



Education & Skills  
Funding Agency

# **Academy general annual grant allocation guide: 2019 to 2020 academic year**

**A guide for special and AP free  
schools opening between 1 September  
2019 and 31 August 2020**

**July 2019**

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## Introduction

This operational guide helps you understand how the Education and Skills Funding Agency (ESFA) has calculated your 2019 to 2020 academic year funding. We've developed the guide in consultation with a sample of academies and free schools to make sure it better meets your needs.

The guide supports your general annual grant (GAG) statement. It sets out the funding you will receive, how we have calculated it, the factors that have been applied and the source of the data we use. For 2019 to 2020, we have continued to use the national funding formula (NFF) for schools and for high needs, to allocate budgets to local authorities.

It is important to note that the NFF school-level allocations that we published in July 2018 were notional allocations only. In 2019 to 2020, local authorities have continued to set a local formula which has determined individual school and academy budgets in their authority. As in previous years, local authorities have submitted this local formula to us so that we can produce and issue academies allocation statements. Your GAG statement reflects the local formula.

## How to use the guide

We have produced the guide in a format that allows you to easily access the specific parts that interest you, or areas where you require more detailed information.

We recognise that GAG funding makes up only part of the overall funding for your academy. We've added a section to the end of the guide listing the main [non-GAG revenue and capital funding lines](#). It includes links to information about how and when these are paid.

## Who is the guide for?

This guide is for special and alternative provision (AP) free schools opening between 1 September 2019 and 31 August 2020. Separate guidance is available for [mainstream free schools opening from September 2019](#).

## Further information

Additional information is available in the revenue funding guides for [special free schools](#) and [alternative provision free schools](#).

Further information on the [schools funding arrangements for 2019 to 2020](#) is also available. This includes the operational guidance on schools revenue funding and the factors that local authorities can use in their funding formula. We've also published [high needs funding arrangements for 2019 to 2020](#).

## The GAG statement explained

The following sections of this guide walk you through the GAG statement for the 2019 to 2020 academic year. Please note that the figures in the sample tables A to B are intended as an illustration only.

### Summary statement

The first page of your GAG statement is a summary statement of the tables that make up your GAG. The information used to populate the summary table comes from each of the tables in your pack. Section 3 (16-19 allocation) will only be populated if your academy has a sixth form.

### Academic year 2019 to 2020 summary table



#### Academic year 2019 to 2020 General Annual Grant Statement Special and Alternative Provision (AP) academies

Name	Provider name
LA name	Local Authority name
LAESTAB	LAESTAB number
UPIN	UPIN
UKPRN	UKPRN
URN	URN
Opening date	Date
Days open	Number of days open in academic year 2019 to 2020

#### 1. Breakdown of academic year 2019 to 2020 school allocation

Post-opening grant (start-up grant)	£0.00	<a href="#">See Table B</a>
<b>Total school allocation</b>	<b>£0.00</b>	

#### 2. Breakdown of academic year 2019 to 2020 high needs allocation

Pre-16 high needs place funding	£0.00	<a href="#">See Table A</a>
Post-16 high needs place funding	£0.00	<a href="#">See Table A</a>

Hospital education funding	£0.00	<a href="#">See Table A</a>
<b>Total high needs allocation</b>	<b>£0.00</b>	(Excludes any top-up funding from LA)

<b>3. Breakdown of 16-19 allocation</b>		
Programme funding: formula	£0.00	See 16 to 19 statement
Formula protection funding	£0.00	
Student financial support funding	£0.00	
Industry placements: capacity and delivery funding (CDF)	£0.00	
Advanced maths premium funding	£0.00	
<b>Total 16-19 allocation including student financial support funding</b>	<b>£0.00</b>	

<b>Total allocation (1+2+3)</b>	<b>£0.00</b>
Information on funding lines that are in addition to GAG will be provided separately.	

## Post-16 allocations

Section 3 of the summary table refers to the sixth form funding statement sent to alternative provision free schools. Special free schools with sixth form provision are funded for pre-and post-16 provision on the same place funded basis and do not receive a separate statement. Funding for student financial support will be included in section 3.

### Table A: high needs place funding

Local authorities have a role in funding high needs pupils in academies and free schools as a commissioner of special education provision for these pupils. This means that special and alternative provision free schools receive funding for pupils with high needs from both ESFA (place funding) and local authorities (top-up funding). In the case of alternative provision (AP) the top-up funding can also come directly from other schools, where they commission places directly.

In special free schools, high needs place funding for both pre-16 and post-16 special educational needs (SEN) is funded on a per place basis, at £10,000 per place.

Sixth form students in APfree schools are funded using the post-16 national funding formula, the same as their counterparts in mainstream sixth forms. High needs place funding is available for students with SEN requiring additional costs exceeding £6,000. The number of places funded at £6,000 per place will be subject to evidence from commissioning local authorities of the number of post-16 students for which they will pay top-up. This is because this type of institution is set up to discharge a local authority's duties in relation to children of compulsory school age. There is no equivalent AP legal duty for post-16 pupils and as such, there is no post-16 AP place funding.

In the 2019 to 2020 academic year we will base your funding on the agreed estimated place numbers in your financial plan for both pre and post-16 students.

Top-up funding above these levels, based on the assessed needs of the pupil and the cost of meeting these in the setting, should be agreed between the commissioning local authority and the special or AP free school.

Top-up funding is paid for the period that the pupil is actually occupying the place in the school. It's paid directly to the school on a monthly basis unless a different payment frequency is agreed with the local authority. Top-up funding for pupils in AP can be paid directly by other academies and schools if they commission the places for those pupils. The top-up element is not included in this allocation.

Further information on [high needs funding arrangements for 2019 to 2020](#) is available.

## Sample Table A: high needs place funding

The example includes explanations to help you understand your own Table A. [Back to summary table](#)

Pre-16 breakdown						
Type	Place numbers	Annual per place unit value	Total pre-16 allocation	Allocation to August 2020 (as total allocation for schools open on 1 September 2019)	Calculation	Explanation
1. Special	30	£10,000.00	£300,000.00	£300,000.00		<b>Place numbers:</b> based on the agreed estimated places in your financial plan <b>Unit value:</b> £10,000
2. Alternative provision	10	£10,000.00	£100,000.00	£100,000.00		<b>Total pre-16 allocation</b> = place numbers x unit value <b>Allocation to August 2020</b> Total allocation x days open / 366
3.	<b>Pre-16 high needs place funding pro-rata for 366 days 1 September 2019 to 31 August 2020</b>			<b>£400,000.00</b>	<b>= (1 + 2)</b>	Total pre-16 allocation (special) + total pre16 allocation (alternative provision), proportioned from the time you open until the end of the academic year, 31 August 2020. For schools opening on 1 September, this will be the full year's allocation.

Post-16 breakdown				
Place numbers	Annual per place unit value	Total post-16 allocation	Allocation to August 2020 (as total allocation for academies open on 1 September 2019)	Explanation
29	£10,000.00	£290,000.00	£290,000.00	<p><b>Place numbers:</b> Based on the agreed estimated places in your financial plan.</p> <p><b>Unit value:</b> £10,000 for special free schools £6,000 for AP free schools (SEN places only)</p> <p><b>Total post-16 allocation</b> = place numbers x unit value</p> <p><b>Allocation to August 2020:</b> Total allocation x months open / 12</p>
<b>Total post-16 high needs funding 12 months (01 September 2019 - 31 August 2020)</b>			<b>£290,000.00</b>	Total post-16 allocation, proportioned from the time you open until the end of the academic year, 31 August 2020 For schools opening on 1 September, this will be the full year's allocation.



<b>Hospital education breakdown</b>			
<b>Place numbers</b>	<b>Full year funding</b>	<b>Allocation to August 2020</b> (as total allocation for academies open on 1 September 2019)	<b>Explanation</b>
0	£0.00	£0.00	<p><b>Full year funding</b> This is calculated by the local authority based on the agreed number of places you will provide. The local authority informs ESFA of the relevant amount.</p> <p><b>Allocation to August 2020:</b> Total allocation x days open / 366</p>
<b>Hospital education pro-rata for 12 months, 1 September 2019 to 31 August 2020</b>		<b>£0.00</b>	Total hospital education allocation, proportioned from the time you open until the end of the academic year, 31 August 2020.

## **Table B: post-opening grant (start-up grant)**

Table B will only be included in your 2019 to 2020 funding statement if you are due to receive post-opening grant funding.

### **Start-up grant (SUG)**

SUG is only paid to full sponsored academies. These lines will not therefore be populated in your statement.

### **Post-opening grant (POG)**

POG is paid to special and alternative provision free schools that meet the eligibility criteria. It is funding in addition to the per-pupil funding to reflect the additional essential costs of establishing a brand new school. The post-opening grant is paid in two parts: the per-pupil non-staffing resources element, to cover items such as buying books and equipment; and the leadership diseconomies element, to meet the costs of employing key staff as the school builds up its cohorts over time. Further information about the post-opening grant can be found in the revenue funding guides for [mainstream free schools](#), and [16-19 free schools](#).

Free schools established through the local authority presumption route won't attract POG as the local authority is responsible for the pre-opening development costs and post-opening funding required to address diseconomies of scale until the school reaches full capacity.

## Sample Table B: academic year 2019 to 2020 post-opening grant (start-up grant)

The example includes explanations to help you understand your own Table C.

[Back to summary table](#)

Line	Value	Calculation	Explanation
1. Start-up grant part A	£0.00		For academies that opened from April 2013 this is a one-off payment.
2. Start-up grant part B – formulaic allocation	£0.00		For academies that opened from April 2013 the total part B value will be paid in the first 3 years after opening for secondary and the first 2 years after opening for primary academies.
3. Post-opening grant – per pupil resources	£0.00		For free schools, UTCs and studio schools, this is the total of the resource element of the POG.
4. Post-opening grant – leadership diseconomies	£0.00		For free schools, UTCs and studio schools, this is the total of the diseconomies element of the POG.
<b>5. Total post-opening grant (start-up grant) allocation</b>	£0.00	<b>= 1 + 2 + 3 + 4</b>	The sum of SUG or POG elements as applicable.

## Funding outside the GAG

The previous sections explain the elements that make up your GAG funding. As an academy you will receive other revenue funding that is not part of your GAG. This includes:

- [early years funding](#) - paid by the local authority to academies with a nursery class through the early years national funding formula (EYNFF)
- national non-domestic rates (NNDR) - paid on receipt of a claim using an [online form](#) in a single amount outside of the SBS
- [pupil premium](#) - paid in four instalments by ESFA
- [PE and sport premium for primary schools](#) - paid in two instalments by ESFA
- [universal infant free school meals](#) - paid in two instalments by ESFA to academies with infant classes or pupils of infant age
- [year 7 literacy and numeracy catch-up premium](#) – paid in one instalment by ESFA to academies with year 7 pupils who did not achieve the expected standard in reading or maths at the end of key stage 2 (KS2)
- high needs top-up funding - paid by the local authority where required. In the case of AP, top-up funding can be paid directly by other schools and academies. Information about the [high needs funding arrangements for 2019 to 2020](#) is available
- [teachers' pay grant](#) - provides funding to schools to support the teachers' pay award that came into effect on 1 September 2018
- [teachers' pension employer contribution grant](#) – methodology covering the 2019 to 2020 financial year has been published, with school level allocations to follow in the autumn

Further information about [revenue funding payments for academies](#), the [growth fund and falling rolls fund](#).

In addition your academy may receive [capital funding](#) from the ESFA.

## Risk protection arrangement (RPA)

If you are a member of [RPA](#) any adjustment made to your funding will be shown on your monthly pay schedule. It is not shown on your allocation statement as it does not affect your allocation, but does affect the amount you will receive on a monthly basis. If you opt into RPA you will not receive an adjusted allocation statement, but your monthly pay schedule will be reissued. Details of the values for new academies are on the monthly remittance advice notes issued to the academy trust.



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