National Security Framework SECURITY MANAGEMENT FUNCTION Management of the Local Security Strategy (LSS)		Ref: NSF 6.7
This instruction applies to :-		**Reference :-**
NOMS Agency staff (Headquarters) Prisons		**PSI 63/2011**
Issue Date	**Effective Date** **Implementation Date**	**Expiry Date**
14 October 2011	21 October 2011	14 October 2015
Issued on the authority of	NOMS Agency Board	
For action by	All staff responsible for the development and publication of policy and instructions. Governors/Directors of Contracted Prisons, Heads of Groups. In this document, the term Governor also applies to Directors of Contracted Prisons.	
For information	All staff in NOMS HQ and prison establishments.	
Contact	Guy Woollven, Security Policy Unit guy.woollven@noms.gsi.gov.uk 0300 047 6207	
Associated documents	Related Specification Related Operating Models Related Direct Service Costs and Assumptions Related Cost Spreadsheets See: http://www.justice.gov.uk/about/directory-services.htm	
Audit/monitoring : Compliance with this instruction will be monitored by Audit and Corporate Assurance and through internal self-audit.		
Introduces amendments to the following documents : - National Security Framework NSF - Local Security Strategy Management Instructions		

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1.   Executive summary

Background

1.1   The requirement that all amendments to the Local Security Strategy (LSS) must be signed off by the regional management (now Deputy Director Custody (DDC) is becoming increasingly unworkable as regional management is streamlined and forced to prioritise. This process is cumbersome and does not offer the degree of quality assurance originally intended. In addition, many changes to the LSS are minor in nature and can be left to Governors to agree. The process should concentrate on DDC agreement to key changes in the LSS and leave the routine amendments to the Governor/Director to agree.

Desired Outcomes

1.2   To streamline the process for LSS changes and introduce a more flexible system that will be fit for the future NOMS.

1.3   To maintain the requirement for DDC to be consulted on important security issues.

Application

1.4   This PSI is applicable to all prison establishments.

Mandatory Action

1.5   *All instructions included in the* National Security Framework (NSF) *are mandatory.*

1.6   *Governors/Directors of contracted prisons must ensure they have local security strategies in place which are in accordance with the instructions set out in this PSI.*

Resource Impact

1.7   There are no additional resource requirements; this instruction is intended to save time both in establishments and regional offices.

(signed)

Digby Griffith
Director of National Operational Services, NOMS

2.   **OPERATIONAL INSTRUCTIONS**

> Text within shaded boxes indicate requirements from the "*Provision of a Secure Operating Environment*" bundle of specifications, specifically the 'Security Management' specification

Authority for Amendments to Local Security Strategies

> The Local Security Strategy (including amendments) is agreed, documented and implemented and manages local risk. Amendments to the LSS which require agreement outside of the prison are agreed by the Deputy Directors of Custody (public sector prisons) or Deputy Director of Contracted Custodial Services (private sector prisons).

2.1   Prisons are required to set out specific details of how security operates within their establishment in a Local Security Strategy (LSS). The LSS is developed within the legal and policy framework and guidance set out in the National Security Framework (NSF).

2.2   All changes to the LSS must be agreed and signed off by the Governor in Charge of the prison or Director of contracted prison using the procedures and form set out in Annex A. This form records for audit purposes the change, the reason for the change and its authorisation. Any changed procedure or policy in the LSS at local level must comply with all the relevant policy and legal guidance set out in the NSF.

High Security Estate

2.3   All changes to the LSS for a High Security prison must be submitted to the Director of High Security for final approval using the same form.

Establishments outside of the High Security Estate

2.4   For establishments outside of the High Security Estate there is no central requirement for all LSS changes to be agreed by the DDC other than in the following circumstances:

● Any change to the LSS where this involves a key security specification output as derived from certain service specification documents. These specific outputs are mainly ones where the NOMS Board have stated that some level of agreement to the output outside of the establishment is required. A list of these key security outputs is set out in Annex B.
● Any area of the LSS where the DDC has required this agreement for all or part of the LSS for all or individual prisons in his/her area.
● Any change in the LSS which the Governor feels should be agreed with the DDC prior to implementation.

2.5   All changes to the LSS for prisons outside of the High Security Estate must use the procedures and form set out in Annex A to record the change, the reason for the change and its authorisation. This is forwarded to the DDC where needed

Annual Assurance of Local Security Strategies

> The adequacy of the LSS is assured Deputy Directors of Custody (public sector prisons) or Deputy Director of Contracted Custodial Services (private sector prisons) on an annual basis.

2.7   Deputy Directors of Custody together with Governors/Directors of contracted prisons are required to sign an annual assurance statement that states that the LSS of that prison is fit for purpose. Annex D sets out a simple model assurance statement which, once complete, should be stored for audit purposes.

2.8   In signing this statement it is for DDC to satisfy themselves on the level of assurance they wish to apply to the LSS for prisons in their area. This level of assurance may vary between categories of prison in any area. The level of assurance should be stated on the reverse of the form (Annex D).

2.9   The minimum level of assurance is that DDC must satisfy themselves on adequacy of the key security outputs set out in Annex B.

2.10   Deputy Directors of Custody may also specify additional levels of assurance checks including: examining some or all locally agreed LSS amendments; drawing on results from national security audits which may identify strengths and weaknesses in security procedures; results from local audits/assurance processes involving security systems; other records such as searching records; more detailed inspection of some or all of the LSS. In prioritising areas to examine in more detail, the list at Annex C may be useful. Annex C sets out a full list of all security-related specification outputs derived from prison specifications.

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ANNEX A

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Making Amendments to the Local Security Strategy

When making any changes to the establishment's LSS it is a requirement for each individual change to be documented on a form "Notification of Amendment to the Local Security Strategy" (see attached form on the next page). The previous LSS instruction and new LSS instruction must also be attached to this form.

All amendments to the LSS must be submitted for authorisation to the Governor in Charge/Director of contracted prisons for authorisation.

In High Security Prisons the amendment must then be submitted to the Director of High Security for final agreement.

In prisons outside of the High Security Estate, if the amendment involves a key security specification output (see Annex B) then the amendment must be approved by the DDC and the bottom section of the form completed. Otherwise the Governor/Director (for contracted prisons) may authorise the amendment.

Once the amendment has been authorised the establishment will make the change as follows:

1. Move the new file containing the revised instructions to the folder where the published LSS is held.
2. Overwrite the existing file if a current instruction or insert a new instruction.
3. Update the establishment LSS front page to reflect the changes in order to make staff aware.
4. Consider whether any additional notices need to be sent to some or all staff within the prison.

Documents outlining amendments should be stored for audit purposes for a minimum period of seven years.

Establishment	*Index Nº*	*Year*

Notification of Amendment to the Local Security Strategy

Local Instruction being Amended
Title / No:
Description of why is the Local Instruction no longer applicable:
New Title / Nº if applicable:
Description of new Local Instruction:
Security Manager: …………………….………… Signature: ……………….. ……… Date: …. / …. / …..   Governor/: …………………………. ……… Signature: ……………….. ……… Date: …. / …. / …..   Director
Deputy Director of Custody agreement required: Yes / No   (See PSI xxxx/2011) for details on where regional management agreement is required.   Note <u>all</u> changes to the LSS in a High Security Prison requires the agreement of the Director, High Security
Regional Manager/Director High Security Comments:

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DDC/DHS: ………..…………………….… Signature: ………………………..… Date: …. / …. / …...…

Amendments to the Local Security Strategy Log

Index No	Year	Title No	Why is Instruction being changed	Approved by	Date Upload to Intranet

Key Security Specification Outputs

Example only at this stage - this table yet to be completed fully. The entries below are real examples but there will be more entries as more specifications are agreed.

The table below shows those specification outputs which should be incorporated into the LSS and agreed with the DDC and agreed again each time they are amended. These outputs also form the basic level of assurance allowing the DDC to certify each year that the LSS meets the requirements of the establishment

Note the table below applies to non-High Security Establishments.
All changes to the LSS in High Security Establishments must be agreed by the Director High Security.

Specification and Correlating PSI and NSF Function	LSS Reference
SEARCHING: NSF 3.2 - Cell Area and Vehicle Searching (PSI 49/2010)	
A risk assessment is completed which determines the need for a programme of routine cell searches. The programme, if required, is agreed, documented and completed correctly. (Non-HSE)	
A programme of 'routine plus' cell searches is agreed, documented and completed correctly and is proportionate to the risk assessed. (HSE and E-List prisoners in Non-HSE)	
A risk assessed programme of routine area searching is agreed, documented and completed correctly (Non-HSE)	
The need for a programme of routine fabric checks is risk assessed. Where required, a programme of routine fabric checks is agreed and is in place.	
SEARCHING: NSF 3.4 - Covert Testing (PSI 50/2010)	
High Security Estate: Assurance is sought through a risk- assessed programme of covert testing.	
Prisons outside the High Security Estate: Assurance may be sought through a risk assessed programme of covert testing.	
Assurance is sought through a risk assessed programme of covert testing (Non-HSE)	
CONTROL OF MOVEMENT: NSF 5.1 - Management and Security of Gate Services (PSI 14/2011)	
Entry and exit by staff, prisoners, visitors, contractors and vehicles is risk assessed, controlled and monitored in accordance with the Local Security Strategy (LSS).	

An entry and exit searching strategy for staff, prisoners, visitors, vehicles and contractors is agreed, documented and implemented to prevent unauthorised articles entering and leaving the establishment and is proportionate to the risk assessed.(Non High Security Prisons)	
A Core Entry Searching strategy for staff, prisoners, visitors, vehicles and contractors is agreed, documented and implemented to prevent unauthorised articles entering and leaving the establishment. (High Security Prisons).	
CONTROL OF MOVEMENT: NSF 5.2 - Management and Security of Communication Rooms/Control Rooms and Internal Prisoner Movement (PSI 13/2011)	
Prisoners' location and movement is accounted for at all times in accordance with procedures set out in the Local Security Strategy and agreed with the Director of High Security.	
Assurance is provided that prisoners are accounted for in accordance with local risk assessment, as set out in the Local Security Strategy **(Non HSE)**	
SECURITY MANAGEMENT: NSF 6. 7 Management of the Local Security Strategy (LSS) 63/2011	
The Local Security Strategy (including amendments) is agreed, documented and implemented and manages local risk. Amendments to the LSS which require agreement outside of the prison are agreed by the Deputy Directors of Custody (public sector prisons) or Deputy Director of Contracted Custodial Services (private sector prisons).	
The adequacy of the LSS is assured Deputy Directors of Custody (public sector prisons) or Deputy Director of Contracted Custodial Services (private sector prisons) on an annual basis.	
NIGHTS: NSF 8.1 Management and Security of Nights (PSI 24/2011)	
In non-HSE establishments a risk assessment will inform a programme of night visits that is agreed with the Governor and the Deputy Directors of Custody	
In HSE establishments a programme of night visits is agreed between the Governor and the Director of High Security Prisons	
VISITS: NSF 10.1 Management and Security at Visits (PSI 15/2011)	
A strategy, including searching, is in place to prevent unauthorised articles entering or leaving the prison during visits.	
A strategy for the supervision of visits areas that remains proportionate to the risk assessed, is agreed, documented, and followed.	

Full List of Security Specification Outputs

Example only at this stage - this table yet to be completed fully. The entries below are real examples but there will be more entries as more specifications are agreed.

This table contains a complete list of specification outputs that relate to security. It may be used to identify additional areas within the LSS that might be prioritised for examination as part of the annual assurance process.

Specification and Correlating PSI and NSF Function	LSS Reference
CATEGORISATION: NSF 1.1 - Categorisation and Recategorisation of Adult Male Prisoners (PSI 40/2011)	
All assessments are completed using correct forms in accordance with national guidance. The prisoner is correctly categorised according to the identified risks, being placed in the lowest security category consistent with managing those risks.	
The prisoner is allocated to a prison of the correct security category (or higher), and where appropriate for managing the sentence, consideration is given to sentence plan requirements.	
Categorisation is reviewed, within set timescales.	
A review of categorisation is completed outside the normal review timetable when there is a significant change in circumstances, or behaviour which impacts on the level of security required.	
Prisoners are able to make representations against decisions.	
CATEGORISATION: NSF 1.2 - Categorisation and Recategorisation of Women Prisoners (PSI 39/2011)	
All assessments are completed using correct forms in accordance with national guidance. The prisoner is correctly categorised according to the identified risks, being placed in the lowest security category consistent with managing those risks.	
The prisoner is allocated to a prison of the correct security category (or higher), and where appropriate for managing the sentence, consideration is given to sentence plan requirements.	
Categorisation is reviewed, within set timescales.	
A review of categorisation is completed outside the normal review timetable when there is a significant change in circumstances, or behaviour which impacts on the level of security required.	
Prisoners are able to make representations against decisions.	

CATEGORISATION: NSF 1.3 - Categorisation and Recategorisation of Young Adult Male Prisoners (PSI 39/2011)	
All assessments are completed using correct forms in accordance with national guidance. The prisoner is correctly categorised according to the identified risks, being placed in the lowest security category consistent with managing those risks.	
The prisoner is allocated to a prison of the correct security category (or higher), and where appropriate for managing the sentence, consideration is given to sentence plan requirements.	
Categorisation is reviewed, within set timescales.	
A review of categorisation is completed outside the normal review timetable when there is a significant change in circumstances, or behaviour which impacts on the level of security required.	
Prisoners are able to make representations against decisions.	
CONTROL AND ORDER: NSF 2.3 – Stability Assessment PSI XX/2011	
Incidents are prevented through the timely and effective use of intelligence and stability assessments.	
CONTROL AND ORDER: NSF 2.4 – Category C- Management of Potential Disruptive Prisoners PSI XX/2011	
Prisoners who present a threat to the safety and security of others, the public or the establishment are identified and reported and their ongoing risk is managed.	
SEARCHING: NSF 3.2 - Cell Area and Vehicle Searching (PSI 49/2010)	
Cell searches are conducted correctly and in line with national arrangements.	
Risk is assessed when risks change or at least Annually.	
Searching is conducted professionally and in a way that ensures fair treatment for all. Prisoners' individual circumstances are taken into account, and reasonable adjustments are made.	
Women are routinely given a rubdown search and metal detector scan as part of a cell search and are only full searched on the basis of suspicion or appropriate security intelligence	
Staff, prisoners and visitors are aware of local searching arrangements.	
All evidence and unauthorised items found during searching are removed and treated/stored appropriately. Appropriate documentation is completed and relevant departments notified.	
Intelligence-led cell searching is conducted to meet assessed risk	
Intelligence–led area searching is conducted to meet assessed risk	

SEARCHING : NSF 3.3 - NSF 3.3 – Dealing with Evidence (51/2010)	
All evidence and unauthorised items found during a search are removed and treated/stored appropriately. Appropriate documentation is completed and relevant departments notified.	
Evidence, or items found are preserved, accounted for, recorded, stored and disposed of correctly.	
SEARCHING : NSF 3.4 - Covert Testing (PSI 50/2010)	
A risk assessed programme of routine area searching is agreed, documented and completed correctly.	
INTELLIGENCE: NSF 4.1 – Intelligence PSI XX/2011	
Intelligence informs actions in the prevention and detection of risks to prison security and the wider community.	
Strategic and Operational intelligence is developed, evaluated and disseminated appropriately.	
Intelligence assessments identify local security priorities and objectives and inform the management of risk	
Staff are aware of the security, professional and personal standards expected of them.	
Security Intelligence contributes to corruption prevention.	
INTELLIGENCE: NSF 4.2 - Sharing Intelligence PSI XX/2011	
Information locally obtained or received from other establishments and agencies, is recorded, stored, accessed and handled lawfully, and in a way that ensures fair treatment for all.	
Intelligence developed as a result of communication interception is shared legally and appropriately.	
Intelligence and information relating to Public Protection orders or concerns is acted upon and shared lawfully.	
INTELLIGENCE: NSF 4.3 - The Mercury Intelligence System (MIS) PSI XX/2011	
Security records are created and updated as required by the receiving/holding establishment.	
Security Information and Intelligence is transferred securely, legally and in a timely manner to receiving establishments and this is recorded.	
INTELLIGENCE: NSF 4.4 - Interception of Communications PSI XX/2011	
The interception of communications and the retention and dissemination of material obtained is lawful.	

Targeted interception of prisoner mail for security intelligence purposes is authorised and proportionate to the threat posed.	
Monitoring of prisoner telephone calls for security intelligence purposes is authorised and proportionate to the threat posed.	
INTELLIGENCE: NSF 4.6 - Regulation of Investigatory Powers Act – Covert Surveillance PSI XX/2011	
Directed and intrusive covert surveillance is authorised where appropriate, managed and recorded	
INTELLIGENCE: NSF 4.7 - Regulation of Investigatory Powers Act – Covert Human Intelligence Sources PSI XX/2011	
Use of Covert Human Intelligence Sources (CHIS) is authorised, managed and recorded.	
Information obtained by CHIS and surveillance is shared securely with identified stakeholders.	
CONTROL OF MOVEMENT: NSF 5.1 - Management and Security of Gate Services (PSI 14/2011)	
Only authorised (including statutory) persons, vehicles, property, mail and other items enter and exit the establishment.	
An accurate and up to date establishment roll is maintained.	
Staff, prisoners, visitors, contractors and vehicles are kept waiting for as short a period of time as possible (emergency vehicles are prioritised)	
Staff, prisoners and visitors are aware of unauthorised items and local searching arrangements.	
Security keys are stored, allocated, issued, returned and accounted for in accordance with the LSS.	
Vehicular movement within an establishment is authorised, controlled and supervised according to risk.	
Where vehicles are not escorted a documented security risk assessment supports this.	
Local Safe Systems of Work on workplace transport safety (Vehicle Escorts) are enforced	
Vehicles move to and from required destinations in a timely manner in order to meet the published local regime and requirements of other services.	
Access to vehicles is only permitted in accordance with Security and Health & Safety risk assessments.	
Corporate image (including signage, flags and other devices) is professional.	

'Front of house' customer service is professional and consistent and contributes to a secure environment.	
The initial response to any incident and/or emergency is provided in accordance with national guidelines and local contingency plans.	
CONTROL OF MOVEMENT: NSF 5.2 - Management and Security of Communication Rooms/Control Rooms and Internal Prisoner Movement (PSI 13/2011)	
A secure Control Room is provided. (HSE)	
The Communication Room meets the needs (including risk) of the establishment. (Non-HSE)	
The security of the Communication Room is maintained.	
All types of communication systems within and coordinated by the Communication/Control Room are managed, coordinated and tested in line with national guidance.	
All communication systems operated are used professionally and consistently, and tasks prioritised as necessary.	
The perimeter security of the establishment is maintained in accordance with risk.	
Patrol dogs and dog handlers are used to maintain internal and external perimeter security in accordance with risk.	
Where CCTV and technology are used for perimeter security, this is controlled, monitored, tested & maintained commensurate with the security of the establishment.	
The routine and unscheduled movement within the establishment of staff, prisoners, contractors, visitors, vehicles and associated internal escorts is authorised and controlled in accordance with risk.	
The movement of Category A, potential Category A, Restricted Status and E List prisoners within the establishment is managed and controlled.	
Where internal CCTV and technology are used, this is controlled, monitored, maintained & tested.	
An accurate and up to date establishment running roll is maintained.	
A radio network is available.	
The storage, issue, use, return and maintenance of radio network handsets is managed.	
The use and security of the establishment's radio network (including base stations) is managed and maintained.	

The initial response to any incident or emergency is provided and coordinated in accordance with local contingency plans	
All persons are aware of the systems in place to raise the alarm in the event of an incident or emergency.	
External emergency assistance is summoned by competent staff in accordance with local agreements.	
Prisoners move within the establishment under risk assessed levels of authority and supervision.	
Category A (including High Risk) prisoner movements are conducted under risk assessed levels of supervision.	
Potential Cat A, Restricted Status and E List prisoner movements are conducted under risk assessed levels of supervision.	
Prisoners move to and from required destination in accordance with Health & Safety requirements and in a safe and timely manner to meet the published local regime and requirements of other services.	
Prisoner movement is conducted in a way that ensures fair treatment for all.	
SECURITY MANAGEMENT: NSF 6.1 – Reporting Crime in Prisons PSI XX/2011	
Crime is reported and subsequent investigations are supported	
SECURITY MANAGEMENT: NSF 6.2 - Management and Security of Keys and Locks (PSI 55/2011)	
New, unissued and withdrawn security keys (including restraint keys) are securely stored, recorded, disposed and accounted for.	
Security keys and personal safety/security equipment are stored, carried and used correctly.	
SECURITY MANAGEMENT: NSF 6.3 - Conveyance and Possession of Prohibited Items and Other Related Offences PSI XX/2011	
Conveying of, possession of, transmission of and/or using prohibited items or information is authorised where appropriate	
SECURITY MANAGEMENT: NSF 6.5 – Management of the Incident Reporting System XX/2011	
Incident reports are submitted, amended and updated securely and on time.	
SECURITY MANAGEMENT: NSF 6.6 - Management and Security of Escape (E List) Prisoners (PSI 55/2011)	
Prisoners who pose an escape risk are identified and their ongoing risk is managed.	
SECURITY MANAGEMENT: NSF 6.8 – Instructions on Handling Mobile Phones and SIM Card Seizures (PSI 30/2011)	

Mobile phones found within a prison are interrogated.	
SECURITY MANAGEMENT: NSF 6.9 - Prison Dogs (PSI 20/2011)	
Testing for the presence of Arms and Explosives is undertaken	
The use of illicit/unauthorised items is minimised, items are found or their supply or use is detected and/or disrupted.	
A local searching strategy which manages identified local risks in line with National requirements is agreed, documented in the LSS and implemented	
Patrol dogs and dog handlers are used to maintain internal and external perimeter security in accordance with risk.	
The use of illicit/unauthorised items is minimised, items are found or their supply or use is detected and/or disrupted.	
The presence of illicit/unauthorised items is minimised, items are found or their supply or use is detected and/or disrupted.	
EXTERNAL ESCORTS: NSF 7.1 - External Prisoner Movement (PSI XX/2011)	
External prisoner movement (including the destination) is risk assessed and authorised.	
EXTERNAL ESCORTS: 7.2 - Production of Prisoners at the Request of Warranted Law Enforcement Agencies (PSI XX/XXXX)	
Prisoners are only moved outside an establishment when necessary and returned to an establishment/secure unit /police cells once the need is met.	
Prisoners are transported and held safely, decently and securely and their entitlements are met.	
Prisoners in prison or police custody, arrive at the correct destination on the correct date and time.	
EXTERNAL ESCORTS: NSF 7.3 – Person Escort Record (PER) XX/2011	
Escort staff have access to all relevant information regarding the prisoner	
NIGHTS: NSF 8.1 Management and Security of Nights (PSI 24/2011)	
Night Operating Procedures are in place.	
Prisoners, areas and equipment are secure, monitored and accounted for.	
All Night Staff are aware of information necessary to maintain the safety and security of the establishment	
Prisoners who are 'at risk' are observed, managed, supported and information and actions are recorded	
Prisoner conduct and behaviour is managed	
Prisoners have access to ablutions which meet personal hygiene needs.	

All Night Staff are aware of the action to take and respond safely and effectively to incidents at night.	
Prisoners are able to summon assistance from within their living accommodation.	
The establishment remains safe and secure in the event of the prison being unlocked at night.	
Staff and Visitors with statutory and operational rights of entry have access to and egress from the establishment at night.	
The establishment remains safe and secure in the event of prisoners being unlocked at night.	
The establishment remains safe and secure in the event of prisoners being moved within the prison at night.	
The establishment remains safe and secure in the event of prisoners being received or discharged at night	
RISK ASSESSMENT: NSF 9.1 - Prisoner and Workshop Risk Assessments PSI XX/2011	
Security contributions for Multi Agency Public Protection Arrangements (MAPPA), Parole, Lifer Reports, Categorisation, Recategorisation, Transfer, Release on Temporary Licence (ROTL) and Home Detention Curfew (HDC) are based on risk and intelligence.	
Security risk assessments contribute to safe and secure activity areas.	
Security risk assessments contribute to safe and secure internal prisoner movement.	
Security risk assessment informs prisoner participation in employment, education, accredited/ non-accredited intervention and activity allocation.	
RISK ASSESSMENT: NSF 9.2 - Management and Security of Tools and Equipment (PSI 57/2011)	
All tools and equipment are risk assessed, stored, controlled, issued, recorded and disposed of securely.	
VISITS: NSF 10.1 Management and Security at Visits (PSI 15/2011)	
Social visits are conducted in a manner which ensures: ● Opportunity for reasonable physical contact. (Though closed visits may under some circumstances be necessary) ● Maintenance of security ● The safeguarding of Children ● Public Protection	
The identity and authority of a visitor to enter or leave the prison is established before movement takes place.	
The identities of all visitors are recorded and retained	

Establishments are aware of the identity of prisoners and visitors due to participate in a visit and information is shared to ensure a safe and efficient visit.	
There are checks on the approved visitor status, of visitors to Category A prisoners	
A strategy, including searching, is in place to prevent unauthorised articles entering or leaving the prison during visits.	
All visitors are aware of those articles not permitted to be brought into the prison	
Prisoners are identified and accounted for prior to the commencement of and at the conclusion of a visit	
Visits can be monitored and reviewed during and following a visit	
Systems are in place to prevent passing of unauthorised articles during visits	
A strategy for the supervision of visits areas that remains proportionate to the risk assessed, is agreed, documented, and followed.	
CATEGORY A : NSF 12.2 - Security and Management of Potential Category A Prisoners PSI XX/2011	
Risk posed by Category A, Restricted Status and potential Category A prisoners is managed effectively.	
CATEGORY A : NSF 12.4 - Security and Management of Category A Prisoner External Movements PSI XX/2011	
External prisoner movement (including the destination) is risk assessed and authorised.	
EXTREMISM MANAGEMENT: NSF 13.1 - Managing and Reporting on Extremist behaviour in Custody (PSI 43/2011)	
Intelligence informs actions in the prevention of risk to prison security and the wider community Prisoners who present a threat to the safety and security of others, the public or the establishment are identfied and reported and their ongoing risk is managed.	
Security Intelligence contributes to corruption prevention. Information locally obtained or received from other establishments and agencies, is recorded, stored, accessed and handled lawfully and in a way that ensures fair treatment for all.	
Information locally obtained or received from other establishments and agencies, is recorded, stored, accessed and handled lawfully and in a way that ensures fair treatment for all.	
Intelligence and information relating to Public Protection orders or concerns is acted upon and shared lawfully. Arrangements are in place to share and disseminate information with non criminal justice stakeholders lawfully. Arrangements are in place to share and disseminate information with criminal justice stakeholders lawfully.	

Crime is reported and subsequent investigations are supported. Arrangements are in place to share and disseminate information with non criminal justice stakeholders lawfully.	
Prisoners who present a threat and security of others, the public or the establishment are identfied and reported and their ongoing risk is managed.	
Security Information and Intelligence is transferred securely, legally and in a timely manner to receiving establishments and this is recorded.	
Security records are created and updated as required by the receiving/holding establishment.	
Security risk assessment informs prisoner participation in employment, education, accredited/non-accredited intervention and activity allocation.	
Public Protection concerns are reported and subsequent investigations are supported.	
Arrangements are in place to share and disseminate information with criminal justice stakeholders lawfully.	
CORRUPTION MANAGEMENT : NSF 14.1 - Corruption Prevention – how to Identify, report and Manage Staff Corruption Information PSI XX/XXXX	
Staff are aware of the security, professional and personal standards expected of them.	
Intelligence informs actions in the prevention and detection of risks to prison security and the wider community.	
Arrangements are in place to share and disseminate information with criminal justice stakeholders lawfully	
Crime is reported and subsequent investigations are supported.	
Security intelligence contributes to corruption prevention.	
Information locally obtained or received from other establishments and agencies, is recorded, stored, accessed and handled lawfully and in a way that ensures fair treatment for all.	
Strategic and operational intelligence is developed, evaluated and disseminated appropriately.	
Directed and intrusive covert surveillance is authorised where appropriate, managed and recorded.	

HMP……………..
Local Security Strategy

Assurance Certificate for the Local Security Strategy has been approved for the year xxxx – xxxx

I confirm that I am satisfied that the Local Security Strategy for the above establishment meets the requirements for security for this establishment.
In making this statement I have used the assurance method(s) listed overleaf.

Signed: Governor/Director   _____   Date _____

Deputy Director of Custody
Director of High Security_____   Date _____

Please retain for audit purposes (7 years minimum)