Social care common inspection framework (SCCIF): residential provision in further education colleges

Guidance about how residential provision in further education colleges is inspected, for use from April 2019.

Our first principle of inspection is to focus on the things that matter most to children and young people’s lives. The SCCIF is not a ‘one-size-fits-all’ framework. The evaluation criteria are broadly consistent across the different types of children’s social care services but they reflect the unique nature of each type of service.

Please make sure you refer to the published version of the social care common inspection framework for residential provision in further education colleges for updates or amendments.
1. Introduction

What the social care common inspection framework means.

The social care common inspection framework (SCCIF), devised by Her Majesty’s Chief Inspector (HMCI) for use from April 2017, applies to inspections of:

- children’s homes
- secure children’s homes
- independent fostering agencies
- boarding schools and residential special schools
- voluntary adoption agencies
- adoption support agencies
- residential family centres
- residential holiday schemes for disabled children
- residential provision in further education colleges

The SCCIF means that:

- we apply the same judgement structure across the range of settings listed above
- the experiences and progress of children and other service users, wherever they live or receive help, are central to inspections
- there are key areas of evidence that we usually report on at each inspection

The SCCIF isn’t a ‘one-size-fits-all’ framework. When it’s necessary, the SCCIF reflects and addresses the unique and distinct aspects of each type of setting. However, the evaluation criteria we use to make judgements and the accompanying guidance are, wherever possible, consistent across settings.

2. The inspection principles

Ofsted’s corporate strategy outlines how we will conduct inspection and regulation that is:

- **Intelligent**: All of our work will be evidence-led and we will work to ensure that our evaluation tools and frameworks will be valid and reliable.
- **Responsible**: Our frameworks will be fair. We will seek to reduce inspection burdens and make our expectations and findings clear.
- **Focused**: We will target our time and resources where they can lead directly to improvement.
Our approach is further underpinned by the following three principles that apply to all social care inspections.

2.1 To focus on the things that matter most to children and young people’s lives

We have reached a general consensus with the main social care stakeholders that social care inspections should focus on the experiences and progress of children and young people. We regularly ask children and young people, and the adults who look after them, what matters most about children and young people’s experiences and progress.

Using this to guide us, we focus the criteria for our judgements on the difference that providers are making to children and young people’s lives. Adults can only support children and young people well if they’re given the time, resources and information they need to do this, so we also take account of the quality of the support that the adults who care for children and young people receive.

2.2 To be consistent in our expectations of providers

It’s important that professionals and members of the public can compare services that do similar things. We make this possible by being consistent in what we expect from providers.

We use the same judgement structure and the same evaluation criteria, wherever possible, irrespective of where children and young people live or receive help.

Our inspection methods and published guidance only differ where there is a good reason. This includes taking a similar approach to deciding on the frequency of inspections.

2.3 To prioritise our work where improvement is needed most

We are committed to inspecting in a way that focuses our resources where they are needed most. If leaders and managers have shown that they can consistently deliver services for children and young people well, we may decide to return less often or do a more proportionate inspection.

However, we always take into account the risk to children and young people of not inspecting as frequently. We use a broad range of information to tell us whether standards are slipping. We are always able to go back to good and outstanding providers more quickly if we have concerns.

3. The focus of inspections

_Evaluating the impact of services on children and young people._
The SCCIF has a consistent and clear focus on evaluating the impact of care and support on the experiences and progress of children and young people, largely through case tracking and sampling. This means that:

- inspectors spend less time looking at policies and procedures and more time looking at the impact of services on children and young people’s lives
- we give the minimum notice of inspection, so that we can see settings as they are on a day-to-day basis, and so that the time providers may spend preparing for inspection is reduced as much as possible; we will be reviewing whether to reduce the notice period for settings that still have relatively lengthy notice arrangements
- we have set out as clearly as possible the details of the information required by inspectors to assist their inspection; this will enable providers to produce their best evidence whenever we give notice of inspection

4. How inspectors make judgements under the SCCIF

Information about the judgements inspectors make.

4.1 Judgement structure

Our judgement structure stems from our first principle of inspection – to focus on the things that matter most to children and young people’s lives – and places the progress and experiences of children, young people and other people who use children and young people’s services at the core of inspections.

All SCCIF inspections follow the 4-point scale (outstanding, good, requires improvement to be good, and inadequate) to make judgements on the overall experiences and progress of children and young people, taking into account:

- how well children and young people are helped and protected
- the effectiveness of leaders and managers

Inspections of adoption support agencies, voluntary adoption agencies and residential family centres also look at, as appropriate, the experiences of adult service users.

The judgement about how well children and young people are helped and protected is a limiting judgement. This means that if inspectors judge this area to be inadequate, then the ‘overall experiences and progress’ judgement will always be inadequate.

The judgement of the effectiveness of leaders and managers is a graded judgement. If inspectors judge this area to be inadequate, this is likely to lead to a judgement of inadequate, and certainly no more than requires improvement, for ‘overall experiences and progress’.
Inspectors will make the limiting and graded judgements first so that they can take these into account for the ‘overall progress and experiences’ judgement.

4.2 How inspectors use the evaluation criteria

Inspectors will use the descriptions of what ‘good’ looks like as the benchmarks against which to grade and judge performance. The judgement, however, is not derived from a checklist. It is a professional evaluation of the effectiveness and impact of the care and support provided on the experiences and progress of children and young people. Failure to meet all of the criteria for ‘good’ will not automatically lead to a judgement of ‘requires improvement’.

Some criteria will have less relevance than others in some settings because of the nature of the setting and the needs of the children and young people.

Even when all the criteria are relevant, there is always a degree of professional judgement in weighing and balancing evidence against the evaluation criteria.

The inspector judges a setting to be good if they conclude that the evidence sits most appropriately with this finding. We call this the ‘best fit’.

The evaluation criteria for SCCIF inspections are broadly consistent across different types of setting but, where necessary, they have been adapted to reflect the varying and unique nature of each type of provision.

4.3 Required evidence

Inspectors look at several areas of required evidence for each judgement. Some areas are common to all SCCIF inspections but others are specific to the specific type of provision. The areas of required evidence are set out in the bullet points at the beginning of the evaluation criteria for each judgement.

Inspectors always report on each of these areas unless there are exceptional reasons not to do so.

5. Evaluation criteria

The criteria that inspectors use to make judgements, including benchmarks of what good looks like.

5.1 The overall experiences and progress of young people

Areas of required evidence are:

- quality of individual care and support
- quality of relationships between professionals, staff and young people
- progress relating to health, education, and emotional, social and psychological well-being
- how well their views are understood and taken into account
- quality of daily life
- how well they are prepared for their futures and how well transitions are managed

Good

Young people are able to build trusted and secure relationships with the adults who look after them. Residential staff know young people well, listen to them, spend time with them, protect them and promote their welfare. Young people, including those with host families or in lodgings, are able to develop an appropriate sense of belonging. They make progress and have a range of positive experiences.

Young people, including those who communicate non-verbally, are given support to make day-to-day and complex decisions about their lives. They are given guidance where a course of action is in their best interests, and sensitively helped to understand where it isn’t possible to act on their wishes. Young people have access to, and are encouraged to involve, an independent advocate and, where appropriate, an independent visitor.

Young people know how to complain. The college’s complaints policy is easy to understand and accessible. Young people understand what has happened as a result of their complaint. Their complaints are treated seriously and responded to clearly. Urgent action is taken, and practice and services improve as a result.

Young people attend their education and training sessions punctually and are well prepared. They are learning effectively and making good progress from their starting points. Staff are ambitious for young people and support them to attend and do well in their education. There is effective liaison between the residential provision, teaching staff and college leaders.

Young people enjoy a range of social, educational and recreational opportunities. They are supported to engage in faith-based activities if they wish.

Young people are supported to develop independence according to their individual needs. They are shown how to protect themselves from being in unsafe situations or with unsafe people.

Young people are in good health, or being helped to improve their health, or to manage lifelong conditions. Their health needs (including sexual and mental health) are identified. They have access to local health services. Arrangements for managing medication are safe and effective and promote independence wherever possible. Staff develop effective relationships with health professionals to promote good health.

Specialist help is available according to the individual needs of young people. The help is available as soon as it is needed, at the intensity required and for as long as required. If services aren’t available, or a young person is waiting for a long time for help, staff are proactive in challenging and escalating concerns with the placing authority or other partners.

Young people who are new, including those with host families or in lodgings, are welcomed. Newcomers are received sensitively and with careful and considered planning.

Young people are treated with dignity and respect. They experience care that is responsive to their identity and family history including age, disability, ethnicity, faith or belief, gender, gender identity, language, race and sexual orientation.

They are helped to develop a positive self-view. The care they receive increases their ability to form and sustain relationships, build emotional resilience and develop a sense of their...
own identity. It helps them overcome any previous experiences of neglect and trauma.

Staff place the well-being of individual young people at the centre of their practice. Their achievements are celebrated.

Daily needs are met, such as routine, privacy, personal space, and nutritious food at regular mealtimes.

Staff encourage young people to have appropriate contact (direct or indirect) with family, friends and other people important to them. There are no unnecessary restrictions in place.

There are good opportunities for young people to express views. In addition, they’re able to take some responsibility for how their accommodation, pastoral support and social activities are run.

**Requires improvement to be good**

The experiences and progress of young people are likely to require improvement when the college is not yet delivering good help and care for young people.

The weaknesses identified need to be addressed to fully support their progress and experience and to mitigate risk in the medium and long term.

However, there are no serious or widespread failures that result in their welfare not being safeguarded and promoted.

**Inadequate**

The experiences and progress of young people are likely to be judged inadequate if there are serious or widespread failures that mean young people are not protected, or their welfare is not promoted or safeguarded, or if their care and experiences are poor and they are not making progress.

**Outstanding**

The experiences and progress of young people are likely to be judged outstanding if, in addition to the requirements of good, there is evidence of the following.

- There is evidence that professional practice consistently exceeds the standard of good and results in sustained improvement to the lives of young people even where young people have complex or challenging needs.

- There is significant evidence of change and improvement for young people because of the actions of the staff working at the college; the progress of young people is exceptional taking into account their starting points.

- The experience of living at the college enhances young people’s life chances. For young people with the most complex needs, staff are able to evidence the sustained benefit they have had in making a difference to the lives of young people in their care. There are examples of excellent practice that could be shared.

- Research-informed practice, some of which may be innovative, continues to develop from a strong and confident base, making an exceptional difference to the lives and experiences of young people.
5.2 How well young people are helped and protected

Areas of required evidence are:

- how well risks are identified, understood and managed, and whether the support and care provided help young people be increasingly safe
- the response to young people who may go missing or be at risk of harm, including exploitation, neglect, abuse, self-harm, bullying and radicalisation
- how well staff manage situations and behaviour and whether clear and consistent boundaries contribute to a feeling of well-being and security for young people
- whether safeguarding arrangements to protect young people meet all statutory and other government requirements, and promote their welfare

**Good**

Young people feel protected and are protected from harm, including neglect, abuse, sexual exploitation, accidents, bullying and radicalisation. There is a strong, robust and proactive response from all those working with young people that reduces harm or the risk of harm to them, including self-harm. That response includes regular and effective contact and planning with the young person’s family or, if relevant, their allocated social worker.

Young people can identify a trusted adult they can talk to about any concerns. They report that adults listen to them, take their concerns seriously and respond appropriately.

Any risks associated with young people offending, misusing drugs or alcohol, self-harming, going missing or being sexually exploited are known and understood by the staff who look after them. Individual, up-to-date risk assessments address any known vulnerabilities for each young person effectively and set out what action should be taken to address the risks. There are plans in place to reduce the risk of harm and there is evidence that these risks are being minimised.

Young people who go missing from the residential provision experience well co-ordinated responses that reduce harm or the risk of harm to them. Risks are well understood and minimised.

Staff are aware of, and implement in full, the requirements of the statutory guidance for young people who are missing. They take appropriate steps to escalate concerns. Parents and carers are made aware of incidents where the young person has been or is missing where this is appropriate. Staff look for young people when they are missing.

Young people are protected, and helped to keep themselves safe, from bullying, homophobic behaviour, racism, sexism, radicalisation and other forms of discrimination. Any discriminatory behaviours are challenged and support is given to young people about how to treat others with respect.

Young people receive help and support to manage their behaviour and feelings safely. Staff looking after them respond with clear boundaries about what is safe and acceptable and seek to understand the triggers for behaviour.

Positive behaviour is promoted consistently. Staff use effective de-escalation techniques and creative alternative strategies that are specific to the needs of each young person and designed in consultation with them where possible.
Restraint is used only in strict accordance with the legislative framework to protect the young person and those around them. All incidents are reviewed, recorded and monitored and the views of the young person are sought and understood.

Conflict management is effective and includes the appropriate use of restorative practices that improve relationships, increase young people’s sense of personal responsibility and reduce the need for formal police intervention.

Proactive and effective working relationships with the police help to support and protect young people.

Staff understand the risks that use of the internet may pose for young people, such as bullying, sexual exploitation or radicalisation. They have well-developed strategies in place to keep young people safe online and to support them in learning how to keep themselves safe.

Any searches of young people, their rooms and possessions are carried out sensitively by appropriately trained staff with due consideration given to their need to feel safe and to have their dignity and needs respected. All searches are appropriately recorded, including the reasons for the search, efforts to seek the young person’s consent, any risk assessment and management oversight of the decision to undertake a search.

Careful recruitment and regular monitoring of staff and volunteers prevent unsuitable people from being recruited and having the opportunity to harm young people or to place them at risk. The relevant authorities are informed of any concerns about inappropriate adults.

Staff working within the residential provision, including the designated safeguarding lead, know and follow procedures for responding to concerns about the safety of a young person. Any child protection concerns are immediately shared as required with the host or placing local authority. A record of that referral is retained. There is evidence that staff follow up the outcome of the referral quickly and that appropriate action has been taken to protect the young person from further harm. Where the college is not satisfied with the response from either its own local authority or the placing authority, they escalate their concerns appropriately, including by writing to the director of children’s services in the local authority placing the young person (if relevant).

Investigations into allegations or suspicion of harm are shared with the appropriate agencies and are handled fairly, quickly and in accordance with statutory guidance. Young people are supported and protected. Support is given both to the person making the allegation and the person who is the subject of the allegation.

Staff have effective links with local authorities, designate officers and other safeguarding agencies. There is good communication about safeguarding issues, such as any injuries sustained during restraints or allegations against staff. Staff have good relationships with relevant local voluntary sector organisations that may be able to offer specialist support to young people in keeping themselves safe.

The physical environment for young people is safe and secure and protects them from harm or the risk of harm. Risk assessments for the physical environment are regularly reviewed and updated and comply with statutory requirements.

**Requires improvement to be good**

The help and protection offered to young people are likely to require improvement if they are not yet receiving good help and protection, but there are no serious failures that leave them either being harmed or at risk of harm.
## Inadequate

The help and protection offered to young people are likely to be inadequate if there are serious or widespread failures that mean young people are not protected, or their welfare is not promoted or safeguarded, or if their care, support and experiences are poor and they are not making progress.

## Outstanding

The help and protection offered to young people are likely to be judged outstanding if, in addition to the requirements of good, there is evidence of the following.

| Highly effective planning manages and minimises risks inside and outside of the college. |
| Proactive and creative safeguarding practice means that all young people, including the most vulnerable, have a strong sense of safety and well-being and they are unlikely to be missing from the college on a regular basis. |
| Young people are involved in creating ways to de-escalate situations and finding creative alternative strategies that are effective. |
| Research-informed practice, some of which may be innovative, continues to develop from a strong and confident base, making an exceptional difference to the lives and experiences of young people. |

### 5.3 The effectiveness of leaders and managers, including governors

Areas of required evidence are:

- whether leaders and managers show an ambitious vision, have high expectations for what all young people can achieve and ensure high standards of individualised care
- leaders and managers have a clear understanding of the progress that young people are making and take effective action when necessary
- whether leaders and managers provide the right supportive environment for staff through effective supervision and appraisal and high quality induction and training programmes, tailored to the specific needs of the young people
- how well leaders and managers understand the provision’s strengths and weaknesses, prevent shortfalls, identify weaknesses and take effective action
- whether the provision is achieving its stated aims and objectives
- the quality of professional relationships to ensure the best possible all-round support to young people in all areas of their development
whether leaders and managers actively challenge when the responses from other services are not effective

- the extent to which leaders and managers actively promote tolerance, equality and diversity

**Good**

The residential provision is properly staffed and resourced to meet the needs of the young people in residence. The staff team is suitably vetted and qualified and are able to deliver high quality services to young people. Arrangements for recruitment and appraisals are robust.

Leaders and managers regularly monitor the quality of help and support. They use learning from practice and feedback to improve the experiences and progress of young people. This includes, for example, direct testimony from young people, parents, residential staff, teaching staff, host families and others. They learn from complaints, staff feedback, placement successes and breakdowns, and any serious events. They identify strengths and areas for improvement and implement clear development plans that improve the experiences and progress of young people. Action is taken to address all issues of concern, including concerns or complaints from young people, parents, and local residents. Proper investigations are undertaken. Local authorities are engaged as necessary. Effective action has been taken to address all recommendations from previous inspections.

Leaders and managers ensure that plans for individual young people comprehensively address their needs. Leaders and staff work proactively and positively with other agencies and professionals. They seek to build effective working relationships with parents and social workers from placing authorities and with the local authority where they are located to secure positive outcomes for young people.

Where young people are not settling into the residential provision, leaders and managers take effective action.

Leaders and managers monitor the progress that each young person makes and can demonstrate the positive impact that the residential provision has had on their progress and life opportunities.

Managers and staff receive regular and effective supervision that is focused on young people’s experiences, needs, plans and feedback. There is effective support and challenge, including through team and management meetings, to ensure that the professional development of staff and leaders results in the right environment for good practice to thrive. The emotional impact of the work on staff is recognised and managed well by leaders and managers.

Training, development and induction activities are focused on making sure the college can meet the specific needs of the young people. Activities are evaluated to ensure they lead to effective practice. Leaders, managers and staff are up to date with current practice in their specialist area.

The staff team works collaboratively to provide consistency and stability. There are clear responsibilities and accountabilities and the staff team has a sense of shared ownership about its practice. Staff report that they are well led and managed and there is other evidence to support this.

Leaders and managers ensure the physical environment is maintained to a high standard, meets the needs of young people, and feels and looks like a welcoming home. Any damage
or wear and tear is quickly and regularly repaired.

Volunteers who work with young people in residence are trained, supervised and supported to carry out their roles and provide a high quality service that enhances the experiences of young people.

The college ensures that information about significant events relating to welfare and protection is shared with the appropriate authorities and individuals. Leaders and managers take the necessary action following the incident to ensure young people’s needs are met and that they are safe and protected.

The ethos and objectives of the residential provision is characterised by high expectations and aspirations for all young people. This is demonstrated in practice.

Leaders and managers regularly review and act on any known risks to young people, taking advice and guidance from local partners and agencies.

### Requires improvement to be good

The effectiveness of leaders and managers is likely to require improvement if the characteristics of good leadership and management are not in place. Where there are weaknesses in practice, leaders and managers have identified the issues and have plans in place to address them, or they are less serious and there is capacity to take the necessary action.

### Inadequate

The judgement on the effectiveness of leaders and managers is inadequate if there is evidence of the following.

The experiences, progress or protection of young people are inadequate, and leaders and managers do not know the strengths and weaknesses of the home. They have been ineffective in prioritising, challenging and making improvements.

The college fails to work effectively in partnership with others in the best interests of young people.

### Outstanding

The effectiveness of leaders and managers is likely to be judged outstanding if, in addition to the requirements of good, there is evidence of the following.

Leaders and managers are inspirational, confident, ambitious for young people and influential in changing the lives of those in their care.

They create a culture of aspiration and positivity and have high expectations of their staff to change and improve the lives of the young people they are responsible for.

They lead by example, innovate and generate creative ideas to sustain the highest quality care for young people.

They know their strengths and weaknesses well and can provide evidence of improvement over a sustained period.

They develop and maintain professional relationships between the agency and partner agencies that ensure the best possible care, experiences and futures for young people.
6. Legal context

Inspections of residential provision of FE colleges and the law.

Under the Education and Inspections Act 2006 (www.legislation.gov.uk/ukpga/2006/40/contents), Ofsted carries out its work in ways that encourage the services it inspects and regulates to:

- improve
- be user-focused
- be efficient and effective in the use of resources

Section 87 of the Children Act 1989 and the National Care Standards Commission (inspection of schools and colleges) regulations 2002 (www.legislation.gov.uk/uksi/2002/552/contents/made) set out the legal basis for the inspection of residential provision in further education colleges. [The relevant residential provision of any independent specialist college is also subject to inspection by Ofsted in accordance with additional functions assigned by the Secretary of State in June 2017 and its Education and Skills Funding Agency (ESFA) grant agreement provided it is not registered with the Care Quality Commission (CQC) as a care home. Inspection will be carried out in accordance with the SCCIF as set out throughout this guidance.]

When inspecting the residential provision, Ofsted takes into account the knowledge and understanding gained from previous inspections, and to relevant legislation including:

- the national minimum standards (NMS) for the accommodation of students under 18 by further education colleges (http://webarchive.nationalarchives.gov.uk/+/www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_4005629)

The NMS apply to FE colleges as defined in section 91 of the Further and Higher Education Act 1992 (www.legislation.gov.uk/ukpga/1992/13/section/91) and 16 to 19 academies.

[This also applies to the relevant residential provision of any independent specialist college that is not registered with CQC as a care home in accordance with its ESFA grant agreement.]

Ofsted does not regulate residential provision in FE colleges. This means that, unlike some other types of social care or welfare provision, Ofsted does not inspect residential provision in colleges against a set of regulations or raise actions where such regulations are not met.
Where a college is registered as a care home, the Care Quality Commission inspects the residential provision.

If an inspector identifies that a college is providing residential accommodation for young people aged 16 to 18 years, and this was not previously known to Ofsted, the inspector should email socialcare@ofsted.gov.uk; the social care policy team will then notify the Education and Skills Funding Agency (ESFA).

7. Notice

The length of notice given before an inspection and the information we request.

7.1 Notice of an inspection

Further education colleges are notified of an inspection of their residential provision up to 3 hours before the inspection.

The lead inspector telephones the nominated contact at 9am on the morning of inspection and the inspection usually starts at midday the same day. This is confirmed by email. Please see letter template (https://www.gov.uk/government/publications/residential-provision-of-further-education-colleges-inspection-documents).

At the initial telephone call, the lead inspector speaks to the principal or, if this is not possible, the member of staff in charge of the residential provision. The telephone call and email confirmation informs the college of:

- the start and end dates of the inspection
- the names of the lead and other inspectors, if applicable
- brief information about the inspection process, how to obtain documents from our website and links to the national minimum standards and Ofsted’s complaints procedure

We ask colleges to provide access to premises and records, as well as space for the inspector to work. Inspectors may need some help to navigate the system where records are electronic. Hard copies of files don’t need to be provided unless already used by the college, although inspectors may ask for specific reports or documents to be printed.

7.2 Request for information at an inspection

When the lead inspector notifies the college of an inspection, they will send a form for the college to complete that gives information to support the inspection (www.gov.uk/government/publications/residential-provision-of-further-education-colleges-inspection-documents).

Inspectors also request:
details of meetings that will occur during the inspection that the inspector may be able to attend

information about the young people who are resident at the college, so the inspector can choose which records and cases to track and sample in order to review the progress and experience of the young people staying at the college.

The college can update any data previously given to Ofsted. Inspectors accept any evaluation the college has made of its provision, in whatever form they wish to present it. This does not need to be sent to Ofsted prior to the announcement of the inspection.

Some of the information is stored by Ofsted for data analysis purposes. No personal data will be stored.

8. Scheduling and the inspection team

How an inspection is scheduled and who makes up the team.

8.1 Frequency of inspection

Ofsted usually conducts an inspection of the residential provision of an FE college at least once every 3 years.

Where provision is either inadequate or requires improvement, we usually carry out a monitoring visit and re-inspect within 2 years. Ofsted reserves the right to conduct unannounced inspections or monitoring visits without notifying in advance.

The frequency of inspections of residential provision in colleges is not prescribed by law. This is a matter of policy for Ofsted.

8.2 Scheduling

The scheduling of inspections takes account of:

- previous inspection findings
- complaints and concerns about the service
- returned questionnaires from young people, parents, social workers and other stakeholders
- information given, or concerns raised, by a funding body, employers, parents, carers or learners
- monitoring visits
- published data
8.3 Length of inspection

For a full inspection of an FE college’s residential provision, up to two inspectors usually spend a total of two days on site, spread over three days.

The lead inspector and the regulatory inspection manager (RIM) should decide how best to allocate resources for inspections. If necessary, the RIM can agree to either the inspector spending additional days on site or more inspectors being brought on the inspection, or fewer, particularly if there are less than 10 students living on site.

Inspectors should consider:

- whether the amount of time on site should be reduced for inspections of provision with a very small number of young people resident at the college
- whether additional resources, for example more inspectors, or more time, should be arranged for:
  - larger residential colleges
  - colleges with a wide geographical spread
  - where there are specific issues such as a serious incident to consider

8.4 Deferrals

Inspections will not normally be deferred. Absence or unavailability of staff (unless the provider is a single person) or accommodation issues such as refurbishment will not usually be reasons for deferral. If no staff are available, the inspector should contact the responsible individual or person in charge to arrange access.

An inspection will only be deferred when it might place young people or others at risk if it goes ahead or if the ability to gather secure evidence is severely restricted. These conditions might include:

- serious weather conditions that make access to sites difficult or dangerous or both
- a serious incident where the presence of an inspector would have an adverse impact on the safety and well-being of young people or adults

Decisions about deferrals are agreed by the regulatory inspection manager (RIM).

9. Timeframe

Timeframe for an inspection from planning to publication of the report.

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<thead>
<tr>
<th>Day</th>
<th>Full inspection activity</th>
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<tbody>
<tr>
<td>1</td>
<td>Preparation</td>
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<td>2</td>
<td>Notice – site visit (usually at around midday on the day of notification)</td>
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<tr>
<td>3</td>
<td>Site visit</td>
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<td>4</td>
<td>Site visit</td>
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10. Preparing for an inspection

What happens before an inspection.

10.1 Analysis and planning

Pre-inspection analysis and planning are an important part of all SCCIF inspections. Inspectors are allocated a full day to prepare for an inspection of the residential provision of FE colleges. They use this time to review the information Ofsted holds, and to ensure that the fieldwork is focused and used to best effect in collecting first-hand evidence.

The information Ofsted holds includes:

- previous inspection reports
- any concerns and complaints received
- the lead inspector’s pre-inspection analysis of evidence – this may include, but is not restricted to, any self-assessment report (or equivalent evaluation report) and development/quality improvement plan submitted by the college
- where available, published performance data for the previous three years such as recruitment data, achievement rates, any value-added data, destination data and employment rates
- completed questionnaires from young people and other stakeholders
- the college’s own website, which may contain relevant policies and procedures and the college’s statement of principles (or equivalent)
- outcomes of any monitoring visits
- information from the local authority’s designated officer about any child protection enquiries or concerns that are ongoing or that have occurred at the college
- any additional background information about the local economic and social context relevant to the college

Some of this information is drawn together in the provider information portal (PIP). In addition, the inspector should familiarise themselves with relevant background and context information such as the most recent inspection of the local authority.
where the college is situated and the most recent college (education provision) inspection carried out by Ofsted or any other relevant body.

The inspector carries out an analysis of the available evidence and information and must record their planning notes on the inspection database.

The plan for the inspection should identify:

- lines of enquiry
- areas of apparent weakness or significant strength
- areas where further evidence needs to be gathered

The focus of the inspection may change during its course as further evidence emerges.

### 10.2 Questionnaires

Each year, Ofsted uses online questionnaires to gather a range of views about different types of setting. Where relevant, this includes the views of:

- young people
- parents and carers
- staff
- foster carers
- adopters
- adult service users
- other interested parties such as placing social workers and independent reviewing officers

Ofsted sends links to the questionnaires annually to each college by email and asks them to distribute those links on its behalf. The responses are submitted directly to Ofsted.

Responses are shared with the inspector for the service or setting and are used to inform the planning and scheduling of inspections. Where there are no responses, this also forms a line of enquiry for the inspection.

### 11. The on-site inspection

*What happens during an inspection.*
11.1 The start of the inspection

At the start of all inspections, the inspectors confirm their identity by producing their Ofsted inspector authorisation and identification card and identity badge. They don’t need to carry paper copies of Disclosure and Barring Service (DBS) checks.

The lead inspector always meets with the principal or the member of staff in charge of residential provision at the beginning to:

- outline the plan for the inspection, including any meetings or events during the inspection that inspectors may wish to attend or observe
- outline any lines of enquiry for the inspection
- give the college a chance to share information or personal issues relating to any young people or members of staff that inspectors need to know during the inspection
- ensure that Ofsted holds the correct details on the inspection database, including email address and contact telephone numbers for the provision
- arrange the approximate time that verbal feedback will be given and to whom - feedback will normally be given to the principal or the member of staff in charge of residential provision; additional senior staff linked to the college may attend at the discretion of the lead inspector if agreed in advance

11.2 Case tracking and sampling

Evaluating the experiences and progress of young people resident at the college is a core inspection activity. This is largely based on evidence from case tracking and sampling.

For tracked cases, inspectors take an in-depth look at the quality of the help, care and protection that individual young people have experienced. For sampled cases, inspectors look at elements of practice within individual cases, usually to follow lines of enquiry.

We will take into account individual starting points and circumstances during inspections. We recognise that even slight progress in a particular aspect of a young person’s life may represent a significant improvement.

Young people’s overall experiences and progress are partly a result of how well they are helped and protected, and the effectiveness of leaders and managers. Inspectors consider the ‘help and protection’ and ‘leadership and management’ judgements first so they can take these into consideration when reaching the ‘overall experiences and progress’ judgement.

Inspectors track the experiences and progress of at least four young people. Where there are fewer than four young people resident, inspectors track the experiences and progress of all young people who are resident at the college.
Inspectors also sample elements of other cases to follow specific lines of enquiry. The size of the provision and the nature of any lines of enquiry determine how many cases are sampled.

Tracked and sampled cases should be selected by the inspector from the case list they request when they notify the college of the inspection. Tracked cases should be representative of the current group of resident young people and may include:

- students from both year groups
- a student who lives in lodgings (where applicable)
- a student who has complex disabilities or health needs
- a student who has gone missing from the college
- a student of a particular gender where there is a minority of one or the other gender
- a student who is also a looked-after child or subject to a child protection or child in need plan
- Inspectors may look at evidence from students who have recently turned 18, particularly if the number of students aged 16-18 is low in order to gain sufficient evidence that young people make progress at the college

Inspections also usually assess the management of a recent serious incident (where relevant), so they can understand:

- how the staff team responds to complex and difficult circumstances
- whether the actions of leaders, managers and staff are focused on promoting and safeguarding the welfare of young people

Written records are only one aspect of tracking the young person’s journey. Inspectors enhance their understanding of the young person’s experience through evidence from other sources, such as:

- observation of college activities
- the young person
- the staff
- the young person’s birth family (where appropriate)
- other practitioners (for example tutors and mentors) involved in their care

When tracking the case of a looked-after young person, the inspector must always consult the independent reviewing officer and the placing social worker.

Inspectors examine, discuss and evaluate cases in line with the evaluation criteria. They look for evidence that the residential provision has had a positive impact on the
experiences and progress of young people and that managers and staff know they are making a difference to young people’s lives.

The detail of activities undertaken and discussions held varies, depending on the lines of enquiry for each individual inspection.

11.3 Listening and talking to young people

The views of young people who are resident at the college provide important evidence of their experiences and progress. Inspectors assess how well the college consults with resident young people. The views that have been gathered by the college are taken into account as part of the inspection evidence.

Inspectors always try to meet with young people during the inspection. Inspectors may make alternative arrangements to speak to young people, such as telephone calls at a pre-arranged time.

Inspectors take into account the specific communication needs of individuals. For some young people, inspectors may request the assistance of staff who know and understand the young person’s preferred means of communication, particularly if this is unique to the young person. In other instances, it may be appropriate for inspectors to spend time observing young people and how they interact with staff and respond to their environment.

Inspectors can request the services of an interpreter to join the inspection. This is helpful when young people or staff are fluent in British Sign Language. Inspectors request this service via the inspection support team and give 2 weeks’ notice where possible.

Many experiences take place after the normal college day and it is essential that inspectors are present at this time. Inspectors involve young people in inspection activity wherever they can. Opportunities to gather the views and experiences of young people may include:

- asking young people to show inspectors around some of the residential provision or lodgings
- meeting groups of young people
- spending mealtimes with young people
- spending time observing and talking informally to young people in the residential provision
- observing or participating in recreational activities that the young people take part in after the end of the college day

Young people, including those with limited or no verbal communication, may wish to share their views in a letter to the inspector.

Inspectors demonstrate safe and sensitive practice by:
- telling staff where conversations with young people are taking place and who is involved
- being sensitive to the fact that some young people may not want to be involved in the inspection
- explaining to young people that they will not include comments that will identify them in the inspection report or in feedback to staff without their permission
- ensuring that staff are aware of any arranged meetings with young people and that young people may leave the meeting at any time
- where appropriate, explaining to young people that information suggesting that they are at risk of harm will be passed by the inspector to someone able to take the right action

11.4 Observation of activities

Inspectors can use the college’s scheduled activities as opportunities for observing and following lines of enquiry. These activities could include:

- staff meetings
- leisure activities
- mealtimes

Where catering is provided, inspectors are likely to sit with young people during mealtimes. This gives inspectors evidence of catering provision and arrangements, and is an opportunity to observe general behaviour and to speak informally to young people in a communal setting.

Inspectors evaluate how individual dietary needs are met. Inspectors speak to the catering manager and ask to see a sample of menus if this is a specific line of enquiry. Where students self-cater or stay in lodgings or with host families, inspectors talk to students and staff and relevant adults about the quality of the arrangements.

The privacy and confidentiality of personal information are respected at all times by inspectors. The inspectors always involve the college in any decisions about the involvement of young people in the inspection.

Inspectors always try to strike a balance between the time it takes to observe an activity with the significance of the likely evidence to be gained.

11.5 Inspecting the accommodation

Inspectors evaluate the suitability of the college’s premises and residential accommodation. If possible, they will visit all the residential units, but the amount of time spent in each may vary. Inspectors may ask to be accompanied by young
people, and may speak to staff or other young people they meet while touring the premises.

Inspectors may see a number of extra-curricular or leisure activities and spend time talking to residential learners about their experiences, including what happens at weekends. Inspectors establish the quality of study or recreation areas and how these support learning and development.

Where the college arranges for young people to live in lodgings (also referred to as “home stay” arrangements) during term-time instead of on-site accommodation, the suitability of this accommodation and the welfare of young people in it are assessed during the course of the inspection. Inspectors may consider:

- a sample of the recruitment checks carried out for the host families to establish that appropriate procedures have been followed as set out in statutory guidance. This includes the application for a DBS enhanced certificate for the designated carers and that checks were carried out prior to any child placed. For other family members aged over 18 it may only be possible to apply for a standard DBS check
- if other appropriate checks are made prior to placing the student and include:
  - references (character reference from employer and/or volunteer groups) for those living in the home over 18
  - interviews with those over 18 living in the home
  - risk assessment of the home prior to placement of the student
  - agreement between the college and main carer concerning expectations in terms of safeguarding e.g. ensuring the designated carer does not leave the student in the care of lodger or older child.

Inspectors may also:

- spend time with the college’s member of staff responsible for lodging
- examine the college’s written guidance to host families
- sample written agreements between the college and adults providing lodgings
- discuss with the college its arrangements for monitoring its lodgings
- look at any records of monitoring and training of host families
- talk to a lodging provider

Inspectors only visit lodgings if this is a specific line of enquiry.

11.6 Gathering views of other professionals

Inspectors consult with professionals to inform their findings. This is usually done by phone and may not happen on site. Professionals might include:
Inspectors ask colleges for the relevant contact details. They should always take account of privacy and confidentiality when on the phone during the inspection.

11.7 Discussions with managers and staff

Individual interviews are held with the manager and other staff. The number depends on the size of the residential provision but will include a sample of permanent and agency staff working at the college during inspection.

The interview with the manager usually addresses issues that have arisen from pre-inspection information or emerging lines of enquiry. The meeting is also a chance to discuss progress from previous inspection recommendations.

Emerging findings about strengths and weaknesses are shared with the manager at the end of the first day. The inspector is likely to set out any issues they intend to consider later in the inspection so they can prepare or direct inspectors to any specific information needed.

Shortfalls that could have an immediate impact on the safety of staff or young people are brought to the attention of the manager as soon as the inspector has identified the problem.

Inspectors want to establish that the college’s monitoring systems are robust enough for managers to identify strengths and weaknesses in practice.

Inspectors do not spend time routinely counting medication or petty cash, undertaking vehicle checks, checking water temperatures or contents of fridges, freezers and food storage areas unless these are specific lines of enquiry.

Inspectors should be prepared to alter interview arrangements if staff have to attend to the needs of young people.

11.8 Implications of the Equality Act

The Equality Act 2010 (www.legislation.gov.uk/ukpga/2010/15/contents) came into effect on 1 October 2010. The Act makes it unlawful for an employer to ask a potential employee questions about their health or disability before they are offered employment, whether on a conditional or unconditional basis.

Social care providers must comply with both the Equality Act and the remit-specific regulations that require them to employ people who are fit, both physically and mentally, for the work.

To comply with both laws, this means that providers may give conditional offers of employment to potential employees after the recruitment process, subject to
appropriate medical and health checks. However, there are a number of exemptions to the provisions in the Act. If a provider believes that an exemption applies to their recruitment of staff, they should take their own legal advice.

Inspectors will assess whether providers have a rigorous recruitment and vetting process in place, including ensuring that their employees are mentally and physically fit before they commence work as part of their inspection.

11.9 Examination of records, policies and procedures

Inspectors do not routinely examine all policies and procedures. Documents such as young people’s records or staff recruitment records are examined where they are part of case tracking or sampling or a line of enquiry for that individual inspection.

Inspectors focus on how documents such as risk assessments work in practice, rather than on the format. What matters is that they are fit for purpose and provide enough information so that all the relevant people can care for young people. Where paper or electronic personnel records are maintained at the college, the inspector may ask to see those records, if they are included within the lines of enquiry.

Where the college uses the Disclosure and Barring Service (DBS) update service, it should be able to demonstrate how it manages and records details of any check it carries out. If any lines of enquiry need additional information, the inspector may ask for a small sample of full personnel records to be available at the inspection visit.

Where members of staff are subject to TUPE arrangements, we recognise that the new employer relies on the previous employer for recruitment records relating to those staff and may not have all the information, including documents required by the regulations.

In these cases, we expect the new employer to hold enough information to know staff are suitable, including criminal record checks or vetting records, and reference to employment records such as appraisals.

11.10 How inspectors record the evidence

Inspectors must analyse the information they gather on inspection and use their professional judgement to assess the impact on the experiences and progress of young people and other service users.

Inspectors’ evidence should be clear, evaluative and sufficient to support the judgements.

The evidence should tell the story of the experiences and progress of young people and other service users, as appropriate. Evidence should not include information that could identify individuals unless it is necessary to protect a young person or to support further action. In these instances, inspectors can use individuals’ initials.
Inspectors can record direct quotes from young people, adult service users and other interested parties in evidence to support judgements.

The record should clearly indicate the source of the evidence (for example, whether the evidence is from observation, a written record or a face-to-face interview). If evidence is comes from an interview, the record must indicate the time of the interview and the interviewee’s job title or relationship to the young person.

Throughout the inspection, inspectors maintain a record of their evidence. Electronic evidence is recorded in the inspection database. Summarised evidence must be sufficient to support the judgements and any recommendations or requirements. Inspectors must make sure the college understands the evidence the judgements are based on and any requirements that stem from the judgements.

After the summarised evidence has been placed in the inspection database, any duplicate handwritten evidence should not be destroyed by the inspector until at least 10 days after the inspection. In some circumstances, inspectors will be required to keep any handwritten notes they have made during the inspection for longer. This may be necessary, for example, when legal action or a complaint about the judgement is being considered.

All handwritten evidence should be legible and dated. Handwritten evidence that has not been summarised forms part of the inspection evidence base, and should therefore be scanned and added into the inspection database within five working days of the end of the on-site visit.

Evidence may be scrutinised for quality assurance and will be considered in the event of any complaint.

**11.11 Feedback at the end of the inspection**

At the end of the inspection, the inspector will give verbal feedback of the main findings and provisional judgements. This feedback will usually be given to the responsible individual, as appropriate.

Additional senior staff from the college may also attend, if agreed in advance with the inspector. In some circumstances, an inspector may need extra time after the inspection fieldwork to take advice before giving feedback. The day of feedback is counted as the last day of the inspection.

The inspector should:

- cover the main findings of the inspection, including both strengths and weaknesses
- clearly communicate the likely judgements
- indicate likely recommendations, with clear reference to the relevant national minimum standard or quality standard, providing a clear direction for improvement
use the grade descriptors and the evidence to clearly indicate how the 
judgements have been reached
confirm when the report will be sent to the manager for comments on 
factual accuracy (see timeframe)

Inspectors will not provide a written summary of the inspection or written feedback 
in advance of the inspection report being sent. Providers may choose to take their 
own notes at feedback.

Feedback to young people
Inspectors should give feedback to young people in a way that’s appropriate to their 
age and understanding. Inspectors make particular efforts to address matters raised 
by young people.

12. Making recommendations

How inspectors make recommendations to help the college improve.

Inspectors make recommendations to improve practice.

In making a recommendation, inspectors should refer to the NMS for the 
‘Accommodation of students under eighteen by FE colleges’ 
(http://webarchive.nationalarchives.gov.uk/20120503165303/http:/www.dh.gov.uk/e 
n/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_4005629) 
.

They should always give enough detail so the manager in charge is clear what to do. Inspectors should summarise the relevant part of NMS, and may also make 
recommendations in relation to other relevant statutory guidance such as ‘Working 
together to safeguard children (www.gov.uk/government/publications/working-
together-to-safeguard-children)’ or ‘Statutory guidance for children who run away or 
go missing from home or care’ (www.gov.uk/government/publications/social-care-common-
missing-from-home-or-care).

If, during an inspection, the manager in charge rectifies a minor administrative error 
that has minimal impact on the quality of assessment, care and support of young 
people, an inspector may not need to make a recommendation about it. However, 
they may refer to this in the leadership and management section of the report.

If a college has breached an NMS, then the inspector should send an email to 
socialcare@ofsted.gov.uk so that the social care policy team can notify the ESFA.
13. Inadequate judgements: next steps

What happens following inadequate or requires improvement judgements, including urgent case reviews.

When provision has been judged as inadequate or requiring improvement, we usually undertake a monitoring visit within 6 months to report on the college’s progress against the areas for improvement identified in the inspection report. The exact timing and nature of subsequent inspection and monitoring visits, however, is determined on a case-by-case basis.

A judgement of inadequate for the overall experiences and progress of young people will lead to a post-inspection debrief between the lead inspector and the regulatory inspection manager (RIM) as soon as possible, followed by a case review. The post-inspection debrief provides an opportunity for them to discuss the inspection and the quality of the evidence, and to consider a recommendation for further action to take to the case review. The timing of the case review should be proportionate to the risk and certainly no later than 5 working days following the inspection. The placing authority in this context is the authority funding the placement.

The case review must record:

- actions to be taken
- that the director of children’s services (DCS) of the authority where the college is based must be notified
- that the ESFA has been notified (the inspector should email socialcare@ofsted.gov.uk - the social care policy team will then notify ESFA)
- that Ofsted has alerted the local authorities that have funded placements, to the concerns that have been identified – where a large number of placing authorities fund placements, the case review should discuss arrangements for obtaining the identities of the placing authorities from the college, and plans for Ofsted to make contact with each one
- that the DCS of the host authority, and of any placing local authorities, will be emailed about the provisional outcome of the inspection, including the concerns, in line with the feedback given to the college

The indicative judgement and inspection feedback should be sent to the DCS by the end of the working day following the case review. The college must be sent a copy of the email sent to the DCS of the relevant local authorities, so that it is aware of the information shared. As this judgement is still provisional, the DCS and ESFA must be instructed to use the information sensitively and share with others based on their assessment of who needs to know.

Details of the emails must be recorded on the inspection database for future reference.
Parents are responsible for identifying and funding some placements. This may include placements for young people who have been placed from other countries. In this situation, there is no need to inform the local authority where the young person would normally live as it is unlikely they will be aware of the arrangements.

Where young people have been placed by parents, the case review decides whether Ofsted needs to contact the parents immediately or whether it is appropriate to delay until the report has been finalised. Ofsted asks the college to confirm the date that it has sent the inspection report to all parents within its post-inspection action plan.

14. The inspection report

What is in the report and how the inspector checks and submits it.

The report should be succinct and evaluative. Inspectors’ analysis must include clear evidence for their professional judgements.

Published reports will usually be between 10 and 12 pages long, but may be shorter. Reports for settings that have several weaknesses or that are found to be outstanding may require more detailed explanations for the judgements. Inspectors should ensure that the reports are long enough to say what needs to be said and no longer.

14.1 Content of the SCCIF report

<table>
<thead>
<tr>
<th>Information about this service</th>
<th>Brief contextual information about the service</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date and judgement of last inspection</td>
<td>The date and overall judgement of the last inspection</td>
</tr>
<tr>
<td>Enforcement action since the last inspection (registered providers only)</td>
<td>A brief summary of any enforcement activity we have taken since the last inspection</td>
</tr>
<tr>
<td>Findings from this inspection</td>
<td>This includes any areas for development for a service judged outstanding or good and any strengths for those requiring improvement to be good or inadequate</td>
</tr>
<tr>
<td>Areas for improvement</td>
<td>Any recommendations and statutory requirements (where relevant)</td>
</tr>
<tr>
<td>Inspection judgements</td>
<td>The judgements made and accompanying text</td>
</tr>
<tr>
<td>Information about this inspection</td>
<td>What we have looked at and information about the legal basis for the inspection</td>
</tr>
<tr>
<td>Service details</td>
<td>Information on the provider running the service</td>
</tr>
</tbody>
</table>
14.2 Quality assurance

The inspector is responsible for the quality of the report. The inspector will check the completed report carefully before submitting to their manager for sign-off and publication.

Any proposed change of judgement from the provisional judgement given at verbal feedback during the inspection will be discussed by the appropriate managers within Ofsted. On these rare occasions, the inspector must inform the provider of the revised judgements and provide reasons for the changes before the provider receives the draft report.

Following each inspection, Ofsted will send an evaluation form to the provider. Feedback from providers will be used to improve the quality of inspections.

15. Conduct during inspection

How inspectors and colleges should work together.

15.1 Conduct of inspectors

Inspectors must uphold the highest professional standards in their work. The code of conduct requires inspectors to:

- evaluate objectively, be impartial and inspect without fear or favour
- evaluate provision in line with frameworks, regulations and standards
- base all evaluations on clear and robust evidence
- have no connection with the college that could undermine their objectivity
- report honestly and clearly, ensuring that judgements are fair and reliable
- carry out their work with integrity, treating all those they meet with courtesy, respect and sensitivity
- endeavour to minimise the stress of inspection on those involved
- act in the best interests and well-being of service users
- maintain purposeful and productive dialogue with those being inspected and communicate judgements clearly and frankly
- respect the confidentiality of information, particularly about individuals and their work
- respond appropriately to reasonable requests
- take prompt and appropriate action on any safeguarding or health and safety issues
15.2 Expectations of colleges

For inspection and regulation to be productive and beneficial, inspectors and colleges must establish and maintain a professional working relationship that is based on courtesy and professional behaviour. Inspectors are expected to uphold the code of conduct, but we also expect colleges to:

- be courteous and professional
- apply their own codes of conduct in their dealings with inspectors
- allow inspectors to conduct their visit in an open and honest way
- allow inspectors to evaluate the provision objectively against the inspection evaluation criteria and relevant standards and regulations
- provide evidence that will enable the inspector to report honestly, fairly and reliably about their provision
- work with inspectors to minimise disruption, stress and bureaucracy
- ensure the health and safety of inspectors while on their premises
- maintain a purposeful dialogue with the inspector or the inspection team
- draw any concerns about the inspection to the attention of inspectors promptly and in an appropriate manner
- respect the fact that inspectors need to observe practice and talk to staff and users without the presence of a manager or responsible individual

16. Concerns or complaints about an inspection

How to raise a concern or complaint.

16.1 Concerns

Most of Ofsted’s work is carried out smoothly and without incident. If concerns do arise during an inspection, they should be raised with the inspector as soon as possible during the inspection visit.

This provides an opportunity to resolve the matter before the inspection is completed. Any concerns about the factual accuracy of the findings in the report can be raised after the inspection.

If the provider is unable to resolve the matter with the inspector, they should contact the inspector’s RIM for further discussion.

16.2 Complaints

If it has not been possible to resolve concerns, a formal complaint can be raised under Ofsted’s complaints procedure (www.gov.uk/government/publications/complaints-about-ofsted).
Complaints can be submitted to Ofsted at any stage during an inspection and should be submitted no more than 10 working days after publication or any report or letter. We do not normally withhold publication of an inspection report or withdraw a published inspection report while we investigate concerns.

Complainants must send their concerns using Ofsted’s online complaints form.

If there are special circumstances that prevent the submission of a complaint online, complaints can be sent in writing to:

Ofsted
National Complaints Team
Piccadilly Gate
Store Street
Manchester
M1 2WD

Email: enquiries@ofsted.gov.uk

17. Monitoring visits

Why we carry out a monitoring visit and what to expect from it.

17.1 Timing and frequency

We carry out a monitoring visit if the residential provision has been judged as requires improvement to be good or as inadequate. The visit assesses the college’s progress against the areas for improvement identified in the inspection report. It usually takes place within 6 months of the inspection.

Residential provision in FE colleges is usually inspected at least once in a 3-year inspection cycle. If it has been found to require improvement or be inadequate following an inspection, the next inspection usually takes place within 2 years.

The monitoring visit helps to determine when the college should be re-inspected based on the risks assessed.

17.2 Arranging monitoring visits

The inspector and provider will agree a time for a phone call and the first monitoring visit to:

- confirm the areas of improvement as set out in the inspection report
- discuss and agree the priority actions the college will take
- discuss and agree other appropriate means of support and challenge
17.3 Improvement activity

Senior managers and the governing bodies should decide what needs to be done to improve and obtain the support they need. Inspectors support and challenge the college during this process.

Examples of ‘support and challenge’ strategies inspectors may use or recommend include:

- inspectors meeting with link governors to explain, using Ofsted evidence, how the governing body can help the college improve
- establishing stronger links with other colleges to learn from their strengths, and to work together on shared issues
- inspectors offering to arrange a visit for senior leaders and governors to another college
- a meeting between the inspector and other staff at the college to review progress against agreed action plans and to share good practice
- meetings with groups of young people who are resident at the college

If the inspector conducting the monitoring visit judges that the college is not making sufficient progress, for instance with respect to safeguarding matters, funding bodies may be notified and the re-inspection may be brought forward.

17.4 After the visit

Within 19 working days of the visit, the inspector sends the principal the reporting letter that sets out the required actions with timescales for completion, and any further issues discussed at the visit.

The reporting letter will not be published on the Ofsted reports site. However, where inspectors have concerns about poor progress, the letter and appropriate information arising from a visit may be shared with relevant authorities.

17.5 Other monitoring visits

We may also carry out a monitoring visit where we have a cause for concern. Where this is the case, the monitoring visit may be unannounced.

18. Incomplete inspections

*What should happen if an inspection can’t be finished.*

On rare occasions, a very significant or serious incident may occur, such as a death of a young person or a young person committing a very serious offence, after an inspection has been carried out but before the inspection report has been published. On these occasions, inspectors and managers should follow ‘Gathering additional evidence to secure an incomplete inspection – Ofsted protocol’
This protocol sets out the arrangements for inspections that are deemed to be incomplete because there is a need to gather additional evidence in order to secure the inspection evidence base where the report has not been published. Further action to complete the inspection and revise and report the findings may be required.

19. Safeguarding and child protection concerns

What an inspector must do if they have immediate concerns about a child protection issue.

If serious issues of concern arise during the inspection, such as a failure to follow child protection procedures or if a young person is discovered to be at immediate risk of harm, the responsible individual (where relevant) or the person in charge must be notified as soon as possible. If that may compromise a young person or adult’s safety, the inspector must ensure that the appropriate authorities are notified immediately.

Inspectors should always follow Ofsted’s policy on safeguarding (www.gov.uk/government/publications/ofsted-safeguarding-policy).

Inspectors should contact their manager or regional social care compliance inspector if they need advice. The inspector ensures that the referral is made to the relevant local authority children’s services and the young person’s allocated social worker and/or the relevant local authority adults’ services and, where appropriate, the vulnerable adult's allocated social worker. Further guidance can be found in ‘Safeguarding concerns: guidance for inspectors’ (www.gov.uk/government/publications/safeguarding-concerns-guidance-for-inspectors). If the concerns relate to allegations against staff, they are referred to the designated officer.

Inspectors must ensure that concerns about the safety and welfare of a young person are communicated immediately to the director of children’s services for the responsible placing local authority, where this is relevant. A record that this has been done must be kept. The regional senior HMI should follow up the action that has been taken by the local authority.

19.1 The Prevent duty

Extremism is unlikely to be a routine line of enquiry during SCCIF inspections. Inspectors should, however, be alert to signs of risks of extremism, such as literature, posters, videos or DVDs, or regular visitors to the setting where the purpose of their visit is not clear. Initial enquiries about the possibility of extremism must be directed to the manager or person in charge.
Inspectors should note the detail of any relevant concerns or referrals made by the responsible individual and how effective the multi-agency response has been. The Department for Education has published advice for schools and children’s care providers on the ‘Prevent’ duty (www.gov.uk/government/publications/protecting-children-from-radicalisation-the-prevent-duty), and inspectors should note where this applies to the type of setting inspected.

Inspectors can contact their RIM, who may seek specialist advice. If inspectors are unable to contact their RIM and remain concerned, they should follow Ofsted’s policy on safeguarding children and young people and vulnerable adults (www.gov.uk/government/publications/ofsted-safeguarding-policy).

### 19.2 Female genital mutilation: the duty to notify police

Since 31 October 2015, when section 74 of the Serious Crime Act 2015 (www.legislation.gov.uk/ukpga/2015/9/section/74/enacted) inserted new section 5B into the Female Genital Mutilation Act 2003 (www.legislation.gov.uk/ukpga/2003/31), specified regulated professionals (including social workers) must report to the police any cases of female genital mutilation in girls under 18 that they come across in their work.

The duty applies where the professional either:

- is informed by the girl that an act of female genital mutilation has been carried out on her
- observes physical signs that appear to show an act of female genital mutilation has carried out and has no reason to believe that the act was necessary for the girl’s physical or mental health or for purposes connected with labour or birth

If a young person discloses information regarding female genital mutilation to an inspector, the inspector should follow Ofsted’s guidance for inspectors: ‘what to do if a child or young person discloses a safeguarding concern’ (www.gov.uk/government/publications/safeguarding-concerns-guidance-for-inspectors).

### 19.3 Reporting concerns about the administration and management of controlled drugs

If inspectors come across concerns or incidents about the safe management of controlled drugs during their normal inspection duties, or receive information through any other source, an outline of the concern and action taken should be referred to the social care policy team in an email to socialcare@ofsted.gov.uk.

This action is in addition to any regulatory action or recommendations made as a result of the concern. Referrals should be made even where no requirements or recommendations are to be made. The social care policy team will collate all such referrals and share these with the Controlled Drugs National Group.
Detailed information about controlled drugs (examples include morphine, pethidine, methadone and Ritalin) is available from the Care Quality Commission (www.cqc.org.uk/content/law-and-guidance-managing-controlled-drugs).

20. Use of personal data

*Information about how we handle personal data.*

As part of our inspection activities under the SCCIF we may gather personal data that is necessary to help us evaluate children’s social care services.

Our [personal information charter](#) sets out the standards you can expect from Ofsted when we collect, hold or use personal information, and that we will follow all applicable data protection legislation in how we treat personal information. Our [privacy notice for social care](#) sets out in more detail what data we collect and our powers to do so, what we do with it, how long we keep it for and people’s rights under data protection legislation.
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Piccadilly Gate
Store Street
Manchester
M1 2WD

T: 0300 123 1231
Textphone: 0161 618 8524
E: enquiries@ofsted.gov.uk
W: www.gov.uk/ofsted

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