 of Schedule 4;

“safety operations manual” is to be construed in accordance with regulation 93(1);

“spaceflight duties” means duties in connection with the operator’s spaceflight activities;

“spaceflight operator’s safety duty” means the requirement in regulation 82(1);

(2) In this Part “carrying out the operator’s spaceflight activities safely” or “to carry out the operator’s spaceflight activities safely” has the meaning given in regulation 82(2).

(3) Any reference in this Part to the operator’s spaceflight activities being “carried out safely” is to be read in accordance with regulation 82(2).

## CHAPTER 2

### A spaceflight operator's safety duty

#### **A spaceflight operator's safety duty**

**82.**—(1) A spaceflight operator must secure that the operator's spaceflight activities are carried out safely.

(2) A spaceflight operator carries out the operator's spaceflight activities safely by carrying them out in a way which—

- (a) secures the safety of individuals in accordance with the current safety case, and
- (b) if the launch vehicle has a human occupant, secures the safety of that occupant in accordance with the current risk assessment.

## CHAPTER 3

### Review and revision of safety case and risk assessment

#### **Safety case and risk assessment review and revision requirements**

**83.**—(1) A spaceflight operator must review and, where necessary, revise the current safety case and, if the launch vehicle has a human occupant, the current risk assessment—

- (a) before the spaceflight operator introduces an operational change which is likely to significantly alter the instructions and procedures in the safety operations manual;
- (b) before the spaceflight operator makes—
  - (i) any modifications to the launch vehicle or carrier aircraft, or
  - (ii) changes to the operator's spaceflight activities, any flight safety system or to the duties of the flight termination personnel,where such modifications or changes are likely to significantly affect the spaceflight operator carrying out the operator's spaceflight activities safely;
- (c) following any of the events or matters referred to in paragraph (2).

(2) The events and matters are—

- (a) there has been a spaceflight accident, or an occurrence arising out of or in the course of the operator's spaceflight activities or another significant failing in those activities;
- (b) the spaceflight operator has become aware of a spaceflight accident, or an occurrence that has occurred elsewhere and may affect the carrying out of the operator's spaceflight activities safely;
- (c) the spaceflight operator has become aware of new facts or technological knowledge about safety, including knowledge arising from analysis of accidents or occurrences, which may affect the carrying out of the operator's spaceflight activities safely;
- (d) the launch operator licence or the return operator licence has been transferred or varied in accordance with section 15;
- (e) a change in any matter relating to either the spaceport or the range used for the operator's spaceflight activities which increases the level of risk of those activities;
- (f) the regulator directs the spaceflight operator to review and, where necessary, revise the current safety case or if the launch vehicle has a human occupant, the current risk assessment in addition to such a safety case.

(3) In carrying out a review of a current safety case or a current risk assessment a spaceflight operator must take into account the spaceflight operator's safety duty.

### **Steps required after review, or review and revision, of the safety case**

**84.**—(1) Where the current safety case has been reviewed under regulation 83 and revised, the spaceflight operator must supply to the regulator without delay a copy of—

- (a) the current safety case which has been revised under regulation 83, and
- (b) the results in writing of any tests and any technical analysis or other information supporting the need for the revision of that safety case.

(2) Where the current safety case has been reviewed under regulation 83 and a decision has been taken not to revise it, the spaceflight operator must without delay—

- (a) inform the regulator in writing, and
- (b) provide the regulator with written reasons for that decision.

(3) Until the spaceflight operator has received the regulator's confirmation in writing that it accepts the revised safety case supplied to it under paragraph (1), the spaceflight operator must not—

- (a) implement any changes to its spaceflight activities as a result of the revision of that safety case, or
- (b) commence a launch of a launch vehicle or a carrier aircraft.

### **Steps required after review, or review and revision, of the risk assessment**

**85.**—(1) This regulation applies in addition to regulation 84 if the launch vehicle has a human occupant.

(2) Where the current risk assessment has been reviewed under regulation 83 and revised, the spaceflight operator must supply to the regulator without delay a copy of—

- (a) the current risk assessment which has been revised under regulation 83, and
- (b) the results in writing of any tests and any technical analysis or other information supporting the need for the revision of that risk assessment.

(3) Where the current risk assessment has been reviewed under regulation 83 and a decision has been taken not to revise it, the spaceflight operator must without delay—

- (a) inform the regulator in writing, and
- (b) provide the regulator with written reasons for that decision.

(4) Until the spaceflight operator has received the regulator's confirmation in writing that it accepts the revised risk assessment supplied to it under paragraph (2), the spaceflight operator must not—

- (a) implement any changes to its spaceflight activities as a result of the revision of that risk assessment, or
- (b) commence a launch of a launch vehicle.

## **CHAPTER 4**

### **Other safety requirements**

#### **SECTION 1**

#### *Demonstrating requirements*

### **Demonstration of compliance with safety requirements**

**86.**—(1) A spaceflight operator must demonstrate in the safety case and any revision of that safety case how the operator's spaceflight activities comply with the requirements in regulations 87 to 107 in so far as those requirements relate to the operator's spaceflight activities.

(2) If a launch vehicle has a human occupant, a spaceflight operator must demonstrate in the risk assessment, and any revision to that assessment, how the operator's spaceflight activities comply with the requirements in—



- (a) regulations 109 to 121 and 127, if the human occupant is a member of the crew, in so far as those regulations relate to such a member,
- (b) regulations 113, 114, 116, 117 and 121 to 127, if the human occupant is a spaceflight participant, in so far as those regulations relate to such a participant, and
- (c) regulations 109 to 127, if the launch vehicle has both a member of the crew and a spaceflight participant, in so far as each of these regulations relates to such a member or such a participant.

## SECTION 2

### *Requirements about a spaceflight operator's organisation and management*

#### **A spaceflight operator's organisation**

**87.**—(1) For the purposes of carrying out and supporting the operator's spaceflight activities, a spaceflight operator must have in place—

- (a) the financial and technical resources to carry out those spaceflight activities and do any other matter authorised by the launch operator licence or the return operator licence,
- (b) where the operator's spaceflight activities are authorised by a launch operator licence, a launch vehicle or a carrier aircraft and a launch vehicle,
- (c) sufficient operating staff and a management structure proportionate to the type of spaceflight activities which the spaceflight operator is carrying out,
- (d) facilities or infrastructure or equipment, and
- (e) an organisation which is capable of complying with these safety regulations and proactively seeks to improve the safety of the operator's spaceflight activities.

(2) In this regulation "facilities or infrastructure" includes facilities or infrastructure relating to a mission management facility or ground control at the spaceport, communications, retention of data and record keeping, transport, power, handling of hazardous material, environmental protection, emergency response or security.

#### **Safety management system**

**88.** A spaceflight operator must have in place a safety management system which complies with the requirements in Schedule 4.

## SECTION 3

### *Requirements about specific safety roles*

#### **Responsibilities of the safety manager**

**89.**—(1) Where an operator's spaceflight activities are authorised by a launch operator licence, the spaceflight operator must ensure that the safety manager—

- (a) reports directly to the accountable manager,
- (b) has a duty to inform that manager and the launch director of all safety concerns relating to the operator's spaceflight activities, including any such concerns reported to the safety manager by a member of the operating staff, before a launch and during any other part of those activities, and
- (c) is able to communicate directly with the launch director at all reasonable times.

(2) Where the operator's spaceflight activities are authorised by a return operator licence, a spaceflight operator must ensure that the safety manager—

- (a) reports directly to the accountable manager, and
- (b) has a duty to inform that manager of all safety concerns relating to the operator's spaceflight activities, including any such concerns reported to the safety manager by a

member of the operating staff, before those activities commence and during any part of those activities.

(3) The safety manager must record in writing safety concerns referred to in paragraphs (1)(b) or (2)(b) and how those concerns are addressed.

#### **Responsibilities of the accountable manager**

**90.**—(1) A spaceflight operator must ensure that the accountable manager has a duty to address all safety concerns relating to the operator's spaceflight activities reported to that manager—

- (a) where the operator's spaceflight activities are authorised by a launch operator licence, before a launch and during any part of those activities,
- (b) where the operator's spaceflight activities are authorised by a return operator licence, before those activities commence and during any part of those activities.

(2) The accountable manager must record in writing safety concerns referred to in paragraph (1) and how those concerns are addressed.

#### **Responsibilities of the launch director**

**91.** Where an operator's spaceflight activities are authorised by a launch operator licence—

- (a) the spaceflight operator must ensure that the launch director—
  - (i) has a duty to check that all safety concerns relating to the operator's spaceflight activities reported to that director have been addressed before a launch, and
  - (ii) is present at a mission management facility or ground control at the spaceport during the operator's spaceflight activities;
- (b) the launch director must record in writing safety concerns referred to in sub-paragraph 91(a)(i) and how those concerns are addressed.

#### **Flight termination personnel**

**92.** Where an operator's spaceflight activities are authorised by a launch operator licence, the spaceflight operator must—

- (a) if the launch vehicle has a flight safety system and that system is not autonomous, appoint flight termination personnel,
- (b) ensure that such flight termination personnel are present at a mission management facility or ground control at the spaceport during a flight,
- (c) ensure that such flight termination personnel make a flight termination decision in the interests of the spaceflight operator's safety duty and not for any other reasons, and
- (d) authorise such flight termination personnel to make a flight termination decision without a requirement for approval from, or interference by, any other operating staff including the launch director.

### **SECTION 4**

#### *Safety operations manual*

#### **Safety operations manual**

**93.**—(1) A spaceflight operator must compile a safety operations manual which must contain the information, procedures and instructions necessary for the operating staff to carry out their spaceflight duties safely including, in particular, information, procedures and instructions relating to matters specified in Schedule 5.

(2) The spaceflight operator must make available to its operating staff the safety operations manual, or those sections of the manual, which are relevant to their spaceflight duties.

(3) The spaceflight operator must ensure that each copy of the safety operations manual is kept up to date.

(4) The spaceflight operator must take all reasonable steps to secure that all members of its operating staff—

- (a) are aware of the contents of every part of the safety operations manual which is relevant to their spaceflight duties, and
- (b) undertake those duties in conformity with the relevant provisions of the safety operations manual.

## SECTION 5

### *Preparations for launch, return and other operations*

#### **The launch vehicle**

**94.**—(1) A spaceflight operator must not use a launch vehicle in the operator's spaceflight activities unless it is fit for those activities.

(2) A launch vehicle is fit for the operator's spaceflight activities if that vehicle—

- (a) complies with the conditions in paragraph (3), and
- (b) complies with any conditions about that vehicle in the launch operator licence or the return operator licence.

(3) The conditions are that the launch vehicle—

- (a) has a technical requirements specification which is suited to the operator's spaceflight activities,
- (b) is designed to a specification that meets the requirements of those activities and is built to meet that specification,
- (c) has been tested in accordance with regulation 97 to demonstrate that it conforms with its technical requirements specification and has passed that testing,
- (d) if it has a human occupant, has the systems and flight recorder referred to in regulation 113, and
- (e) is capable of carrying out the operator's spaceflight activities safely.

#### **The launch vehicle's ground support equipment**

**95.**—(1) A spaceflight operator must not use a launch vehicle's ground support equipment unless such equipment is fit for supporting the operator's spaceflight activities.

(2) A launch vehicle's ground support equipment is fit for supporting the operator's spaceflight activities if that equipment—

- (a) complies with the conditions in paragraph (3), and
- (b) complies with any conditions about that equipment in the launch operator licence or the return operator licence.

(3) The conditions are that the ground support equipment—

- (a) has a technical requirements specification which is suited to the launch vehicle and the operator's spaceflight activities,
- (b) is designed to a specification that meets the requirements of that vehicle and those activities and is built to meet that specification,
- (c) has been tested in accordance with regulation 97 to demonstrate that such equipment conforms with its technical requirements specification and has passed that testing, and
- (d) supports the operator's spaceflight activities being carried out safely.

### **A reusable launch vehicle**

**96.**—(1) Before the launch of a launch vehicle which has been used in one or more flights, a spaceflight operator must, by carrying out maintenance, servicing and repair and, if necessary, renewing any part of that vehicle, ensure that—

- (a) the vehicle conforms with the requirements of its technical requirements specification, any updates to that specification and is fit for the operator's spaceflight activities in accordance with regulation 94, or
- (b) is returned to a condition which conforms with those requirements, any such updates and which is fit for those activities.

(2) Before the launch of a launch vehicle which has been used in one or more flights, the member of the operating staff responsible for ensuring that the work in paragraph (1) is done must prepare a written report—

- (a) providing details of the work which has been done in accordance with paragraph (1), and
- (b) confirming that—
  - (i) the vehicle conforms with the requirements of its technical requirements specification and any updates to that specification or has been returned to a condition which conforms with those requirements and any such updates, and
  - (ii) the vehicle otherwise complies with regulation 94 and is fit for the operator's spaceflight activities.

(3) Copies of the report referred to in paragraph (2) must be sent by the person referred to in that paragraph to the spaceflight operator and to any member of the operating staff who has duties which are relevant to the work which has been done to the launch vehicle in accordance with paragraph (1).

(4) This regulation applies to any part of a launch vehicle which is capable of being launched and has been used in one or more flights as it applies to the whole of a launch vehicle which has been used in one or more flights.

### **Verification by testing etc of the launch vehicle and ground support equipment**

**97.**—(1) Before a launch, a spaceflight operator must verify by testing, analysis, review of the design of the launch vehicle and the ground support equipment and inspection, that—

- (a) the launch vehicle is fit for the operator's spaceflight activities, and
- (b) any ground support equipment is fit for supporting that launch vehicle and the operator's spaceflight activities.

(2) The spaceflight operator must—

- (a) record the results of the verification referred to in paragraph (1) in writing, and
- (b) before a launch, ensure that copies of the results of such verification are received and considered by the spaceflight operator and any member of the operating staff who has duties which are relevant to the results of the verification.

### **The spaceport and the range**

**98.**—(1) A spaceflight operator must ensure that the spaceport used for the operator's spaceflight activities is fit for the operator's spaceflight activities.

(2) A spaceflight operator must ensure that the range for the operator's spaceflight activities is fit for the operator's spaceflight activities.

### **Communication during the operator's spaceflight activities**

**99.**—(1) During an operator's spaceflight activities, the spaceflight operator must, where necessary, ensure that there is a reliable means of communication for sharing information between the mission management facility or ground control at the spaceport and—

- (a) the range control service provider,
- (b) any site used in connection with range control services,
- (c) the spaceport licensee,
- (d) relevant meteorological service providers,
- (e) relevant air navigation service providers, and
- (f) relevant emergency services.

(2) During an operator's spaceflight activities, the spaceflight operator must, where necessary, provide a reliable means of communication for sharing information between the launch vehicle, carrier aircraft and any other aircraft taking part in the operator's spaceflight activities and the mission management facility or ground control at the spaceport.

### **Monitoring the environmental and meteorological conditions**

**100.**—(1) A spaceflight operator must monitor environmental and meteorological conditions during the operator's spaceflight activities in so far as necessary to carry out those activities safely.

(2) The spaceflight operator must make the latest environmental and meteorological information referred to in paragraph (1) available without delay to—

- (a) the accountable manager, the safety manager and, where the operator's spaceflight activities are authorised by a launch operator licence, the launch director and any other members of the operating staff who require such information to carry out their spaceflight duties safely, and
- (b) the range control service provider, the spaceport licensee and any other person who requires such information to support the operator's spaceflight activities being carried out safely.

### **Dangerous goods**

**101.**—(1) A spaceflight operator must only load dangerous goods onto a launch vehicle or permit a vehicle to carry such goods if—

- (a) the terms of the launch operator licence or return operator licence permit the spaceflight operator to do so, and
- (b) the spaceflight operator complies with those terms.

(2) For the avoidance of doubt any reference to loading dangerous goods onto a launch vehicle or carrying them on such a vehicle includes placing, suspending or carriage of such goods beneath a launch vehicle.

## **SECTION 6**

### *Launch, return and other operations*

### **Conditions for commencing the operator's spaceflight activities**

**102.**—(1) Before an operator's spaceflight activities commence, the spaceflight operator or, where the operator's spaceflight activities are authorised by a launch operator licence, the launch director must be satisfied that the activities can be carried out safely and the conditions in paragraph (2) have been met.

(2) The conditions are that—

- (a) a launch vehicle is fit for the operator's spaceflight activities;
- (b) the spaceport and the range are fit for the operator's spaceflight activities and the spaceport licensee and the range control service provider confirm that, in so far as their responsibilities are concerned, these activities can be carried out safely;

- (c) a simulation of the mission referred to in regulation 73(5) was conducted and the spaceflight operator was satisfied that the operator's spaceflight activities could be carried out safely;
- (d) the relevant emergency services have confirmed that they are on stand-by;
- (e) where the operator's spaceflight activities are authorised by a launch operator licence, the launch director and any flight termination personnel are present at the mission management facility or ground control at the spaceport;
- (f) such other operating staff as are necessary to carry out the operator's spaceflight activities safely are present at the mission management facility or ground control at the spaceport;
- (g) the security manager has confirmed that the requirements of the operator security programme have been met;
- (h) the prevailing meteorological and environmental conditions are suited to the spaceflight operator carrying out the operator's spaceflight activities safely;
- (i) any relevant safety operational procedures relating to the launch authorised by a launch operator licence or the return to earth authorised by a return operator licence in the safety operations manual have been followed.

#### **During flight: monitoring and termination**

**103.**—(1) A spaceflight operator must monitor the flight of a launch vehicle authorised by a launch operator licence in real time so that the operator is aware as soon as that vehicle malfunctions.

(2) Where the launch vehicle has a flight safety system, flight termination personnel must make a flight termination decision during the flight of that vehicle—

- (a) if at any time that vehicle malfunctions and that malfunction prevents the operator's spaceflight activities being carried out safely, and
- (b) if terminating the flight is not capable of being done by an automated flight safety system installed in that vehicle.

#### **Additional requirement relating to the launch vehicle during operator's spaceflight activities**

**104.**—(1) If a spaceflight operator is able to control how the launch vehicle functions during the operator's spaceflight activities, the spaceflight operator must—

- (a) after launch and when the launch vehicle is not in flight, monitor the trajectory of that vehicle in so far as it is possible to do so,
- (b) if the launch vehicle is in orbit, monitor the basic orbital parameters of that vehicle including nodal period, inclination, apogee and perigee and take reasonable steps to—
  - (i) avoid the launch vehicle interfering with the space activities of other persons in the peaceful exploration and use of outer space,
  - (ii) limit or prevent major accident hazards to the health, safety and property of persons arising from the launch vehicle in orbit, and
  - (iii) prevent contamination of outer space arising from the launch vehicle in orbit or adverse changes in the environment of the earth from that vehicle in orbit,
- (c) where the operator's spaceflight activities relate to the launch vehicle returning to earth, cause that vehicle to re-enter the earth's atmosphere on a planned trajectory, and
- (d) take any other action necessary to carry out the operator's spaceflight activities safely.

(2) In this regulation the reference to taking reasonable steps in paragraph (1)(b) includes manoeuvring the vehicle or deactivating a component part of that vehicle.

## SECTION 7

### *Recording, retaining and preserving information for safety purposes*

#### **Information on human occupants and dangerous goods on board a launch vehicle**

**105.**—(1) Before launch, a spaceflight operator must prepare—

- (a) a list of the names and addresses of all human occupants on board the launch vehicle and of individuals on board any carrier aircraft, and
- (b) a list of all dangerous goods on board the launch vehicle and any carrier aircraft.

(2) The spaceflight operator must retain and preserve the lists referred to in paragraph (1) for a period of three years beginning with the day of the launch of the launch vehicle carrying the human occupants or dangerous goods on those lists.

#### **Recording, collecting, retaining and preserving information made before or during the operator's spaceflight activities**

**106.**—(1) For the purposes referred to in paragraph (3), a spaceflight operator must record—

- (a) information shared through the means of communication referred to in regulation 99,
- (b) where the launch vehicle has a flight recorder required by regulation 113(3), data relating to conditions and events on board the launch vehicle during the operator's spaceflight activities onto that recorder,
- (c) data in connection with the launch vehicle which is obtained using telemetry during the operator's spaceflight activities and which relates to the tracking of that vehicle during those activities, and
- (d) any other data collected or used during the operator's spaceflight activities.

(2) For the purposes referred to in paragraph (3), the spaceflight operator must collect, retain and preserve—

- (a) the information referred to in paragraph (1),
- (b) records of correspondence between the spaceflight operator and the regulator before launch and during the operator's spaceflight activities,
- (c) the current safety case and current risk assessment and any written document describing any revisions to the safety case or the risk assessment,
- (d) any written record of safety concerns referred to in regulations 89(3), 90(2) and 91(b) or an occurrence,
- (e) the meteorological and environmental information referred to in regulation 100(2),
- (f) reports of maintenance work carried out on communication and recording systems used to make the records referred to in paragraph (1) and of checks made to such systems to ensure the launch vehicle is fit for the operator's spaceflight activities, and
- (g) any other information about the operator's spaceflight activities which is relevant to such activities being carried out safely.

(3) The purposes of recording, collecting, retaining and preserving the information referred to in paragraphs (1) and (2) are—

- (a) to maintain and improve the spaceflight operator's safety performance,
- (b) to enable the regulator to perform its duties referred to in section 26(1),
- (c) to enable the spaceflight operator to comply with the requirement to make an occurrence report under Part 15, and
- (d) to enable the spaceflight operator to comply with any demands for such information from an investigator-in-charge of the SAIA in accordance with regulation 23 of the Spaceflight Activities (Investigation of Spaceflight Accidents) Regulations 2020.

(4) The spaceflight operator must retain and preserve the information referred to in paragraphs (1) and (2) for a period beginning with the date on which the launch operator licence or the return operator licence is granted and ending 3 years from the day on which that licence expires unless that information has been recorded by that vehicle's flight recorder and the launch vehicle has not been involved in a spaceflight accident arising from or in the course of the operator's spaceflight activities.

(5) Where no spaceflight accident arose from or during the operator's spaceflight activities, information recorded by the launch vehicle's flight recorder must only be retained and preserved until the completion of those activities.

## SECTION 8

### *Emergency response*

#### **Emergency response plan requirement**

**107.**—(1) A spaceflight operator must have in place and maintain an emergency response plan for the operator's spaceflight activities.

(2) An emergency response plan under paragraph (1) must—

- (a) detail how the spaceflight operator will respond in an emergency;
- (b) be appropriate for the operator's spaceflight activities;
- (c) provide for the notification of the relevant emergency services and coordination with the relevant local authority and such services in response to an emergency during the operator's spaceflight activities;
- (d) provide for prevention of harm to individuals after the emergency has occurred;
- (e) provide for coordination of the spaceflight operator's emergency response plan with—
  - (i) the emergency response plan prepared by the spaceport licensee, and
  - (ii) any emergency response plans of other organisations with which the spaceflight operator must interact during the operator's spaceflight activities.

(3) The spaceflight operator must, at suitable intervals not exceeding three years—

- (a) test the emergency response plan in so far as practicable, and
- (b) review and, where necessary, revise the plan.

(4) The spaceflight operator must supply to the regulator—

- (a) the results of any test of the emergency response plan conducted under paragraph (3)(a), and
- (b) before or immediately after they come into effect, details of the revisions, if any, it has made to the emergency response plan as a result of a review conducted under paragraph (3)(b).

(5) For the purposes of this regulation "relevant local authority" means—

- (a) in relation to a launch operator licence, a local authority in whose administrative area the spaceport from which the launch vehicle or carrier aircraft is launched is situated,
- (b) in relation to a return operator licence, a local authority in whose administrative area—
  - (i) is situated a spaceport at which a controlled and planned landing of a launch vehicle is to take place,
  - (ii) is situated an installation at sea that can be moved from place to place without major dismantling or modification at which a controlled or planned landing of a launch vehicle is to take place, or
  - (iii) an unplanned landing of a launch vehicle in the United Kingdom is likely to take place.



## CHAPTER 5

### Additional safety requirements for launch vehicles with human occupants

#### SECTION 1

##### *Interpretation*

##### **Interpretation**

**108.**—(1) In this Chapter any reference to a pilot in command, a pilot or a remote pilot “carrying out the flight safely” or to the flight being “carried out safely” has the meaning referred to in paragraph (2).

(2) A pilot in command, pilot or a remote pilot carries out the flight safely by carrying out the flight in a way which secures the safety of individuals in accordance with the current risk assessment and current safety case.

#### SECTION 2

##### *The crew*

##### **The roles and duties of each member of the crew**

**109.**—(1) If the launch vehicle has a crew, before the operator’s spaceflight activities commence a spaceflight operator must—

- (a) define the respective roles and duties of each member of the crew,
- (b) ensure that each member of the crew is aware of those duties, and
- (c) provide copies of checklists of the duties to each member of the crew.

(2) If the launch vehicle has a remote pilot, before the operator’s spaceflight activities commence a spaceflight operator must—

- (a) define the role and duties of that pilot,
- (b) ensure that the remote pilot is aware of those duties, and
- (c) provide copies of checklists of the duties to the remote pilot.

##### **Unfit for duties**

**110.**—(1) A member of the crew or a remote pilot must not perform any of their spaceflight duties when their ability to perform them is impaired by reason of—

- (a) alcohol exceeding the prescribed limit in the breath, blood or urine of a member of crew or a remote pilot,
- (b) fatigue, or
- (c) any other cause referred to in regulation 77 (illness, injury and related conditions).

(2) In this regulation—

“prescribed limit” means—

- (a) in the case of breath, 9 micrograms of alcohol in 100 millilitres;
- (b) in the case of blood, 20 milligrams of alcohol in 100 millilitres;
- (c) in the case of urine, 27 milligrams of alcohol in 100 millilitres.

##### **Information about the flight**

**111.**—(1) If the launch vehicle has a flight crew, a spaceflight operator must make available to each member of the crew all information necessary for the flight crew to carry out the flight safely.

(2) If the launch vehicle has a remote pilot, a spaceflight operator must make available to that pilot all information necessary for the remote pilot to carry out the flight safely.

### **Authority to pilot in command or remote pilot**

**112.** If the launch vehicle has a pilot in command or a remote pilot, a spaceflight operator must give that pilot the authority to give the commands, make the decisions or take the actions referred to in regulation 119.

## **SECTION 3**

### *The launch vehicle*

### **Additional condition if the launch vehicle has a human occupant**

**113.**—(1) The systems referred to in regulation 94(3)(d) are that, if the launch vehicle has a human occupant, that vehicle must have—

- (a) a system capable of providing on board power and atmospheric conditions for the inhabited areas of the launch vehicle which are adequate to sustain life and consciousness of a human occupant or equipment to provide such conditions to each human occupant,
- (b) an adequate redundant system for supplying oxygen to a human occupant and preventing depressurisation, or the harmful effects of depressurisation, in inhabited areas of the launch vehicle,
- (c) a system capable of warning the pilot in command or the remote pilot of any significant accumulation of ice on the exterior of the launch vehicle,
- (d) a system which enables the spaceflight operator or any crew to detect smoke in the inhabited areas of the launch vehicle and to assist in preventing or suppressing a fire in that area,
- (e) a system capable of displaying any information necessary to any flight crew to ensure that the flight is carried out safely, and
- (f) a system capable of restraining any member of the crew or any spaceflight participant in their seat when necessary to ensure that the flight is carried out safely.

(2) Any system referred to in paragraph (1) includes any hardware or software relating to that system and must—

- (a) be suited to the operator's spaceflight activities, and
- (b) be capable of functioning during those activities.

(3) If a launch vehicle has a human occupant, that vehicle must have a flight recorder.

(4) In this regulation "a redundant system" means a system which provides the essential services of a primary system in the event of the failure of such a primary system.

### **Numbers of crew or spaceflight participants on board**

**114.** Before an operator's spaceflight activities commence, the spaceflight operator must determine the number of flight crew, cabin crew, spaceflight participants or both crew and spaceflight participants to be carried on board the launch vehicle, taking into account—

- (a) any conditions of the launch operator licence or return operator licence describing matters to be taken into account when determining these numbers,
- (b) the limits of the launch vehicle and its operations as established in the technical requirements specification for that vehicle,
- (c) the configuration of the launch vehicle and loading,
- (d) the duration of the mission,
- (e) any medical needs of a human occupant,
- (f) the equipment including seating available to a human occupant, and
- (g) any other matter which may affect the carrying out of the operator's spaceflight activities safely, in so far as these numbers are concerned.

### **Accessibility of instruments and equipment**

**115.** If the launch vehicle has a flight crew, a spaceflight operator must ensure that instruments, systems and equipment within the launch vehicle are readily operable and accessible from the station where—

- (a) any pilot in command who needs to use them is seated, or
- (b) another member of the flight crew who needs to use them is seated.

### **Emergency equipment**

**116.—**(1) A spaceflight operator must ensure that the launch vehicle is equipped with emergency equipment and a means of emergency evacuation of any human occupants in so far as is reasonable for and suited to the operator's spaceflight activities.

(2) The spaceflight operator must ensure that—

- (a) each human occupant is aware of the location of the emergency equipment within the launch vehicle and the means of emergency evacuation from that vehicle,
- (b) immediately before the flight, each human occupant is provided with information about how to use the emergency equipment and means of emergency evacuation and that such information is available on board the launch vehicle, and
- (c) the emergency equipment and means of emergency evacuation are identifiable and accessible on the launch vehicle for immediate use.

(3) In this regulation “emergency equipment” means first aid supplies, fire extinguishers, radio beacons, clothing and other emergency and survival equipment relevant to the operator's spaceflight activities.

### **Atmospheric conditions on board**

**117.—**(1) A spaceflight operator must maintain adequate atmospheric conditions in the inhabited areas of the launch vehicle, or provide equipment to provide such conditions to each human occupant, by monitoring and controlling—

- (a) the composition of the atmosphere including oxygen, carbon dioxide and the need for revitalisation of the internal atmosphere,
- (b) pressure, temperature and humidity,
- (c) contaminants that include particles and any harmful or hazardous concentrations of gases or vapours, and
- (d) ventilation and circulation.

(2) In this regulation “revitalisation” means a process by which the internal atmosphere of the inhabited parts of the launch vehicle is sustained at a healthy level, by introducing oxygen to replace the oxygen which has been consumed and by removing carbon dioxide.

## **SECTION 4**

### *Specific obligations of pilot in command, flight crew or remote pilot*

### **Obligations of pilot in command or remote pilot immediately before the flight**

**118.** Immediately before a flight, the pilot in command or the remote pilot must—

- (a) perform an inspection of the launch vehicle and its systems and equipment to the extent that it is practicable to do so, and
- (b) consult any of the spaceflight operator's written records relating to the fitness, condition and preparation of the launch vehicle, in so far as necessary to ensure the flight is carried out safely.

### **Obligations of pilot in command or remote pilot to carry out flight safely**

**119.**—(1) If a launch vehicle has a pilot in command or a remote pilot, that pilot must give commands, make appropriate decisions and take appropriate actions during the flight of that vehicle which are necessary to ensure that the flight is carried out safely.

(2) A pilot in command or a remote pilot must inform the spaceflight operator and the regulator at the earliest practicable opportunity if any of the commands, decisions or actions referred to in paragraph (1) did not comply with provisions contained in or made under the Act and the conditions of the launch operator licence or return operator licence.

### **Pilot in command, flight crew or remote pilot to remain at stations**

**120.**—(1) If a launch vehicle has a flight crew, that crew must during the flight remain at their stations secured in their seat by a safety belt or other restraint device unless there is more than one member of the flight crew and the pilot in command permits a member to leave the member's station temporarily—

- (a) to provide urgent assistance to another human occupant, or
- (b) for an urgent physiological or operational need.

(2) If a launch vehicle has a pilot in command that pilot may during the flight only leave the pilot in command's station temporarily where—

- (a) there is more than one member of the flight crew,
- (b) the flight can be carried out safely by another member of the flight crew, and
- (c) there is an urgent physiological reason or operational need to do so.

(3) If a launch vehicle has a remote pilot that remote pilot may during the flight only leave the remote pilot's station temporarily where—

- (a) there is more than one remote pilot,
- (b) the flight can be carried out safely by that other pilot, and
- (c) there is an urgent physiological reason or operational need to do so.

### **Pilot in command's obligations to a spaceflight participant about stations**

**121.** If a launch vehicle has a pilot in command, that pilot must ensure that each spaceflight participant is seated at the spaceflight participant's assigned station and secured in that participant's seat by a safety belt or other restraint device—

- (a) before launch and landing and during any taxiing operation necessary for the operator's spaceflight activities,
- (b) during periods of flight when the effects on the human body of the forces due to acceleration and their duration are most acute, and
- (c) at any other time when necessary for the flight to be carried out safely.

### **Remote pilot's obligations to a spaceflight participant about stations**

**122.** If a launch vehicle has a remote pilot, that pilot must ensure that each spaceflight participant is seated at the spaceflight participant's assigned station and secured in that participant's seat by a safety belt or other restraint device—

- (a) before launch and landing and during any taxiing operation necessary for the operator's spaceflight activities,
- (b) during periods of flight when the effects on the human body of the forces due to acceleration and their duration are most acute, or
- (c) at any other time when necessary for the flight to be carried out safely.

### **Launch director's or safety manager's obligations to a spaceflight participant about stations**

**123.**—(1) If a launch vehicle has no pilot in command or remote pilot—

- (a) where the operator's spaceflight activities are authorised by a launch operator licence, the launch director, or
- (b) where those activities are authorised by a return operator licence, the safety manager,

must ensure that each spaceflight participant is seated at the spaceflight participant's assigned station and secured in that participant's seat by a safety belt or other restraint device at the times or during the periods referred to in paragraph (2).

(2) The times or periods are—

- (a) before launch and landing and during any taxiing operation necessary for the operator's spaceflight activities,
- (b) during periods of flight when the effects on the human body of the forces due to acceleration and their duration are most acute, and
- (c) at any other time when necessary for the flight to be carried out safely.

### **SECTION 5**

#### *Spaceflight participants*

### **Prohibiting the launch vehicle carrying a spaceflight participant**

**124.** A spaceflight operator must not carry a spaceflight participant in a launch vehicle unless that vehicle is fit for the operator's spaceflight activities in accordance with regulation 94.

### **A spaceflight participant to remain at station**

**125.**—(1) A spaceflight participant must remain seated at the spaceflight participant's assigned station and secured in that participant's seat by a safety belt or other restraint device at all times and during all the periods referred to in regulations 121 to 123 unless one of the individuals in paragraph (2) permits such a participant to leave that station.

(2) The individuals are—

- (a) the pilot in command,
- (b) a member of the flight crew,
- (c) a remote pilot,
- (d) the launch director, where the operator's spaceflight activities are authorised by a launch operator licence, or
- (e) the safety manager, where the operator's spaceflight activities are authorised by a return operator licence.

### **Availability of seating requirement to a spaceflight participant**

**126.** A spaceflight operator must make a copy of the requirement relating to seating in regulation 125 available to each spaceflight participant.

### **SECTION 6**

#### *Human occupant: information to be given after consent form is signed*

### **Information about the operator's spaceflight activities**

**127.** Before an operator's spaceflight activities commence, the spaceflight operator must give each human occupant the information referred to in regulations 197 and 198 which has become available since that occupant signed the consent form referred to in section 17.

## CHAPTER 6

### Contraventions and sanctions

#### **Failure of launch director to check conditions met before operator's spaceflight activities commence**

**128.** A launch director who fails, without reasonable excuse, to comply with the obligation to check that the conditions in regulation 102(2) are met before an operator's spaceflight activities commence, contravenes these Regulations.

#### **Failure of flight termination personnel to follow obligation to make a flight termination decision**

**129.** A member of the flight termination personnel who fails, without reasonable excuse, to comply with the obligation to make a flight termination decision in regulation 103(2), contravenes these Regulations.

#### **Being unfit for duty**

**130.** A member of the crew, or a remote pilot, who contravenes the prohibition in regulation 110(1) not to perform any of their spaceflight duties when their ability to perform them is impaired by the causes referred to in regulation 110(1)(a) to (c), contravenes these Regulations.

#### **Failure of a pilot in command or remote pilot to carry out obligations before the flight**

**131.** A pilot in command, or a remote pilot, who fails to comply with the obligations in regulation 118(a) and (b) before the flight, contravenes these Regulations.

#### **Failure of pilot in command or remote pilot to carry out flight safely**

**132.** A pilot in command, or a remote pilot, who fails to comply with the obligations to give commands, make appropriate decisions and take appropriate actions referred to in regulation 119(1), contravenes these Regulations.

#### **Failure of a pilot in command, flight crew or a remote pilot to remain at stations**

**133.—(1)** A member of the flight crew who fails to comply with the requirement in regulation 120(1) to remain at that member's station secured in their seat by a safety belt or other restraint device unless there is more than one member of the flight crew and the pilot in command permits the member to leave that station for the reasons referred to in regulation 120(1)(a) or (b), contravenes these Regulations.

(2) A pilot in command who leaves the pilot in command's station for reasons other than those referred to in regulation 120(2)(a) to (c), contravenes these Regulations.

(3) A remote pilot who leaves the remote pilot's station for reasons other than those referred to in regulation 120(3)(a) to (c), contravenes these Regulations.

#### **Failure of a pilot in command to carry out obligations to a spaceflight participant about stations**

**134.** A pilot in command who fails, without reasonable excuse, to comply with the requirement in regulation 121 to ensure that a spaceflight participant is seated at the spaceflight participant's assigned station and secured in that spaceflight participant's seat by a safety belt or other restraint device at the times or during the periods referred to in regulation 121(a) to (c), contravenes these Regulations.

### **Failure of a remote pilot to carry out obligations to a spaceflight participant about stations**

**135.** A remote pilot who fails, without reasonable excuse, to comply with the requirement in regulation 122 to ensure that a spaceflight participant is seated at the spaceflight participant's assigned station and secured in that spaceflight participant's seat by a safety belt or other restraint device at the times or during the periods referred to in regulation 122(a) to (c), contravenes these Regulations.

### **Failure of a launch director or a safety manager to carry out obligations to a spaceflight participant about stations**

**136.—**(1) A launch director referred to in regulation 123(1)(a), who fails, without reasonable excuse, to comply with the requirement in regulation 123 to ensure that a spaceflight participant is seated at the spaceflight participant's assigned station and secured in that spaceflight participant's seat by a safety belt or other restraint device at the times or during the periods referred to in regulation 123(2)(a) to (c), contravenes these Regulations.

(2) A safety manager referred to in regulation 123(1)(b), who fails, without reasonable excuse, to comply with the requirement in regulation 123 to ensure that a spaceflight participant is seated at the spaceflight participant's assigned station and secured in that spaceflight participant's seat by a safety belt or other restraint device at the times or during the periods referred to in regulation 123(2)(a) to (c), contravenes these Regulations.

### **Failure of a spaceflight participant to remain at station**

**137.** A spaceflight participant who fails, without reasonable excuse, to comply with the requirement in regulation 125(1) to remain seated at the spaceflight participant's assigned station and secured in that participant's seat by a safety belt or other restraint device at all times or during all the periods referred to in regulations 121 to 123 unless one of the individuals listed in regulation 125(2) permits that participant to leave that station, contravenes these Regulations.

### **Penalties**

**138.** A person who has contravened one or more of regulations 128 to 137 is guilty of an offence, and is liable—

- (a) on summary conviction in England and Wales, to a fine;
- (b) on summary conviction in Scotland or Northern Ireland, to a fine not exceeding the statutory maximum;
- (c) on conviction on indictment, to imprisonment for a term not exceeding two years, or a fine, or both.

## **PART 9**

### **Spaceport safety**

#### **CHAPTER 1**

#### **Interpretation**

### **Interpretation**

**139.** In this Part—

“current safety case” means—

- (a) if it is the first safety case, the safety case which the regulator is satisfied meets the requirements of regulation 39, or

- (b) if it is a safety case reviewed, or reviewed and revised, under regulation 143 of this Part, the safety case reviewed, or reviewed and revised, and accepted by the regulator in accordance with that regulation;

“licensed activities” means the activities that a person is authorised to carry out by virtue of a spaceport licence;

“operational area” means any area of a spaceport where licensed activities are undertaken and includes any runway, taxiway, launch pad, test stand, hazardous material storage facility, loading, unloading and venting area, fuelling area, static engine test area or area within a safety clear zone;

“safety case” is to be construed in accordance with regulation 39;

“safety clear zone” means an area which is subject to restrictions, exclusions and warnings during the carrying out of hazardous pre-flight and post-flight operations;

“spaceport manual” is to be construed in accordance with regulation 152;

“spaceport operating staff” means an employee or agent of the licensee whose duties—

- (a) are concerned with ensuring that the spaceport is safe and secure for use by carrier aircraft, launch vehicles and any satellite or other payload to be carried by a launch vehicle, or
- (b) require them to have access to an operational area;

“training manager” means the individual appointed to undertake that role by the spaceport licensee under regulation 7(1)(d).

## CHAPTER 2

### A spaceport licensee’s safety duty

#### **A spaceport licensee’s safety duty**

**140.**—(1) A spaceport licensee must ensure that its licensed activities are carried out safely.

(2) A spaceport licensee carries out its licensed activities safely by carrying them out in a way which—

- (a) secures public safety in accordance with the current safety case, and
- (b) otherwise complies with the requirements of this Part and the conditions of the spaceport licence.

## CHAPTER 3

### Horizontal spaceport location requirement

#### **Horizontal spaceport location requirement**

**141.** A horizontal spaceport must be located at an aerodrome which is either—

- (a) CAA licensed, or
- (b) EASA certified.

## CHAPTER 4

### Safety Case: retention, review and revision

#### **Requirement to retain the safety case**

**142.** A spaceport licensee must retain its current safety case for the duration of the licence.



### **Safety case review and revision requirement**

**143.**—(1) A spaceport licensee must review and, where necessary, revise its current safety case—

- (a) no more than five years after the date on which the licence was granted, and
- (b) at intervals not exceeding five years following the first review mentioned in subparagraph (a).

(2) Despite paragraph (1), the spaceport licensee must review and, where necessary, revise its current safety case—

- (a) following an accident or occurrence at the spaceport;
- (b) where it becomes aware of an accident or occurrence that has occurred elsewhere and which may affect the safe operation of the spaceport;
- (c) where a review is justified by new facts or by technological knowledge about safety matters, including knowledge arising from analysis of accidents or occurrences;
- (d) where a review is justified by developments in knowledge concerning the assessment of hazards;
- (e) before—
  - (i) making modifications to the spaceport,
  - (ii) making changes to the licensed activities,
  - (iii) a new carrier aircraft or launch vehicle operates from the spaceport,
  - (iv) a new satellite or payload is to be carried by a launch vehicle operating at the spaceport, or
  - (v) introducing new types of hazardous material to the spaceport, or increasing the quantities of hazardous material that are to be transported on or stored at the spaceport,where such changes could have significant consequences for risk;
- (f) following any change to the safety management system which may introduce new risks or hazards or change the nature of existing hazards;
- (g) if the regulator directs the spaceport licensee to review, or review and revise, its current safety case.

(3) In carrying out a review of a safety case the spaceport licensee must take into account the spaceport licensee's safety duty under regulation 140.

### **Steps required after review, or review and revision, of safety case**

**144.**—(1) Where a spaceport licensee revises its safety case it must supply to the regulator without delay and, where regulation 143(2)(e) applies, in advance of the proposed change—

- (a) the revised safety case, and
- (b) any other information about the revision of the safety case which the regulator requires.

(2) Where a safety case has been reviewed under regulation 143 and a decision has been taken not to revise it, the spaceport licensee must without delay—

- (a) inform the regulator in writing, and
- (b) give the regulator the reasons for that decision.

(3) Until the spaceport licensee has received the regulator's confirmation in writing that it accepts the revised safety case supplied to it under paragraph (1), the licensee must not implement any changes to its licensed activities as a result of any revision of that safety case.

## CHAPTER 5

### Safety clear zones

#### **Safety clear zone requirement**

**145.**—(1) A spaceport licensee must, unless paragraph (2) applies, put in place an appropriate safety clear zone to ensure that the risk to any person from blast overpressure, fragmentation debris, thermal radiation or toxic release is as low as reasonably practicable during any hazardous pre-flight and post-flight operations.

(2) This paragraph applies if the current safety case demonstrates that a safety clear zone is not required for the hazardous pre-flight or post-flight operations.

(3) A spaceport licensee must—

- (a) promulgate the times at which a safety clear zone is in place,
- (b) promulgate the area that comprises the safety clear zone,
- (c) ensure that the safety clear zone is monitored at all times, and
- (d) secure that arrangements are in place to ensure that no person is inside the safety clear zone unless the person's presence there is essential for the carrying out of spaceflight activities or for the performance of duties connected with such activities.

(4) For the purposes of paragraph (1), an appropriate safety clear zone is one which is determined by the assessment made in the spaceport licensee's current safety case.

## CHAPTER 6

### Hazardous material, testing areas and safety equipment at spaceports

#### **Hazardous material storage facilities: location requirements and plan**

**146.**—(1) A spaceport licensee must designate, in accordance with paragraph (2), appropriate areas at the spaceport for the safe storage of any hazardous material ("hazardous material storage facilities").

(2) For the purposes of paragraph (1), whether an area is appropriate for the siting of a hazardous material storage facility must be determined in the light of—

- (a) the assessment made in the spaceport licensee's current safety case, and
- (b) the types and quantities of hazardous material to be stored.

(3) The spaceport licensee must identify on the site plan required under regulation 39(4)(d)—

- (a) the location of all hazardous material storage facilities at the spaceport;
- (b) the maximum quantity of hazardous material to be stored at each facility, including the type and, if applicable, the hazard type;
- (c) actual and minimum separation distances between each hazardous material storage facility and—
  - (i) any other hazardous material storage facility at the spaceport;
  - (ii) an inhabited building;
  - (iii) a public road or railway line;
  - (iv) a public area.

(4) The spaceport licensee must ensure that any hazardous material storage facility is clearly marked in a manner appropriate to the hazardous material stored.

(5) For the purposes of this regulation—

“hazard type” has the same meaning as in the Explosives Regulations 2014<sup>(a)</sup>;

“inhabited building” means any building in or at which people are, or are likely to be, present either all the time or from time to time;

“minimum separation distance” means the minimum distance which is appropriate in the light of the assessment made in the spaceport licensee’s current safety case, between a hazardous material storage facility and any other infrastructure or building listed in sub-paragraphs (3)(c)(i) to (iv);

“public area” means any area within, or in the vicinity of, the spaceport and to which members of the public are allowed access;

“public road” means any thoroughfare outside the spaceport on which the movement of vehicles is allowed.

### **Hazardous material: loading, unloading and venting areas**

**147.**—(1) A spaceport licensee must designate appropriate areas at the spaceport for the loading, unloading and venting of any hazardous material.

(2) Any area designated under paragraph (1) must be appropriate to the type and quantity of hazardous material and have a surface that is compatible with that type of hazardous material.

(3) For the purposes of paragraphs (1) and (2), whether an area is appropriate must be determined in the light of the assessment made in the spaceport licensee’s current safety case.

### **Fuels, oxidants etc: fit for purpose requirement**

**148.**—(1) Where a spaceport licensee is responsible for storing, transporting or handling any hazardous material, it must not cause or permit that hazardous material to be delivered to an installation unless satisfied that—

- (a) the installation is capable of storing and dispensing the hazardous material so as not to render it unfit for use in a carrier aircraft, launch vehicle or satellite or other payload;
- (b) the installation is marked in a manner appropriate to the type of hazardous material stored or, if different types are stored in different parts, each part is so marked;
- (c) in the case of delivery from a vehicle or vessel, the hazardous material is of a type appropriate to that installation and is fit for use in a carrier aircraft, launch vehicle or satellite or other payload.

(2) A spaceport licensee must not cause or permit any hazardous material to be dispensed from an installation that it manages to a carrier aircraft, launch vehicle or satellite or other payload unless satisfied that it is fit for use in that carrier aircraft, launch vehicle or satellite or payload.

(3) Paragraphs (1) and (2) do not apply to any hazardous material which has been removed from a carrier aircraft, launch vehicle or satellite or other payload and is intended for use in another carrier aircraft, launch vehicle or satellite or other payload operated by the same operator as the carrier aircraft, launch vehicle, satellite or payload from which it has been removed.

(4) The spaceport licensee must keep a written record for each installation that it manages, which must include detailed information about—

- (a) the type and quantity of hazardous material delivered and the date of delivery,
- (b) any samples taken of the hazardous material and the results of tests of those samples, and
- (c) the maintenance and cleaning of the installation.

(5) The spaceport licensee must—

- (a) preserve the written record required under paragraph (4) for 12 months from the date of delivery referred to in paragraph (4)(a) or such longer period as the regulator may in a particular case direct, and

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(a) S.I. 2014/1638, amended by S.I. 2014/3248, S.I. 2016/345, S.I. 2016/315 and S.I. 2017/469.

- (b) produce that record within a reasonable time after being requested to do so by the regulator.

(6) In this regulation “installation” means any apparatus or container, including a hazardous material storage facility or a vehicle, designed, manufactured or adapted for the storage or transportation of any hazardous material or for the delivery of any such material to a carrier aircraft, launch vehicle or satellite or other payload.

### **Static engine test area**

**149.**—(1) Where static engine testing of carrier aircraft, launch vehicles or satellites or other payloads, or any other test of such a vehicle or craft which potentially poses a risk to members of the public, is to be carried out at a spaceport, the spaceport licensee must designate an appropriate area at the spaceport for the purposes of conducting those tests.

(2) For the purposes of paragraph (1), whether an area is appropriate for the static engine, or other testing, of carrier aircraft, launch vehicles or satellites or other payloads must be determined in the light of the assessment made in the spaceport licensee’s current safety case.

### **Safety equipment**

**150.** If a spaceport licensee owns, manages or controls any system or ground equipment used to protect persons or property at the spaceport or to comply with these Regulations, that licensee must ensure that any such system or equipment is—

- (a) maintained in efficient working order and in good repair, and
- (b) thoroughly examined and, where appropriate, tested at suitable intervals.

## **CHAPTER 7**

### **Requirements about the spaceport licensee’s organisation and management**

#### **Safety management system requirement**

**151.** A spaceport licensee must have in place a safety management system which complies with the requirements in Schedule 6.

#### **Spaceport manual requirement**

**152.**—(1) A spaceport licensee must have in place a spaceport manual, which in the case of a horizontal spaceport may be an annex to the existing aerodrome manual, which meets the requirements in paragraph (2).

(2) The spaceport manual must contain all such information and instructions as may be necessary to enable the spaceport operating staff to perform their duties as such including, in particular, information and instructions relating to the matters specified in Schedule 7.

(3) The spaceport licensee must—

- (a) supply to the regulator any amendments or additions to the spaceport manual before or immediately after they come into effect,
- (b) without prejudice to sub-paragraph (a), make such amendments or additions to the spaceport manual as the regulator may require for the purpose of ensuring the safe operation of the spaceport and of any carrier aircraft, launch vehicle or satellite or other payload at the spaceport, and
- (c) maintain the spaceport manual and make such amendments as may be necessary for the purpose of keeping its contents up to date.

(4) The spaceport licensee must make available to each member of the spaceport operating staff a copy of the spaceport manual, or a copy of every part of the spaceport manual which is relevant to that person’s duties and ensure that each such copy is kept up to date.

(5) The spaceport licensee must take all reasonable steps to secure that each member of the spaceport operating staff—

- (a) is aware of the contents of every part of the spaceport manual which is relevant to that person's duties, and
- (b) undertakes those duties in conformity with the relevant provisions of the spaceport manual.

(6) In this regulation “existing aerodrome manual” means the manual required to be in place under article 212(1)(d) of the Air Navigation Order 2016(a).

## CHAPTER 8

### Emergencies

#### **Emergency response plan requirement**

**153.**—(1) A spaceport licensee must have in place and maintain an emergency response plan for the spaceport.

(2) The emergency response plan must—

- (a) detail how the spaceport licensee will respond in an emergency;
- (b) be appropriate for the licensed activities and spaceflight activities to be conducted at the spaceport;
- (c) provide for coordination with the relevant local authority and emergency services in response to an emergency occurring at, or in the vicinity of, the spaceport;
- (d) provide for the coordination of the spaceport emergency response plan with—
  - (i) the spaceport safety management system,
  - (ii) the emergency response plan of any other organisation at the spaceport, and
  - (iii) the emergency response plans of those organisations with which the spaceport licensee must interact during the provision of the spaceport services.

(3) The spaceport licensee must, at suitable intervals not exceeding three years—

- (a) test the emergency response plan, and
- (b) review and, where necessary, revise the plan.

(4) The spaceport licensee must supply to the regulator before or immediately after they come into effect—

- (a) the results of any test of the emergency response plan conducted under paragraph (3)(a), and
- (b) details of the revisions, if any, it has made to the emergency response plan as a result of a review conducted under paragraph (3)(b).

(5) For the purposes of this regulation “relevant local authority” means the local authority in whose administrative area the spaceport is situated.

#### **Spaceport rescue and fire-fighting provision**

**154.**—(1) A spaceport licensee must ensure that rescue and firefighting personnel, facilities and equipment are provided at the spaceport in a timely manner.

(2) The level, type and timeliness of provision under paragraph (1) must be appropriate taking account of—

- (a) the licensed activities and spaceflight activities to be conducted at the spaceport, and
- (b) the assessment made in the spaceport licensee's current safety case.

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(a) S.I. 2016/765, amended by S.I. 2019/645.

## **Powers of spaceport firefighters in an emergency**

**155.**—(1) A member of the Rescue and Fire Fighting Service at a spaceport may do anything at a spaceport that the member reasonably believes to be necessary—

- (a) if the member reasonably believes a fire to have broken out or to be about to break out, for the purpose of extinguishing or preventing the fire or protecting life or property,
- (b) if the member reasonably believes a launch vehicle accident or incident to have occurred, for the purpose of rescuing people or protecting them from serious harm, or
- (c) for the purpose of preventing or limiting damage to property resulting from any action taken as mentioned in sub-paragraph (a) or (b).

(2) In particular, a member of the Rescue and Fire Fighting Service at a spaceport, when acting in accordance with paragraph (1), may at the spaceport—

- (a) enter a launch vehicle, by force if necessary, without the consent of the owner or spaceflight operator;
- (b) restrict the access of persons to a launch vehicle or a place.

(3) A person who without reasonable excuse obstructs or interferes with a member of the Rescue and Fire Fighting Service at a spaceport taking authorised action under this regulation commits an offence.

(4) A person who commits an offence under paragraph (3) is liable—

- (a) on summary conviction in England and Wales, to a fine;
- (b) on summary conviction in Scotland or Northern Ireland, to a fine not exceeding the statutory maximum;
- (c) on conviction on indictment, to imprisonment for a term not exceeding two years, or a fine, or both.

## **PART 10**

### **Security**

#### **CHAPTER 1**

##### **Interpretation**

### **Interpretation**

**156.** In this Part—

“appropriate authorities” has the meaning given in regulation 172(3);

“controlled area” means a space site security restricted area which has—

- (a) US technology,
- (b) launch activities taking place in that area, and
- (c) been designated as a controlled area by the Secretary of State under paragraph 1(1)(a) of Schedule 5 to the Act;

“essential services” means services which are essential for the maintenance of critical societal or economic activities;

“foreign spacecraft” has the meaning given in the Agreement between the Government of the United States of America and the Government of the United Kingdom of Great Britain and Northern Ireland on technology safeguards associated with United States’ participation in space launches from the United Kingdom entered into on [\*\*\*\*];

“launch activities” has the meaning given in the Agreement between the Government of the United States of America and the Government of the United Kingdom of Great Britain and

Northern Ireland on technology safeguards associated with United States' participation in space launches from the United Kingdom entered into on [\*\*\*\*];

"NASP directed aerodrome" means an aerodrome which is subject to the direction of the Secretary of State under sections 12, 13, 13A, 14, 15 and 17 of the Aviation Security Act 1982(a) and Regulation (EC) No 300/2008(b), as it forms part of domestic law on IP completion day under section 3 of the European Union (Withdrawal) Act 2018;

"network and information systems" has the meaning given in regulation 173(3);

"non-US vehicle" has the same meaning as "Foreign launch vehicle" has in the Agreement between the Government of the United States of America and the Government of the United Kingdom of Great Britain and Northern Ireland on technology safeguards associated with United States' participation in space launches from the United Kingdom entered into on [\*\*\*];

"notifiable incident" has the meaning given in regulation 174(2);

"security" in connection with network and information systems has the meaning given in regulation 174(2);

"security operative" means an individual who is engaged by the security manager to perform security functions on behalf of a licensee at a space site;

"segregated area" means an area within a space site which is designated as a segregated area jointly by the Secretary of State and the US Government under paragraph 1(1)(a) of Schedule 5 to the Act where the licensee permits access only to persons authorised by the US Government to ensure that on an uninterrupted basis they can monitor, inspect, access and control access to US technology for the purposes of conducting launch activities;

"spaceflight operations" means—

- (a) spaceflight activities;
- (b) range control services;
- (c) activities associated with spaceflight activities and range control services;
- (d) activities associated with launch vehicles and their payloads;

"space site security restricted area" means an area within a space site designated by the Secretary of State for the purposes of the assembling and integration of launch vehicles or carrier aircraft(c), mating of launch vehicles or carrier aircraft to their payloads, and mission management or range control services where such activities require restricted access;

"special launch operator" means a person who holds a launch operator licence which authorises the launch of a US launch vehicle or of a launch vehicle carrying a US spacecraft;

"supplies" has the meaning given in regulation 165(2);

"supplier" has the meaning given in regulation 167(4);

"Technology Transfer Control Plan" has the meaning given in the Agreement between the Government of the United States of America and the Government of the United Kingdom of Great Britain and Northern Ireland on technology safeguards associated with United States' participation in space launches from the United Kingdom entered into on [\*\*\*\*];

"unauthorised access or interference" in connection with the security of systems relating to spaceflight operations has the meaning given in regulation 173(3);

"unlawful occurrences" has the meaning given in regulation 173(3);

"US" means the United States of America;

"UK participants", "US launch vehicles", "US licensees", "US participants", "US related equipment" and "US spacecraft" have the meanings given in the Agreement between the Government of the United States of America and the Government of the United Kingdom of

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(a) 1982 c. 36.

(b) Regulation (EC) No 300/2008 of the European Parliament and of the Council of 11 March 2008 on the common rules in the field of civil aviation security and repealing Regulation (EC) No 2320/2002.

(c) "carrier aircraft" is defined in section 2(6).

Great Britain and Northern Ireland on technology safeguards associated with United States' participation in space launches from the United Kingdom entered into on [\*\*\*];

“US technology” means any US launch vehicles, US related equipment, US technical data or US spacecraft;

“valid” has the meaning given in regulation 161(8).

## CHAPTER 2

### Physical and Personnel Security

#### **Responsibilities of a security manager**

**157.** A security manager is responsible for—

- (a) setting security policy, standards and targets,
- (b) writing security instructions for staff carrying out security functions,
- (c) making decisions affecting security operations,
- (d) developing and managing security contingency planning,
- (e) undertaking a security risk assessment,
- (f) ensuring that individuals carrying out security functions have appropriate training and qualifications and have been vetted in accordance with national security vetting procedures to carry out such functions, and
- (g) managing security quality control.

#### **Space site security programme**

**158.**—(1) Where there is a requirement to appoint a security manager for a space site<sup>(a)</sup> under Chapter 1 of Part 3 (eligibility criteria and prescribed roles for licensees), the security manager must draw up and maintain a security programme in respect of the space site for which that manager is responsible.

(2) The programme may, in the case of horizontal spaceports, be an annex to the existing aerodrome security plan.

(3) The licensee must comply with the requirements of the programme.

(4) The programme must—

- (a) comply with the requirements mentioned in paragraph (5), and
- (b) describe the methods and procedures mentioned in paragraph (6).

(5) The requirements are that the programme must—

- (a) be kept up to date,
- (b) be reviewed at least annually,
- (c) be sent to the regulator as soon as possible following an annual review,
- (d) comply with international obligations of the United Kingdom and be consistent with such obligations,
- (e) be site specific and proportionate to the type of activities being carried out on the site, and
- (f) be based on a security risk assessment which—
  - (i) has been carried out by the space site security manager,
  - (ii) is reviewed at least annually, and
  - (iii) is kept up to date.

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(a) “space site” is defined in paragraph 5(3) of Schedule 4 to the Act.



- (6) The programme must describe—
- (a) any physical barrier for the space site provided under regulation 160,
  - (b) the access controls to the space site put in place to prevent unauthorised access provided under regulation 161,
  - (c) the space site security restricted areas and controlled areas at the site (see regulation 162),
  - (d) the access controls for emergency services and post-emergency security procedures provided under regulation 163,
  - (e) security controls relating to prohibited articles (see regulation 164),
  - (f) the access controls for supplies, payloads and launch vehicles provided under regulations 165 and 166,
  - (g) guidance and procedures for assuring and approving suppliers (see regulation 167),
  - (h) the methods and procedures for surveillance of space sites provided under regulation 168,
  - (i) procedures for protection of hazardous material from unauthorised interference (see regulation 169),
  - (j) the methods and procedures for protection of carrier aircraft, launch vehicles and payloads at a spaceport pre and post integration (see regulations 170 and 171),
  - (k) the training, qualifications and national security vetting procedures necessary for individuals carrying out security functions at the space site provided under regulations 175 to 178),
  - (l) the procedures in place for protection of US technology at the site (see regulations 180 to 190),
  - (m) the security measures in place for a space site used in connection with the provision of range control services, and
  - (n) how compliance with methods and procedures specified in the programme is to be monitored by the security manager.
- (7) In this regulation—

“existing aerodrome security plan” means the plan in force in relation to the aerodrome under section 24AE of the Aviation Security Act 1982(a);

“post-emergency security procedures” means the checks carried out by the licensee of all the areas that the emergency services have accessed after the emergency services have left the site to ensure that there has been no breach of security as set out in the space site security programme.

### **Operator security programme**

**159.**—(1) Where there is a requirement to appoint a security manager under Chapter 1 of Part 3, the security manager for a spaceflight operator (“the security manager”) must draw up and maintain an operator security programme (“the programme”) for spaceflight activities in respect of which that manager is responsible.

- (2) The programme must be integrated with the space site security programme.
- (3) The spaceflight operator must comply with the requirements of the programme.
- (4) The programme must—
  - (a) comply with the requirements mentioned in paragraph (5), and
  - (b) describe the methods and procedures mentioned in paragraph (6).
- (5) The requirements are that the programme must—

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(a) 1982 c. 36. Part 2A of the 1982 Act (security planning for aerodromes) was inserted by section 79 of the Policing and Crime Act 2009 (c. 26) and applies to NASP directed aerodromes. Section 24AE (aerodrome security plans) was amended by section 15(3) of, and paragraph 186 of Schedule 8 to, the Crime and Courts Act 2003 (c. 22).

- (a) be kept up to date,
  - (b) be reviewed at least annually,
  - (c) be sent to the regulator as soon as possible following an annual review,
  - (d) comply with international obligations of the United Kingdom and be consistent with those obligations,
  - (e) be specific and proportionate to the spaceflight activities being carried out by the spaceflight operator, and
  - (f) be based on a security risk assessment which—
    - (i) has been carried out by the security manager,
    - (ii) is reviewed at least annually, and
    - (iii) is kept up to date.
- (6) The programme must describe—
- (a) the appropriate measures for protecting launch vehicles, payloads and carrier aircraft at the spaceport (see regulations 170 and 171),
  - (b) the appropriate security controls for flight safety systems (see regulation 172),
  - (c) the appropriate training, qualifications and national security vetting procedures necessary for individuals carrying out security functions for the operator (see regulations 175 to 178),
  - (d) how compliance with methods and procedures mentioned in this paragraph is to be monitored by the security manager, and
  - (e) the procedures in place for protection of US technology at the site (see regulations 180 to 190).

#### **Access control to space sites: sufficient security measures**

**160.**—(1) A licensee must take sufficient security measures to ensure that the space site for which they are responsible is secure from unauthorised access.

(2) The security measures may include the installation of a temporary or permanent physical barrier around the site.

(3) This regulation does not apply to a spaceport located at a NASP directed aerodrome.

#### **Access control to space sites: further provisions**

**161.**—(1) This regulation applies to the grant of access to a space site.

(2) A licensee may only grant access to a space site if an individual or vehicle seeking to enter the site satisfies the conditions mentioned in paragraphs (3) to (5).

(3) The conditions for granting access to the site are that—

- (a) there must be a legitimate reason for the individual or vehicle to be on the site,
- (b) the individual seeking access to the site must provide a reliable means of personal identification by providing—
  - (i) an employee or licensee identification card,
  - (ii) a national appropriate authority identification card, or
  - (iii) a compliance authority identification card, and
- (c) the individual seeking to bring a vehicle onto the site must provide the licensee with their details, and the details of the vehicle they seek to bring on site, prior to arrival.

(4) In paragraph (3)(a) a legitimate reason for being on the site includes participation in guided tours of the site.

(5) The identification mentioned in paragraph (3)(b) must be checked by an appropriately qualified and authorised security operative to ensure that the identification card is valid and corresponds to the holder before the holder is granted access to the site.

(6) An individual who has been granted access to the site must display any of the personal identification cards mentioned at paragraph (3)(b) at all times whilst on the site.

(7) This regulation does not apply to access for emergency services where they are responding to an emergency on the site.

(8) In this regulation—

“appropriately qualified and authorised security operative” means a security operative who has been trained in accordance with provisions set out in Chapter 4 of this Part and is authorised to carry out security functions on the space site by the licensee;

“compliance authority identification card” means a valid identification card issued by the following persons including, but not limited to—

- (a) the SAIA,
- (b) CAA Inspectors and Auditors,
- (c) Police Officers,
- (d) Officers of the Health and Safety Executive, and
- (e) Inspectors from the Department for Environment, Food and Rural Affairs and its agencies;

“employee identification card” means a valid identification card issued by an individual’s employer that clearly identifies an individual and the organisation they work for;

“licensee identification card” means a valid identification card issued by the licensee that clearly identifies an individual and the licensee’s company;

“national appropriate authority identification card” means a valid identification card issued by—

- (a) the Secretary of State for the purposes of enabling the holder to enter a space site to carry out a security inspection to ensure that security operatives are compliant with security measures set out in this Part and in security guidance made under the Act, or
- (b) the US Government to enable access to a space site security restricted area<sup>(a)</sup> which has US technology, data or equipment;

“valid” means current and not tampered with.

### **Space site security restricted area and controlled area**

**162.**—(1) The licensee must make a proposal to the Secretary of State for an area at a space site to be designated by the Secretary of State—

- (a) as a space site security restricted area (“the restricted area”), if the licensee intends to carry out the activities mentioned in paragraph (2) in that area, and
- (b) as a controlled area if paragraph (3)(a) or (b) applies.

(2) The area proposed by the licensee must be designated by the Secretary of State as a restricted area for the purposes of—

- (a) assembling and integration of launch vehicles or carrier aircraft,
- (b) the mating of launch vehicles or carrier aircraft to their payloads, and
- (c) mission management or range control services where such activities require restricted access.

(3) The restricted area must be designated as a controlled area by the Secretary of State where—

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(a) See regulation 156 for the definition of “space site security restricted area”.

- (a) US technology is being used in that area, and
- (b) launch activities are taking place in that area.
- (4) The licensee must ensure that—
  - (a) the restricted area or controlled area is clearly defined and that access to the area is controlled by a security operative or by electronic means as appropriate,
  - (b) access to the restricted area or controlled area is limited to individuals who have been authorised to be in the area,
  - (c) individuals seeking access to the restricted area or controlled area have valid identification as a condition of being admitted into the area,
  - (d) individuals, payloads, launch vehicles, supplies and vehicles entering the restricted area or controlled area are subjected to appropriate levels of screening so that prohibited articles (see regulation 164) do not enter the area, and
  - (e) individuals who have been granted access to the restricted area or controlled area display their identification at all times whilst in the area.
- (5) Where it is not possible to screen a payload or a launch vehicle entering the restricted area or controlled area due to its density or sensitivity, the operator must ensure that it obtains a declaration from the individual seeking to bring the payload or launch vehicle into the area confirming that the payload or launch vehicle has been protected from unauthorised interference or tampering during manufacture and transportation.
- (6) This regulation does not apply to access for the emergency services where they are responding to an emergency on the site.

#### **Access control to space sites: emergency services**

**163.**—(1) Where the emergency services are responding to an emergency at a space site the licensee must grant access to the emergency services without requiring them to be subject to the access control measures mentioned in regulations 161 and 162.

(2) The licensee must draw up a plan relating to action to be taken following an emergency response at the site.

#### **Security controls for prohibited articles**

**164.**—(1) A licensee must apply appropriate and proportionate security controls to ensure that no prohibited articles are introduced onto the space site, launch vehicles or carrier aircraft either in vehicles, supplies or on persons.

(2) Individuals other than spaceflight participants must not be permitted to carry onto the site the articles mentioned in paragraph (4).

(3) Spaceflight participants must not be permitted to carry onto the site or on board a launch vehicle or carrier aircraft the articles mentioned in paragraph (5).

- (4) The prohibited articles for individuals other than spaceflight participants are—
  - (a) guns, firearms and any other devices that are capable or appear capable of being used to cause injury by discharging projectiles,
  - (b) any device designed specifically to stun or immobilise,
  - (c) any explosives, incendiary substances and devices appearing capable of, or being used—
    - (i) to cause injury, or
    - (ii) to pose a threat to the safety of launch vehicles or carrier aircraft, and
  - (d) any other article capable of being used to cause injury and which is not commonly used on the site.
- (5) The prohibited articles for spaceflight participants are—
  - (a) the articles mentioned in paragraph (4),

- (b) any tools capable of being used either to cause injury or to threaten the safety of launch vehicles or carrier aircraft, and
  - (c) any objects capable of being used to cause injury.
- (6) This regulation does not apply to a spaceport located at a NASP directed aerodrome.
- (7) Paragraphs (2) and (3) do not apply where—
- (a) an individual has been authorised by the licensee to carry prohibited articles onto the site,
  - (b) the licensee has checked that the individual who is carrying one or more articles specified in paragraph (4) is the individual who has been authorised to do so, and
  - (c) it is necessary for that individual to carry prohibited articles onto the site in order to undertake tasks that are essential for spaceflight operations or for the performance of duties connected with such operations.
- (8) The checking requirement in paragraph (7)(b) is only satisfied if the individual presents the authorisation which—
- (a) is either indicated on the identification card that grants access to the space site or in a separate declaration in writing, and
  - (b) indicates the article or articles that may be carried either as a category or a specific article.
- (9) The checks mentioned in paragraph (7)(b) must be performed—
- (a) before the individual is allowed to carry the article or articles concerned onto—
    - (i) the site, and
    - (ii) on board the launch vehicle or carrier aircraft, and
  - (b) when the individual is challenged by a security operative performing surveillance patrols on behalf of the licensee.
- (10) The articles specified in paragraph (4) may be stored on the site provided they are kept in secure conditions.
- (11) The articles specified in paragraph (5) may be stored on the site provided they are not accessible to spaceflight participants.

### **Security controls for supplies**

**165.**—(1) A licensee must ensure that—

- (a) appropriate and proportionate security controls are applied to space site supplies entering a space site,
  - (b) supplies are protected from unauthorised interference or tampering from the point at which security controls are applied until delivery to the site,
  - (c) it is familiar with any security controls to be applied to supplies prior to delivery onto the site,
  - (d) suppliers are informed of the requirements and restrictions to be imposed on supplies prior to entry onto the site,
  - (e) the licensee retains the final authority to allow supplies to enter the site where the provision of security controls to be applied to supplies prior to delivery onto the site is under the control of third parties,
  - (f) there are procedures in place to enable supplies entering the site to be inspected and screened, and
  - (g) staff with access to supplies to which security controls have been applied have been recruited and given security awareness training in accordance with the requirements of the Act and Chapter 4 of this Part.
- (2) In this regulation—
- “space site supplies” means all items intended to be used, sold or made available for any purpose or activity on the space site, and

“supplies” includes equipment but does not include payloads or launch vehicles and supplies shall be considered as space site supplies from the time that they are identifiable as supplies to be used, sold, or made available for any purpose or activity on the space site.

(3) This regulation does not apply to a spaceport located at a NASP directed aerodrome.

### **Security controls for payloads and launch vehicles**

**166.**—(1) A spaceflight operator must—

- (a) assure itself of the security controls applied to payloads and launch vehicles prior to entry into the space site security restricted area (“the restricted area”),
- (b) notify the spaceport licensee of the assurance mentioned in sub-paragraph (a) prior to the entry of payloads and launch vehicles into the restricted area,
- (c) obtain a signed declaration from manufacturers of payloads and launch vehicles and persons responsible for transporting payloads and launch vehicles from their place of manufacture to the spaceport confirming that all reasonable steps have been taken to ensure the security of payloads and launch vehicles,
- (d) retain the final authority to allow any payloads and launch vehicles to enter the restricted area,
- (e) inform the individual mentioned in paragraph (3) of the security controls to be imposed on the payloads and launch vehicles, and
- (f) ensure that staff with access to payloads and launch vehicles to which security controls have been applied have been recruited and given security training in accordance with the requirements of the Act and Chapter 4 of this Part.

(2) The signed declaration mentioned in paragraph (1)(c) must be provided to the spaceflight operator as a condition of the payloads and launch vehicles being admitted into the restricted area.

(3) The individual to be informed of the security controls imposed on payloads and launch vehicles must be a responsible individual nominated by—

- (a) a manufacturer of payloads and launch vehicles,
- (b) an operator of payloads and launch vehicles, or
- (c) a person responsible for transporting payloads and launch vehicles from their place of manufacture to the spaceport.

### **Access control to space sites: approval of suppliers**

**167.**—(1) A person wishing to be a supplier to a licensee must apply to the licensee for approval.

(2) An application made under paragraph (1) must identify—

- (a) the identity of the intending supplier,
- (b) details of the supplies that the intending supplier proposes to provide,
- (c) the space site where the supplies are to be delivered,
- (d) details of individuals who will need access to the space site to deliver supplies, and
- (e) details of the intending supplier’s security procedures that describe how supplies are protected from unauthorised interference or tampering.

(3) The licensee must provide the applicant with guidance which sets out how the application is to be assessed.

(4) In this regulation “supplier” means a person who provides any items intended to be used, sold or made available for any purpose or activity on the space site.

### **Surveillance of space sites**

**168.**—(1) A licensee must carry out ongoing surveillance of the space site in respect of which it is responsible, to ensure security of the site.

(2) The surveillance to be carried out on the site—

- (a) must be appropriate and proportionate to the spaceflight operations being conducted on the site,
- (b) must not follow a predictable pattern, and
- (c) may be carried out by using technical equipment which is capable of recording, detecting or preventing security breaches.

(3) The frequency and means of undertaking surveillance must be based on a security risk assessment conducted by the site security manager or the licensee if the space site does not have a security manager.

(4) The security risk assessment referred to in paragraph (3) must take into account the—

- (a) size and layout of the site, including the number and nature of operations,
- (b) minimum response times for responding to a security incident, and
- (c) possibilities and means of undertaking surveillance.

(5) This regulation does not apply to a spaceport located at a NASP directed aerodrome.

### **Security controls: hazardous material**

**169.**—(1) This regulation applies to radioactive or other hazardous material (“material”) at the locations mentioned in paragraph (2).

(2) The locations are—

- (a) an area at a spaceport designated as a hazardous material storage facility under regulation 146,
- (b) an area at a space site used by the licensee for the storage of fuel, oxidant or other hazardous material,
- (c) an area at a spaceport designated for the loading, unloading and venting of fuel, oxidant or other hazardous material under regulation 147,
- (d) an installation at a spaceport capable of storing or dispensing fuels, oxidants or other hazardous material, and
- (e) an area at a spaceport designated for the testing of a launch vehicle under regulation 149 where such testing would pose a potential risk to public safety.

(3) A licensee must ensure that, at the locations referred to in paragraph (2)—

- (a) special consideration is given to any statutory or contractual prohibitions, restrictions or conditions which apply to the material,
- (b) there are appropriate measures in place to—
  - (i) detect any unauthorised access to, or unlawful interference with, material, and
  - (ii) respond to such unauthorised access to, or unlawful interference with, materials.

(4) In this regulation “installation” has the meaning given in regulation 148(6).

### **Protection of carrier aircraft, launch vehicle or payload: pre-integration**

**170.**—(1) This regulation applies—

- (a) to a carrier aircraft prior to integration with a launch vehicle at a spaceport,
- (b) to a payload prior to integration with a launch vehicle at a spaceport,
- (c) to a launch vehicle at a spaceport,

regardless of where a carrier aircraft or launch vehicle is parked or kept at the spaceport.

- (2) A licensee must—
  - (a) take all practicable measures to ensure that the carrier aircraft, launch vehicle or payload is protected from unauthorised access or interference,
  - (b) ensure that it complies with international obligations of the United Kingdom relating to security of the carrier aircraft or launch vehicle, and
  - (c) ensure that it complies with any applicable legislation relating to a NASP directed aerodrome.

#### **Protection of carrier aircraft, launch vehicle or payload: post-integration**

**171.**—(1) This regulation applies to security arrangements which are applicable once a payload has been integrated with a carrier aircraft or launch vehicle at a spaceport.

- (2) A spaceflight operator must ensure that—
  - (a) the payload, carrier aircraft and the launch vehicle are protected from unauthorised access or interference, and
  - (b) it complies with any applicable legislation relating to a NASP directed aerodrome.

#### **Security controls for flight safety systems**

**172.**—(1) A spaceflight operator must apply the appropriate security controls to all aspects of a flight safety system, including elements of that system within the launch vehicle.

- (2) Security controls are appropriate if they ensure that—
  - (a) the system is not prevented from functioning as intended due to external interference,
  - (b) the system is transported and stored securely, and
  - (c) any actual or attempted theft of, or tampering with, the system is immediately reported to the appropriate authorities.
- (3) In this regulation “appropriate authorities” includes the police and the regulator.

### **CHAPTER 3**

#### **Cyber Security**

#### **Spaceflight cyber security strategy**

**173.**—(1) A licensee must draw up and maintain a cyber security strategy for the network and information systems (“the systems”) used in relation to spaceflight operations for which it is responsible.

- (2) The strategy must—
  - (a) be kept up to date,
  - (b) be reviewed—
    - (i) at least annually,
    - (ii) upon any upgrades made to the systems, and
  - (c) be sent to the regulator following annual review,
  - (d) be proportionate and appropriate for the type of systems operated,
  - (e) comply with international obligations of the United Kingdom and be consistent with such obligations,
  - (f) be based on a security risk assessment which—
    - (i) has been carried out by the licensee, and
    - (ii) is reviewed at least annually and upon any upgrades made to the systems,
  - (g) ensure the security of the systems managed by employees or agents of the licensee,



- (h) ensure that the systems are protected from—
  - (i) unauthorised access or interference,
  - (ii) other unlawful occurrences, and
  - (iii) cyber threat, and
- (i) ensure that the licensee’s suppliers and their supply chain specify in their security protocols how they will achieve the cyber security requirements set out in the strategy.

(3) In this regulation—

“cyber threat” means anything capable of compromising the security of, or causing harm to, information systems and internet connected devices including hardware, software and associated infrastructure, the data on them and the services they provide, primarily by cyber means;

“jamming” means a deliberate blocking or interference with a wireless communication system by transmission of radio signals that disrupt information flow in wireless data networks by decreasing the signal to noise ratio;

“network and information systems” in connection with spaceflight operations means—

- (a) an electronic communications network within the meaning of section 32 of the Communications Act 2003(a),
- (b) any device or group of interconnected or related devices, one or more of which, pursuant to a programme, perform automatic processing of digital data,
- (c) digital data stored, processed, retrieved or transmitted by elements covered under subparagraphs (a) or (b) for the purposes of their operation, use, protection and maintenance, or
- (d) a flight safety system;

“spoofing” means a technique used to gain unauthorised access to computers whereby an intruder sends messages to a computer indicating that the message is coming from a trusted source;

“unauthorised access or interference” in connection with the security of systems relating to spaceflight operations includes hacking, jamming or spoofing of services or other recognised cyber threats;

“unlawful occurrences” includes, but is not limited to, theft of data.

### **Duty to report a notifiable incident to the regulator**

**174.**—(1) A licensee must inform the regulator of any notifiable incident promptly and in any event within 24 hours after it becomes aware that a notifiable incident has occurred.

(2) In this regulation—

“notifiable incident” means any event—

- (a) of a type that has been determined by the regulator and the licensee as having an adverse effect on the security of the network and information systems used in relation to spaceflight operations, and
- (b) that may have a significant impact on future essential services provided by the licensee;

“security” in connection with the network and information systems means the ability of the network and information systems to resist any action that compromises the availability, authenticity, integrity or confidentiality of stored, transmitted or processed data or the related services offered by, or accessible via, the systems.

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(a) 2003 c. 21, section 32(1) was amended by S.I. 2011/1210.

## CHAPTER 4

### Vetting, Clearance, Training and Qualifications

#### National security vetting procedures

**175.**—(1) A licensee must ensure that—

- (a) the security manager has a level of security clearance which would be regarded as appropriate by the government of the United Kingdom for persons performing such security functions,
- (b) the individuals mentioned in paragraph (2) have obtained an acceptable criminal record certificate under section 113A of the Police Act 1997, or enhanced criminal record certificate under section 113B of that Act<sup>(a)</sup> in relation to the individuals mentioned in paragraph (2) as a condition of being engaged, or continuing to be engaged to carry out security functions, and
- (c) any other individual carrying out security functions as part of their employment has a satisfactory background check as a condition of being engaged, or continuing to be engaged, to carry out security functions.

(2) The individuals mentioned in paragraph (1)(b) are—

- (a) software and hardware service providers of network information systems used for the implementation and performance of security controls where direct access to the systems is granted to them, and
- (b) individuals who have administrator rights for information management systems and critical supplies used by, or made available to, space sites.

(3) This regulation does not apply to a spaceport located at a NASP directed aerodrome.

#### Appropriate security training and qualifications

**176.**—(1) A licensee must ensure that—

- (a) any individual employed as a security manager has the appropriate security training and qualifications necessary to carry out the role,
- (b) any amendments to details of appropriate security training are sent to the regulator, and
- (c) details of the appropriate security training include the types of training that will be required for individuals carrying out security functions at the space site.

(2) The regulator must allow the licensee to have access to the aviation security syllabuses to enable the licensee to develop the appropriate security training.

(3) The security manager must ensure that the individuals mentioned in paragraph (4), who are engaged to perform security related functions, have the appropriate training and qualifications to carry out those functions.

(4) The individuals mentioned in paragraph (3) are—

- (a) individuals implementing security controls,
- (b) individuals who have roles and responsibilities relating to cyber security,
- (c) supervisors of the individuals mentioned in paragraph (a), and
- (d) individuals, other than spaceflight participants, requiring unescorted access to security restricted areas on the site.

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<sup>(a)</sup> Sections 113A and 113B were inserted into the Police Act 1997 (c. 50) by section 163(2) of the Serious Organised Crime and Police Act 2005 (c. 15). They have been amended by S.I. 2012/3006, and S.I. 2011/157. There are other amendments, which are not relevant.

## **Training records and qualifications**

**177.**—(1) A licensee must keep the records specified in paragraph (2) for as long as an individual is engaged to carry out security functions at the space site.

(2) The records are those relating to training and qualifications which indicate that an individual has the appropriate training and necessary qualifications for the security related functions that the individual has been engaged to perform.

## **Renewal of security training**

**178.**—(1) The licensee must ensure that security training for the security manager is renewed periodically in accordance with paragraph (3).

(2) The security manager must ensure that—

- (a) security training for individuals engaged to carry out security functions at the space site, and
- (b) general security awareness training for all staff, regardless of the capacity in which they are engaged to work at the space site,

is renewed periodically in accordance with paragraphs (4) and (5).

(3) The security manager must renew that manager's training after a period of not more than 36 months beginning on the first day of the calendar month following the month in which they last completed their security training.

(4) An individual engaged to carry out security functions must renew that individual's training after a period of not more than 13 months beginning on the first day of the calendar month following the month in which they last completed their security training.

(5) Each member of staff working at the space site must renew that member of staff's general security awareness training after a period of not more than 5 years beginning on the first day of the calendar year following the year in which they last completed their security awareness training.

## **CHAPTER 5**

### **Critical national infrastructure and essential services**

#### **Spaceflight activities: critical national infrastructure and essential services**

**179.**—(1) This regulation applies where the Secretary of State, in consultation with the Centre for Protection of National Infrastructure (“the CPNI”)(a), determines that—

- (a) a space site is critical national infrastructure, or
- (b) spaceflight activities are essential services.

(2) The licensee must—

- (a) take appropriate and proportionate measures to manage any risks posed to the security of the space site and spaceflight activities, and
- (b) cooperate with the CPNI and the National Cyber Security Centre in ensuring continuity of essential services.

(3) In this regulation “critical national infrastructure”, means those critical elements of infrastructure which include assets, facilities, systems, networks or processes and the essential workers that operate and facilitate them, the loss or compromise of which could result in—

- (a) a major detrimental impact on the availability, integrity or delivery of essential services including those services whose integrity, if compromised, could result in significant loss of life or casualties, taking into account significant economic or social impacts, or
- (b) a significant impact on national security, national defence, or the functioning of the state.

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(a) The Centre for Protection of National Infrastructure (“the CPNI”) is part of the Security Service.

## CHAPTER 6

### Security provisions for the protection of US technology

#### Segregated areas

**180.**—(1) A licensee who intends to carry out launch activities must make a proposal to the Secretary of State and the US Government for an area to be designated as a segregated area for the purposes mentioned in paragraph (2).

(2) The area proposed by the licensee may be designated by the Secretary of State and the US Government for—

- (a) the purposes of ensuring that all US spaceflight activities that are subject to an agreement between the United Kingdom and the US Government are secured to prevent the unauthorised transfer of US technology to third parties, and
- (b) as long as there is US technology in that area.

(3) The licensee must ensure that the boundaries of the segregated area are clearly delineated.

(4) If any US launch vehicle, US spacecraft or US related equipment, or debris thereof, is recovered and stored after an accident, the area in which it is stored may be designated by the Secretary of State as a segregated area.

#### Access control to segregated area

**181.**—(1) Subject to paragraph (2), a licensee must ensure that no person may enter a segregated area without—

- (a) US Government authorisation, and
- (b) being escorted by a person authorised by the US Government unless unescorted access has been authorised by the US Government.

(2) Paragraph (1) does not apply to access for the emergency services where they are responding to an emergency at the space site.

#### Control of access to imported US technology

**182.**—(1) A person who owns, or is in possession of, US technology must ensure that access to that US technology is controlled by a person authorised to do so by the US Government throughout—

- (a) the transport of US technology;
- (b) preparations for the launch of US launch vehicles or US spacecraft;
- (c) the launch of US launch vehicles;
- (d) the launch of US spacecraft.

(2) A person who contravenes paragraph (1) commits an offence.

(3) It is a defence for a person charged with such an offence to show that that person—

- (a) did not know, and had no reason to know, that they were the owner of, or were in possession of, US technology, or
- (b) took all reasonable precautions and exercised all due diligence to avoid committing the offence.

(4) A person guilty of an offence under paragraph (2) is liable—

- (a) on summary conviction in England and Wales, to a fine;
- (b) on summary conviction in Scotland or Northern Ireland, to a fine not exceeding the statutory maximum;
- (c) on conviction on indictment, to imprisonment for a term not exceeding two years, or a fine, or both.

### **Monitoring and oversight of US technology**

**183.**—(1) A licensee must permit any person whom the US Government has authorised to do so to have access to and monitor any US launch vehicle, US spacecraft or US related equipment, in accordance with that person's authorisation from the US Government.

(2) A licensee must not prevent a US licensee from accessing or monitoring the US technology in respect of which that US licensee has an export or transfer licence or authorisation from the US Government.

### **Monitoring and oversight of launch activities**

**184.**—(1) A special launch operator must permit the US Government to oversee and monitor its launch activities.

(2) If the launch of a US spacecraft is delayed or cancelled, and the special launch operator who holds a launch licence for that launch intends to remove the US spacecraft from its launch vehicle, the special launch operator must notify—

- (a) any US participant whom it considers should be notified, and
- (b) the US Government.

(3) If the launch of a US launch vehicle or US spacecraft is cancelled, and the special launch operator for that launch intends to load any US technology that was to be used for the launch onto a vehicle, that special launch operator must—

- (a) notify any US participant whom it considers should be notified,
- (b) notify the US Government,
- (c) only use a vehicle approved by the US Government, and
- (d) permit any US participant authorised by the US Government to do so to monitor the loading.

### **Restrictions on the use of and access to US technology**

**185.**—(1) A licensee using US technology for its licensed activities must ensure that that US technology is not used for any purpose other than that for which a US export licence has been granted, unless the US Government authorises it.

(2) A licensee must ensure that projects related to spaceflight activities that involve the launch of a US launch vehicle or a US spacecraft and items imported for use in these projects are not used for any other purpose without permission from the US Government.

(3) The licensee must ensure that—

- (a) no person may transfer US technology to another person at a space site subject to the licensee's control without authorisation from the US Government,
- (b) access to US technology used for the licensee's licensed activities is restricted to those persons who have been authorised by the regulator and the US Government, and
- (c) persons may only unload sealed US technology used for the licensee's licensed activities and deliver it to a controlled or segregated area if supervised by a person who has been authorised by the US Government.

(4) A special launch operator must ensure that no person may transfer US technology used for that operator's launch activities to another person without authorisation from the US Government.

(5) The persons who may be authorised to have access to US technology include—

- (a) the SAIA,
- (b) the Health and Safety Executive, in the case of US technology in Great Britain, or the Health and Safety Executive for Northern Ireland, in the case of US technology in Northern Ireland,
- (c) law enforcement agencies,

- (d) the regulator, and
- (e) the Secretary of State.
- (6) In this regulation, “law enforcement agencies” includes the police.
- (7) The licensee must inform the regulator of any information that a US licensee has given it from the US export licence or other authorisation of the US Government to transfer US technology.
- (8) The regulator, if the regulator is not the Secretary of State, must promptly give the Secretary of State any information it receives under paragraph (7).

#### **Restrictions on importing US technology**

**186.**—(1) A licensee which is a UK participant must not take possession of equipment or technology which originated in the US and was imported into the United Kingdom to support launch activities, and must not allow any other UK participant to do so, unless the regulator gives permission.

(2) If the licensee is in possession of any such equipment or technology, that equipment or technology may only be used to support launch activities if the regulator gives permission.

(3) The regulator may give permission under paragraphs (1) or (2) only if the US Government and Her Majesty’s Government have agreed that the UK participant may have possession of the equipment or technology.

(4) The licensee must comply with any Technology Transfer Control Plan that it has entered into.

#### **Security training for spaceflight activities involving US technology**

**187.** A special launch operator must ensure that all staff carrying out spaceflight activities involving US technology, regardless of whether or not they are carrying out a security function, receive training on security measures required for US technology.

#### **Return of US technology if export licence etc is revoked**

**188.** A licensee which uses US technology for its licensed activities must ensure that in the event that a US export licence or authorisation for export or transfer of any of that US technology is revoked by the US Government, anything imported under the revoked licence or authorisation is either—

- (a) returned to the US in accordance with the US export licence or authorisation, or
- (b) sent to another location, if authorised by the US Government.

#### **Processing of US technology after a normal launch**

**189.**—(1) Following the launch of a US launch vehicle or US spacecraft which proceeded as expected, the special launch operator must—

- (a) not permit any UK participant to dismantle US related equipment unless that UK participant is authorised to do so by the US Government;
- (b) either—
  - (i) destroy any US related equipment it used for the launch and which it does not need for further launch activities, or
  - (ii) send such equipment from the UK to a location approved by, and in a manner approved by, the Secretary of State and the US Government;
- (c) return any US technical data it has to a location approved by the Secretary of State and the US Government;

- (d) not permit any UK participant to take part in the recovery of a reusable US launch vehicle or US related equipment unless that UK participant is authorised to do so by the US Government and is supervised by a US participant;
- (e) send any recovered US launch vehicle, recovered US spacecraft, or recovered components of a US launch vehicle or US spacecraft, from the UK to a location approved by, and in a manner approved by, the Secretary of State and the US Government;
- (f) not permit any UK participant to study or photograph recovered US technology unless that UK participant is authorised to do so by the US Government.

(2) Where recovery of a reusable US launch vehicle is planned to take place in a country outside the UK, the special launch operator must notify the regulator of the location of the planned recovery at the earliest opportunity.

(3) On receipt of information under paragraph (2), the regulator, if the regulator is not the Secretary of State, must inform the Secretary of State promptly.

### **Information about nationality of contributors to launch activities etc**

**190.**—(1) An applicant for a launch operator licence that would authorise a spaceflight activity involving both US technology and either a non-US vehicle or a foreign spacecraft must, when it applies for the licence, inform the regulator of the nationality of any person who has contributed money, equipment, technology or personnel to the production or acquisition of any essential and integral part of—

- (a) the non-US vehicle,
- (b) the foreign spacecraft, or
- (c) the applicant’s launch business.

(2) An applicant for a spaceport licence, if the applicant intends that there will be launches of US spacecraft or US launch vehicles from the spaceport, must, when it applies for the licence, inform the regulator of the nationality of any person who has contributed money, equipment, technology or personnel to the production or acquisition of any essential and integral part of the launch facilities or its launch business.

(3) The holder of a licence of a kind mentioned in paragraph (1) or (2) must inform the regulator as soon as possible of any change to information provided under paragraph (1) or (2), including any contributions to any new essential and integral part.

## **PART 11**

### **Informed Consent**

#### **CHAPTER 1**

##### **Interpretation**

### **Interpretation**

**191.** In this Part—

- (a) “injury” means personal injury, and
- (b) except in regulation 197, a reference to “operator’s spaceflight activities” is a reference to those activities to be carried out by a spaceflight operator in which a human occupant is to take part.

## CHAPTER 2

### Prescribed matters

#### Prescribed role or capacity

**192.** For the purposes of section 17(1)—

- (a) a member of the crew is a prescribed role, and
- (b) a spaceflight participant is a prescribed capacity.

#### Prescribed criteria with respect to age and mental capacity

**193.**—(1) For the purposes of section 17(1)(b), the criterion prescribed relating to age is that a human occupant must be at least 18 years of age.

(2) For the purposes of section 17(1)(b), the following are the prescribed criteria relating to mental capacity—

- (a) if the launch vehicle is to be launched from England or Wales, a human occupant must have capacity within the meaning of the Mental Capacity Act 2005<sup>(a)</sup> to understand the risks involved in the operator's spaceflight activities and the meaning of signifying their consent to take part in those activities,
- (b) if the launch vehicle is to be launched from Scotland, a human occupant is not incapable within the meaning of the Adults with Incapacity (Scotland) Act 2000<sup>(b)</sup> of understanding the risks involved in the operator's spaceflight activities and the meaning of signifying their consent to take part in those activities, or
- (c) if the launch vehicle is to be launched from Northern Ireland, a human occupant must have capacity in accordance with the law of Northern Ireland to understand the risks involved in the operator's spaceflight activities and the meaning of signifying their consent to take part in those activities.

## CHAPTER 3

### The consent form

#### Details to be included in the consent form

**194.**—(1) The consent form must include—

- (a) the full name, address and date of birth of the human occupant,
- (b) the name and address of the spaceflight operator,
- (c) the design specification of the launch vehicle to be used for the operator's spaceflight activities, and
- (d) the details of the current risk assessment for the operator's spaceflight activities in an easily understandable form.

(2) Where the consent form is to be signed by a spaceflight participant, the consent form must, in addition to the details set out in paragraph (1), contain details of—

- (a) the spaceport from which the launch vehicle is to be launched,
- (b) the spaceport, or any installation at sea that can be moved from place to place without major dismantling or modification, at which a controlled or planned landing of the launch vehicle is to take place,
- (c) the planned date of the flight,
- (d) the flight nomenclature, and

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<sup>(a)</sup> 2005 c. 9.

<sup>(b)</sup> 2000 asp 4.



- (e) the planned trajectory and duration of the flight.
- (3) Where the consent form is to be signed by a member of the crew, the consent form may relate to more than one flight where—
  - (a) all the flights are to take place in a launch vehicle of the same design specification, and
  - (b) the current risk assessment relates to all the flights.

#### **Statements to be included in the consent form**

- 195.**—(1) The consent form must include statements that the human occupant—
- (a) has fulfilled the criteria in paragraphs 50 and 52 of Schedule 3,
  - (b) has read and understood—
    - (i) the details of the current risk assessment for the operator’s spaceflight activities, and
    - (ii) the other information specified in regulations 197 and 198,
  - (c) has been given the opportunity to ask questions and received answers to those questions in accordance with regulation 199,
  - (d) accepts and understands that the operator’s spaceflight activities carry an inherent risk of danger and in particular that—
    - (i) the activities may result in death or injury,
    - (ii) the regulator has not certified that the launch vehicle complies with any national or international safety standards, and
    - (iii) the provisions listed in paragraph (2) will not apply in the event of the human occupant dying or sustaining injury by taking part in the operator’s spaceflight activities, and
  - (e) has not been unduly influenced to consent to accept the risks involved in the operator’s spaceflight activities.
- (2) The provisions referred to in paragraph (1)(d)(iii) are—
- (a) section 34(2) (which provides for damages to be recovered without proof of negligence or intention or other cause of action, as if the injury or damage had been caused by the wilful act, neglect, or default of the licensee)(a), and
  - (b) section 35(3) (which provides for an indemnity from the Secretary of State to the claimant in the circumstances mentioned in that subsection).

#### **No derogation from statements in the consent form**

**196.** The consent form must not contain any provision which derogates from the statements required to be included in the form by regulation 195.

### **CHAPTER 4**

Information to be given to a human occupant before the consent form is signed

#### **Information about operator’s spaceflight activities carried out by the spaceflight operator**

- 197.**—(1) In this regulation, references to operator’s spaceflight activities are to all such activities carried out by the spaceflight operator.
- (2) At least 12 hours, but not more than one month, before a human occupant signs a consent form, the spaceflight operator must give that individual—
- (a) the information referred to in paragraph (3),

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(a) See regulation 206(1)(b) and (c) of Part 12. For the purposes of section 34(3)(a), a human occupant under this Part is an individual of a prescribed description taking part in spaceflight activities.

- (b) a copy of any safety recommendations made as a result of a safety investigation relating to the operator's spaceflight activities, and
  - (c) information in writing and in an easily understandable form about any actions taken to improve safety following a spaceflight accident relating to the operator's spaceflight activities.
- (3) The information mentioned in paragraph (2)(a) is information in writing about—
- (a) the number of launches that the operator's spaceflight activities have involved,
  - (b) the number of persons who have died, sustained an injury or had a medical emergency as a result of taking part in the operator's spaceflight activities, and
  - (c) the number of spaceflight accidents relating to the operator's spaceflight activities and whether they occurred during the testing and development of the launch vehicle or during commercial operation.
- (4) In this regulation "commercial operation" means any operation of the launch vehicle—
- (a) which is available to the public, or
  - (b) which, when not made available to the public, is performed under a contract between the spaceflight operator and a customer, where the latter has no control over the spaceflight operator,
- in return for remuneration or other valuable consideration.

#### **Information about the operator's spaceflight activities**

**198.** At least 12 hours, but not more than one month, before a human occupant signs a consent form, the spaceflight operator must give that individual—

- (a) the details of the current risk assessment for the operator's spaceflight activities in an easily understandable form, and
- (b) information in writing about the availability of emergency services in the event of an accident or medical emergency.

#### **Opportunity for questions**

**199.**—(1) Before a human occupant signs the consent form, the spaceflight operator must—

- (a) give the human occupant an opportunity to ask questions about the information given to that individual in accordance with regulations 197 and 198, and
- (b) answer the questions in an easily understandable form.

(2) The questions referred to in paragraph (1)(a) may be raised and answers referred to in paragraph (1)(b) may be given in writing or orally.

## **CHAPTER 5**

### **Procedural requirements with regard to the signification of consent**

#### **Who prepares the consent form**

**200.** The spaceflight operator must prepare the consent form in writing and in duplicate.

#### **When a human occupant signs the consent form**

**201.** A human occupant must sign both copies of the consent form no more than 24 hours before taking part in the operator's spaceflight activities or, if the form relates to more than one flight, no more than 24 hours before taking part in the first of those flights.

### **What happens after the consent form is signed**

**202.** A human occupant must—

- (a) give the duplicate consent form to the spaceflight operator by hand or send such duplicate form to the spaceflight operator, and
- (b) retain the consent form.

## **CHAPTER 6**

Evidential requirements with regard to the information and the signification of consent

### **A written record of the information provided to the human occupant**

**203.—**(1) The spaceflight operator must keep a written record of—

- (a) the information provided to a human occupant in accordance with regulations 197 to 199, and
- (b) the date on which and time at which such information was provided to that individual.

(2) Before a human occupant signs the consent form, the spaceflight operator must give a copy of the written record referred to in paragraph (1) to that individual.

### **The signification of consent**

**204.—**(1) A human occupant must sign the consent form.

(2) A human occupant must date the consent form and record on the form the time at which the consent form was signed.

(3) An electronic signature satisfies the requirements to sign the consent form in paragraph (1).

(4) In this regulation “electronic signature” has the same meaning as in section 7(2) (electronic signatures and related certificates) of the Electronic Communications Act 2000(a).

### **The consent form as evidence of signification of consent**

**205.** The requirement in section 17(2) for consent to accept the risks involved in an operator’s spaceflight activities to be signified by signing the consent form is satisfied only if—

- (a) the spaceflight operator complies with the requirements in regulations 194 to 200 and 203, and
- (b) a human occupant complies with the requirements in regulations 201, 202 and 204.

## **PART 12**

### **Liabilities and indemnities**

#### **Prescribed description of individuals to whom section 34(2) does not apply**

**206.—**(1) The following descriptions of an individual are prescribed for the purposes of section 34(3)(a)—

- (a) an appointee, employee or agent of a licensee who is at work at a space site;
- (b) a member of the crew who has consented to accept the risks involved in an operator’s spaceflight activities in accordance with section 17;

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(a) 2000 c. 7. Section 7(2) was amended by S.I. 2016/696 and provides that an electronic signature is so much of anything in electronic form as: (a) is incorporated into or otherwise logically associated with any electronic communication or electronic data, and (b) purports to be used by the individual creating it to sign.

- (c) a spaceflight participant who has consented to accept the risks involved in an operator's spaceflight activities in accordance with section 17;
- (d) an individual on a carrier aircraft taking part in an operator's spaceflight activities;
- (e) an officer or partner of a licensee who is present at a space site;
- (f) an individual who is within an operational area or a restricted area of a space site at the invitation of a licensee;
- (g) an employee or an individual acting on behalf of the regulator or with the regulator's authority at a space site;
- (h) an employee or an individual acting on behalf of the government of another country present at a space site in connection with spaceflight activities;
- (i) an employee of the emergency services who is on duty at a space site in connection with spaceflight activities;
- (j) an employee of the SAIA who is on duty at a space site in connection with spaceflight activities;
- (k) compliance authority personnel on duty at a space site in connection with spaceflight activities;
- (l) an employee of a qualifying health and safety authority who is on duty at a space site in connection with spaceflight activities;
- (m) a member of the armed forces of the Crown who is on duty at a space site in connection with spaceflight activities.

(2) For the purposes of this regulation—

“compliance authority personnel” includes, but is not limited to, the following persons—

- (a) SAIA Inspectors;
- (b) CAA Inspectors and Auditors;
- (c) Inspectors from the Department for Environment, Food and Rural Affairs and its agencies;
- (d) National Air Traffic Controllers;
- (e) National Crime Agency personnel<sup>(a)</sup>;
- (f) Officers of the Health and Safety Executive;
- (g) United Kingdom Security and Intelligence Agencies;
- (h) Police Officers;
- (i) Police Community Support Officers;
- (j) Public Health England.

“officer” has the meaning given in section 57(3);

“partner” includes a person purporting to act as a partner;

“restricted area” means a controlled area, a space site security restricted area or a segregated area, within the meanings given in regulation 156, within a space site.

**Prescribed cases or circumstances under which a limit on the operator's liability to government does not apply**

**207.**—(1) The following cases and circumstances are prescribed for the purposes of section 36(3)(a) (obligation to indemnify government etc against claims)—

- (a) cases where the operator is liable in respect of gross negligence or wilful misconduct in the performance of its obligations under the Act or regulations made under the Act;

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(a) The National Crime Agency was established by section 1 of the Crime and Courts Act 2003 (c. 22).

- (b) circumstances where damage or loss is caused as a result of the non-compliance by the operator with—
  - (i) any conditions of its licence;
  - (ii) the requirements under the Act or regulations made under the Act.
- (2) In this regulation “gross negligence” has the same meaning as in section 37(5).

## PART 13

### Monitoring and enforcement

#### CHAPTER 1

##### General

#### **Interpretation**

**208.** In this Part—

- “contravention notice” means a notice issued under regulation 233;
- “information” means information held in any form;
- “inspector” means a person appointed under regulation 223;
- “prohibition notice” means a notice issued under regulation 235.

#### **Offence to obstruct inspector or regulator**

**209.** It is an offence for a person intentionally to obstruct or impede any person who is exercising a power or performing a duty under this Part.

#### **Penalty for obstructing inspector or regulator**

**210.** A person guilty of an offence under regulation 209 is liable on summary conviction—

- (a) in England and Wales, to imprisonment for a term not exceeding six months, or a fine, or both;
- (b) in Scotland or Northern Ireland, to imprisonment for a term not exceeding six months, or a fine not exceeding the statutory maximum, or both.

#### **Offence to impersonate inspector**

**211.** It is an offence to impersonate an inspector.

#### **Penalty for impersonating inspector**

**212.** A person guilty of an offence under regulation 211 is liable on summary conviction—

- (a) in England and Wales, to a fine;
- (b) in Scotland or Northern Ireland, to a fine not exceeding the statutory maximum.

#### CHAPTER 2

##### Obligation to provide information to the regulator

#### **Persons to whom obligation applies**

**213.** This Chapter applies to a person who—

- (a) carries out spaceflight activities<sup>(a)</sup>,
- (b) operates a spaceport,
- (c) occupies land forming part of a spaceport or is allowed access to such land for the purposes of the activities of a business carried on by the person,
- (d) provides range control services under a range control licence, or
- (e) carries out associated activities.

### **Regulator may require provision of information**

**214.**—(1) The regulator may serve a notice on a person specified in regulation 213 requiring the provision of any information it thinks necessary for the purposes set out in section 26(2).

(2) A notice requiring the provision of information under this Chapter is referred to as an “information notice”.

(3) The regulator may specify in the information notice that the information is to be provided by any means it thinks necessary, including but not limited to a written response or an oral interview.

(4) Where information is provided orally, the regulator may record that information in any manner which in the regulator’s view is appropriate.

(5) The notice must specify a period within which the person must provide the information specified.

(6) An information notice may require the creation of documents, or documents of a description, specified in the notice.

(7) A requirement to provide information or create a document is a requirement to do so in a legible form and in English.

### **Obligation to provide information to regulator**

**215.** A person who receives an information notice must, before the end of the period specified in the notice, provide, or cause to be provided to the regulator such information as is specified or described in the information notice.

### **Offence of failing to comply with information notice**

**216.** A person who contravenes regulation 215 commits an offence, but it is a defence for a person charged with such an offence to show that they took all reasonable steps and exercised all due diligence to avoid committing the offence.

### **Penalty for failing to comply with information notice**

**217.** A person guilty of an offence under regulation 216 is liable, on summary conviction or on indictment—

- (a) in England and Wales, to a fine;
- (b) in Scotland or Northern Ireland, to a fine not exceeding the statutory maximum.

### **Offence of providing false information**

**218.** It is an offence for a person, in purported compliance with regulation 215—

- (a) to make a statement that the person knows to be false in a material particular, or
- (b) recklessly to make a statement that is false in a material particular.

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(a) See definitions of “carry out” at section 1(2) and “spaceflight activities” at section 1(6).

### **Penalty for providing false information**

**219.** A person guilty of an offence under regulation 218 is liable—

- (a) on summary conviction in England and Wales, to a fine;
- (b) on summary conviction in Scotland or Northern Ireland, to a fine not exceeding the statutory maximum;
- (c) on conviction on indictment, to imprisonment for a term not exceeding two years, or a fine, or both.

### **Offences of false recording**

**220.**—(1) It is an offence for a person to—

- (a) use any document or record issued or required or having effect by or under the Act—
  - (i) which the person knows or ought to know has been forged, altered, revoked, or suspended,
  - (ii) to which the person knows or ought to know that that person is not entitled, or
- (b) lend any document or record issued, required or having effect by or under the Act to, or allow it to be used by, any other person.

(2) It is an offence for a person intentionally to damage, alter, or render illegible—

- (a) any document or record issued or required or having effect by or under the Act, or
- (b) any entry made in such a document or record.

(3) It is an offence for a person—

- (a) knowingly to make, or procure or assist in the making of, any false entry in or material omission from any document or record issued or required or having effect by or under the Act, or
- (b) to destroy any such document or record during the period for which the person is obliged to preserve it.

(4) It is an offence for a person knowingly to create a false licence, certificate, approval, permission, exemption or any other document issued by the regulator.

### **Defence to offence of destroying document**

**221.** It is a defence for a person charged with an offence under regulation 220(3)(b) to show that the person took all reasonable steps and exercised all due diligence to avoid committing the offence.

### **Penalty for false recording**

**222.** A person guilty of an offence under regulation 220 is liable—

- (a) on summary conviction in England and Wales, to a fine;
- (b) on summary conviction in Scotland or Northern Ireland, to a fine not exceeding the statutory maximum;
- (c) on conviction on indictment, to imprisonment for a term not exceeding two years, or a fine, or both.

## CHAPTER 3

### Inspectors

#### SECTION 1

##### *Appointment and powers*

#### **Appointment of inspector**

**223.**—(1) The regulator may appoint a person (referred to in this Chapter as “an inspector”) to act on the regulator’s behalf for the purposes set out in section 26(2).

(2) The regulator must not appoint a person under paragraph (1) unless satisfied that that person is qualified to carry out the functions that the regulator authorises the person to carry out.

(3) The regulator may appoint an inspector under this Chapter on such terms as the regulator may determine and may terminate that appointment at any time.

(4) Any appointment of an inspector under this regulation must be made in writing and must specify the powers available to the inspector.

#### **Duties of inspector**

**224.** An inspector must carry out all monitoring and enforcement activities and tasks that the regulator thinks necessary to discharge its obligations in section 26(1) for the purposes set out in section 26(2).

#### **Powers of inspector**

**225.**—(1) The regulator may authorise the inspector to exercise any power set out in Section 2 of this Chapter.

(2) That authority may be given—

- (a) without restriction, or
- (b) only to a limited extent or for limited purposes.

(3) The authority conferred by an instrument of appointment to exercise any power may be varied by the regulator by a further instrument in writing varying the instrument of appointment.

(4) When exercising or seeking to exercise a power, an inspector must, if asked, produce formal identification showing their authority to exercise that power.

#### **References to inspector**

**226.** In this Chapter, references to an inspector, in relation to any power, are to the inspector exercising or proposing to exercise the power.

#### SECTION 2

##### *Powers exercisable by inspectors*

#### **Power of entry**

**227.**—(1) An inspector may enter any of the premises mentioned in paragraph (2), or enter, or demand access to, any vehicle mentioned in paragraph (3), where the inspector has reason to believe it is necessary for the purposes set out in paragraph (4) —

- (a) at any reasonable time, or
- (b) at any time, in a situation—
  - (i) which, in the inspector’s opinion, is or may be dangerous, or
  - (ii) in which, in the inspector’s opinion, delay would or might be prejudicial to public safety or the national security of the United Kingdom.



(2) In this Section “premises” means—

- (a) any spaceport or other space site;
- (b) any site from which spaceflight activities, the operation of a spaceport, the provision of range control services, or associated activities are being carried out, including range control facilities, spaceport facilities, mission control, and mission management facilities;
- (c) any place in the United Kingdom where spacecraft<sup>(a)</sup> or carrier aircraft<sup>(b)</sup> used by a regulated person<sup>(c)</sup> are assembled or made or where third party work is carried out on behalf of a regulated person;
- (d) any other facility used by or on behalf of a regulated person;
- (e) any place where any carrier aircraft, spacecraft or other vehicle involved in spaceflight activities used by any person, including a person who is not a regulated person, has landed.

(3) In this Section “vehicle” means any carrier aircraft, spacecraft or other vehicle involved in spaceflight activities and used by or on behalf of a regulated person.

(4) An inspector so authorised may enter any premises or enter, or demand access to, the vehicle for any of the following purposes—

- (a) to inspect the premises or to inspect any vehicle;
- (b) to inspect any document or record which the inspector has power to demand under these Regulations;
- (c) to examine, inspect, or test any part of, or material intended to be incorporated in or used in the manufacture of any part of, any vehicle or its equipment;
- (d) to examine, inspect or test any equipment used or intended to be used in connection with the provision of a service to any vehicle in flight or on the ground;
- (e) to inspect the training and assessment of persons for a specified role under regulation 59(1);
- (f) to carry out any examination, inspection or test necessary to discharge the inspector’s duties;
- (g) to serve any notice under these Regulations.

(5) The power to enter any premises or vehicle under this regulation includes the power to be on the premises or vehicle during testing, training, demonstration flights, and commercial flights.

#### **Power to take persons and equipment etc onto premises or vehicle**

**228.**—(1) In exercising the power of entry mentioned in regulation 227 an inspector may—

- (a) be accompanied—
  - (i) by any person approved by the regulator including a representative of a qualifying health and safety authority, and
  - (ii) by a constable if the inspector has reasonable cause to expect any serious obstruction in the exercise of the inspector’s powers, and
- (b) take along any equipment and materials required for any purpose for which the inspector is exercising the power of entry.

#### **Powers of inspection and examination and to take samples**

**229.**—(1) An inspector may—

- (a) take measurements and photographs, and

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(a) See section 2(6) for the definition of “spacecraft”.

(b) See section 2(6) for the definition of “carrier aircraft”.

(c) See section 28(8) for the definition of “regulated person”.

- (b) make recordings.
- (2) An inspector may take and deal with samples of—
  - (a) any article or substance found on any premises or vehicle, or
  - (b) the atmosphere in, or in the vicinity of, any premises or vehicle.
- (3) An inspector may take possession of—
  - (a) any part of, or material to be incorporated or used in the manufacture of any part of, any vehicle or its equipment, or
  - (b) any equipment used, or intended to be used, in connection with the provision of a service to any vehicle in flight or on the ground,
 and retain it for as long as necessary to comply with the purposes in section 26(2).
- (4) An inspector may direct that any premises or vehicle, or any article or substance or atmosphere in them, must be left undisturbed for as long as reasonably necessary for the purposes of any inspection, examination or test under regulation 227(4).
- (5) A direction under paragraph (4)—
  - (a) may relate to part of any premises;
  - (b) may relate to particular aspects of any premises, article, substance or atmosphere.

#### **Powers to require information and documents**

**230.**—(1) An inspector may require any person whom the inspector has reasonable cause to believe is able to give any information relevant to any inspection or examination under regulation 229—

- (a) to answer any question that the inspector thinks fit, and
- (b) to sign a declaration of the truth of the person's answers.
- (2) An inspector may—
  - (a) require any relevant documents to be produced, and
  - (b) inspect and take copies of, or of any information in, any relevant documents.
- (3) For this purpose—
  - (a) “document” includes information recorded in any form;
  - (b) “relevant document” means a record or other document which—
    - (i) is required to be kept by virtue of these Regulations, or
    - (ii) the inspector needs to see for the purposes of any inspection, examination or test under regulation 229.
- (4) In the case of a relevant document that consists of information held otherwise than in legible form, including information held in electronic form, the inspector may—
  - (a) require it to be produced—
    - (i) in a legible form and in English, or
    - (ii) in a form from which it can readily be produced in a legible form and in English, and
  - (b) require access to, and inspect and check the operation of, any computer and any associated apparatus or material which is or has been used in connection with the relevant document;
- (5) An inspector may take possession of any document or record which the inspector has power to demand and retain it for as long as necessary to comply with the purposes in section 26(2).
- (6) Where an inspector has reason to believe that any document or record which the inspector has power to demand is held on electrical or electronic equipment, the inspector—
  - (a) may take possession of that equipment and retain it for as long as necessary to comply with the purposes in section 26(2);

- (b) may take all reasonable steps to gain access to the document or record held on the electrical or electronic equipment.

**Powers exercisable in relation to particular articles or substances**

**231.**—(1) An inspector may take possession of any article or substance found on any premises or vehicle and retain it for as long as necessary—

- (a) for it to be examined or tested;
- (b) for anything to be done to it which the inspector may cause to be done under paragraph (6);
- (c) to ensure that it is not tampered with before any examination, test or other procedure mentioned in sub-paragraph (a) or (b) is complete;
- (d) to ensure that it is available for use in any proceedings for an offence under the Act or any regulations made under the Act.

(2) The inspector may exercise the power in paragraph (1) if the inspector has reason to believe that—

- (a) the article or substance has caused, may have caused, or is likely to cause, non-compliance with a licence condition, a provision of the Act or an international obligation of the United Kingdom,
- (b) the article or substance has caused, may have caused, or is likely to cause, danger to public safety or national security, or
- (c) it is desirable to do so for the purposes set out in section 26(2).

(3) Before taking possession of any substance under this regulation, the inspector must, if it is practicable—

- (a) take a sample of it, and
- (b) give a portion of the sample, marked so as to be identifiable, to a person at the premises or vehicle from which the substance is taken.

(4) An inspector who takes possession of any article or substance under this regulation must—

- (a) if it is practicable to do so, give written notice to that effect to a person at the premises or vehicle from which the article or substance is taken;
- (b) otherwise, fix such a notice in a conspicuous position at the premises or vehicle from which the article or substance is taken.

(5) The notice must include sufficient information about the article or substance to identify it.

(6) An inspector may cause any article or substance found in any premises or vehicle—

- (a) to be deconstructed;
- (b) to be examined or tested;
- (c) to be the subject of a demonstration showing how the article or substance functions;
- (d) to be deactivated or otherwise rendered safe for handling;
- (e) to have any other process applied to it.

(7) The inspector may exercise any power in this regulation if the inspector has reason to believe that—

- (a) the article or substance has caused, may have caused, or is likely to cause, non-compliance with a licence condition, a provision of the Act or an international obligation of the United Kingdom,
- (b) the article or substance has caused, may have caused, or is likely to cause, danger to public safety or national security, or
- (c) it is desirable to do so for the purposes set out in section 26(2).

(8) Before exercising a power in this regulation, the inspector must use their best endeavours to consult anyone whom the inspector considers it appropriate to consult about the proposed exercise.

(9) Anything done to the article or substance under this regulation must not damage or destroy it unless in the circumstances that is unavoidable.

(10) If requested by a person who has responsibilities in relation to the premises or vehicle from which the article or substance is taken, and that person is on or at the premises or vehicle, the inspector must allow anything done to the article or substance under this regulation to be done in that person's presence.

(11) Paragraph (10) does not apply where the inspector considers that that would be prejudicial to the national security of the United Kingdom.

### **Power to require the use of facilities and assistance**

**232.** A power conferred by this Section includes power to require any person to provide any facilities or assistance relating to matters or things—

- (a) within the person's control, or
- (b) in relation to which the person has responsibilities,

which are needed in order to enable an inspector to exercise the power.

### **Power to issue contravention notice**

**233.**—(1) This paragraph applies where an inspector is of the opinion that a person—

- (a) is contravening, or has contravened—
  - (i) any of the conditions of a licence granted under the Act;
  - (ii) any provision of the Act or regulations made under the Act; or
- (b) is conducting an activity that is likely to cause a contravention of—
  - (i) any of the conditions of a licence granted under the Act;
  - (ii) any provision of the Act or regulations made under the Act.

(2) The inspector may serve on that person a notice (a “contravention notice”) identifying the contravention.

(3) The notice must—

- (a) specify the relevant licence condition or provision of the Act or regulation, and
- (b) state that the inspector is of the opinion mentioned in paragraph (1);

(4) The notice must specify a period within which it is in the inspector's opinion reasonable for the contravention to be remedied.

(5) The notice may include directions as to the measures to be taken to remedy the contravention identified in the notice.

### **Power to issue warning notice**

**234.**—(1) This regulation applies where the conditions in paragraph (2) are met.

(2) The conditions are—

- (a) a contravention notice has been issued to a person,
- (b) the period specified under regulation 233(4) has expired, and
- (c) the contravention identified in the notice has not been remedied in full.

(3) The inspector may serve on that person a notice (a “warning notice”) informing that person of—

- (a) the regulator's power to revoke, vary or suspend a licence under section 15;

- (b) the regulator's power to give a direction under sections 27 and 28;
- (c) the Secretary of State's power to give a direction under section 28;
- (d) the inspector's power to give a prohibition notice under regulation 235.

(4) The notice must specify a period within which it is, in the inspector's opinion, reasonable for the contravention to be remedied.

(5) The notice may include directions as to the measures to be taken to remedy the contravention identified in the contravention notice.

### **Power to issue prohibition notice**

**235.**—(1) This paragraph applies where the conditions in paragraph (2) are met.

(2) The conditions in this paragraph are—

- (a) a warning notice has been served on a person,
- (b) the period given in the warning notice has expired,
- (c) the contravention identified in the contravention notice has not been remedied in full, and
- (d) the inspector is of the opinion that that person—
  - (i) is carrying on activities which involve a risk to public safety or the national security of the United Kingdom, or
  - (ii) is likely to carry on activities which involve a risk to public safety or the national security of the United Kingdom.

(3) The inspector may serve on that person a notice (a “prohibition notice”) directing the relevant person to stop carrying on the activities specified in the notice unless that person remedies the contravention complained of in the contravention notice.

(4) The notice must—

- (a) state that the inspector is of the opinion mentioned in paragraph (2)(d), and why;
- (b) specify the matters which in the inspector's opinion give rise, or will give rise, to the risk mentioned in that sub-paragraph.

(5) A prohibition notice takes effect—

- (a) at the end of the period specified in the notice, or
- (b) if the notice so specifies, immediately.

### **Appealing against notice under regulation 235**

**236.**—(1) A prohibition notice must include information about the right of appeal against the notice.

(2) A person who is served with a prohibition notice may appeal against the decision to serve it(a).

### **Supplementary powers**

**237.** A power conferred by this Section includes power to do anything incidental that is necessary for the inspector to fulfil the purpose of the inspector's appointment.

### **Protection for documents subject to legal professional privilege etc**

**238.** Nothing in this Section is to be taken to confer power to compel the production by any person of a document or information in respect of which—

- (a) in England and Wales or Northern Ireland, a claim to legal professional privilege, or

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(a) The grounds of appeal are as prescribed by paragraph 8 of Schedule 10 to the Act.

(b) in Scotland, a claim to confidentiality of communications, could be maintained in legal proceedings.

## CHAPTER 4

### Sharing of information between regulator and other bodies

#### **Regulator may share information**

**239.**—(1) The regulator may share the information listed in paragraph (2) with the following bodies—

- (a) the CAA, if the regulator is not the CAA;
- (b) the Secretary of State, if the regulator is not the Secretary of State;
- (c) a qualifying health and safety authority;
- (d) the Defence Safety Authority;
- (e) any other public authority or international organisation responsible for regulating any aspect of spaceflight activities;
- (f) an appointed person<sup>(a)</sup>,

for the purposes set out in section 26(2).

(2) The regulator may share the following information—

- (a) information submitted to the regulator by a person making an application for a licence under the Act;
- (b) information otherwise obtained by a regulator in respect of a person making an application for a licence under the Act;
- (c) information submitted to or obtained by the regulator, or an inspector, in the exercise of powers under this Part.

## CHAPTER 5

### Restrictions on disclosure of information

#### SECTION 1

#### *Prohibition against disclosure of protected information*

#### **Meaning of “protected information”**

**240.**—(1) A reference in this Section to “protected information” means information which has been—

- (a) obtained by the regulator or an inspector under this Part, or
- (b) shared with any of the persons listed in regulation 239(1).

(2) Information is not protected information for the purposes of this Section if it has been disclosed or made available to the public lawfully from other sources.

#### **Prohibition on disclosing protected information**

**241.** Protected information must not be disclosed except in accordance with Section 2 of this Chapter.

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(a) See definition in section 16(1).

### **Offence of disclosing protected information**

**242.** It is an offence for a person to disclose information in contravention of regulation 241.

### **Defences to offence of disclosing protected information**

**243.** It is a defence for a person charged with an offence under regulation 242 to prove—

- (a) that the person did not know, and had no reason to suspect, that the information disclosed was protected information, or
- (b) that the person took all reasonable precautions, and exercised all due diligence, to avoid committing the offence.

### **Penalty for disclosing protected information**

**244.** A person guilty of an offence under regulation 242 is liable—

- (a) on summary conviction in England and Wales, to imprisonment for a term not exceeding six months, or a fine, or both;
- (b) on summary conviction in Scotland or Northern Ireland, to imprisonment for a term not exceeding six months, or a fine not exceeding the statutory maximum, or both;
- (c) on conviction on indictment, to imprisonment for a term not exceeding two years, or a fine, or both.

## **SECTION 2**

### *Exceptions to prohibition against disclosure of protected information*

#### **Disclosure with consent**

**245.** Regulation 241 does not prohibit disclosure of protected information if it is made with the consent of the person or body who provided or shared the information.

#### **Disclosure by the regulator, inspectors etc**

**246.—**(1) Regulation 241 does not prohibit a disclosure of protected information by—

- (a) the regulator,
- (b) an inspector,
- (c) any person referred to in regulation 239(1),
- (d) any other public authority,

for the purposes set out in paragraph (2).

(2) Those purposes are—

- (a) complying with any of that person's duties, or
- (b) exercising their powers,

under the Act or any regulations made under the Act.

#### **Disclosure to authorities**

**247.—**(1) Regulation 241 does not prohibit a disclosure to a public or local authority, or an officer of that authority.

(2) Where protected information is disclosed by virtue of this paragraph, the person to whom the information is disclosed may only use the information for the purposes of the authority in question.

### **Disclosure required under legislation**

**248.** Regulation 241 does not prohibit a disclosure of protected information which is made in accordance with an obligation under—

- (a) the Freedom of Information Act 2000<sup>(a)</sup>, or
- (b) the Freedom of Information (Scotland) Act 2002<sup>(b)</sup>.

### **Legal proceedings, inquiries and investigations**

**249.**—(1) Regulation 241 does not prohibit a disclosure of protected information for the purposes of—

- (a) any legal proceedings;
- (b) an investigation under section 20;
- (c) any report of such proceedings or inquiry.

(2) Regulation 241 does not prohibit a disclosure of protected information which is made—

- (a) by the regulator or an inspector, and
- (b) for any of the purposes specified in section 17(2)(a) to (d) of the Anti-terrorism, Crime and Security Act 2001<sup>(c)</sup> (criminal proceedings and investigation).

### **Anonymised information**

**250.** Regulation 241 does not prohibit a disclosure of protected information which is made in a form calculated to prevent a particular person or case, to whom the information relates, from being identified.

## **PART 14**

### **Civil Sanctions (stop notices)**

#### **Stop notices**

**251.**—(1) A reference in this Part to a “stop notice” is to a notice prohibiting a person from carrying on an activity specified in the notice (the “activity”) until the person has taken the steps specified in the notice.

(2) The regulator may serve a stop notice on any person (the “relevant person”) in accordance with this Part.

(3) A stop notice may only be served in a case falling within paragraph (4) or (5).

(4) A case falling within this paragraph is a case where—

- (a) the relevant person is carrying on the activity,
- (b) the regulator reasonably believes that the activity as carried on by the relevant person is causing, or presents a significant risk of causing, serious harm to any of the matters referred to in paragraph (6), and
- (c) the regulator reasonably believes that the activity as carried on by the relevant person involves or is likely to involve the commission by that person of an offence under the Act or under any regulations made under the Act (referred to in this Part as the “relevant offence”).

(5) A case falling within this paragraph is a case where the regulator reasonably believes that—

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(a) 2000 c.36.  
(b) 2002 asp.13.  
(c) 2001 c.24.



- (a) the relevant person is likely to carry on the activity,
  - (b) the activity as likely to be carried on by the relevant person will cause, or will present a significant risk of causing, serious harm to any of the matters referred to in paragraph (6), and
  - (c) the activity as likely to be carried on by the relevant person will involve, or will be likely to involve, the commission by that person of an offence under the Act or under any regulations made under the Act.
- (6) The matters referred to in paragraphs (4)(b) and (5)(b) are—
- (a) public safety;
  - (b) persons carried in spacecraft<sup>(a)</sup> or carrier aircraft<sup>(b)</sup>;
  - (c) persons at work at spaceports, mission management facilities or sites used in connection with the provision of range control services;
  - (d) the interests of persons in relation to the use of land, sea and airspace;
  - (e) the interests of persons with interests in property carried by spacecraft.
- (7) The steps referred to in paragraph (1) must be steps to remove or reduce the harm or risk of harm referred to in paragraphs (4)(b) or (5)(b).

### **Contents of stop notice**

**252.** A stop notice must include information as to—

- (a) the grounds for serving the notice,
- (b) rights of appeal, and
- (c) the consequences of non-compliance.

### **Appeal against decision to serve stop notice**

**253.**—(1) The relevant person may appeal against the decision to serve a stop notice.

(2) The grounds for appeal are—

- (a) that the decision was based on an error of fact;
- (b) that the decision was wrong in law;
- (c) that the decision was unreasonable;
- (d) that any step specified in the stop notice is unreasonable;
- (e) that the relevant person has not committed the relevant offence and would not have committed it had the stop notice not been served;
- (f) that the relevant person would not, by reason of any defence, have been liable to be convicted of the relevant offence had the stop notice not been served.

### **Completion certificate**

**254.**—(1) Where, after service of the notice, the regulator is satisfied that the relevant person has taken the steps specified in the stop notice, the regulator must issue a certificate to that effect.

(2) A certificate under paragraph (1) is referred to in this Chapter as a “completion certificate”.

(3) The stop notice ceases to have effect on the issue of a completion certificate.

(4) The relevant person may at any time apply for a completion certificate.

(5) The regulator must make a decision as to whether to issue a completion certificate within 14 days of that application.

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(a) See section 2(6) for the definition of “spacecraft”.

(b) See section 2(6) for the definition of “carrier aircraft”.

### **Appeal against decision not to issue completion certificate**

**255.**—(1) Where an application under regulation 254(4) is not successful, the relevant person may appeal against the decision not to issue a completion certificate.

- (2) The grounds for appeal are—
- (a) that the decision was based on an error of fact;
  - (b) that the decision was wrong in law;
  - (c) that the decision was unfair or unreasonable.

### **Compensation**

**256.**—(1) The regulator must compensate the relevant person for loss suffered as a result of the service of the stop notice or the refusal to issue a completion certificate if that person has suffered loss as a result of the notice or refusal and the conditions in paragraph (2) apply.

- (2) The conditions referred to in paragraph (1) are—
- (a) the relevant person makes a successful appeal under regulation 253(1) or regulation 255(1);
  - (b) the power to issue the stop notice was not exercised as a result of any neglect or default of the relevant person.
- (3) A relevant person may appeal against—
- (a) a decision by the regulator not to award compensation, or
  - (b) a decision of the regulator as to the amount of the compensation.

### **Offence of failing to comply with stop notice**

**257.**—(1) Where a relevant person does not comply with a stop notice within the time limit specified in the notice, that person is guilty of an offence and liable—

- (a) on summary conviction in England and Wales, to a fine, or imprisonment for a term not exceeding twelve months, or both;
- (b) on summary conviction in Scotland or Northern Ireland, to a fine not exceeding £20,000, or imprisonment for a term not exceeding twelve months, or both;
- (c) on conviction on indictment, to imprisonment for a term not exceeding two years, or a fine, or both.

(2) In relation to an offence committed before the commencement of section 154(1) of the Criminal Justice Act 2003<sup>(a)</sup> (general limit on magistrates' court's powers to imprison), the reference in paragraph (1)(a) to imprisonment for a term not exceeding 12 months is to be read as a reference to imprisonment for a term not exceeding six months.

## **PART 15**

### **Occurrence reporting**

#### **CHAPTER 1**

#### **Interpretation**

### **Interpretation**

**258.**—(1) In this Part—

“accident” includes any fortuitous or unexpected event by which the safety of—

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(a) 2003 c.44.

- (a) any launch vehicle,
- (b) any person,
- (c) any carrier aircraft, or
- (d) any launch vehicle's ground support equipment,

is threatened;

“Chief Inspector” means the Chief Inspector of Spaceflight Accidents appointed under regulation 6(2) (appointment of inspectors of spaceflight accidents) of the Spaceflight Activities (Investigation of Spaceflight Accidents) Regulations 2020;

“confidential information” includes—

- (a) occurrence reports,
- (b) material produced by the regulator and others assisting in the analysis of the occurrence or the exercise of the regulator's powers referred to in regulation 264(1)(b) such as notes and opinions expressed about the analysis of information,
- (c) information and evidence about occurrences provided by the SAIA,
- (d) information and evidence about occurrences provided by any national or international body referred to in regulation 265(5)(h) if the arrangement referred to in that regulation provides that this material is to be treated as confidential information in accordance with this Part, and
- (e) US technical data;

“injury” means personal injury;

“major accident” means an accident arising out of or in the course of spaceflight activities or preparation for spaceflight activities that is highly likely to—

- (a) cause death or serious injury to, or
- (b) destroy or seriously damage the property of,

persons who are not human occupants;

“occurrence” means—

- (a) a spaceflight accident,
- (b) a major accident, or
- (c) any other fortuitous or unexpected event arising out of or in the course of spaceflight activities or preparation for those activities, and occurring—
  - (i) in or over the United Kingdom, or
  - (ii) elsewhere if any of the circumstances referred to in regulation 261 apply, which, if not corrected or addressed, could result in a spaceflight accident or a major accident;

“police officer” means any person who is a member of—

- (a) a police force,
- (b) the Police Service of Northern Ireland, or
- (c) the Police Service of Northern Ireland Reserve;

“spaceflight accident” means an accident arising out of or in the course of spaceflight activities, and occurring—

- (a) in or over the United Kingdom, or
- (b) elsewhere, if any of the circumstances referred to in regulation 16 of the Spaceflight Activities (Investigation of Spaceflight Accidents) Regulations 2020 apply;

“staff of the regulator” means an employee or agent of the regulator.

## CHAPTER 2

### Duty to report an occurrence and the objective of that report

#### **Duty to report an occurrence**

**259.**—(1) A licensee, or the Secretary of State if the Secretary of State provides range control services to the holder of an operator licence or a spaceport licence, must report an occurrence to the regulator.

(2) The occurrence report must be in writing and sent to the regulator within 72 hours of the time at which the licensee or the Secretary of State became aware of the occurrence.

#### **Objective of an occurrence report**

**260.** The sole objective of an occurrence report is the prevention of spaceflight accidents or major accidents, without the apportionment of blame or liability.

## CHAPTER 3

### Events elsewhere which could threaten safety

#### **When an event elsewhere which could result in an accident is an occurrence**

**261.**—(1) A fortuitous or unexpected event which occurs elsewhere than the United Kingdom is an occurrence within the meaning of regulation 258(1)(c) if any of the circumstances in paragraph (2) apply.

(2) The circumstances referred to in paragraph (1) are that the United Kingdom is the State—

- (a) from which the launch vehicle or any carrier aircraft was launched,
- (b) having jurisdiction over the organisation responsible for the design of the launch vehicle or any carrier aircraft,
- (c) having jurisdiction over the organisation responsible for the manufacture or final assembly of the launch vehicle or any carrier aircraft, or of any components of that vehicle or aircraft,
- (d) having jurisdiction over the organisation responsible for the maintenance of the launch vehicle or any carrier aircraft or any components of that vehicle or aircraft, or
- (e) in which the licensee's principal place of business is located.

## CHAPTER 4

### Contents of the occurrence report

#### **Contents of the occurrence report**

**262.**—(1) The occurrence report must include—

- (a) the name and address of the licensee,
- (b) the role of the person within the licensee's organisation who has prepared the occurrence report on the licensee's behalf,
- (c) the date of the occurrence report and the time when it was made,
- (d) the date and time of the occurrence and where it took place,
- (e) if any person has died or sustained an injury as a result of the occurrence—
  - (i) the number of such persons who have died,
  - (ii) the number of such persons who are injured,
  - (iii) a description of the injuries of such persons including any injuries which resulted in death,

- (iv) if the person falls within the description of persons in regulation 43 (persons who are not members of the public), the description in that regulation which the person falls within,
  - (v) any other role or capacity of the person in connection with spaceflight activities, and
  - (vi) whether the person is a member of the public,
  - (f) whether the occurrence falls within one or more of Categories A to E described in regulation 263,
  - (g) a short description of the occurrence and the circumstances in which it happened,
  - (h) any action taken to prevent the occurrence happening again and improve safety relating to the operator's spaceflight activities, the operation of the spaceport or the provision of range control services following the occurrence,
  - (i) any notification of the occurrence to—
    - (i) the Chief Inspector of the SAIA or to a police officer or, in relation to Scotland, constable for the area where the occurrence took place, as required by regulation 7 of the Spaceflight Activities (Investigation of Spaceflight Accidents) Regulations 2020, and
    - (ii) any other national or international body investigating spaceflight accidents for the purposes of accident prevention, and
  - (j) if a body referred to in sub-paragraph (i) has been notified, the method by which that body was notified.
- (2) In this regulation “member of the public” has the same meaning as its prescribed meaning for the purpose of section 10(a) given in regulation 42.

### **Categories of occurrence**

**263.** For the purposes of regulation 262(1)(f), the categories of occurrence are occurrences related to—

- (a) ground preparations for spaceflight activities at a spaceport (Category A),
- (b) the launch vehicle or any carrier aircraft, including a technical failure in such a vehicle or aircraft, during—
  - (i) preparations for the launch from the time when that vehicle or its component parts or that aircraft or its component parts arrive at the spaceport, and
  - (ii) the operator's spaceflight activities (Category B),
- (c) a technical failure in the mission management facility or other ground control at the spaceport (Category C),
- (d) failure in the provision of range control services (Category D), and
- (e) human occupants (Category E).

## **CHAPTER 5**

### **The regulator's actions when it receives an occurrence report**

#### **What the regulator must do on receipt of an occurrence report**

**264.—**(1) As soon as reasonably practicable after receipt of an occurrence report, the regulator must—

- (a) analyse the occurrence report, including comparing that report with any other occurrence reports which the regulator has received, so that any common trends of events described in the occurrence report are identified, and
- (b) identify whether the regulator needs to exercise any of its powers contained in the Act or in regulations made under the Act to prevent or mitigate the risk of a spaceflight accident or a major accident occurring.

- (2) The regulator must retain the occurrence report.

## CHAPTER 6

### Confidential information

#### Protection of information and permitted disclosures

**265.**—(1) Confidential information may not be disclosed by—

- (a) the licensee who reported the occurrence,
- (b) any member of staff of the regulator,
- (c) any person called upon to participate or assist in an analysis of an occurrence report or in the regulator exercising its powers referred to in regulation 264(1)(b),
- (d) the Secretary of State or any officer of the Secretary of State, or
- (e) any person who has received confidential information from a person referred to in subparagraph (a), (b), (c), or (d),

except as provided for in this regulation or by order of the court under regulation 266.

(2) US technical data may only be disclosed with the consent of the Government of the United States after consultation between the regulator, the Secretary of State and the Government of the United States.

(3) Any disclosure made under this regulation must ensure that the anonymity of the licensee, the person who prepared the occurrence report on the licensee's behalf and any other person involved in an occurrence, is preserved.

(4) Subject to obtaining the consent required by paragraph (2) to disclose US technical data, the regulator may disclose confidential information to the persons referred to in paragraph (5) to the extent necessary for the purposes of—

- (a) improving the safety of spaceflight activities, or
- (b) preventing a spaceflight accident or a major accident.

(5) The persons referred to in this paragraph are—

- (a) a licensee involved in an occurrence other than the licensee who reported the occurrence,
- (b) the Secretary of State,
- (c) persons responsible for the manufacture and maintenance of the launch vehicle or any carrier aircraft involved in the spaceflight accident;
- (d) persons responsible for training,
- (e) persons using a launch vehicle or a carrier aircraft of the same type as the launch vehicle or the carrier aircraft involved in the occurrence,
- (f) a qualifying health and safety authority if—
  - (i) such an authority is providing the regulator with advice or assistance in response to a requirement imposed under section 21(1)(a), or
  - (ii) such an authority is performing a function that the authority is authorised to perform under section 21(1)(b),
- (g) the Chief Inspector of the SAIA or the investigator in-charge, or
- (h) any national or international body involved with spaceflight activities provided that—
  - (i) the regulator has in place an arrangement to disclose an occurrence report to such a body, and
  - (ii) the regulator does not disclose information which might create a conflict of interest between the bodies to whom the information is revealed and the objective of an occurrence report.

(6) Subject to obtaining the consent required by paragraph (2) to disclose US technical data, the Chief Inspector or the investigator-in-charge may refer to confidential information received from

the regulator in accordance with paragraph (4) in a safety investigation report only to the extent necessary to permit the Chief Inspector or the investigator-in-charge to comply with their obligations under regulations 32 to 34 of the Spaceflight Activities (Investigation of Spaceflight Accidents) Regulations 2020.

### **Court application for disclosure**

**266.**—(1) An application may be made to the court for permission—

- (a) to disclose confidential information, or
- (b) for confidential information to be disclosed.

(2) An application made under paragraph (1) must identify the confidential information which the person making the application wishes to disclose, or to be disclosed.

(3) Before making an order under paragraph (1), the court must be satisfied—

- (a) that the disclosure of the confidential information identified in the application will not—
  - (i) disclose the identity of any person involved with the occurrence, or
  - (ii) damage the international relations of the United Kingdom, and
- (b) that the benefits of disclosing that information outweigh the adverse domestic and international consequences that disclosure of that information might have on the reporting of occurrences to the regulator and any safety investigation to which the information relates or any future safety investigation.

(4) The jurisdiction conferred by this regulation may be exercised by—

- (a) the High Court, or
- (b) in Scotland, the Court of Session.

## **CHAPTER 7**

### **Contraventions and sanctions**

#### **Providing false information**

**267.** Any person who, in purported compliance with regulation 259, makes a statement they know to be false in a material particular, or recklessly makes a statement that is false in a material particular, contravenes these Regulations.

#### **Failure to protect confidential information**

**268.**—(1) Any person who knowingly contravenes any of the prohibitions in regulation 265 contravenes these Regulations.

(2) Paragraph (1) does not apply where a person makes confidential information available to another person (“person A”) in the following circumstances—

- (a) in a case where person A is a party to, or otherwise entitled to appear at judicial proceedings and the relevant court has ordered that confidential information must be made available to person A for the purposes of those proceedings;
- (b) in any other case, where the relevant court has ordered that confidential information must be made available to person A for other specified purposes.

(3) The relevant court must not make an order under paragraph (2) unless it is satisfied that the benefits of the disclosure of the confidential information concerned outweigh the adverse domestic and international consequences which the disclosure might have on the reporting of occurrences or any safety investigation to which the information relates or any future safety investigation.

(4) In this regulation—

“judicial proceedings” includes any proceedings before any court, tribunal or person having by law power to hear, receive and examine evidence on oath;

“relevant court” means—

- (a) in the case of judicial proceedings or an application for disclosure made in England, Wales or Northern Ireland, the High Court, and
- (b) in the case of judicial proceedings or an application for disclosure made in Scotland, the Court of Session.

### **Penalties**

**269.** A person who has contravened these regulations as set out in regulations 267 and 268 is guilty of an offence, and is liable—

- (a) on summary conviction in England and Wales, to a fine;
- (b) on summary conviction in Scotland or Northern Ireland, to a fine not exceeding the statutory maximum;
- (c) on conviction on indictment, to imprisonment for a term not exceeding two years, or a fine, or both.

## **PART 16**

### **Miscellaneous**

#### **Duty on licensee to inform regulator of changes**

**270.**—(1) A reference in this regulation to a “relevant person” is to—

- (a) a person applying for a licence under the Act, or
- (b) a licensee.

(2) A relevant person must inform the regulator in writing as soon as possible of any significant change in any of the information provided to the regulator by or on behalf of the relevant person, whether in or with the application for a licence or after the licence has been granted.

(3) Where the information referred to in paragraph (2) was provided by a person other than the relevant person, the obligation to inform the regulator of any significant change in the information applies as soon as possible after the relevant person becomes aware—

- (a) that the information in question was provided to the regulator, and
- (b) of the change in the information.

#### **Offence of failure to inform regulator of changes**

**271.**—(1) It is an offence for a person—

- (a) to fail to comply with regulation 270, or
- (b) in purported compliance with regulation 270, to make a statement or provide information they know to be false in a material particular, or recklessly to make such a statement or provide such information.

(2) It is a defence for a person charged with an offence under paragraph (1)(a) to show that they took all reasonable steps and exercised all due diligence to avoid committing the offence.

#### **Penalty for failure to inform regulator of changes**

**272.** A person who commits an offence under regulation 271 is liable—

- (a) on summary conviction in England and Wales, to a fine;
- (b) on summary conviction in Scotland or Northern Ireland, to a fine not exceeding the statutory maximum;



- (c) on conviction on indictment, to imprisonment for a term not exceeding two years, or a fine, or both.

### **Sending of notices and other documents**

**273.**—(1) Any notice or other document required or authorised by these Regulations to be sent to any person may be sent by—

- (a) delivering it to that person in person,
- (b) leaving it at that person's proper address, or
- (c) sending it by post or by electronic means to that person's proper address.

(2) In the case of a body corporate, any such notice or document may be sent to a director of that body or to any other officer or employee of that body ("authorised person") who is authorised to accept such notices or documents on its behalf.

(3) For the purposes of this regulation, "proper address" means—

- (a) in the case of a body corporate or its director or authorised person—
  - (i) the registered or principal office of that body, or
  - (ii) the email address of the secretary or clerk of that body, the director or the authorised person;
- (b) in any other case, a person's last known address, which includes an email address.

### **Review**

**274.**—(1) The Secretary of State must from time to time—

- (a) carry out a review of the regulatory provision contained in these Regulations, and
- (b) publish a report setting out the conclusions of the review.

(2) The first report must be published before the end of the period of five years beginning with the date on which these Regulations come into force for any purpose.

(3) Subsequent reports must be published at intervals not exceeding five years.

(4) Section 30(4) of the Small Business, Enterprise and Employment Act 2015<sup>(a)</sup> requires that a report published under this regulation must, in particular—

- (a) set out the objectives intended to be achieved by the regulatory provision referred to in paragraph (1)(a),
- (b) assess the extent to which those objectives are achieved,
- (c) assess whether those objectives remain appropriate, and
- (d) if those objectives remain appropriate, assess the extent to which they could be achieved in another way which involves less onerous regulatory provision.

(5) In this regulation, "regulatory provision" has the same meaning as in sections 28 to 32 of the Small Business, Enterprise and Employment Act 2015 (see section 32 of that Act).

Date

*Name*  
Secretary of State  
Department for Transport

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<sup>(a)</sup> 2015 c. 26.

## SCHEDULE 1

Regulations 29, 30, 32

### Information the safety case must contain

#### General information

1. A description of the proposed spaceflight activities including the proposed flight trajectory, any planned orbital parameters for the launch vehicle, any planned orbital parameters for the payload and details of any planned re-entry from orbit by the launch vehicle.

2. General descriptions of—

- (a) the applicant's organisation and management structure;
- (b) the launch vehicle to be used including descriptions and, where appropriate, diagrams of—
  - (i) its method of operation,
  - (ii) any payload, and
  - (iii) the layout of systems that are part of it;
- (c) any carrier aircraft to be used including descriptions and, where appropriate, diagrams of—
  - (i) its method of operation,
  - (ii) any payload, and
  - (iii) the layout of systems that are part of it;
- (d) the facilities and major items of equipment that the applicant will need to carry out the proposed spaceflight activities, and which, if any, of these will be provided by a proposed spaceport licensee or by a proposed range control service provider.

3. For launch operator licence applicants, identification of the spaceport and the proposed spaceport licensee.

4. Identification of—

- (a) any range control services needed;
- (b) any proposed range control service providers;
- (c) any site or facility other than a spaceport that has been or is to be used by the applicant in the design, manufacture, testing or operation of the applicant's launch vehicle or any carrier aircraft.

5. For launch operator licence applicants, a schedule of the preparatory events necessary to prepare the launch vehicle for launch setting out how long, to the nearest day, before the launch each preparatory event is intended to take place.

6. Evidence that the applicant will, if granted the licence, be able to meet the requirements of regulations 87 to 107 of Part 8 (Safety of operator's spaceflight activities).

7. Details of the applicant's safety management system for the proposed spaceflight activities.

8. Particulars of any licence, permit or approval that any country other than the United Kingdom has granted to the applicant in relation to the proposed spaceflight activities or a launch vehicle that the applicant plans to use for those activities.

9. Information about what applications, if any, the applicant has previously made for a licence or approval to carry out spaceflight activities similar to those for which it is applying for a licence, and what the outcome was of each of those applications.

## Technical particulars

**10.** The technical requirements specification for the launch vehicle including physical dimensions, mass, performance and proposed flight trajectory of the launch vehicle.

**11.—(1)** For all safety-critical systems used in the launch vehicle, including flight safety, structural, propulsion, thermal, pneumatic, electrical, avionics, software, guidance and environmental systems—

- (a) descriptions, drawings and schematic diagrams;
- (b) descriptions of each way those systems could fail;
- (c) predicted probabilities of failure and, where known, failure frequencies;
- (d) predicted consequences of failure.

(2) In this paragraph, “safety-critical system” means any system the performance of which is essential to preventing a major accident as a result of spaceflight activities.

**12.—(1)** A description of the engineering practices used in the design, manufacture, assembly and operation of the launch vehicle including of—

- (a) the design and analysis tools used;
- (b) any national or international design, engineering or safety standards followed;
- (c) test, validation and verification procedures undertaken or to be undertaken.

(2) In this paragraph—

“validation” means an evaluation to confirm that measures taken to prevent, control or mitigate the consequences of a hazard are correct, complete, consistent, unambiguous, verifiable, and technically feasible;

“verification” means an evaluation to confirm that measures taken to prevent, control or mitigate the consequences of a hazard are effective and have been properly implemented.

**13.** Descriptions of any hazardous material that is part of the launch vehicle or payload or is to be carried on board the launch vehicle during the proposed spaceflight activities.

**14.** Technical particulars and performance data for any carrier aircraft intended to be used, including any existing aircraft certification or permit.

## Flight safety analysis

**15.—(1)** The hazards mentioned in regulation 29(2) which the applicant must consider in carrying out the flight safety analysis are—

- (a) blast overpressure;
- (b) fragmentation debris;
- (c) thermal radiation;
- (d) toxic release;
- (e) major accident hazards arising from—
  - (i) any discarded part of the launch vehicle and any object or substance released or separated from the launch vehicle;
  - (ii) collision with a space object;
  - (iii) meteorological or environmental conditions;
  - (iv) the use of a carrier aircraft, if applicable;
  - (v) re-entry of the launch vehicle, or any part of it, from orbit, if applicable.

(2) The matters mentioned in regulation 29(3) which the applicant must take into account in carrying out the flight safety analysis are—

- (a) the locations of individuals who could be harmed by any of the identified hazards;

- (b) the applicant's own and each proposed range control service provider's capabilities in—
  - (i) tracking;
  - (ii) telemetry;
  - (iii) communications;
- (c) how any flight safety system will be activated if its activation is necessary;
- (d) how the applicant will coordinate and communicate with air traffic control service providers, meteorological information providers and emergency services;
- (e) any legal requirements relevant to the applicant's proposed use of airspace;
- (f) information available about any known space object with which there is a risk of the launch vehicle colliding.

### **Ground safety analysis**

**16.** The hazards mentioned in regulation 30(5) which the applicant must consider in carrying out the ground safety analysis are—

- (a) blast overpressure;
- (b) fragmentation debris;
- (c) thermal radiation;
- (d) toxic release;
- (e) major accident hazards arising from—
  - (i) hazardous material;
  - (ii) contamination of hazardous material intended for use in the launch vehicle;
  - (iii) impact damage and mechanical damage;
  - (iv) meteorological or environmental conditions;
  - (v) sources of electrical discharge.

## **SCHEDULE 2**

Regulation 35

### **Matters applicant must take into account for the risk assessment**

The applicant must consider all hazards that could cause a human occupant to experience or undergo any of the following—

- (a) disorientation;
- (b) acceleration;
- (c) free-falling in circumstances equivalent to reduced gravity;
- (d) excessive noise or vibration;
- (e) anxiety, claustrophobia or other conditions caused by restrictions on individual mobility or reduced ability to use the senses during spaceflight activities;
- (f) loss of, or a reduction in the level of, launch vehicle pressurisation;
- (g) depleted levels of oxygen and decompression sickness;
- (h) fire, smoke or other emergency on board the launch vehicle which contaminates the supply of oxygen;
- (i) evacuation of the launch vehicle;
- (j) activation of a flight safety system.

## SCHEDULE 3

Regulations 59, 69, 72

### Training and Qualification

#### PART 1

##### Specified criteria

##### **Launch directors**

1. A launch director (“LD”) must have a detailed knowledge and understanding of—
  - (a) the spaceflight operator’s spaceflight activities, including the operation of the launch vehicle and any carrier aircraft and all matters which may affect the safety of the launch;
  - (b) the responsibilities of the LD in relation to the licence of the spaceflight operator and any conditions imposed on that licence;
  - (c) all launch procedures and spaceflight procedures throughout all phases of the flight, including under both normal conditions and abnormal conditions, including emergency situations;
  - (d) in cases where the spaceflight operator’s spaceflight activities require a flight safety system, the flight safety system, including autonomous flight safety systems and manual systems, and the circumstances and conditions for use of the flight safety system.
2. The LD must be able to demonstrate an ability to deal with both planned and unplanned events during—
  - (a) a launch, or a series of simulated launches, and
  - (b) flights,in accordance with the procedures established by the spaceflight operator.
3. The LD must have the ability to organise and lead personnel of the spaceflight operator working on the launch, and any other staff involved with monitoring in real time the launch vehicle’s trajectory, performance or safe condition for continued flight.
4. The LD must have a comprehensive knowledge of pre-flight, flight and post-flight activities, including safety-critical ground operations and the role and functions of any sub-contractors and other agencies involved during the spaceflight operator’s spaceflight activities.
5. The LD must have a clear understanding of—
  - (a) the role of the safety manager;
  - (b) the regulatory matters pertaining to the spaceflight operator’s spaceflight activities;
  - (c) the role of regulatory officials during launch and spaceflight activities;
  - (d) the processes involved in gaining final approval for launch.
6. The LD must have participated in practical training, which must include mission rehearsals, and either—
  - (a) participating in actual launch activities under the supervision of a qualified LD, or
  - (b) simulations of any circumstances where the trainee LD was forbidden from participating in actual launch activities under paragraph 7.
7. A trainee LD may not be given any role in relation to an actual launch if this could adversely affect flight safety.

## **Flight termination personnel**

**8.** A member of the flight termination personnel (“MFTP”) must have the knowledge, skill and ability to operate a manual flight safety system in accordance with the safety regulations in Part 8.

**9.** An MFTP must be familiar with—

- (a) the spaceport, launch vehicle and equipment used in the spaceflight operator’s spaceflight activities;
- (b) the flight-safety functions and procedures which relate to the spaceflight operator’s spaceflight activities.

**10.** An MFTP must have completed—

- (a) training designed for each mission in which the MFTP is to participate;
- (b) launch and spaceflight simulation exercises and rehearsals designed to test the flight termination criteria, the flight safety data display integrity and the performance of the MFTPs for the flight in both normal and abnormal conditions, including emergency situations.

**11.** All MFTPs who monitor launch vehicle performance and perform flight termination, or oversee an automated flight safety system which performs these functions, must have detailed knowledge of—

- (a) the application of safety support systems such as position-tracking sources, communications, telemetry and system redundancy,
- (b) the technology and scientific principles associated with the flight safety systems used by the spaceflight operator, including hardware and software and any command destruct function,
- (c) the principles of radio frequency transmission, propagation, reception and attenuation,
- (d) the behaviour of ballistic and aerodynamic vehicles in flight under the influence of aerodynamic forces, and
- (e) the application of safety regulations in Part 8 and the procedures set out in the safety operations manual so far as they apply to flight termination.

**12.** An MFTP must also be capable of resolving, where possible, malfunctions in the flight safety systems used by the spaceflight operator, and be aware of the procedures to be followed if malfunctions cannot be resolved.

## **Flight crew and remote pilots**

**13.** Every member of the flight crew (“FC”) and remote pilot must be able to demonstrate their ability to operate the launch vehicle and to perform their assigned functions competently and safely throughout all phases of the flight in both normal and abnormal conditions, including emergency situations.

**14.—(1)** An FC of a sub-orbital aircraft must hold—

- (a) a commercial pilot’s licence with an instrument rating, issued by the CAA, or
- (b) an ICAO compliant commercial pilot’s licence with instrument rating,

which, subject to paragraph (2), has a valid type-rating for at least one type of turbo-jet aircraft.

(2) An FC may hold a licence within paragraph (1)(a) or (b) which does not have a valid type-rating for a type of turbo-jet aircraft if the FC can provide evidence that the FC is, or has been, qualified to fly a military turbo-jet aircraft.

(3) An FC who is a pilot of a launch vehicle which is a balloon must hold—

- (a) a commercial pilot’s licence for balloons issued by the CAA, or
- (b) an ICAO compliant commercial pilot’s licence for balloons.

(4) A remote pilot must have any qualifications required by the regulator by conditions included in the spaceflight operator's licence.

(5) For the purposes of this paragraph, "sub-orbital aircraft" means a craft to which section 1(5) applies which can derive support in the atmosphere from the reactions of the air other than reactions of the air against the earth's surface.

**15.—**(1) Pilots and remote pilots must possess the aeronautical knowledge, experience and skills necessary to pilot and control the launch vehicle within airspace having the same classification as the airspace in which the launch vehicle will be operating, including in the vicinity of spaceports and airports.

(2) For the purposes of subparagraph (1), aeronautical experience may include hours in flight and hours under instruction.

**16.—**(1) An FC expecting to act as a pilot in command of a flight in which a spaceflight participant is to be carried (a "participant spaceflight"), must have undertaken one actual or simulated spaceflight in a launch vehicle of the same type as the spaceflight operator's launch vehicle as a flight crew member within the period of 14 days ending on the day before the participant spaceflight (the "relevant period").

(2) A remote pilot who intends to act as a remote pilot in command of a participant spaceflight must have undertaken one actual or simulated spaceflight in a launch vehicle of the same type as the spaceflight operator's launch vehicle as a remote pilot within the relevant period.

**17.** An FC must be able to demonstrate the FC's ability to withstand the mental and physical stresses of spaceflight including disorientation, illusory effects, rapid acceleration, microgravity, noise and vibration, in sufficient condition to be able to operate the launch vehicle throughout all phases of flight safely and competently.

**18.—**(1) An FC of a launch vehicle other than a balloon must be able to demonstrate an ability to perform tasks, equivalent in complexity to those which would be performed in flight, while exposed to the mental and physical stresses of rapid acceleration.

(2) Whether an FC satisfies the criteria in sub-paragraph (1) must be tested in a centrifuge device or an aircraft, or in a combination of the two, that is able to replicate the effects on the human body of the forces of acceleration, the rate of change of those forces and their duration, in conditions equivalent to the periods of the flight when those forces are most acute.

**19.** An FC and a remote pilot must hold a flight radiotelephony operator's licence for the area of operation of the licensee's spaceflight activities, which certifies that they have language proficiency in English to level 6, in accordance with Appendix 2 to the EU Aircrew Regulation.

**20.** An FC must have previous experience as a member of the flight crew or as a remote pilot in a launch vehicle or aircraft that exposed the FC—

- (a) to a workload which is equivalent to that expected of an FC or a remote pilot undertaking the spaceflight activities, and
- (b) to effects on the body of rapid onset and diminution of acceleration at least equivalent to those which would be experienced during a typical flight of the spaceflight operator's launch vehicle.

### **Sub-orbital aircraft engineers**

**21.—**(1) An engineer for a sub-orbital aircraft intended to carry humans must hold—

- (a) an aircraft engineer's licence issued by the CAA which is relevant to turbo jet powered aircraft, or
- (b) an equivalent ICAO compliant aircraft maintenance engineer's licence.

(2) An engineer referred to in sub-paragraph (1) must be able to demonstrate an ability to carry out any inspections and functional checks and to review any information, which are necessary to

confirm that the launch vehicle is fully operational in accordance with the spaceflight operations manual, where relevant, and any spaceflight operator's engineering manual.

### **Range Operations Manager**

**22.** A range operations manager ("ROM") must be able to demonstrate competence in—

- (a) coordinating arrangements for the activation and operation of the range, and
- (b) ensuring—
  - (i) that range functions of a mission are carried out in accordance with the terms and conditions of the range control licence;
  - (ii) effective functioning of range operation and personnel in support of the spaceflight activities.

**23.** The ROM must ensure that the ROM's team has completed mission-specific training so that the team is ready to support the spaceflight activities involved in a mission.

**24.** The ROM must have experience in a similar or related role in another organisation.

### **Range Safety Manager**

**25.** A range safety manager ("RSM") must have completed—

- (a) mission-specific training to ensure that the team are ready for a mission;
- (b) launch and spaceflight simulation exercises and rehearsals covering both normal and abnormal conditions, including emergency situations, designed to test—
  - (i) range safety personnel performance;
  - (ii) flight termination criteria;
  - (iii) flight safety data-display integrity.

**26.** The RSM must be able to demonstrate familiarity with the range, spaceport, launch vehicle and the flight safety system functions, equipment and procedures related to the spaceflight operator's spaceflight activities.

**27.** Where the RSM is to be responsible for operating, or for overseeing the operation of, the flight safety system, the RSM must be able to demonstrate the knowledge, skill and ability necessary to operate that system, or, in the case of an autonomous flight safety system, to oversee the operation of that system.

**28.** The RSM must be able to demonstrate detailed knowledge of—

- (a) the application of safety support systems such as position-tracking sources, communications, telemetry and system redundancy,
- (b) the technology and scientific principles associated with the flight safety system to be used by the spaceflight operator, including hardware and software and any command destruct function,
- (c) the principles of radio frequency transmission, propagation, reception and attenuation,
- (d) the behaviour of ballistic and aerodynamic vehicles in flight under the influence of aerodynamic forces, and
- (e) the application of safety regulations in Part 8 and the procedures set out in the safety operations manual so far as they apply to flight termination

**29.** The RSM must also be capable of resolving malfunctions in flight safety systems used by the spaceflight operator and be aware of the procedures to be followed if malfunctions cannot be resolved.



## PART 2

### Training Manual

**30.** The Training Manual must contain the matters set out in paragraphs 31 to 47.

**31.** The training policy of the licensee, including guidance on objective assessment, the need for impartiality, and what provision is made for independent confirmation that an individual meets the required standard of competency.

**32.** The responsibilities of the training manager.

**33.** The responsibilities of instructors carrying out any part of the training programme.

**34.** Information for instructors on the training programme, including—

- (a) the syllabus and content for each course on the training programme;
- (b) what assessments are to be carried out on the training programme;
- (c) the facilities, equipment and instructional material to be used on each course on the training programme.

**35.** The locations where any training is to be carried out.

**36.** The procedures established by the licensee to satisfy its obligations under regulation 75(1), and, where the licensee will be undertaking spaceflight activities with individuals on board a launch vehicle, to enable crew members and spaceflight participants to satisfy their obligations under Chapter 5 of Part 8.

**37.** The entry requirements for applicants for each role.

**38.** The procedures to be adopted for determining that an individual has met the required standard of competency to undertake a role, and for recording that fact.

**39.** A full description of the training programme, including—

- (a) a schedule of all the training provided, indicating—
  - (i) the priority and sequence of courses of training;
  - (ii) the intervals at which recurrent training will be provided;
  - (iii) when assessments will be carried out;
- (b) a statement of the standards, objectives and training goals for each course of training included in the training programme, setting out—
  - (i) what training is provided, at each level referred to in regulation 72(3)(a);
  - (ii) who will be providing the training;
  - (iii) when practical instruction, in the form of group training, exercises and simulations, will be used;
  - (iv) what theoretical instruction will be given;
  - (v) what criteria a participant has to satisfy to pass a course;
- (c) a description of—
  - (i) any aircraft referred to in regulation 73(3), and
  - (ii) any simulated training devices or other equipment referred to in regulation 74, which is used in any of the training given on the training programme, and how it is intended to be used in the training programme.

**40.** A full description of the arrangements made for undertaking mission simulations in compliance with regulation 73(5), including the time at which mission simulations are held, and who participates in them.

**41.** A statement describing any arrangements which have been made with a third party for the provision of any services or equipment by the third party, setting out precisely what services or equipment are to be provided by that third party.

**42.** A statement of how the licensee will ensure that relevant individuals, before undertaking any role in relation to licensed activities, have undertaken the required training, and have reached the required level of competence and medical fitness for their roles, including—

- (a) a description of the procedures for testing the competency of relevant individuals, and the standards to be applied in relation to each role;
- (b) the procedures for medical assessments and medical examinations.

**43.** A statement of the policy in relation to individuals who do not fully complete training, fail a competency test, or withdraw or are withdrawn from training.

**44.** Where the licensee will be undertaking spaceflight activities with individuals on board a launch vehicle, the informed consent procedures applying to the flight crew and any spaceflight participants.

**45.** The procedures for recording results of training undertaken by relevant individuals, and keeping the records of those results, including the measures being taken to ensure the confidentiality of personal information.

**46.** The measures being taken to assess the performance of training instructors and to review the adequacy and suitability of the training being provided.

**47.** A section setting out the measures the licensee is taking to satisfy the medical requirements in these Regulations, which must contain—

- (a) the licensee's policy in relation to medical fitness;
- (b) information on the responsibilities of the licensee, and the training manager in relation to medical fitness under regulations 61(6)(c) and 61(7)(a)(i), 66(a), and 75 to 80;
- (c) information on the responsibilities of the aeromedical examiner and medical staff taking part in the licensee's medical programme;
- (d) the medical requirements for any flight crew, remote pilots and spaceflight participants under this Part;
- (e) the obligations of any flight crew, remote pilots and spaceflights participants under regulations 75 to 80;
- (f) information for approved medical examiners, any flight crew and spaceflight participants and their medical advisers on—
  - (i) when medical examinations and assessments will be held, and how they will be conducted;
  - (ii) where medical examinations will be conducted, and what equipment and facilities are available for them;
  - (iii) what medical reports will be required for the purpose of medical assessments;
  - (iv) what conditions may be imposed on a certificate or confirmation of medical fitness under regulation 76(6);
- (g) a list of the medical records kept by the licensee under regulation 80.

## **PART 3**

### **Training for specified roles and capacities**

**48.** All crew and remote pilots must have received the training described in paragraphs 49 and 50 before they are assigned duties on board a launch vehicle or, in the case of a remote pilot, permitted to pilot a launch vehicle remotely.

**49.** All crew and remote pilots must receive theoretical and practical training on—

- (a) all matters where coordination between members of the crew, remote piloting staff and spaceflight participants is critical to dealing effectively with normal and abnormal situations, including emergency situations;
- (b) the location and use of the launch vehicle's emergency equipment including the procedures for evacuating the launch vehicle, and for these purposes, "emergency equipment" has the same meaning as in regulation 116(3).

**50.** All crew and spaceflight participants must receive training in withstanding the stresses of spaceflight activities, including training on—

- (a) the causes of disorientation, and what effects of disorientation may be experienced by crew and spaceflight participants during the various phases of flight;
- (b) what physical effects may be experienced as a result of—
  - (i) gradual or instantaneous acceleration, and
  - (ii) free-falling in circumstances equivalent to reduced gravity, andhow those effects may be mitigated;
- (c) how to reduce the effects of excessive noise and vibration, including the methods and equipment available for this purpose;
- (d) techniques available for countering anxiety, claustrophobia and other conditions which may be caused by restrictions on individual mobility or reduced ability to use the senses during space flight;
- (e) procedures for a loss of launch vehicle pressurisation, or a reduction in the level of launch vehicle pressurisation, including how to deal with depleted levels of oxygen and decompression sickness;
- (f) procedures for dealing with fires, smoke or other emergencies on board the launch vehicle when the supply of oxygen becomes contaminated;
- (g) survival techniques following an evacuation, including basic first aid, so far as relevant to the spaceflight operator's intended spaceflight activities.

**51.** All crew and remote pilots must receive training in—

- (a) crew co-ordination;
- (b) the extent to which human error may affect the safety and efficiency of spaceflight activities, and how this may be mitigated;
- (c) the identification and carriage of hazardous material or dangerous goods.

**52.** Spaceflight participants must receive training in—

- (a) what functions, if any, they are directly responsible for,
- (b) how these functions relate to the functions of others on board the launch vehicle, particularly during abnormal or emergency conditions,
- (c) entering and leaving the launch vehicle and the actions to be taken on board the launch vehicle during all phases of the flight,
- (d) the instructions to be complied with in relation to any direction from the crew,
- (e) what hazardous forms of activity are forbidden during training and during the spaceflight activities,
- (f) the use of any personal protective equipment,
- (g) the location and use of the launch vehicle's emergency equipment, and
- (h) the procedures for evacuating the launch vehicle.

## Requirements, information and processes which must be included in the spaceflight operator's safety management system

1. The safety management system must comply with paragraph 3 and contain the matters set out in that paragraph.

2. In this Schedule—

“safety-critical information” means any information which is essential to the spaceflight operator carrying out the operator's spaceflight activities safely;

“safety policy” means a description of the overall principles of the spaceflight operator with regard to safety.

3.—(1) The safety management system must—

- (a) be proportionate to the scale, nature and complexity of the operator's spaceflight activities and the hazards and associated risks inherent in those activities;
- (b) set out clearly in writing defined lines of responsibility and accountability throughout the spaceflight operator's organisation including a direct accountability for safety on the part of senior management;
- (c) identify the individual who is the spaceflight operator's accountable manager, the individual who is the spaceflight operator's safety manager and, where the operator's spaceflight activities are authorised by a launch operator licence, the individual who is the launch director;
- (d) identify—
  - (i) any flight termination personnel;
  - (ii) the individual who is the security manager and the training manager, if the spaceflight operator is required to appoint a security or training manager;
  - (iii) any individual appointed to undertake any other senior roles with responsibility for ensuring that the operator's spaceflight activities are carried out safely.

(2) The safety management system must include—

- (a) a written safety policy which includes clearly defined safety objectives and which is signed by the accountable manager;
- (b) a formal process that ensures that major accident hazards affecting the operator's spaceflight activities are identified;
- (c) a formal process that ensures analysis, assessment and mitigation of the safety risks in accordance with the current safety case and, if the launch vehicle has a human occupant, the current risk assessment;
- (d) the means to measure and verify the effectiveness of the safety management system;
- (e) a formal management of change process to—
  - (i) identify the major accident hazards and mitigate the risks associated with changes within the spaceflight operator's organisation, management system or the operator's spaceflight activities which may affect established processes, procedures and services,
  - (ii) describe the arrangements to ensure that safety performance is maintained or improved before implementing changes, and
  - (iii) eliminate or modify safety risk controls that are no longer needed or effective due to changes in the operational environment;
- (f) safety briefings after completion of the operator's spaceflight activities, to—

- (i) allow operating staff to submit written reports to the safety manager of major accident hazards, spaceflight accidents, occurrences or any other safety concerns arising from the operator's spaceflight activities and review any of these reports,
  - (ii) identify the causes of sub-standard safety performance of any of the operator's spaceflight activities or the safety management system,
  - (iii) determine the implications of such sub-standard performance in such a safety management system or operations, and
  - (iv) eliminate or mitigate the causes of such sub-standard performance;
  - (g) a training programme for operating staff that complies with regulation 72;
  - (h) a formal process to ensure that operating staff are following any instructions and procedures contained in the safety operations manual which are relevant to the spaceflight duties of each member of such staff;
  - (i) a formal means of safety communication that ensures that operating staff are fully aware of the safety management system, conveys safety-critical information and explains why particular safety actions are taken and why safety procedures are introduced or changed;
  - (j) coordination of the safety management system with any other safety management system relating to the operator's spaceflight activities;
  - (k) a formal process to monitor compliance with the spaceflight operator's safety duty.
- 4.** The safety management system in place under regulation 88 must include documentation of all processes and requirements in paragraph 3.

## SCHEDULE 5

Reg 93

### Safety operations manual

- 1.** Where the operator's spaceflight activities are authorised by—
- (a) a launch operator licence, the safety operations manual must contain the matters set out in paragraphs 2 to 27,
  - (b) a return operator licence, the safety operations manual must contain the matters set out in paragraphs 3 to 6, 7(1)(k), 8, 9, 14, 15, 17 and 20 to 27,

in so far as relevant to the operator's spaceflight activities which are authorised by the launch operator licence or the return operator licence.

- 2.** The manual must—
- (a) where necessary, include or refer to information relating to the instructions and procedures referred to in this Schedule, and
  - (b) take into account any human factors relevant to the performance of those procedures and instructions by members of the operating staff and, if the launch vehicle has a spaceflight participant on board, that participant.

### **Fatigue and other human factors**

**3.** Instructions and procedures that take account of the effects of fatigue and other human factors related to the ability of any member of the operating staff to carry out their spaceflight duties safely.

**4.** If the launch vehicle has a crew, information about the limitations on flight time, flight duty periods and rest periods for crew members and any other matter intended to manage the effects of spaceflight on the human body and to ensure that the crew comply with the requirement in regulation 110.

5. If the launch vehicle has a remote pilot, information about the limitations on flight time, flight duty periods and rest periods for remote pilots and to ensure that the remote pilot complies with the requirement in regulation 110.

6. Details of the safety management system which the spaceflight operator has put in place and which satisfies the requirements in regulation 88 and Schedule 4.

### **Preparations for launch, return and other operations**

7.—(1) Instructions and procedures about—

- (a) the arrival of the launch vehicle at the spaceport;
- (b) storing the launch vehicle at the spaceport;
- (c) the assembly and integration of any component parts of the launch vehicle including any instructions from the Design Authority or a person who manufactured the launch vehicle or any of its component parts;
- (d) if a launch vehicle is to be reused, satisfying the requirements in regulation 94 to 96;
- (e) the testing of the launch vehicle to satisfy the requirement in regulation 97;
- (f) the integration of any payloads with the launch vehicle;
- (g) the loading of propellants and any other hazardous material onto the launch vehicle;
- (h) the meteorological and environmental conditions needed to safely load such materials;
- (i) the preparations for the use of any carrier aircraft;
- (j) moving the launch vehicle to the place of launch at the spaceport and any final preparations to the launch vehicle;
- (k) instructions and procedures including joint procedures for coordinating and communicating with the range control service provider and any site used in connection with the provision of range control services, the spaceport licensee, the relevant meteorological service providers, the relevant air navigation service providers, and the relevant emergency services to ensure the requirements in regulation 99 are satisfied.

(2) In this paragraph “Design Authority” means a person with responsibility for the design of the launch vehicle.

8. Instructions and procedures for complying with the operator security programme.

9. Instructions about the functions and procedures of a mission management facility or ground control at a spaceport and how such functions and procedures affect the duties of a member of the operating staff.

10. Instructions and procedures for ground operating staff about the types of dangerous conditions or events necessitating such staff to cease work on the launch vehicle or its ground support equipment and withdraw to a safe location.

11. Instructions and procedures for monitoring the progress of the preparations for readiness to launch.

12. Procedures for notifying the regulator about planned operator’s spaceflight activities and for arranging and cooperating with any inspections by the regulator of the launch vehicle, carrier aircraft, any ground support equipment or other equipment.

13. Instructions as to the order of the activities and safety procedures to be followed by ground operating staff on the day of the launch.

14. Instructions and procedures about recording in writing the environmental and meteorological information referred in regulation 100 including the source of that information.

## **Launch and other operations**

**15.** Instructions and procedures about the conditions referred to in regulation 102 and how to satisfy them.

**16.** Instructions and procedures about the steps to be taken if a launch cannot safely commence.

**17.** Instructions and procedures about the steps to be followed during each phase of the flight of the launch vehicle and other operator's spaceflight activities, including any phase when that vehicle is in orbit, to ensure that the spaceflight operator satisfies the requirements in regulations 103 and 104 during the flight.

**18.** Instructions about the process to be followed before a flight termination decision is made in accordance with regulation 103(2).

**19.** If there is a flight safety system—

- (a) instructions and procedures to ensure that the system is capable of operating correctly and of being activated at any time in accordance with paragraph (c);
- (b) instructions and procedures about how to separate flight termination decisions and the actions of flight termination personnel relating to those decisions from the decisions and actions of other operating staff during launch and flight;
- (c) instructions relating to any time that a launch vehicle malfunctions and that malfunction prevents the operator's spaceflight activities being carried out safely;
- (d) instructions to the flight termination personnel on making a flight termination decision and the actions that such personnel must perform to terminate the flight.

**20.** If part of the mission, instructions and procedures about returning the launch vehicle to earth including a re-entry from orbit.

**21.** If the launch vehicle is reusable, instructions and procedures about recovering the launch vehicle to the earth's surface, rendering it safe from major accident hazards and enabling the planned landing.

**22.** Instructions and procedures about the steps to be followed on successful completion of the flight of the launch vehicle or other operator's spaceflight activities.

## **Launch vehicles with crew or a remote pilot**

**23.** Instructions and procedures for the crew and any remote pilot to satisfy any requirements in these Regulations which apply to such a member or pilot.

## **Emergency response**

**24.** The emergency response plan satisfying the requirements in regulation 107 and instructions and procedures to implement that plan.

**25.** Procedures to ensure the emergency response plan is reviewed, revised and tested as required by regulation 107(3) and that the results of a test of that plan and details of any revisions to that plan are supplied to the regulator as required by regulation 107(4).

## **Ground support equipment**

**26.** Instructions and procedures for using, maintaining, testing, repairing and servicing any ground support equipment.

## **Making, collecting, retaining and preserving information**

**27.** Instructions and procedures about—

- (a) making recordings referred to in regulation 106(1), and

- (b) collecting, retaining and preserving the information referred to in regulation 106(2).

## SCHEDULE 6

Regulation 151

### Requirements, information and processes which must be included in a spaceport licensee's safety management system

**1. In this Schedule—**

“safety-critical information” means any information which is essential to the spaceport licensee carrying out its licensed activities safely;

“safety policy” means a description of the overall principles of the spaceport licensee with regard to safety.

**2. The safety management system must—**

- (a) be proportionate to the scale, nature and complexity of the licensed activities and the hazards and associated risks inherent in those activities,
- (b) set out clearly defined lines of responsibility and accountability throughout the spaceport, including a direct accountability for safety on the part of senior management,
- (c) identify the individual who is—
  - (i) the accountable manager,
  - (ii) the safety manager,
  - (iii) the security manager, and
  - (iv) the training manager,for the spaceport, and
- (d) identify any individual appointed to undertake any other senior role with responsibility for ensuring that the spaceport licensee's licensed activities are carried out safely.

**3. The safety management system must include—**

- (a) a safety policy which includes clearly defined safety objectives and which is signed by the accountable manager;
- (b) a formal process that ensures that hazards in licensed activities are identified;
- (c) a formal process that ensures analysis, assessment and mitigation of the safety risks to as low as reasonably practicable in licensed activities;
- (d) the means to measure and verify the effectiveness of the safety management system;
- (e) a formal management of change process to—
  - (i) identify the hazards and mitigate the risks associated with changes within the spaceport licensee's organisation, management system, the spaceport or its operation which may affect established processes, procedures and services,
  - (ii) describe the arrangements to ensure safety performance is maintained or improved before implementing changes, and
  - (iii) eliminate or modify safety risk controls that are no longer needed or effective due to changes in the operational environment;
- (f) a formal process to—
  - (i) identify the causes of sub-standard safety performance of the safety management system,
  - (ii) determine the implications of such sub-standard performance, and
  - (iii) eliminate or mitigate such causes;
- (g) a training programme for spaceport operating staff that complies with the requirements of regulation 72;



- (h) a formal means of safety communication that ensures that spaceport operating staff are fully aware of the safety management system, conveys safety-critical information and explains why particular safety actions are taken and why safety procedures are introduced or changed;
  - (i) coordination of the safety management system with any other safety management system in operation at the same spaceport;
  - (j) a formal process to monitor compliance with the spaceport licensee's safety duty.
- 4.** The safety management system in place under regulation 151 must include documentation of all processes and requirements in paragraph 3(2).

## SCHEDULE 7

Regulation 183

### Information and instructions which must be included in a spaceport manual

- 1.** In this Schedule "emergency response plan" is the plan referred to in regulation 153.
- 2.** The name and status of the accountable manager for the spaceport.
- 3.** The names and status of other senior operating staff at the spaceport and instructions as to the order and circumstances in which they may be required to act.
- 4.** Details of the safety management system.
- 5.** Procedures for promulgating information concerning the spaceport's state.
- 6.** Procedures for control of access, vehicles and work in relation to the operational areas.
- 7.** Procedures for the removal of disabled carrier aircraft, launch vehicles, satellites or other payloads.
- 8.** Process to ensure the emergency response plan is reviewed, revised and tested and the procedures for complying with regulation 153.
- 9.** Process to ensure that the part of the site plan required under regulation 39(4)(d), which identifies the location of any areas at the spaceport designated as hazardous material storage facilities under regulation 146(1), is maintained and complied with.
- 10.** Procedures to ensure that an appropriate safety clear zone, where required, is in place and is monitored.
- 11.** Procedures for using a designated static engine test area.
- 12.** Process and procedures to ensure the safe integration of licensed activities with—
  - (a) spaceflight activities undertaken at the spaceport, and
  - (b) aerodrome and aviation activities undertaken at the aerodrome with which the spaceport is co-located, if applicable.
- 13.** In the case of a spaceport which has any hazardous material storage facility, procedures for complying with regulation 146.
- 14.** Procedures for complying with fit for purpose fuel requirements in regulation 148.
- 15.** Procedures for the safe integration of all licensed activities and procedures for their safe integration with aerodrome and aviation activities undertaken at the aerodrome with which the spaceport is co-located, if applicable.

**16.** Operational procedures for the routine and special inspection of the spaceport operational areas.

**17.** Process for using, maintaining, testing, repairing and servicing of any safety equipment.

**18.** If spaceflight activities are permitted during periods of low visibility, procedures for the protection of the runways, if applicable, during such periods.

**19.** Details of, or reference to, the bird control management plan, if any, related to spaceflight activities.

**20.** The scale of rescue, first aid and fire service facilities, the spaceport emergency procedures and procedures to be adopted in the event of temporary depletion of the rescue and fire service facilities.

**21.** Procedures for complying with the space site security programme required under regulation 158.

### **EXPLANATORY NOTE**

*(This note is not part of the Regulations)*

These Regulations implement the Space Industry Act 2018 (“the Act”) (c. 5) by putting in place a regulatory regime for spaceflight operations carried out in the United Kingdom.

Part 2 of these Regulations makes provision for the appointment of the CAA as regulator. This means that wherever the Act or regulations give a function to the regulator, the CAA has that function, except for functions under any regulations that may be made about exemptions. For functions in the Act of issuing guidance, the CAA and the Secretary of State are both appointed as regulator, so that either of them may issue guidance.

Chapter 1 of Part 3 of these Regulations prescribes eligibility criteria applicable to licensees and to any individuals appointed to undertake prescribed roles on their behalf. The roles to which different types of licence holder must appoint individuals are prescribed in regulations 7 to 11. Regulations 12 to 17 place a duty on all licensees to inform the regulator of changes and provide that failure to do so is an offence, as is knowingly or recklessly making a false statement to the regulator.

Chapter 2 of Part 3 of these Regulations provides that the requirement to hold an operator licence does not apply in relation to carrier aircraft in specified circumstances.

Chapter 3 of Part 3 of these Regulations provides the procedure for obtaining an operator licence, range control licence or a spaceport licence. It delegates matters, such as the form and contents of the application form for a licence and information which must accompany it, to the regulator. Provision is also made in regulations 22, 23, 26 and 27 for how the regulator must consider and determine the application for a licence, withdrawal of an application for a licence and the procedure for an application to renew a licence.

Part 4 of these Regulations prescribes the criteria and requirements which an applicant for a licence to carry out spaceflight activities that include launch or return to earth of a launch vehicle must meet in order for the regulator to be able to grant that applicant a licence. Regulations 29 to 31 set out the steps an applicant must take under section 9(4)(a) to ensure that risks to persons not prescribed under section 9(2) are as low as reasonably practicable. This Part provides for an applicant to provide information to the regulator, part of which is to be a safety case. It also requires an applicant carrying out a risk assessment to take certain steps and take into account certain things, including those set out in Schedule 2.

Chapters 1 and 2 of Part 5 of these Regulations prescribe the criteria and requirements which an applicant must meet in order for the regulator to be able to grant a spaceport licence. An application for a licence to operate a horizontal spaceport must be made in respect of an existing aerodrome which is either CAA licensed or EASA certified (see regulation 38) and NASP

directed. An applicant must carry out a safety case which meets the requirements in regulation 39, but where there is no actual launch vehicle known to the applicant, paragraph (7) of that regulation sets out how the applicant will be able to meet those requirements. Unless the applicant's safety case demonstrates that it is not required, an applicant must (under regulation 40) show that it will be able to put in place an appropriate safety clear zone to ensure that particular types of risks to people from hazardous operations at the spaceport are as low as reasonably practicable. A further requirement on applicants (in regulation 41) is to carry out a siting assessment. The level of risk determined by the siting assessment must be acceptable to the regulator.

The Act refers to "public safety" in several provisions. This means the health and safety of members of the public and the safety of their property. Chapter 3 of Part 5 of these Regulations provides that any person referred to in regulation 43 who is voluntarily in close proximity to a source of danger at a spaceport is not to be considered a member of the public for the purposes of section 10(a) of the Act. Regulation 43(2) sets out the meaning of the terms used in regulation 43.

Part 6 of these Regulations sets out the requirements which apply to the holder of a range control licence. A range control licensee must comply with the requirements relating to its organisation and management capability (regulation 45) and, where the licensee's range control services consist of monitoring the range for spaceflight activities, enter into agreements with third parties (regulations 46 to 48). Where a licensee's range control services consist of identifying an appropriate range for spaceflight activities ("the designated range") the licensee must comply with the requirements set out in regulations 49 to 51, which include the matters to be taken into account, the persons requiring to be notified of the details relating to the designated range, the identification of hazard areas within the designated range and the monitoring of hazard areas. Where the licensee's range control services consist of the issue of notifications in connection with monitoring the designated range, the licensee is also required to notify certain persons and issue warning notices in connection with monitoring the designated range (regulations 52 to 54). A range control licensee must establish and maintain a quality management system (in accordance with regulation 55). Where a spaceflight operator is authorised to provide range control services in respect of its spaceflight activities, it must ensure that the part of its organisation which provides those services is distinct and separate from the part involved in its spaceflight activities in accordance with regulation 57.

Part 7 of these Regulations defines the responsibility of the licensees in relation to training and medical fitness: to ensure that individuals performing a specified role (which are listed in regulation 59) or participating in spaceflight activities as a spaceflight participant satisfy the criteria identified in this Part, and are medically fit to perform their duties. Licensees must ensure that they have in place a training management system and adequate resources to satisfy their training and medical fitness obligations. For this purpose, licensees must appoint a training manager approved by the regulator (Chapter 2), prepare a training manual (Chapter 3 and Part 2 of Schedule 3) and establish a training programme (Chapter 4). Licensees are also required to ensure that members of the crew and remote pilots are medically fit to perform their duties, that spaceflight participants are medically fit to fly, and that no one takes part in the licensed activities if they are not fit to do so (as set out in Chapter 5).

Part 8 of these Regulations contains a safety duty to carry out the operator's spaceflight activities safely. The spaceflight operator who is subject to the safety duty is the holder of a launch operator licence or a return operator licence (regulations 2 and 82). "Carrying out operator's spaceflight activities safely" means the spaceflight operator must carry out the activities in a way which secures the safety of individuals in accordance with the safety case and, if the launch vehicle has a human occupant, the risk assessment (regulation 82). Regulations 83 to 86 make provision for the safety case and risk assessment to be retained during the licence and for revisions of these documents so that the safety duty relates to any revised safety case and risk assessment.

The spaceflight operator must comply with the requirements set out in regulations 87 to 107 relating to matters such as the spaceflight operator's organisation and management capacity, having in place a safety management system and an emergency response plan, compiling a safety operations manual, operating a launch vehicle and its ground support equipment which is fit for the operator's spaceflight activities and providing a reliable means of communication between a

mission management facility and a launch vehicle. In addition, if a launch vehicle has a human occupant, the spaceflight operator must comply with the requirements relating to matters such as the number of human occupants to be carried on board, the launch vehicle having a system supplying oxygen to a human occupant and maintaining adequate atmospheric conditions in inhabited areas of that vehicle and equipping the launch vehicle with accessible emergency equipment (regulations 113, 114, 116 and 117).

This Part also provides for persons other than the spaceflight operator to comply with specified requirements. For example, the launch director must be satisfied that the operator's spaceflight activities can be carried out safely and that specific conditions, such as the meteorological and environmental conditions are suitable, before these activities commence (regulation 102) and any pilot in command of a launch vehicle must take appropriate action to ensure that the flight is carried out safely (regulation 117).

The spaceflight operator must demonstrate in the safety case and, if the launch vehicle has a human occupant, the risk assessment and any revisions to that case or assessment how the operator's spaceflight activities comply with the requirements in regulations 87 to 127.

Part 9 of these Regulations makes provision for the purpose of securing the safe operation of spaceports. It places an overarching safety duty on a spaceport licensee (regulation 140) and sets out the further requirements to be met in order to comply with that duty. Co-location of a horizontal spaceport with an existing EASA certified or CAA licensed aerodrome is a prerequisite for grant of a spaceport licence, and regulation 141 of this Part makes the requirement ongoing for the duration of the licence. The safety case that an applicant for a spaceport licence had to carry out under Part 3 must be retained for the duration of the spaceport licence in accordance with regulation 142, and reviewed and revised in accordance with regulation 143.

Unless regulation 145(2) applies, a spaceport licensee must establish, promulgate, monitor and enforce a safety clear zone to minimise certain, specified risks, to any person from specific types of hazardous operations carried out at the spaceport. Appropriate areas must also be designated by a spaceport licensee for the handling, storing, loading, unloading etc of hazardous material and the carrying out of any engine tests and processes and procedures to be followed to ensure that any fuels, propellants etc remain "fit for purpose" whilst on the spaceport. A spaceport licensee also has a duty to ensure any safety equipment is kept in efficient working order.

The organisational requirements applicable to spaceport licensees are found in Chapter 7 and comprise a safety management system (regulation 151 and Schedule 6) and a spaceport manual (regulation 152 and Schedule 7).

Emergency provision is contained in Chapter 8, and regulation 155 provides additional powers for members of the Rescue and Fire Fighting Service attending an emergency – this is necessary to ensure that aerodrome fire-fighters enjoy the same powers in relation to spaceports and launch vehicles as they already hold in respect of aerodromes and aircraft.

Part 10 of these Regulations makes security provisions relating to physical, personnel and cyber, vetting, training and qualifications, critical national infrastructure and essential services and the protection of US technology.

Part 11 of these Regulations makes provision regarding the consent which the spaceflight operator must obtain before that operator allows individuals to take part in spaceflight activities. Regulation 192 prescribes that a member of the crew and a spaceflight participant are to give consent. In addition, these human occupants must be at least 18 years of age and have the mental capacity provided for in the regulation 193. Regulations 194 to 198 provide for the contents of the consent form and the information which the spaceflight operator must give to human occupants, such as details of the risk assessment for the spaceflight activities in easily understandable form, before they sign the consent form. Regulations 197 and 198 specify that the information must be given at least 12 hours but not more than a month before a human occupant signs the consent form. Regulations 200 to 202 and 204 contain procedural matters relating to the consent form and provision for its signing and its timing.

Part 12 of these Regulations deals with liabilities and indemnities. Regulation 206 excludes the strict liability (for personal injury, death or physical damage caused to persons or property on land or water in the United Kingdom or in the territorial sea adjacent or to aircraft in flight over such land, water or sea or to persons or property on board such aircraft) of the holder of an operator licence under section 34(2) of the Act to the individuals listed in that regulation. These individuals can still claim for injury or damage under the common law. Regulation 207 sets out that any limit on the liability of the holder of an operator licence to indemnify Her Majesty's Government does not apply in cases where the operator is guilty of gross negligence or wilful misconduct or in circumstances where the operator has not complied with its licence conditions or the requirements of the Act or regulations made under the Act.

Part 13 of these Regulations sets out the powers of the regulator to monitor licensees' activities and enforce their obligations, in order to secure compliance with the Act, regulations made thereunder, the conditions of licences, and the UK's international obligations, as well as protect public safety and national security. Offences are created where a person impersonates an inspector or deliberately obstructs the regulator (Chapter 1). Persons carrying out specified activities must keep accurate records and provide accurate information to the regulator (Chapter 3). The regulator may appoint inspectors who have specified powers of access and investigation, as well as powers to issue enforcement notices where they identify contraventions (Chapter 7). The regulator may share with specified bodies information received in respect of an application for a licence or received by exercising powers under this Part; such information is not to be disclosed unless an exception applies (Chapter 5).

Part 14 of these Regulations sets out the stop notices framework and gives effect to the relevant provisions of Part 3 of the Regulatory Enforcement and Sanctions Act 2008. Under this framework, the regulator may serve notice prohibiting a person from carrying on a specified activity until that person has complied with instructions contained in the notice. Wrongful processing of the stop notice framework by the regulator can result in compensation, and failing to comply with the stop notice is an offence.

Part 15 of these Regulations imposes a duty on the holder of an operator licence, a spaceport licence or a range control licence or the Secretary of State, if the Secretary of State provides range control services, to report an occurrence. An occurrence includes a spaceflight accident and a major accident and includes a near miss threatening the safety of any person and occurrences during preparation for spaceflight activities. Accidents threatening the safety of any carrier aircraft and the launch vehicle's ground support equipment as well as any launch vehicle are also included. In addition, an occurrence also extends to another fortuitous or unexpected event including an event which could threaten the safety of any person.

Regulations 262 and 263 make provision about the form and contents of the occurrence report. Regulation 264 provides for what the regulator must do on receipt of the report. In addition to retaining and analysing the report, the regulator must identify whether it needs to exercise any of its powers such as those relating to monitoring or enforcement in regulations 221 to 229.

Regulations 265 makes provision for the protection of confidential information, setting out the circumstances in which an occurrence report or other confidential information relating to an occurrence may be disclosed.

Regulations 267 to 269 provide for an offence if any person knowingly or recklessly makes a false statement in an occurrence report or if a person fails to protect confidential information.

Part 16 of these Regulations imposes an overarching duty on the licensee to update the regulator where information previously provided changes and includes a provision requiring review of the Regulations every five years.

[Impact assessment statement]