

PUBLIC MINUTES
of the Regulation Committee meeting
held on 18 May 2015 at 10am
Marsham Street, London

Present

Julian Ashby	Chairman
Jonathan Adlington	
Richard Moriarty	
Ceri Richards	
Sarah Wall	

In attendance

Matthew Bailes	Director of Regulation
Isabella Freeman	Head of Legal Services & Company Secretary
Andrew Rose	HCA Chief Executive
Bronwen Rapley	Deputy Director Investigation and Enforcement
Jonathan Walters	Deputy Director Strategy & Performance
Mick Warner	Deputy Director Operations

John Craig-Sharples	Assistant Director, Regulation Strategy (item 9)
John O'Mahony	Assistant Director, Regulatory Framework & Performance (item 10)

Christine Kitchen	Assistant Board Secretary
-------------------	---------------------------

1 Welcome and apologies

01/05/15 The Chairman welcomed everyone to the meeting.

2 Declarations of Interest

02/05/15 No Declarations of Interest were made from those present.

3 Minutes of the last meeting – 27 April 2015

03/05/15 The confidential minutes were APPROVED.

04/05/15 The public minutes were APPROVED.

4 Matters Arising

05/05/15 Noted.

5	Committee forward look	
06/05/15	The forward planner was NOTED and Committee and Executive AGREED that in light of the various topics being discussed at the meeting and other upcoming events (Queens speech, Rent to Buy legislation, the Budget, Spending Review etc), it would be sensible to take stock after the meeting and review the planner for the next meeting.	MB
6	Regulation Director's update on key issues	
07/05/15	Members were given an update on progress made with OCP including details on the progress with stock take panels, Q1 pilots, stability checks and L&D. Members were advised that there is continuous review of reactive casework.	
08/05/15	Members received details of the progress with the business plan and internal audit.	
09/05/15	Media coverage and stakeholder engagement was discussed and it was noted that messages about the regulatory framework were now bedded in across the sector . Members NOTED upcoming events.	
7	Post-Election update	
11/05/15	Members discussed the main issues facing the Regulator post-election, and the priority areas established by DCLG on which we will be engaged with them.	
12/05/15	The Committee discussed in detail the Departments' immediate priorities.	
19/05/15	It was AGREED to defer the conference to a date in September 2015.	JW
8	Scheme of Delegated Authority	
21/05/15	The scheme of delegations was APPROVED and authority delegated to the Head of Legal to sign off any further technical changes.	IF
9	Regulating the Standards	
22/05/15	Members were being asked to NOTE the new version of Regulating the Standards and the revised wording for the V1 and V2 straplines.	
28/05/15	Members welcomed the new document and AGREED that it should be published next month, subject to some minor actions highlighted and further consideration of external comments received.	

10 Fees

29/05/15 The paper to the Committee was NOTED.

11 Regulatory Judgements

33/05/15 Members NOTED that twenty regulatory judgements were published in April. The total number of judgements had fallen from 240 to 236 as at the end of April which was due to a number of re-structures/mergers.

36/05/15 Members noted the detailed reports for providers who had changes to their Governance or Viability ratings.

12 Solvent Wind up of a small registered provider

37/05/15 The DDI&E set out for Members the background to this application for consent to the solvent wind up by a small provider

13 Investigation and Enforcement Update

44/05/15 The other reports in the paper were NOTED.

Consumer Regulation

45/05/15 DDI&E reported that since April 2015 54 complaints relating to consumer standards had been received. 15 of these have been referred to the Consumer Regulation Panel and 9 of these are being investigated further by the I&E team. There are currently 30 active investigations.

14 Any Other Business

Date of Next Meeting: Monday 15 June 2015.