

Annual Prison Performance Ratings Guide 2018/19

Ministry of Justice
Official Statistics Bulletin

Contents

Introduction	3
Prison Performance Tool	3
Prison Scores	5
Moderation	6
Weights	7
Rules	7
Inclusion in the Prison Performance Tool	8
Prison Functions	9
Comparator Groups	10
Worked Examples	11
Annex A – Performance Measure Information	16
Annex B – Performance Measure Rating Definitions	22
Annex C – Measure Weightings	26
Annex D - 2018/19 Prison Comparator Groups	27

Guide to Annual Prison Performance Ratings

Introduction

Her Majesty's Prison and Probation Service (HMPPS) is an executive agency of the Ministry of Justice (MoJ) with the goal of helping prison and probation services work together to manage offenders through their sentences. For 2018/19 HMPPS had responsibility for delivering prison performance using a suite of performance measures resulting in performance ratings for both public sector and privately managed prisons across England and Wales.

The Annual Prison Performance Ratings are derived from the Prison Performance Tool (PPT) which was introduced in April 2018 for the 2018/19 reporting year, replacing the Custodial Performance Tool used in 2017/18. All prison performance ratings reflect performance between 1st April 2018 and 31st March 2019.

There is overlap between some information provided in the Annual Prison Performance Ratings and other MoJ publications:

- The Safety in Custody National Statistics published quarterly by MoJ. Previous and current publications can be found at www.gov.uk/government/collections/safety-in-custody-statistics.
- The HMPPS Annual Digest Official Statistics is published annually by MoJ. Previous and current publications can be found at https://www.gov.uk/government/collections/prison-and-probation-trusts-performance-statistics.

Data presented in this report have been drawn from administrative IT systems. Although care is taken when processing and analysing the data, the level of detail collected is subject to the inaccuracies inherent in any large-scale recording system.

Further information regarding data quality of individual performance measures used to determine overall prison performance can be found in the accompanying supplementary tables to this Guide.

Prison Performance Tool

The prison performance framework was revised for 2018/19 reflecting changes to the responsibility of assessing prison performance from April 2018. Responsibility for monitoring performance at prison level was transferred to HMPPS having been commissioned by MoJ in 2017/18.

Prison level performance is monitored and measured using the Prison Performance Tool (PPT). The PPT uses a data-driven assessment of performance in each prison to derive overall prison performance ratings. As in previous years, data-driven ratings were ratified and subject to in depth scrutiny at the moderation process which took place in June 2019.

In the PPT, overall performance in each prison is rated on a 1 to 4 scale. The different ratings are 4: Performance is exceptional; 3: Performance is acceptable; 2: Performance is of concern and 1: Performance is of serious concern. Note, this is a slight change from the rating definitions used in the Custodial Performance Tool in 2017/18.

In 2018/19, the PPT used 26 performance measures and three sub measures, split into six domains which aim to reflect the HMPPS priorities:

- Safety;
- Security;
- Respect;
- · Rehabilitation and Release Planning;
- Purposeful Activity;
- Organisational Effectiveness.

Work was undertaken jointly between MoJ and HMPPS to develop the 2018/19 prison performance framework and align the performance measures to an appropriate domain, and where appropriate linking to the expectations set by Her Majesty's Inspectorate of Prisons (HMIP). The performance measures by domain are as follows:

PPT Domain	Performance Measure
Safety	Positive Random Mandatory drug testing including new psychoactive substances
	Months drug testing levels not met (sub measure)
	Risk Management Audit
	Measuring the Quality of Prison Life - safety
	Prisoner on prisoner assaults incidents – rate per 1,000 prisoners
	Assaults on staff incidents – rate per 1,000 prisoners
	Control and Restraint training/Minimising and Managing Physical Restraint training*
	Tornado commitment
	Self-harm incidents – rate per 1,000 prisoners
	HM Inspectorate of Prisons – safety
Security	Number of escapes from prison or prisoner escort
•	Absconds from open prison conditions – rate per 100,000 prisoner days
	Security Audit
Respect	Measuring the Quality of Prison Life – decency
•	Audit of Living Conditions
	Measuring the Quality of Prison Life – BME simplified
	HM Inspectorate of Prisons – respect
Purposeful	Hours worked by prisoners in industry
Activity	HM Inspectorate of Prisons – purposeful activity
Rehabilitation and	Accredited programme completions
Release Planning	Release on Temporary Licence – % successful releases
	HM Inspectorate of Prisons – rehabilitation and release planning
	Incident Reporting System – data quality audit

PPT Domain	Performance Measure			
Organisational	Incident Reporting System – assaults checks (sub measure)			
Effectiveness	Incident Reporting System – self-harm checks (sub measure)			
	Data Integrity (Governance & Operational Audit)			
	Foreign National Offender Referrals			
	Prison Operating within Budget			
	Staff sickness absence			

^{*} Male Young Offender Institution - Young People prisons undertake Minimising and Managing Physical Restraint training. All other prisons undertake Control and Restraint training

Descriptions of each performance measure along with the data source and target can be found in <u>Annex A</u>. Information on rating definitions for each performance measure can be found in <u>Annex B</u>.

Prison Scores

Overall prison performance ratings are derived from a data-driven score for each prison. Each measure in the performance framework carries a weighting, with the sum of all measure weights adding up to 100% for each prison. The weightings represent the importance of the performance measure for HMPPS. Measures are weighted differently for different prison functions, based on their relative importance.

Each measure carries a rating for each prison; most are rated on a 1 to 4 scale, while some measures only allow ratings of 1 to 3 and others are binary. As such, the maximum possible score a prison can achieve on the PPT varies depending on which measures apply to the prison and the specific distribution of weights. To overcome this, the overall prison ratings are assigned using the score the prison achieved as a percentage of the maximum possible score achievable.

There are also differences in the way measure ratings are calculated. Some compare performance to target, some are set nationally to reflect consistent expectations across the estate, whereas others are specific to each prison considering a range of local factors. For audit and HM Inspectorate of Prisons measures, the measure rating corresponds directly to the audit or inspection outcome. Not all performance measures in the framework apply to every prison as a result of varying prison functions.

The overall prison score is calculated by the sum of each individual measure weight multiplied by the individual measure rating.

The thresholds for the Annual Prison Performance Ratings 2018/19 are:

Rating Percentage of max score			
4: Performance is exceptional	Greater than or equal to 82%		
3: Performance is acceptable	Less than 82% And Greater than or equal to 61%		
2: Performance is of concern	Less than 61% And Greater than or equal to 51%		
1: Performance is of serious concern	Less than 51%		

Moderation

Prior to publication of Annual Prison Performance Ratings, an annual moderation process is undertaken by HMPPS. During this process performance data is scrutinised by key stakeholders in the prison performance process and considered alongside wider contextual evidence to make a final assessment for the year-end rating of each prison. This ensures the rating for each prison is fully reflective of performance.

In 2018/19, prisons could voluntarily enter the process, or were automatically referred if one or more of the following criteria was met:

- One or more escape from the prison or prisoner escort;
- Two or more poor HM Inspectorate of Prisons healthy prison test outcomes from an inspection;
- Urgent Notification invoked;
- Failure of the Data Integrity (Governance & Operational Audit) performance measure;
- Low volumes of one or more of the following measures which then impacts the overall rating of the prison:
 - Positive Random Mandatory drug testing including new psychoactive substances;
 - Prisoner on prisoner assaults incidents;
 - Assaults on staff incidents;
 - Self-harm incidents.

A moderation panel discussed each proposal to agree a final rating. The panel consisted of representatives from across HMPPS and MoJ to ensure an independent approach was maintained.

Weights

Weights used in the PPT are separated into three overall distributions to reflect the main prison functions: male closed prisons; women's prisons; and male open prisons. The agreed weightings for each distribution can be seen in <u>Annex C</u>.

Weight redistribution

Where a measure doesn't apply to a prison, the weighting for that measure is redistributed to all other measures across the PPT. This is done proportionately to ensure the weight distribution sums to 100% for all prisons.

Inspections, audits and surveys used in the PPT are not always carried out on annual basis, and as such these measures are age weighted on the assumption that the results become less relevant over time. The weightings for each of these measures reduce in weight over time as follows:

Age	Weighting reduces by:
Up to 1 year	0%
1 to 2 years	20%
2 to 3 years	40%
3 to 4 years	60%
Over 4 years	80%

In these cases, the weighting which no longer applies to the measure is proportionately redistributed across all other measures in the framework. As such, the measures reduced in weight will regain some of this released weight.

Rules

A number of rules exist in the PPT for certain measures.

Drug testing levels

Prisons are required to undertake a minimum number of drug tests each month. Failure to do this affects the maximum achievable performance for the Positive Random Mandatory drug testing including new psychoactive substances performance measure.

If a prison misses the minimum number of mandatory tests in five or fewer months of the year, the maximum rating they can achieve for Positive Random Mandatory drug testing including new psychoactive substances is **3**.

If they miss for six or more months, the rating for Positive Random Mandatory drug testing including new psychoactive substances will **reduce by 1 rating**.

Incident Reporting System - assaults checks

This is a subset of records reviewed as part of the Incident Reporting System Audit, looking specifically at assaults incidents. If a prison does not meet the target of 85% of assaults incidents correctly recorded, the maximum rating they can achieve for both the prisoner on prison assaults rate and assaults on staff rate performance measure is a **2**.

Incident Reporting System - self-harm checks

This is a subset of records reviewed as part of the Incident Reporting System Audit, looking specifically at self-harm incidents. If a prison does not meet the target of 85% of self-harm incidents correctly recorded, the maximum rating they can achieve for the self-harm rate performance measure is a **2**.

Escapes from prison or prisoner escort

If a prison had an escape from prison or prisoner escort during 2018/19, they were automatically considered at the moderation process for the overall performance rating to be adjusted down.

HM Inspectorate of Prisons

If a prison has an inspection during 2018/19 and has two or more poor outcomes in the four healthy prison test areas, they were automatically considered at the moderation process to further scrutinise whether the data-driven overall rating accurately reflected performance.

A similar approach was taken for prisons receiving an Urgent Notification 2018/19.

Data Integrity (Governance & Operational Audit)

Any prison failing this audit during 2018/19 was automatically considered during the moderation process to discuss whether the data driven overall rating should be adjusted down as a result of demonstrating unreliability of data.

Inclusion in the Prison Performance Tool

In 2018/19, 118 prisons were included in the PPT compromising of 95 male closed prisons, 14 male open prisons and 10 women's prisons. For a prison to be included in the PPT, they need to meet the following criteria:

- have received an HM Inspectorate of Prisons inspection;
- have received internal audits carried out by HMPPS Operational System & Assurance Group;
- have maintained consistent performance for six months;
- have stable Operational Capacity levels.

As a result, HMP Berwyn were **not** included in the PPT in 2018/19 and do not feature in the Annual Prison Performance Ratings 2018/19. They will be included in the 2019/20 PPT and Annual Prison Performance Ratings 2019/20.

HMP The Verne will not be included in the PPT in 2019/20 due to not meeting the above criteria.

Prison Functions

Prisons are classified by their predominant prison function. A number of prisons are multifunctional and hold a range of types of prisoner. In these cases, the predominant function has been reported in the Annual Prison Performance Ratings 2018/19.

Prison Function	Description
Male Dispersal	Hold male prisoners classified as category A; prisoners whose escape would be highly dangerous to the public or the police or the security of the State and for whom the aim must be to make escape impossible.
Male Category B	Hold male prisoners classified as category B; prisoners for whom the very highest conditions of security are not necessary but for whom escape must be made very difficult.
Male Category C	Hold male prisoners classified as category C; prisoners who cannot be trusted in open conditions but who do not have the resources and will to make a determined escape attempt.
Male Open	Accommodate male category D prisoners whose risk of absconding is considered to be low or who are of low risk to the public because of the way they have addressed their offending behaviour. Open prisons also house indeterminate and longer-sentenced prisoners who are coming towards the end of their sentence and who have gradually worked their way down the categories.
Male Local	Hold male prisoners. These serve the courts and receive remand and post-conviction prisoners, before their allocation to other establishments. They hold many short-term prisoners; remand prisoners; those waiting allocation to training prisons; and may hold a small number of immigration detainees. The short-term prisoners held in local prisons are those who are due for release in to the surrounding area and as such engage with resettlement providers in the last three months of their sentence.
Female Closed	Hold female prisoners for whom the very highest conditions of security are not necessary but who present too high a risk for open conditions or for whom open conditions are not appropriate.
Female Open	Similar to Male Open, but holding female prisoners.
Female Local	Similar to Male Local, but holding female prisoners.
Male Closed Young Offender Institution	Male closed establishment holding young men aged 18 to 21.
Male Young Offender Institution - Young People	Hold male young people aged 15 to 17.

Comparator Groups

The 2018/19 comparator groups of prisons can be found in <u>Annex D</u>. A statistical methodology is used to calculate the comparator groups. A number of contextual variables are used to determine a statistical score for each prison. These include:

- Prison Type;
- Whether the prison holds young offenders;
- Prison Category;
- · Gender of prisoners;
- Proportion of prisons who are sex offenders;
- Complexity of the prison to determine whether it is a standard site, complex or diverse and complex¹;
- · Operational capacity;
- · Average age of the prisoners;
- Churn of prisoners²;
- Building age;
- Proportion of different category prisoners;
- Proportion of prisoners who are foreign national offenders.

The variables are weighted with the most important, as set by HMPPS, contributing more to the statistically derived score. Prisons with the nearest scores are set as the comparators with each prison having a maximum of eight within their group. A comparator group is unique to the prison. If Prison A has Prison B and C in its comparator group, this does not necessarily mean Prison B would have Prison A and C in its group.

The comparator group methodology will be reviewed during the 2019/20 year, to better reflect on-going structural changes to the estate.

¹ Prison complexity is a judgement based on prison population and churn rate, amount of staff, complexity of prisoner population and notoriety, location, site logistics, categorisation and risk, political scrutiny, media relations and management and financial commercial management.

² Churn of prisoners is the rate of new admissions or transfers in as a proportion of the prison population.

Worked Examples

Prison 1 is a male closed prison with all measures except absconds (not applicable to closed prisons). All audit and HMIP measures took place within 1 year.

FIISON ODEIANNO WILLIN DUUUEL 5.5/0 Z V.V/ I	
Measuring the Quality of Prison Life - safety Prisoner on prisoner assaults incidents - rate per 1,000 prisoners Assaults on staff incidents - rate per 1,000 prisoners Control and Restraint training Control and Restraint training Self-harm incidents - rate per 1,000 prisoners Control and Restraint training Self-harm incidents - rate per 1,000 prisoners HM Inspectorate of Prisons - Safety Security Audit Measuring the Quality of Prison Life - decency Audit of Living Conditions HME Inspectorate of Prisons - Respect HM Inspectorate of Prisons - Respect Security Audit Final Security Audit Measuring the Quality of Prison Life - decency Audit of Living Conditions HME Inspectorate of Prisons - Respect Security Audit Final Inspectorate of Prisons - Purposeful Activity Accredited programmes completions Release on Temporary Licence - successes Final Inspectorate of Prisons - Respect Rehabilitation and Release Planning Incident Reporting System - Data Quality Audit Incident Reporting System - Data Quality Audit Data Integrity (Governance & Operational Audit) Prison Operating within Budget 3.5% Data Integrity Governance & Operational Audit) Prison Operating within Budget 3.5% Data Integrity Governance & Operational Audit) Prison Operating within Budget 3.5% Data Integrity Governance & Operational Audit) Prison Operating within Budget	
risoner on prisoner assaults incidents rate per 1,000 prisoners Control and Restraint training Control and Restraint training O.8% Tornado commitment O.8% I 0.008 Self-harm incidents – rate per 1,000 prisoners HM Inspectorate of Prisons – Safety Measuring the Quality of Prison Life – decency Audit of Living Conditions HM Inspectorate of Prisons – Respect HM Inspectorate of Prisons – Respect Find Inspectorate of Prisons – Purposeful Activity Accredited programmes completions Release on Temporary Licence – successes HM Inspectorate of Prisons – Baculatty Audit HM Inspectorate of Prisons – Baculatty Audit Data Integrity (Governance & Operational Audit) O.042 1 0.042 1 0.042 1 0.042 1 0.008 1 0.008 1 0.008 1 0.008 N/A N/A N/A N/A N/A N/A N/A N/A N/	
- rate per 1,000 prisoners Assaults on staff incidents – rate per 1,000 prisoners Control and Restraint training 0.8% 1 0.008 Self-harm incidents – rate per 1,000 prisoners HM Inspectorate of Prisons – Safety Absconds from open prison conditions – rate per 100,000 prisoner days Security Audit 10.5% 1 0.105 Measuring the Quality of Prison Life – decency Audit of Living Conditions 5.3% 2 0.106 Measuring the Quality of Prison Life – BME simplified HM Inspectorate of Prisons – Respect 6.5% 2 0.13 Hours worked by prisoners in industry HM Inspectorate of Prisons – Purposeful Activity Accredited programmes completions 7.7% 8.8elease on Temporary Licence – successes 1.7% 2 0.034 HM Inspectorate of Prisons – Respect 6.5% 2 0.13 The overall sprison is the each measure	
Display	
Tornado commitment	rating.
Self-harm incidents - rate per 1,000 prisoners	
Data	
Number of escapes from prison Absconds from open prison conditions – rate per 100,000 prisoner days Security Audit 10.5% 10.105 Measuring the Quality of Prison Life – decency 3.2% 20.064 Audit of Living Conditions 5.3% 20.106 Measuring the Quality of Prison Life – 4.2% 20.084 HM Inspectorate of Prisons – Respect 6.5% 20.13 Hours worked by prisoners in industry 1.7% 40.068 HM Inspectorate of Prisons – Purposeful Activity 6.5% 30.195 Accredited programmes completions 3.5% 20.007 Release on Temporary Licence – successes 1.7% 20.034 HM Inspectorate of Prisons – 6.5% 20.13 HM Inspectorate of Prisons – 6.5% 20.034 HM Inspectorate of Prisons – 6.5% 20.034 HM Inspectorate of Prisons – 6.5% Release on Temporary Licence – successes 1.7% 20.034 HM Inspectorate of Prisons – 6.5% 20.13 Incident Reporting System – Data Quality Audit 5.3% 30.159 Incident Reporting System – self-harm checks 0.0% 30 Data Integrity (Governance & Operational Audit) 0.0% 40 Foreign National Offender Referrals 1.7% 30.051 Prison Operating within Budget 3.5% 20.07	
Absconds from open prison conditions – rate per 100,000 prisoner days Security Audit 10.5% 10.105 Measuring the Quality of Prison Life – decency 3.2% 2 0.064 Audit of Living Conditions 5.3% 2 0.106 Measuring the Quality of Prison Life – 4.2% 2 0.084 HM Inspectorate of Prisons – Respect 6.5% 2 0.13 Hours worked by prisoners in industry 1.7% 4 0.068 HM Inspectorate of Prisons – Purposeful Activity 6.5% 3 0.195 Accredited programmes completions 3.5% 2 0.07 Release on Temporary Licence – successes 1.7% 2 0.034 HM Inspectorate of Prisons – Rehabilitation and Release Planning Incident Reporting System – Data Quality Audit 5.3% 3 0.159 Incident Reporting System – assaults checks 0.0% 3 0 Data Integrity (Governance & Operational Audit) 0.0% 4 0 Prison Operating within Budget 3.5% 2 0.07 Prison Operating within Budget	
rate per 100,000 prisoner days Security Audit 10.5% 10.5% 10.105 Measuring the Quality of Prison Life – decency 3.2% 20.064 Audit of Living Conditions 5.3% 20.106 Measuring the Quality of Prison Life – 4.2% 20.084 HM Inspectorate of Prisons – Respect 6.5% 20.13 Hours worked by prisoners in industry 1.7% 40.068 HM Inspectorate of Prisons – Purposeful Activity 6.5% 30.195 Accredited programmes completions 3.5% 20.07 Release on Temporary Licence – successes 1.7% 20.034 HM Inspectorate of Prisons – 6.5% 20.13 The overall seprison is the each mease Prison 1 second mease Prison 1 secon	
Measuring the Quality of Prison Life – decency 3.2% 2 0.064 Audit of Living Conditions 5.3% 2 0.106 Measuring the Quality of Prison Life – 4.2% 2 0.084 HM Inspectorate of Prisons – Respect 6.5% 2 0.13 Hours worked by prisoners in industry 1.7% 4 0.068 HM Inspectorate of Prisons – Purposeful Activity 6.5% 3 0.195 Accredited programmes completions 3.5% 2 0.07 Release on Temporary Licence – successes 1.7% 2 0.034 HM Inspectorate of Prisons – 6.5% 2 0.13 HM Inspectorate of Prisons – 6.5% 2 0.13 Incident Reporting System – Data Quality Audit 5.3% 3 0.159 Incident Reporting System – assaults checks 0.0% 3 0 Data Integrity (Governance & Operational Audit) 0.0% 4 0 Foreign National Offender Referrals 1.7% 3 0.051 Prison Operating within Budget 3.5% 2 0.07	
Audit of Living Conditions Measuring the Quality of Prison Life – BME simplified HM Inspectorate of Prisons – Respect Hours worked by prisoners in industry HM Inspectorate of Prisons – Purposeful Activity Accredited programmes completions Release on Temporary Licence – successes HM Inspectorate of Prisons – Rehabilitation and Release Planning Incident Reporting System – Data Quality Audit Incident Reporting System – self-harm checks Data Integrity (Governance & Operational Audit) Prison Operating within Budget 5.3% 2 0.106 4.2% 2 0.084 The overall sprison is the each measure of the e	
Measuring the Quality of Prison Life – BME simplified HM Inspectorate of Prisons – Respect Hours worked by prisoners in industry HM Inspectorate of Prisons – Purposeful Activity Accredited programmes completions Release on Temporary Licence – successes 1.7% HM Inspectorate of Prisons – Rehabilitation and Release Planning Incident Reporting System – Data Quality Audit Incident Reporting System – assaults checks Data Integrity (Governance & Operational Audit) Prison Operating within Budget 4.2% 2 0.084 Data Integrity of Prisons – 4.2% 2 0.13 The overall suprison is the each measure of Prison 1 so and the prison 1 so and the each measure of Prison 1 so and the prison 2 so and the prison 1 so and the prison 2 so and the prison 3 so and the prison 2 so and the prison 3 so and the pris	
BME simplified HM Inspectorate of Prisons – Respect Hours worked by prisoners in industry HM Inspectorate of Prisons – Purposeful Activity Accredited programmes completions Release on Temporary Licence – successes HM Inspectorate of Prisons – Rehabilitation and Release Planning Incident Reporting System – Data Quality Audit Incident Reporting System – assaults checks Incident Reporting System – self-harm checks Data Integrity (Governance & Operational Audit) Prison Operating within Budget Accredited programmes completions 3.5% 2 0.07 The overall sprison is the each meas Prison 1 so The maxim achievable is gives a person of the each meas Prison 1 so The maxim achievable is gives a person of the each meas Prison 1 so The maxim achievable is gives a person of the each meas Prison 1 so The maxim achievable is gives a person of the each meas Prison 1 so The maxim achievable is gives a person of the each meas Prison 1 so The maxim achievable is gives a person of the each meas Prison 1 so The maxim achievable is gives a person of the each meas Prison 1 so The maxim achievable is gives a person of the each meas Prison 1 so The maxim achievable is gives a person of the each meas Prison 1 so The maxim achievable is gives a person of the each meas Prison 1 so The maxim achievable is gives a person of the each meas Prison 1 so The maxim achievable is gives a person of the each meas Prison 1 so The maxim achievable is gives a person of the each meas Prison 1 so The maxim achievable is gives a person of the each meas Prison 1 so The each meas Prison	
Hours worked by prisoners in industry HM Inspectorate of Prisons – Purposeful Activity Accredited programmes completions Release on Temporary Licence – successes HM Inspectorate of Prisons – Rehabilitation and Release Planning Incident Reporting System – Data Quality Audit Incident Reporting System – assaults checks Incident Reporting System – self-harm checks Data Integrity (Governance & Operational Audit) Prison Operating within Budget 1.7% 4 0.068 Data Integrity of O.098 3 0.195 Condition of Prisons – Rehabilitation and Release Planning Incident Reporting System – assaults checks O.0% O.0% O.0% O.0% O.0% Prison Operating Within Budget O.07 Prison Operating Within Budget O.07 Prison Operating Within Budget O.088 O.096 O.097 O.097 O.098 O.098 O.099 O.	
HM Inspectorate of Prisons – Purposeful Activity Accredited programmes completions Release on Temporary Licence – successes 1.7% 2 0.034 HM Inspectorate of Prisons – Rehabilitation and Release Planning Incident Reporting System – Data Quality Audit Incident Reporting System – assaults checks Data Integrity (Governance & Operational Audit) Prison Operating within Budget 3.5% 3 0.195 Prison is the each measure of Prison is the each measure of the each measure of Prison 1 so is the each measure of Prison 2 0.034 The maximal achievable is gives a person of equivalent to rating of the each measure of the each me	
Accredited programmes completions Release on Temporary Licence – successes 1.7% 2 0.034 HM Inspectorate of Prisons – Rehabilitation and Release Planning Incident Reporting System – Data Quality Audit Incident Reporting System – assaults checks 0.0% 3 0 Data Integrity (Governance & Operational Audit) Prison Operating within Budget 3.5% 2 0.07 2 0.034 The maximachievable is gives a person of equivalent to rating some of equivalent to rating some of equivalent to rating some of equivalent to retain to the complete of the comp	
Accredited programmes completions 3.5% 2 0.07 Release on Temporary Licence – successes 1.7% 2 0.034 HM Inspectorate of Prisons – Rehabilitation and Release Planning Incident Reporting System – Data Quality Audit 5.3% 3 0.159 Incident Reporting System – assaults checks 0.0% 3 0 Incident Reporting System – self-harm checks 0.0% 3 0 Data Integrity (Governance & Operational Audit) 0.0% 4 0 Foreign National Offender Referrals 1.7% 3 0.051 Prison Operating within Budget 3.5% 2 0.07	
HM Inspectorate of Prisons – Rehabilitation and Release Planning Incident Reporting System – Data Quality Audit Incident Reporting System – assaults checks Incident Reporting System – assaults checks Incident Reporting System – self-harm checks I	
Rehabilitation and Release Planning Incident Reporting System – Data Quality Audit Incident Reporting System – assaults checks Incident Reporting System – assaults checks Incident Reporting System – self-harm checks Incident Reporting System – sel	
Incident Reporting System – assaults checks Incident Reporting System – assaults checks Incident Reporting System – self-harm checks Incident Reporting System – assaults checks Incident Reporting System – self-harm checks Incident Reporting System	ercentage
Incident Reporting System – assaults checks 0.0% 3 0 Incident Reporting System – self-harm checks 0.0% 3 0 Data Integrity (Governance & Operational Audit) 0.0% 4 0 Foreign National Offender Referrals 1.7% 3 0.051 Prison Operating within Budget 3.5% 2 0.07	•
Incident Reporting System – self-harm checks 0.0% 3 0 Data Integrity (Governance & Operational Audit) 0.0% 4 0 Foreign National Offender Referrals 1.7% 3 0.051 Prison Operating within Budget 3.5% 2 0.07	
Foreign National Offender Referrals 1.7% 3 0.051 Prison Operating within Budget 3.5% 2 0.07 Prison Operating Within Budget 3.5% 2 0.07	
Prison Operating within Budget 3.5% 2 0.07 Prison C	/
	verall orison
į, ė,	ating
Total: 2.23 57.9%	2

Prison 2 is a women's prison. Some measures do not have data. All audits and HMIP measures took place within the last year.

Performance Measure	Weighting	Rating	Measure score		ne me	easure so	ghting	
Positive random mandatory drug testing including new psychoactive substances	5.8%	3	0.17			tiplied by asure rati		
Risk Management Audit	5.8%	1	0.06					
Measuring the Quality of Prison Life - safety	3.5%	2	0.07					
Prisoner on prisoner assaults incidents – rate per 1,000 prisoners	4.7%	2	0.09					
Assaults on staff incidents – rate per 1,000 prisoners	6.9%	4	0.28		\	0 doso o		
Control and Restraint training	0.9%	1	0.01			2 does no or Audit of		
Tornado commitment	0.9%	2	0.02			tions, Acc	•	
Self-harm incidents – rate per 1,000 prisoners	5.8%	2	0.12			Programm etions or F		
HM Inspectorate of Prisons – Safety	7.2%	3	0.22		Nati	ional Offe	nder	
Number of escapes from prison	0.0%	3	0.00			Referrals.		
Absconds from open prison conditions – rate per 100,000 prisoner days	N/A	N/A	N/A	/ т		eight assiq e measur	-	
Security Audit	11.6%	2/	0,23	r		ibuted acr		
Measuring the Quality of Prison Life – decency	3.5%	3	0.11		other measures data.	s with		
Audit of Living Conditions	N/A	N/A	N/A					
Measuring the Quality of Prison Life – BME simplified	4.7%	2 /	Ø.09					
HM Inspectorate of Prisons – Respect	7.2%	A	0.29					
Hours worked by prisoners in industry	1.8%	4	0.07		The overall score for the			
HM Inspectorate of Prisons – Purposeful Activity	7.2%	2 /	0.14			is the sum easure sc		
Accredited programmes completions	N/A ►	N/A	N/A		-	scored 2		
Release on Temporary Licence – successes	1.8%	3/	0.05	1 1		ximum sc		
HM Inspectorate of Prisons – Rehabilitation and Release Planning	7.2%	/3	0.22	1 1	ives a	le is 3.88. i percenta	ge	
Incident Reporting System – Data Quality Audit	5.8%	/ 2	0.12			of 64.6%		
Incident Reporting System – assaults checks	0.0%	/ 3	0.00	equ		nt to an ov ing of 3.	erall	
Incident Reporting System – self-harm checks	0.0%	2	0.00					
Data Integrity (Governance & Operational Audit)	0.0%	1	0.00					
Foreign National Offender Referrals	N/A	N/A	N/A					
Prison Operating within Budget	3.9%	2	0.08		Prison Overall			
Staff sickness absence	3.9%	2	0.08	percer sco	_	prison rating		
		Total:	2.51	64.6	%	3		

Prison 3 is a male open prison with all applicable measures. The HMIP inspection took place 2.5 years ago.

Performance Measure	Weighting	Rating	Measure score	The measure score is the measure weighting	
Positive random mandatory drug tests including new psychoactive substances	6.0%	3	0.18	multiplied by the measure rating.	9
Risk Management Audit	4.9%	4	0.20	inidadara rating.	
Measuring the Quality of Prison Life - safety	3.5%	3	0.11		
Prisoner on prisoner assaults incidents – rate per 1,000 prisoners	4.0%	2	0.08	Prison 3 has all measures applicable to	n
Assaults on staff incidents – rate per 1,000 prisoners	4.7%	3	0.14	an open prison.	
Control and Restraint training	1.1%	4	0.05	As the HMIP is 2.5	
Tornado commitment	0.0%	3	0.00	years old, the four HMII	Р
Self-harm incidents – rate per 1,000 prisoners	4.2%	4	0.17	Healthy Prison Test measures have reduce	d
HM Inspectorate of Prisons – Safety	4.7%◀	4	0.19	in weighting by 40%.	
Number of escapes from prison	N/A	N/A	N/A//	The freed weight is	
Absconds from open prison conditions – rate per 100,000 prisoner days	6.5%	4	0.26	redistributed across all measures with data wit	
Security Audit	4.7%	3 /	Ø.14	the HMIP measures regaining some of this	
Measuring the Quality of Prison Life – decency	4.0%	3//	0.12	redistributed weight.	,
Audit of Living Conditions	6.6%	/3/	0.20		
Measuring the Quality of Prison Life – BME simplified	4.9%	//3 /	0.15		
HM Inspectorate of Prisons – Respect	4.7%▶	/ 4/	0.19		
Hours worked by prisoners in industry	2.0%	/3	0.06	The overall score for the)
HM Inspectorate of Prisons – Purposeful Activity	5.4%▶	/ 3	0.16	prison is the sum of each measure score.	
Accredited programmes completions	N/A	N/A	N/A	Prison 3 scored 3.34.	
Release on Temporary Licence – successes	6.5%	4	0.26	The maximum score	
HM Inspectorate of Prisons – Rehabilitation and Release Planning	5.4%	4	0.22	achievable is 3.81. This gives a percentage	•
Incident Reporting System – Data Quality Audit	6.0%	3	0.18	score of 87.6%, equivalent to an overall	
Incident Reporting System – assaults checks	0.0%	3	0.00	rating of 4.	
Incident Reporting System – self-harm checks	0.0%	3	0.00		
Data Integrity (Governance & Operational Audit)	0.0%	3	0.00		
Foreign National Offender Referrals	2.0%	3	0.06	✓	
Prison Operating within Budget	4.1%	3	0.12	Prison Overall percentage prison	
Staff sickness absence	4.1%	3	0.12	score rating	
		Total:	3.34	87.6% 4	

Prison 4 is a male closed prison. Some measures do not apply and the Security Audit was undertaken 1.5 years ago.

Performance Measure	Weighting	Rating	Measure score	1 1	easure sco			
Positive random mandatory drug tests including new psychoactive substances	6.4%	1	0.06	▲ mul	tiplied by the asure ratin	he		
Risk Management Audit	N/A	N/A	N/A	ine.	asure raum	g. 		
Measuring the Quality of Prison Life - safety	3.8%	2	0.08					
Prisoner on prisoner assaults incidents – rate per 1,000 prisoners	5.0%	1	0.05		the age ourity Audit,			
Assaults on staff incidents – rate per 1,000 prisoners	7.6%	3	0.23		ting for this			
Control and Restraint training	1.0%	3	0.03		•			
Tornado commitment	1.0%	2	0.02		veighting fr n this and t			
Self-harm incidents – rate per 1,000 prisoners	6.4%	4	0.25	measu	res without	t data		
HM Inspectorate of Prisons – Safety	7.8%	3	0.23		oportionate buted acro	•		
Number of escapes from prison	0.0%	3	0.00		es with da			
Absconds from open prison conditions – rate per 100,000 prisoner days	N/A	N/A	N/A	regair	e Security as some of	this		
Security Audit	10.1%	4	0.40	redist	ributed we	ight.		
Measuring the Quality of Prison Life – decency	3.8%	3	0.12					
Audit of Living Conditions	N/A	N/A	N/A					
Measuring the Quality of Prison Life – BME simplified	5.0%	2	0.10					
HM Inspectorate of Prisons – Respect	7.8%	4	0.31					
Hours worked by prisoners in industry	N/A	N/A	N/A		erall score			
HM Inspectorate of Prisons – Purposeful Activity	7.8%	2	0.16		n is the sur neasure so			
Accredited programmes completions	N/A	N/A	N/A		4 scored 2			
Release on Temporary Licence – successes	2.0%	3	0.06		naximum s			
HM Inspectorate of Prisons – Rehabilitation and Release Planning	7.8%	3	0.23	gives	able is 3.85 a percent	age		
Incident Reporting System – Data Quality Audit	6.4%	3	0.19		re of 71.19	-		
Incident Reporting System – assaults checks	0.0%	2	0.00		ent to an o ating of 3.	verali		
Incident Reporting System – self-harm checks	0.0%	3	0.00					
Data Integrity (Governance & Operational Audit)	0.0%	4	0.00					
Foreign National Offender Referrals	2.0%	2	0.04		/			
Prison Operating within Budget	4.2%	3	0.13	Prison percentage	Overall prison			
Staff sickness absence	4.2%	1	0.04	score	rating			
		Total:	2.73	71.1%	3			

Prison 5 is a women's prison which didn't meet target for IRS Assaults checks and IRS Self-Harm checks. It has data for all other measures and all audits and HMIPs are within the last year.

Performance Measure	Weighting	Rating	Measure score		the me	easure so	ighting
Positive random mandatory drug tests including new psychoactive substances	5.1%	1	0.05	•		Itiplied by asure rati	
Risk Management Audit	5.1%	3	0.15				
Measuring the Quality of Prison Life - safety	3.1%	3	0.09			5 was rate S Assaults	
Prisoner on prisoner assaults incidents – rate per 1,000 prisoners	4.1%	2	0.08		measur	e. The ma achievab	aximum
Assaults on staff incidents – rate per 1,000 prisoners	6.1%	2	0.12		Assau	ılts on Sta	ff and
Control and Restraint training	0.8%	3	0.02			ner on Pris ts was the	
Tornado commitment	0.8%	3	0.02			regardles	
Self-harm incidents – rate per 1,000 prisoners	5.1%	2	0.10		perforn	nance con to target.	npared
HM Inspectorate of Prisons – Safety	6.3%	3	0.19				
Number of escapes from prison	0.0%	3	0.00			5 was rate	
Absconds from open prison conditions – rate per 100,000 prisoner days	N/A	N/A	N/A		measur	self-harme. The ma	aximum
Security Audit	10.2%	3	0.31		_	achievable Incidents	
Measuring the Quality of Prison Life – decency	3.1%	3	0.09			re a 2 reg	
Audit of Living Conditions	5.6%	2	0,11 /	ľ		performan	
Measuring the Quality of Prison Life – BME simplified	4.1%	2	0.08		comp	pared to ta	irget.
HM Inspectorate of Prisons – Respect	6.3%	2	0.13				
Hours worked by prisoners in industry	1.6%	3	0.05		The ove	rall score t	for the
HM Inspectorate of Prisons – Purposeful Activity	6.3%	2	0/13		•	is the sur neasure so	
Accredited programmes completions	4.9%	3	0.15			5 scored 2	
Release on Temporary Licence – successes	1.6%	2 /	0.03			aximum s	
HM Inspectorate of Prisons – Rehabilitation and Release Planning	6.3%	3	0.19			ole is 3.83 a percenta	
Incident Reporting System – Data Quality Audit	5.1%	1 📗 /	0.05			e of 60.2%	
Incident Reporting System – assaults checks	0.0%	1 /	0.00		•	ent to an o ating of 2.	verali
Incident Reporting System – self-harm checks	0.0%	1 ₩	0.00				
Data Integrity (Governance & Operational Audit)	0.0%	2	0.00				
Foreign National Offender Referrals	1.6%	1	0.02			/	
Prison Operating within Budget	3.4%	2	0.07		Prison	Overall	
Staff sickness absence	3.4%	2	0.07	pe	rcentage score	prison rating	
		Total:	2.30		60.2%	2	

Annex A – Performance Measure Information

PPT Domain	Performance Measure Description in italics	Data source	Target	Ratings available	
Safety	Positive Random Mandatory drug testing including new psychoactive substances (including months drug testing levels were not met) The average rate of positive results from mandatory random drug testing.				
			Locally set	1 to 4	
	Further information can be found in the HMPPS Annual Digest.				
	Months random drug testing levels not met The number of months in the year where the prison did not undertake the required number of random drug tests.	Security Group MDT database	N/A – measure affects rating for above measure	1 to 3	
	Risk Management Audit An announced audit to determine how effectively the prison is assessing and managing risks of violence and self-harm.	Operational and System Assurance Group	Amber-green (rating of 3)	1 to 4	
	Measuring the Quality of Prison Life – safety A survey of prisoners' perceptions of safety in the prison.	Operational and System Assurance Group	Rating of 3	1 to 4	
	Prisoner on prisoner assaults incidents	•			
	Prisoner on prisoner assaults incidents reported as a rate per 1,000 prisoners. An assault is defined as unwanted physical contact between two or more individuals.	Prison NOMIS	Locally set	1 to 4	
	Further information can be found in the Safety in Custody National Statistics.				

PPT Domain	Performance Measure Description in italics	Data source	Target	Ratings available
	Assaults on staff incidents			
	Assaults on staff reported as a rate per 1,000 prisoners. An assault is defined as unwanted physical contact between two or more individuals.	Prison NOMIS	Locally set	1 to 4
	Further information can be found in the Safety in Custody National Statistics.			
	Control and Restraint training	HMPPS	Madanak	
	The percentage of operational staff who have attended 8 hours of initial or refresher training within the last 12 months.	Performance Hub	National: 80%	1 to 3
	Minimising and Managing Physical Restraint training (Male Young Offender Institution - Young People prisons only)	HMPPS Performance Hub	National: 80%	4.4- 0
	The percentage of eligible staff who have been trained in Minimising and Managing Physical Restraint techniques in the last six months.			1 to 3
	Tornado commitment	HMPPS		
	The number of staff trained in advanced Control and Restraint techniques for Tornado purposes.	Performance Hub	Locally set	1 to 3
	Self-harm incidents			
	Self-harm incidents reported as a rate per 1,000 prisoners. Self-harm is defined as any act where a prisoner deliberately harms or injures themselves.	Prison NOMIS	Locally set	1 to 4
	Further information can be found in the Safety in Custody National Statistics.			
	HM Inspectorate of Prisons – Safety	Her Majesty's	Reasonably	
	Focusses on early days in custody, managing behaviour, security, safeguarding and leadership and management of safety.	Inspectorate of Prisons	good (rating of 3)	1 to 4

PPT Domain	Performance Measure Description in italics	Data source	Target	Ratings available
Security	Number of escapes from prison or prisoner escort			
	A prisoner escapes from a prison or prisoner escort if they unlawfully gain their liberty by breaching the secure perimeter of a closed prison, i.e. the outside wall or boundary of the prison.	Prison NOMIS	N/A – managed through moderation	N/A
	Further information can be found in the HMPPS Annual Digest.		moderation	
	Absconds from open prison conditions			
	Abscond incidents reported as a rate per 100,000 prisoner days. An abscond is an escape that does not involve overcoming a physical security. Only applies to open prisons.	Prison NOMIS	Locally set	1 to 4
	Further information can be found in the HMPPS Annual Digest.			
	Security Audit	Operational and		
	To review levels of compliance within specific HM Prison Service Performance Standards.	System Assurance Group	Moderate (rating of 3)	1 to 4
Respect	Measuring the Quality of Prison Life – decency	Operational and System	D. (; . ()	44
	A survey of prisoners' perceptions of decency in the prison.	Assurance Group	Rating of 3	1 to 4
	Audit of Living Conditions	Operational and	N 4 1 4	
	To help drive improvements in living conditions in prisons. Residential units, cells, communal areas including serveries, toilets and showers are observed.	System Assurance Group	Moderate (rating of 3)	1 to 4

PPT Domain	Performance Measure Description in italics	Data source	Target	Ratings available
	Measuring the Quality of Prison Life – BME simplified Whether any difference in survey results from white and BME prisoners is statistically significantly different (i.e. did not occur by chance).	Operational and System Assurance Group	National: no statistically significant difference scores for White vs BME prisoners	2 to 3
	HM Inspectorate of Prisons – Respect	Her Majesty's	Reasonably	
	Focuses on staff-prisoner relationships, daily life, equality, diversity and faith, health, well-being and social care and leadership and management of respect.	Inspectorate of Prisons	good (rating of 3)	1 to 4
Purposeful	Hours worked by prisoners in industry			
Activity	Hours worked by prisoners in industry as a percentage of scheduled hours. Industries include woodwork, textiles and working in call centres.	Prison NOMIS	National – 80%	1 to 4
	Further information can be found in the HMPPS Annual Digest publication.			
	HM Inspectorate of Prisons – Purposeful Activity	Her Majesty's	Reasonably good (rating of 3)	
	Focusses on time out of cell, education, skills and work activities and leadership and management of purposeful activity.	Inspectorate of Prisons		1 to 4
Rehabilitation	Accredited programmes completions			
and Release Planning	The number of completions of Offender Behaviour Programmes and Sex Offender Treatment Programmes.	Prison NOMIS	Locally set	1 to 3
	Further information can be found in the HMPPS Annual Digest.			

PPT Domain	Performance Measure Description in italics	Data source	Target	Ratings available
	Release on Temporary Licence – successes			
	Percentage of prisoners who return from Release on Temporary Licence. Release on Temporary Licence allows eligible prisoners to be temporarily released for precisely defined activities that cannot be provided in prisons.	Prison NOMIS	National: 95%	1 to 4
	HM Inspectorate of Prisons – Rehabilitation and Release Planning			
	Focusses on children and families and contact with the outside world, reducing risk, rehabilitation and progression, interventions, specialist units, release planning and leadership and management of rehabilitation and release planning.	Her Majesty's Inspectorate of Prisons	Reasonably good (rating of 3)	1 to 4
Organisational	Incident Reporting System – Data Quality Audit	Operational and	Madarata	
Effectiveness	Audit ensuring reportable incidents are recorded on the Incident Reporting System package of Prison-NOMIS.	System Assurance Group	Moderate (rating of 3)	1 to 4
	Incident Reporting System – assaults checks	Operational and	NI=CI	
	Percentage of assaults incidents checked in the Incident Reporting System Data Quality Audit recorded on Prison-NOMIS.	System Assurance Group	National: 85%	2 to 3
	Incident Reporting System – self-harm checks	Operational and	N. e. I	
	Percentage of self-harm incidents checked in the Incident Reporting System Data Quality Audit recorded on Prison-NOMIS.	System Assurance Group	National: 85%	2 to 3
	Data Integrity (Governance & Operational Audit)	Government	Rating of 3	4.1-4
	Review of financial and performance data processes.	Internal Audit		1 to 4

PPT Domain	Performance Measure Description in italics	Data source	Target	Ratings available
	Foreign National Offender Referrals Percentage of referrals to the Home Office made within 10 days. Further information can be found in the HMPPS Annual Digest.	Prison NOMIS	National: 90%	1 to 3
	Prison Operating within Budget Expenditure as a proportion of the agreed annual budget.	Single Operating Platform	Spend within annual budget	2 to 3
	Staff sickness absence Average number of days lost to staff sickness per member of staff target. Further information can be found in the HMPPS Annual Digest.	Single Operating Platform for public sector prisons, HMPPS Performance Hub for privately managed prisons.	National: 9 days per member of staff	1 to 4

Annex B – Performance Measure Rating Definitions

DDT D	D. d M	Measure Rating				
PPT Domain	Performance Measure	1	2	3	4	
Safety	Positive Random Mandatory Drug Testing including new psychoactive substances	Greater than 125% of target.	Greater than 100% and less than or equal to 125% of target.	Less than the target and greater than 75% of target.	Less than or equal to 75% of target.	
	Months random drug testing levels not met	Missed required level for 6 or more months in 2018/19	Missed required level for 1 to 5 months in 20018/19	Met required level in all months	N/A	
	Risk Management Audit	Audit rating of Red	Audit rating of Amber-Red	Audit rating of Amber-Green	Audit rating of Green	
	Measuring the Quality of Prison Life - safety	Score of 1	Score of 2	Score of 3	Score of 4	
	Prisoner on prisoner assaults incidents – rate per 1,000 prisoners	Greater than 125% of target.	Greater than 100% and less than or equal to 125% of target.	Less than the target and greater than 75% of target.	Less than or equal to 75% of target.	
	Assaults on staff incidents – rate per 1,000 prisoners	Greater than 125% of target.	Greater than 100% and less than or equal to 125% of target.	Less than the target and greater than 75% of target.	Less than or equal to 75% of target.	
	Control and Restraint training	Performance less than 76%	Performance between 76% and 79.9%	Performance greater or equal to 80%	N/A	

PPT Domain	Performance Measure	Measure Rating					
PP1 Domain	Performance Measure	1	2	3	4		
	Minimising and Managing Physical Restraint training	Performance less than 76%	Performance between 76% and 79.9%	Performance greater or equal to 80%	N/A		
	Tornado commitment	Less than 90% of target	Greater than or equal to 90% of target	Greater than or equal to target	N/A		
	Self-harm incidents – rate per 1,000 prisoners	Greater than 125% of target	Greater than 100% and less than or equal to 125% of target	Less than the target and greater than 75% of target.	Less than or equal to 75% of target		
	HM Inspectorate of Prisons – Safety	Outcomes for prisoners are poor	Outcomes for prisoners are not sufficiently good	Outcomes for prisoners are reasonably good	Outcomes for prisoners are good		
Security	Number of escapes from prison	1 escape or more	N/A	No escapes	N/A		
	Absconds from open prison conditions – rate per 100,000 prisoner days	Less than 90% of target achieved	Missing target but 90% or more of target achieved	Target achieved	Target achieved and in the top 25% of prisons		
	Security Audit	Audit rating of Unsatisfactory	Audit rating of Limited	Audit rating of Moderate	Audit rating of Substantial		
Respect	Measuring the Quality of Prison Life – decency	Score of 1	Score of 2	Score of 3	Score of 4		
	Audit of Living Conditions	Audit rating of Unsatisfactory	Audit rating of Limited	Audit rating of Moderate	Audit rating of Substantial		

PPT Domain	Performance Measure	Measure Rating					
PPI Domain	Performance Measure	1	2	3	4		
	Measuring the Quality of Prison Life – BME simplified	N/A	Outcomes statistically significantly different	Outcomes not statistically significantly different	N/A		
	HM Inspectorate of Prisons - Respect	Outcomes for prisoners are poor	Outcomes for prisoners are not sufficiently good	Outcomes for prisoners are reasonably good	Outcomes for prisoners are good		
Purposeful Activity	Hours worked by prisoners in industry	Less than 65% of scheduled hours	65% to less than 80% of scheduled hours	80% to 100% of scheduled hours	Greater than 100% of scheduled hours		
	HM Inspectorate of Prisons – Purposeful Activity	Outcomes for prisoners are poor	Outcomes for prisoners are not sufficiently good	Outcomes for prisoners are reasonably good	Outcomes for prisoners are good		
Rehabilitation and Release Planning	Accredited programmes completions	Less than 80% of target achieved	Missing target but 80% or greater than target achieved	Target achieved	N/A		
	Release on Temporary Licence – successes	Less than 95% of target	Missing target but greater than or equal to 95% of target	Greater than or equal to target	N/A		

PPT Domain	Performance Measure	Measure Rating						
PP1 Domain	Performance Measure	1	2	3	4			
	HM Inspectorate of Prisons – Rehabilitation and Release Planning	Outcomes for prisoners are poor	Outcomes for prisoners are not sufficiently good	Outcomes for prisoners are reasonably good	Outcomes for prisoners are good			
Organisational Effectiveness	Incident Reporting System – Data Quality Audit	Audit rating of Unsatisfactory	Audit rating of Limited	Audit rating of Moderate	Audit rating of Substantial			
	Incident Reporting System – assaults checks	N/A	Less than 85%	Greater than or equal to 85%	N/A			
	Incident Reporting System – self-harm checks	N/A	Less than 85%	Greater than or equal to 85%	N/A			
	Data Integrity (Governance & Operational Audit)	1	2	3	4			
	Foreign National Offender Referrals	Less than 80%	80% to 89.9%	90% or more	N/A			
	Prison Operating within Budget	N/A	Greater than 100% of budget spent	Less than or equal to 100% of budget spent	N/A			
	Staff sickness absence	Greater than an average of 12 days per staff member	Greater than 9 days and less than or equal to 12 days	Less than or equal to 9 days	Less than or equal to 9 days and best performing 15% of prisons nationally			

Annex C – Measure Weightings

Performance Measure	Male Closed	Women's	Male Open
Positive Random Mandatory Drug Testing including new psychoactive substances (including months drug testing levels were not met)	5.3%	5.1%	5.3%
Risk Management Audit	4.2%	5.1%	4.3%
Measuring the Quality of Prison Life - safety	3.2%	3.1%	3.1%
Prisoner on prisoner assaults incidents – rate per 1,000 prisoners	4.2%	4.1%	3.5%
Assaults on staff incidents – rate per 1,000 prisoners	6.3%	6.1%	4.1%
Control and Restraint training	0.8%	0.8%	1.0%
Tornado commitment	0.8%	0.8%	0.0%
Self-harm incidents – rate per 1,000 prisoners	5.3%	5.1%	3.7%
HM Inspectorate of Prisons – Safety	6.5%	6.3%	6.9%
Number of escapes from prison	0.0%	0.0%	0.0%
Absconds from open prison conditions – rate per 100,000 prisoner days	0.0%	0.0%	5.7%
Security Audit	10.5%	10.2%	4.1%
Measuring the Quality of Prison Life – decency	3.2%	3.1%	3.5%
Audit of Living Conditions	5.3%	5.6%	5.8%
Measuring the Quality of Prison Life – BME simplified	4.2%	4.1%	4.3%
HM Inspectorate of Prisons – Respect	6.5%	6.3%	6.9%
Hours worked by prisoners in industry	1.7%	1.6%	1.8%
HM Inspectorate of Prisons – Purposeful Activity	6.5%	6.3%	8.0%
Accredited programmes completions	3.5%	4.9%	0.0%
Release on Temporary Licence – successes	1.7%	1.6%	5.7%
HM Inspectorate of Prisons – Rehabilitation and Release Planning	6.5%	6.3%	8.0%
Incident Reporting System – Data Quality Audit	5.3%	5.1%	5.3%
Incident Reporting System – assaults checks	0.0%	0.0%	0.0%
Incident Reporting System – self-harm checks	0.0%	0.0%	0.0%
Data Integrity (Governance & Operational Audit)	0.0%	0.0%	0.0%
Foreign National Offender Referrals	1.7%	1.6%	1.8%
Prison Operating within Budget	3.5%	3.4%	3.6%
Staff sickness absence	3.5%	3.4%	3.6%

Due to rounding, the individual measures in each distribution may not sum to exactly 100%.

Annex D – 2018/19 Prison Comparator Groups

	Comparators									
Prison	1	2	3	4	5	6	7	8		
Altcourse	Elmley	Nottingham	Thameside	Leeds	Bullingdon	Forest Bank	Durham	Preston		
Ashfield	Stafford	Bure	Usk	Dartmoor	Whatton	Littlehey	Channings Wood	Huntercombe		
Askham Grange	East Sutton Park									
Aylesbury	Brinsford	Deerbolt	Feltham							
Bedford	Chelmsford	Peterboroug h Male	Leicester	Winchester	Exeter	Swansea	Lewes	Bristol		
Belmarsh	Woodhill	Manchester								
Birmingham	Pentonville	Wandsworth	Hewell	Wormwood Scrubs	Liverpool	Forest Bank	Bullingdon	Leeds		
Brinsford	Deerbolt	Feltham	Aylesbury							
Bristol	Lincoln	Preston	Durham	Norwich	Altcourse	Elmley	Cardiff	Nottingham		
Brixton	Northumberland	Moorland	Risley	Oakwood	Holme House	Wymott	Stocken	Wayland		
Bronzefield	Styal	New Hall	Eastwood Park	Peterborough Female	Foston Hall	Low Newton				
Buckley Hall	Haverigg	Featherstone	Guys Marsh	Lancaster Farms	Rochester	Coldingley	Onley	Erlestoke		
Bullingdon	Leeds	Nottingham	Elmley	Altcourse	Thameside	Doncaster	Forest Bank	Norwich		
Bure	Usk	Ashfield	Stafford	Dartmoor	Whatton	Littlehey	Channings Wood	Huntercombe		

Dei		Comparators									
Prison	1	2	3	4	5	6	7	8			
Cardiff	Durham	Preston	Norwich	Bristol	Lincoln	Thameside	Altcourse	Elmley			
Channings Wood	Huntercombe	Warren Hill	Maidstone	Erlestoke	Onley	Coldingley	Guys Marsh	Featherstone			
Chelmsford	Bedford	Peterboroug h Male	Swansea	Winchester	Leicester	Exeter	Lewes	Bristol			
Coldingley	Featherstone	Guys Marsh	Lancaster Farms	Rochester	Onley	Erlestoke	Buckley Hall	Haverigg			
Cookham Wood	Wetherby	Werrington									
Dartmoor	Usk	Bure	Ashfield	Stafford	Channings Wood	Huntercombe	Warren Hill	Maidstone			
Deerbolt	Brinsford	Feltham	Aylesbury								
Doncaster	Hull	Bullingdon	Leeds	Nottingham	Altcourse	Elmley	Thameside	Forest Bank			
Dovegate	Lowdham Grange	Swaleside	Gartree	Grendon	Garth	Isle of Wight					
Downview	Send	Drake Hall									
Drake Hall	Downview	Send									
Durham	Preston	Norwich	Bristol	Lincoln	Cardiff	Altcourse	Elmley	Nottingham			
East Sutton Park	Askham Grange										
Eastwood Park	New Hall	Foston Hall	Peterborough Female	Low Newton	Styal	Bronzefield					
Elmley	Altcourse	Nottingham	Thameside	Leeds	Bullingdon	Forest Bank	Durham	Preston			
Erlestoke	Onley	Coldingley	Featherstone	Guys Marsh	Lancaster Farms	Rochester	Maidstone	Buckley Hall			

Duines	Comparators										
Prison	1	2	3	4	5	6	7	8			
Exeter	Winchester	Leicester	Lewes	Bedford	Chelmsford	Peterborough Male	Swansea	Bristol			
Featherstone	Guys Marsh	Lancaster Farms	Rochester	Coldingley	Onley	Erlestoke	Buckley Hall	Haverigg			
Feltham – Young People	Cookham Wood	Werrington	Wetherby								
Feltham – Young Adults	Deerbolt	Brinsford	Aylesbury								
Ford	Kirkham	Hollesley Bay	Sudbury	Spring Hill	Thorn Cross	Kirklevington Grange	Hatfield	Prescoed			
Forest Bank	Altcourse	Elmley	Thameside	Nottingham	Leeds	Bullingdon	Durham	Preston			
Foston Hall	Peterborough Female	New Hall	Eastwood Park	Low Newton	Styal	Bronzefield					
Frankland	Full Sutton	Long Lartin	Whitemoor	Wakefield							
Full Sutton	Frankland	Long Lartin	Whitemoor	Wakefield							
Garth	Grendon	Swaleside	Lowdham Grange	Gartree	Dovegate	Isle of Wight	Rye Hill				
Gartree	Lowdham Grange	Dovegate	Grendon	Garth	Swaleside						
Grendon	Garth	Swaleside	Gartree	Lowdham Grange	Dovegate	Rye Hill	Isle of Wight				
Guys Marsh	Featherstone	Lancaster Farms	Rochester	Coldingley	Onley	Erlestoke	Haverigg	Buckley Hall			
Hatfield	Thorn Cross	Spring Hill	Kirklevington Grange	Ford	Sudbury	Kirkham	Hollesley Bay	Prescoed			
Haverigg	Buckley Hall	Lancaster Farms	Guys Marsh	Rochester	Featherstone	Coldingley	Onley	Erlestoke			
Hewell	Wormwood Scrubs	Pentonville	Wandsworth	Liverpool	Birmingham	Thameside	Altcourse	Elmley			

Deinon				Compa	rators			
Prison	1	2	3	4	5	6	7	8
High Down	Nottingham	Leeds	Bullingdon	Elmley	Altcourse	Thameside	Forest Bank	Norwich
Highpoint	Lindholme	Mount	Berwyn	Ranby	Parc	Stocken	Wayland	Wealstun
Hindley	Portland	Isis	Swinfen Hall					
Hollesley Bay	Kirkham	Ford	Sudbury	Kirklevington Grange	Spring Hill	Thorn Cross	Hatfield	Prescoed
Holme House	Risley	Parc	Lindholme	Oakwood	Ranby	Berwyn	Mount	Northumberland
Hull	Doncaster	Bullingdon	Leeds	Nottingham	Elmley	Altcourse	Thameside	Norwich
Humber	Lancaster Farms	Rochester	Guys Marsh	Featherstone	Coldingley	Onley	Erlestoke	Haverigg
Huntercombe	Maidstone	Warren Hill	Erlestoke	Onley	Coldingley	Guys Marsh	Lancaster Farms	Featherstone
Isis	Portland	Swinfen Hall	Hindley					
Isle of Wight	Rye Hill	Swaleside	Garth	Dovegate	Grendon			
Kirkham	Ford	Hollesley Bay	Sudbury	Kirklevington Grange	Spring Hill	Thorn Cross	Hatfield	Prescoed
Kirklevington Grange	Spring Hill	Thorn Cross	Hatfield	Hollesley Bay	Kirkham	Ford	Sudbury	Prescoed
Lancaster Farms	Rochester	Guys Marsh	Featherstone	Coldingley	Onley	Erlestoke	Haverigg	Buckley Hall
Leeds	Bullingdon	Nottingham	Altcourse	Elmley	Thameside	Norwich	Preston	Forest Bank
Leicester	Winchester	Exeter	Bedford	Lewes	Chelmsford	Peterborough Male	Swansea	Bristol
Lewes	Winchester	Exeter	Leicester	Bedford	Chelmsford	Peterborough Male	Swansea	Lincoln

5 ·	Comparators										
Prison	1	2	3	4	5	6	7	8			
Leyhill	North Sea Camp	Prescoed	Hollesley Bay	Kirkham	Ford	Sudbury	Kirklevington Grange	Thorn Cross			
Lincoln	Bristol	Norwich	Preston	Durham	Nottingham	Altcourse	Elmley	Cardiff			
Lindholme	Berwyn	Ranby	Mount	Highpoint	Stocken	Wayland	Wealstun	Stoke Heath			
Littlehey	Whatton	Wymott	Bure	Stafford	Moorland	Usk	Ashfield	Northumberland			
Liverpool	Hewell	Wormwood Scrubs	Pentonville	Wandsworth	Birmingham	Durham	Preston	Norwich			
Long Lartin	Whitemoor	Full Sutton	Frankland	Wakefield							
Low Newton	Foston Hall	Peterborough Female	Eastwood Park	New Hall	Styal	Bronzefield					
Lowdham Grange	Gartree	Dovegate	Grendon	Garth	Swaleside						
Maidstone	Huntercombe	Erlestoke	Onley	Coldingley	Guys Marsh	Featherstone	Lancaster Farms	Rochester			
Manchester	Woodhill	Belmarsh									
Moorland	Wymott	Northumberland	Brixton	Risley	Oakwood	Holme House	Parc	Lindholme			
Mount	Berwyn	Ranby	Lindholme	Highpoint	Wealstun	Stoke Heath	Wayland	Stocken			
New Hall	Eastwood Park	Peterborough Female	Foston Hall	Low Newton	Styal	Bronzefield					
North Sea Camp	Leyhill	Prescoed	Hollesley Bay	Kirkham	Ford	Sudbury	Kirklevington Grange	Spring Hill			
Northumberland	Oakwood	Parc	Moorland	Brixton	Risley	Holme House	Wymott	Highpoint			
Norwich	Preston	Durham	Lincoln	Bristol	Nottingham	Altcourse	Elmley	Cardiff			

Duinan	Comparators										
Prison	1	2	3	4	5	6	7	8			
Nottingham	Altcourse	Elmley	Leeds	Bullingdon	Thameside	Forest Bank	Norwich	Preston			
Oakwood	Northumberland	Parc	Risley	Holme House	Moorland	Highpoint	Brixton	Lindholme			
Onley	Coldingley	Rochester	Lancaster Farms	Guys Marsh	Featherstone	Erlestoke	Haverigg	Buckley Hall			
Parc	Oakwood	Holme House	Risley	Northumberland	Highpoint	Lindholme	Berwyn	Ranby			
Pentonville	Wandsworth	Wormwood Scrubs	Hewell	Birmingham	Liverpool	Forest Bank	Thameside	Elmley			
Peterborough Female	Foston Hall	New Hall	Eastwood Park	Low Newton	Styal	Bronzefield					
Peterborough Male	Chelmsford	Bedford	Swansea	Winchester	Leicester	Exeter	Lewes	Cardiff			
Portland	Isis	Swinfen Hall	Hindley								
Prescoed	Spring Hill	Thorn Cross	Kirklevington Grange	Hatfield	Ford	Kirkham	Sudbury	Hollesley Bay			
Preston	Durham	Norwich	Bristol	Lincoln	Altcourse	Elmley	Cardiff	Nottingham			
Ranby	Berwyn	Mount	Lindholme	Highpoint	Wealstun	Stoke Heath	Wayland	Stocken			
Risley	Holme House	Parc	Oakwood	Lindholme	Berwyn	Ranby	Mount	Northumberland			
Rochester	Lancaster Farms	Guys Marsh	Featherstone	Coldingley	Onley	Erlestoke	Haverigg	Buckley Hall			
Rye Hill	Isle of Wight	Garth	Grendon	Swaleside							
Send	Downview	Drake Hall									
Spring Hill	Thorn Cross	Hatfield	Kirklevington Grange	Ford	Kirkham	Hollesley Bay	Sudbury	Prescoed			

Deinon		Comparators										
Prison	1	2	3	4	5	6	7	8				
Stafford	Ashfield	Bure	Usk	Dartmoor	Whatton	Littlehey	Channings Wood	Huntercomb e				
Standford Hill	Hollesley Bay	Kirkham	Ford	Sudbury	Kirklevington Grange	Thorn Cross	Spring Hill	Hatfield				
Stocken	Wayland	Wealstun	Stoke Heath	Lindholme	Berwyn	Mount	Ranby	Highpoint				
Stoke Heath	Wealstun	Wayland	Stocken	Ranby	Berwyn	Mount	Lindholme	Highpoint				
Styal	Bronzefield	New Hall	Eastwood Park	Peterborough Female	Foston Hall	Low Newton						
Sudbury	Ford	Kirkham	Hollesley Bay	Hatfield	Spring Hill	Thorn Cross	Kirklevington Grange	Prescoed				
Swaleside	Dovegate	Grendon	Garth	Lowdham Grange	Gartree	Isle of Wight	Rye Hill					
Swansea	Chelmsford	Bedford	Peterborough Male	Winchester	Leicester	Exeter	Lewes	Cardiff				
Swinfen Hall	Isis	Portland	Hindley									
Thameside	Altcourse	Elmley	Nottingham	Leeds	Bullingdon	Forest Bank	Durham	Preston				
Thorn Cross	Spring Hill	Hatfield	Kirklevington Grange	Ford	Kirkham	Hollesley Bay	Sudbury	Prescoed				
Usk	Bure	Ashfield	Stafford	Dartmoor	Whatton	Littlehey	Channings Wood	Warren Hill				
Wakefield	Full Sutton	Frankland	Long Lartin	Whitemoor								
Wandsworth	Pentonville	Wormwood Scrubs	Hewell	Birmingham	Liverpool	Forest Bank	Thameside	Altcourse				
Warren Hill	Huntercombe	Maidstone	Buckley Hall	Haverigg	Erlestoke	Onley	Coldingley	Featherstone				
Wayland	Stocken	Wealstun	Stoke Heath	Lindholme	Berwyn	Mount	Ranby	Highpoint				

	Comparators									
Prison	1	2	3	4	5	6	7	8		
Wealstun	Stoke Heath	Wayland	Stocken	Ranby	Berwyn	Mount	Lindholme	Highpoint		
Werrington	Cookham Wood	Wetherby								
Wetherby	Cookham Wood	Werrington								
Whatton	Littlehey	Bure	Usk	Stafford	Wymott	Ashfield	Brixton	Moorland		
Whitemoor	Long Lartin	Full Sutton	Frankland	Wakefield						
Winchester	Leicester	Exeter	Bedford	Lewes	Chelmsford	Peterborough Male	Swansea	Bristol		
Woodhill	Belmarsh	Manchester								
Wormwood Scrubs	Hewell	Wandsworth	Pentonville	Liverpool	Birmingham	Thameside	Elmley	Altcourse		