

Protecting and improving the nation's health

Screening Quality Assurance visit report

NHS Antenatal and Newborn Screening Programmes, Shrewsbury and Telford NHS Trust

15 and 16 October 2018

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About PHE Screening

Screening identifies apparently healthy people who may be at increased risk of a disease or condition, enabling earlier treatment or better informed decisions. National population screening programmes are implemented in the NHS on the advice of the UK National Screening Committee (UK NSC), which makes independent, evidence-based recommendations to ministers in the 4 UK countries.

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Executive summary

Antenatal and newborn screening quality assurance covers the identification of eligible women and babies and the relevant tests undertaken by each screening programme. It includes acknowledgement of the referral by treatment or diagnostic services as appropriate (for individuals/families with screen-positive results), or the completion of the screening pathway.

The findings in this report relate to the quality assurance visit of the Shrewsbury and Telford Hospital NHS Trust (SaTH) screening service held on 15 and 16 October 2018.

Quality assurance purpose and approach

Quality assurance (QA) aims to maintain national standards and promote continuous improvement in antenatal and newborn (ANNB) screening. This is to ensure that all eligible people have access to a consistent, high-quality service wherever they live.

QA visits are carried out by the PHE screening quality assurance service (SQAS).

The evidence for this report comes from the following sources:

- routine monitoring data collected by the NHS screening programmes
- data and reports from external organisations
- evidence submitted by the provider(s), commissioner and external organisations
- information shared with the Midlands and East regional SQAS as part of the visit process

Local screening service

SaTH offers all 6 NHS antenatal and newborn screening programmes, including:

- sickle cell and thalassaemia and infectious disease screening
- sonography services for first-trimester screening and the 18 to 20+6-week fetal anomaly scan
- laboratory services based at Birmingham Children's Hospital for the analysis of newborn blood spot screening samples
- newborn hearing screening

There is a named screening coordinator and deputy to oversee each of the 6 screening programmes. However, there is no administrative support for the delivery and planning of the screening service. There is no strategic newborn hearing team leader in-post to conform to national standards and service specification (20).

Maternity services are provided to the population of Shropshire using a 'hub and spoke model'. The Princess Royal Hospital in Telford is the hub, with midwifery-led units (MLUs) operating as spokes in Shrewsbury, Ludlow, Oswestry, Bridgnorth and Wrekin.

Between 1 April 2017, and 31 March 2018, 5130 women booked for maternity care with the trust, with 4655 births recorded.

Antenatal and newborn screening services, including the child health information service, are commissioned by NHS England North Midlands.

Findings

This quality assurance visit was the second to the trust. The first was in December 2014, there were 15 recommendations made, 12 are closed, 1 was not completed, and 2 remain on the risk register. The outstanding recommendations are addressed in this quality assurance report.

Since the last visit to the antenatal and newborn screening service, there have been independently commissioned reports and maternity reviews to inform the future development of the maternity service. The published reports have focused on strengthening governance arrangements, the midwifery leadership has since changed with a newly appointed head of midwifery and a new leadership structure in place.

The trust was well prepared for the quality assurance visit, and appropriate evidence was submitted for all 6 screening programmes. The evidence demonstrated a robust review process for guidelines, including the control, ratification and sign-off process. All screening guidelines are in date and in-line with national standards.

Key performance indicator (KPI) compliance is consistently met for 11 out of 13 indicators; they reach the higher achievable threshold for 5 of the KPIs.

The screening service is delivered by a team of dedicated and committed staff. There was evidence of good working relationships between staff across the screening programmes.

Immediate concerns

The quality assurance visit team identified 2 immediate concerns. A letter was sent to the trust's chief executive asking that the following items be addressed within 7 days:

Ultrasound scanning machines in use were showing signs of image deterioration due to age and lack of machine upgrades or service arrangements. Suboptimal images can impact on detection rates and increase inconclusive reports and recall rates. All images obtained should be recorded, stored on an electronic archiving system and attached to the ultrasound scan report. However, the machines do not link to a picture archiving system

One midwife sonographer did not hold the minimum qualification required for performing medical and screening ultrasound scans in-line with the NHS Fetal Anomaly Screening Programme Handbook August 2018.

The trust provided a prompt response and assurance that risks were being mitigated by:

- re-evaluating the risk register score which increased from 16 to 20
- agreeing a capital bid
- allocating older machines to non-screening functions
- establishing a contingency plan to hire new scanners in the event of machine failure
- reallocating the midwife sonographer to non-screening duties

The quality assurance review team remain concerned that the older scanners are still being used in maternity.

High priority

The quality assurance team identified 2 high priority findings as summarised below.

The maternity's incident strategy does not incorporate national guidance for 'Managing Safety Incidents in NHS Screening Programmes' (August 2017). Implementing this guidance is a requirement for all screening programme so that safety issues are handled in line with SQAS advice

There is no administrative support to facilitate timely failsafe checks and audits. The section 7a service specifications state that providers will ensure administrative support for the screening team

Shared learning

The quality assurance visit team identified areas of practice for sharing, including:

- the telephone maternity referral system promotes early booking, reducing delays between referral and screening
- following a telephone consultation to discuss high chance results, the screening ultrasound midwives send a follow-up email with appointment details, and links to further information and support groups
- the screening coordinator has completed an approved haemoglobinopathy counselling course – this is in addition to the named haemoglobinopathy counsellors providing this service
- the trust collects demographic profile data of women who use the maternity service. The profile information is being used to improve access to screening

Recommendations

The following recommendations are for the provider to action unless otherwise stated.

Governance and leadership

| No. | Recommendation | Refere | Times | Priority | Evidence |
|-----|--|--|-----------|----------|--|
| 1 | Recruit a team leader for the newborn hearing screening programme (NHSP) to provide strategic leadership | NHS screening programmes service specification (20) | 3 months | High | A named newborn hearing team leader in place to oversee the quality and governance of the service |
| 2 | The trust to improve the management of screening incidents by: including the national PHE reference 'Managing Safety Incidents in NHS Screening Programmes' in all trust local screening guidelines and policies reporting all suspected screening incidents to screening and immunisation team (SIT) and SQAS following PHE advice to manage the incident and implement agreed actions | NHS screening programmes service specification (15 to 21) National PHE guidance Managing Safety Incidents in NHS Screening Programmes (August 2017) | 12 months | High | Updated and ratified trust incident guidelines and policies Updated maternity risk management strategy Evidence of quality concerns and incidents reported to the screening and immunisation team (SIT) and (SQAS) |

| No. | Recommendation | Refere | Times | Priority | Evidence |
|-----|--|--|-----------|----------|--|
| 3 | Perform regular audit of screening pathways to monitor conformity The first audit should explore why women are screened late Develop an action plan to reduce screening inequalities for those women who book late | NHS screening programmes service specification (15 to 21) | 12 months | Standard | Annual audit schedule in place Late booking audit completed, and report and action plan presented to the programme board and a trust screening steering |
| 4 | Record and store all departmental ultrasound images and reports on an electronic archiving system in-line with fetal anomaly screening programme standards | NHS screening service specification (17) | 12 months | Standard | group (TSSG) Ultrasound images captured, stored and archived on an electronic reporting system and linked to the scan report |
| 5 | Complete antenatal and newborn screening user experience surveys/feedback | NHS screening service specification (15 to 21) | 6 months | Standard | Completed user survey that focuses on the issues pertinent to the trusts antenatal and newborn screening programmes User experience surveys and action plans for screening services are a standard agenda item at a trust screening steering group (TSSG) |
| | | | | | Evidence of user surveys is provided to the programme board |

Infrastructure

| No. | Recommendation | Refere | | Priorit | Evidence |
|-----|---|--|-----------|-----------|---|
| 6 | Implement a staffing continuity plan for the antenatal and newborn screening team to ensure | NHS screening service specification | 12 months | High | Continuity plans agreed and implemented |
| | administration cover so that checking/failsafe systems are consistently implemented | (15 - 21) | | | Administration support embedded |
| | | | | | A weekly failsafe/checking process in place so that screening results are followed in-line with standards |
| | | | | | Evidence of a written failsafe/checking guideline or standard operating procedure |
| | | | | | Assurance is provided to the programme board |
| 7 | Ensure all screening sonographers providing fetal anomaly screening have appropriate national qualifications and training | NHS screening programmes service specifications (16 to 17) | 7 days | Immediate | A training record held of all screening sonographer's ultrasound qualification and awarding body |
| | | NHS FASP Programme Handbook | | | The record is monitored by the screening support sonographer or department lead |

| No. | Recommendation | Refere | | Priorit | Evidence |
|-----|--|--|----------|-----------|--|
| 8 | Make sure that ultrasound machines used for fetal anomaly screening comply with international standards so that scans are of adequate quality Develop options and actions in the event of ultrasound machine break down | NHS screening programmes service specification (16 to 17) NHS FASP Programme Handbook European Council Directive, enforced by the Medicines and Healthcare Regulatory Agency | 7 days | Immediate | Immediate risk register score re-evaluated, and capital bid agreed Machines configured and monitored in accordance national standard requirements Immediate contingency plan in place Formal equipment review and replacement programme in place – review undertaken between 4 to 6 years Assurance is provided to the programme board |
| 9 | Ensure that the newborn hearing screening (NHSP) equipment meets manufacturer specification and NHSP equipment protocols | NHS screening service specification (20) | 6 months | Standard | Screening equipment is capable of electronic transfer of screening data Calibration and equipment checks are in place Assurance is provided to the programme board |

Screening Quality Assurance visit report: NHS Antenatal and Newborn Screening Programmes

Identification of cohort – antenatal

No recommendations

Identification of cohort – newborn

No recommendations

Invitation, access and uptake

No recommendations

Sickle cell and thalassaemia screening

| No. | Recommendation | Refere | Timescal | Priorit | Evidence |
|-----|---|---|-----------|----------|--|
| 10 | Enhance and monitor the plan to meet key performance indicator: ST2: antenatal sickle cell and thalassaemia screening — timeliness of test | NHS screening programmes service specification (18) NHS sickle cell and thalassaemia screening programme standards | 12 months | Standard | Enhanced action plan that is agreed and monitored by the programme board Submission of quarterly data for ST2 showing sustainable achievement of the acceptable standard Action plan in place and monitored at the programme board |

Infectious diseases in pregnancy screening

| No. | Recommendation | Refere | Timescal | Priorit | Evidence |
|-----|--|--|----------|----------|---|
| 11 | Strengthen internal governance processes to ensure regular monitoring of programme standards | NHS screening service specification (15) | 6 months | Standard | Trust screening steering group (TSSG) to review and monitor standards set up Terms of reference for the group with reporting arrangements demonstrating trust board level oversight Screening guidelines state standard timescales recommended by the national screening programmes |
| | | | | | Assurance is provided to |
| | | | | | the programme board |

Fetal anomaly screening

| No. | Recommendation | Refere | Timescal | Priorit | Evidence |
|-----|---|---|-----------|----------|---|
| 12 | Implement a multi-disciplinary clinical review of the screening pathway process when a baby is born unexpectedly with a fetal anomaly | NHS screening service specification (17) | 12 months | Standard | Implemented and embedded multidisciplinary clinical review process in place to monitor fetal anomaly screening outcomes |

Newborn hearing screening

| No. | Recommendation | Refere | Timescal | Priorit | Evidence |
|-----|---|---|-----------|----------|---|
| 13 | Implement and monitor a plan to consistently meet the acceptable level for KPIs: NH2: newborn hearing screening test - timeliness of audiology | NHS screening service specification (20) | 12 months | Standard | Audit reason for delay Action plan that is agreed and monitored by the programme board |
| | assessment | hearing screening | | | Completed audit |
| | | standards | | | Submission of data that shows consistent achievement of the acceptable standard |

Newborn and infant physical examination

| No. | Recommendation | Refere | Timescal | Priorit | Evidence |
|-----|--|---|-----------|----------|--|
| 14 | Amend the newborn infant physical examination (NIPE) guideline to set out referral pathways to internal and external tertiary services | NHS screening service specification (21) | 12 months | Standard | Minor amendment made to the newborn infant physical examination (NIPE) guideline Assurance is provided to the programme board |

Screening Quality Assurance visit report: NHS Antenatal and Newborn Screening Programmes

| No. | Recommendation | Refere | Timescal | Priorit | Evidence |
|-----|--|---|----------|----------|---|
| 15 | Implement and monitor a plan to consistently meet the acceptable level for KPI: NP1: to offer screening within 72 hours | NHS screening service specification (21) | 6 months | Standard | Process in place to facilitate early identification and appropriate management for all eligible babies Babies that are identified as not having a newborn physical examination are followed up locally and the examination completed as soon as possible Assurance is provided to the programme board |

Newborn blood spot screening

No recommendations.

Next steps

Shrewsbury and Telford Hospital NHS Trust is responsible for developing an action plan in collaboration with the commissioners to complete the recommendations contained within this report.

SQAS will work with commissioners to monitor activity / progress in response to the recommendations made for a period of 12 months after the report is published. After this point SQAS will send a letter to the provider and the commissioners summarising the progress made and will outline any further action(s) needed.