

Protecting and improving the nation's health

## ECDC-PPS 2016

Data Collection Forms and Flow Charts

## Instructions

This should be printed full size on both sides of A4 paper.

- Sheet 3. Ward sheet. One sheet needed per ward
- Sheet 4. Grouped denominator data. 1 page per 10 patients (e.g. if a ward has 24 patients, 3 sheets required for the ward)
- Sheet 5 and 6 if not using Sheet 4, this should be printed on both sides of an A4 sheet per patient surveyed (e.g. if not using Sheet 4, for a ward with 24 patients, needs 24 sheets)
- Sheet 7 and 8 should be used if using Sheet 4, this should be printed on both sides of an A4 sheet per patient on antibiotics or with HAI (e.g. if using Sheet 4, for a ward with 24 patients, only need sheets for those on antibiotics or have active HAI)
- Sheet 9-19 are flow charts. It is recommended that these are printed and laminated for use on the wards by the data collectors to assist with case definitions for HAI.



## Point Prevalence Survey 2016: healthcare-associated infections and antimicrobial use Ward data



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Hospital code:	Ward name/unit ID	)¹:	/Survey date <sup>2</sup> :/
For 2015/2016 financial year			Please provide for all eligible <sup>3</sup> patients
be available before web data ent		ent team and	Consultant/patient specialty (see codebook) Number
	Number	Year	
Number of patient days*	-	/	
Alcohol hand rub (AHR) co	nsumption	/	
Number of hand hygiene or	pportunities	/	
* Provide data for same year as AF	IR consumption		
Data to be reported at tim	e of survey	Number	
Number of eligible <sup>3</sup> patients	on ward		
Number of beds			
Number of beds with AHR of	dispenser		Is there a formal procedure (external to primary clinical team or ward pharmacy team) to review the appropriateness of an
Number of healthcare work	ers (HCWs)		antimicrobial within 72 hours from the initial order in this
Number of HCWs carrying	AHR		ward (post-prescription review)? ☐ Yes ☐ No ☐ Unknown
Number of rooms			
Number of single rooms			Comments/observations:
Number of single rooms wit shower	h individual toilet and		<sup>1</sup> Unique identifier for each unit (abbreviated ward name) within a hospital; this
Number of beds occupied a survey	t midnight the night before		should remain identical between PPS years  2 Patients on the same ward should be included on a single day  3 Patients admitted to the ward before or at 8:00 AM and not discharged from

the ward at time of survey



## Point Prevalence Survey 2016: healthcare-associated infections and antimicrobial use Ward handover form



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### To be completed for all eligible patients<sup>1</sup> at time of survey

поѕр	oitai code: _		_ vvard	name/un	t  D^:					irvey a	ate:	_//		_
Initials	NHS no.	Hosp. no.	DoB	Gender M/F/U/O	Adm. date	Specialty <sup>3</sup> If <3m or on NICU include birthwt too	Surgery <sup>4</sup>	McCabe score (Non/Ult/ Rap/ Unk)	CVC Y/N/U	PVC Y/N/U	Urinary catheter Y/N/U	Intubated Y/N/U	Abx⁵ Y/N	HAI <sup>6</sup> Y/N

Mandanana / ....! ID2

<sup>&</sup>lt;sup>1</sup> Patients admitted to the ward before or at 8:00 AM and not discharged from the ward at time of survey

<sup>&</sup>lt;sup>2</sup> Unique identifier for each unit (abbreviated ward name) within a hospital

<sup>&</sup>lt;sup>3</sup> See codebook for patient specialty (the specialty of consultant looking after the patient)

<sup>&</sup>lt;sup>4</sup> Surgery since admission - No surgery / Minimal invasive/non-NHSN surgery/ Unknown If NHSN surgery → specify

<sup>&</sup>lt;sup>5</sup> At the time of the survey, except for surgical prophylaxis 24h before 8:00 AM on the day of the survey; if yes, fill antimicrobial use data; if patient receives >5 antimicrobials, add a new form

<sup>&</sup>lt;sup>6</sup> [infection with onset ≥ Day 3, OR SSI criteria met (surgery in previous 30d/90d), OR discharged from acute care hospital <48h ago, OR CDI and discharged from acute care hospital < 28 days ago OR onset < Day 3 after invasive device/procedure on D1 or D2] <u>AND</u> [HAI case criteria met on survey day OR patient is receiving (any) treatment for HAI AND case criteria are met between D1 of treatment and survey day; if patient has >3 HAI, add a new form



### Point Prevalence Survey 2016: healthcare-associated infections and antimicrobial use Patient data, antimicrobial usage



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Hospital code:	Ward name/unit ID': _						Su	rve	y da	ite:/_	/_		
Collect for all eligible patients  NHS number:  Hospital number:/_  Date of birth://_  Admission date://	 Gender:		Antimicrobial (generic name)	Route		ge y sa da Strength per dose (mg/ MU)	Indication	Infection site	Reason documented in notes		AM changed? (+ reason)	If antibiotic changed: Date AM started for this indication (not site)	(b/n 48-72h after start)
Consultant/patient specia	lty <sup>2</sup> :	Ш								, ,		, ,	
Surgery since admission  ☐ No surgery ☐ Minimal invas										/ /		/ /	
☐ Unknown ☐ NHSN surge	ry → specify <sup>2</sup>	Ш								, ,		1 1	
McCabe score  ☐ Non-fatal disease ☐ Rapidly fatal disease	☐ Ultimately fatal disease ☐ Unknown									/ /		/ /	
If neonate, birthweight	grams (less than 3m/ NICU)	Ш								/ /		/ /	
Central venous catheter: Peripheral venous catheter: Urinary catheter: Intubation: How many antimicrobials If ≥ 1, complete antimicrobial u	☐ Yes ☐ No ☐ Unknown ☐ Yes ☐ No ☐ Unknown  is the patient receiving³? sage data es the patient have⁴?		Route: P: parenteral, O: TDS, QDS, 5 per day, 6 week, three times per we convert to mg by x1000); (LI) or acute hospital (HI) SP3: >1day; MP: medica site list, only for CI-LI-HI; E=escalation; D=De-escather/unknown reason; Lindication; Antibiotic Reantimicrobial; O=OPAT; less than 2 days)	ek, o Indi infe I pro Rea alatio I=unl view	continue con	every 1 nuous i on: trea i; surgio axis; O in note =switch vn; If cl Contin	8 ho nfus atme cal p coth es: \ n IV nang ue; I	ours, sion; sion; ent ir or opher; larger; larger, lar	every Strer ntention nylaxis JI: Un AM c ral; A= date to Ora	or 36 hours, even the for communities: SP1: single of known indication thanged? (+ restanded to the formula of	ry 48 h n MU or ity (CI), dose, Si on; Infe eason): ts; OU= I given Change	ours, twice p mg (ie if in g long-term ca P2: one day, ction site: s N=no chang changed, for the same	er J are ee je;
Inique identifier for each unit (abbr	eviated ward name) within a hospital					• • • • • • • • • • • • • • • • • • • •	•••••	••••	• • • • • • • • • • • • • • • • • • • •		•••••		•••••

<sup>&</sup>lt;sup>2</sup> See codebook

<sup>3</sup> At the time of the survey, except for surgical prophylaxis 24h before 8:00 AM on the day of the survey; if yes, fill antimicrobial use data; if patient receives >5 antimicrobials, add

<sup>&</sup>lt;sup>4</sup> [infection with onset ≥ Day 3, OR SSI criteria met (surgery in previous 30d/90d), OR discharged from acute care hospital <48h ago, OR CDI and discharged from acute care hospital < 28 days ago OR onset < Day 3 after invasive device/procedure on D1 or D2] AND [HAI case criteria met on survey day OR patient is receiving (any) treatment for HAI AND case criteria are met between D1 of treatment and survey day]; if patient has >3 HAI, add a new form



## Point Prevalence Survey 2016: healthcare-associated infections and antimicrobial use HAI data



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Hospital code:	······	Ward nan	ne/unit	ID¹:				_ Su	rvey date:	Gender:  HAI 3  No □ Unknown □ No □ Unknown / rent hospital □ Other hospital					
NHS number:		Hospital r	number:			Date of bir	th:	_//	Ge	Yes No Unknown  Yes No Unknown  / /  Current hospital Other hospital Other/ unknown  Yes No Unknown  AMR					
		HAI 1				HAI 2				HAI 3					
Infection type															
Invasive device <sup>2</sup>	☐ Yes ☐ No	o □ Unknow	n		□ Yes □ No	□ Unknow	n		□ Yes □ No	□ Unknow	n				
Present on admission	☐ Yes ☐ No	o □ Unknow	n		☐ Yes ☐ No	□ Unknow	n		☐ Yes ☐ No	□ Unknow	n				
Date of onset <sup>3</sup>	/	/			/	/			/ /						
Origin of infection	☐ Current ho☐ Other/ unl	ospital □ O known	ther hospit	☐ Current ho☐ Other/ unl	ospital □ Ot known	ther hospit	al	☐ Current hospital ☐ Other hospital ☐ Other/ unknown							
HAI associated to current ward	□ Yes □ No	o □ Unknow	n		□ Yes □ No	o □ Unknowi	n		☐ Yes ☐ No ☐ Unknown						
If BSI: source <sup>4</sup>															
		AM	R			AMI	R			AMI	R				
	MO code	AB <sup>5</sup>	SIR	PDR	MO code	AB <sup>5</sup>	SIR	PDR	MO code	AB <sup>5</sup>	SIR	PDR			
Microorganism 1															
Microorganism 2															
Microorganism 3															

<sup>&</sup>lt;sup>1</sup> Unique identifier for each unit (abbreviated ward name) within a hospital

<sup>&</sup>lt;sup>2</sup> Relevant invasive device present (even intermittently) 48 hours before onset infection; intubation for pneumonia (PN); CVC/PVC for BSI; urinary catheter for UTI

<sup>&</sup>lt;sup>3</sup> Only for infections not present/active on admission (dd/mm/yyyy)

<sup>&</sup>lt;sup>4</sup> C-CVC (central venous catheter), C-PVC (peripheral venous catheter), S-PUL (pulmonary infection), S-UTI (urinary tract infection), S-DIG (digestive tract infection), S-SSI (surgical site infection), S-SST (skin/soft tissue infection), S-OTH (other), UO (none of the above, BSI of unknown origin, clinically asserted), UNK (unknown)

<sup>&</sup>lt;sup>5</sup> AB: tested antibiotic(s): STAAUR: OXA (includes oxacillin or other marker for MRSA such as cefoxitin, cloxacillin, dicloxacillin, flucloxacillin or methicillin) and GLY; Enterococci: GLY; *Enterobacteriaceae*: C3G and CAR; PSEAER and ACIBAU: CAR; SIR: S=sensitive, I=intermediate, R=resistant, U=unknown; PDR: Pan-drug resistant: N=No, P=Possible, C=Confirmed, U=Unknown



## Point Prevalence Survey 2016: healthcare-associated infections and antimicrobial use Antimicrobial usage data

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nospitai code:	waru	name	unit ib.				Su	rvey date:	·/							
NHS number:	er: Hospital number:						Date of birth:/ Gender:									
	Route	Dosag	ge per day	Indication	Infection site	Reason notes	Date thi	AM changed? (+ reason)	If antibi AM star indicati	Antibio						
Antimicrobial (generic name)		Number of doses	Strength per dose (mg/ MU)	on	n site	Reason documented in notes	Date this AM started	nged? on)	If antibiotic changed: Date AM started for this indication (not site)	Antibiotic Review? (b/n 48- 72h after start)						
							/ /		/ /							
							1 1		/ /							
							1 1		/ /							
							1 1		1 1							
							1 1		/ /							

Route: P: parenteral, O: oral, R: rectal, I: inhalation; **Dosage: Number of doses** – OD, BD, TDS, QDS, 5 per day, 6 per day, every 18 hours, every 36 hours, every 48 hours, twice per week, three times per week, continuous infusion; **Strength of dose** in MU or mg (ie if in g convert to mg by x1000); **Indication**: treatment intention for community (CI), long-term care (LI) or acute hospital (HI) infection; surgical prophylaxis: SP1: single dose, SP2: one day, SP3: >1day; MP: medical prophylaxis; O: other; UI: Unknown indication; **Infection site**: see site list, only for CI-LI-HI; **Reason in notes**: Y/N; **AM changed? (+ reason):** N=no change; E=escalation; D=De-escalation; S=switch IV to oral; A=adverse effects; OU=changed, other/unknown reason; U=unknown; **If changed, date 1**st **AM started** given for the same indication; **Antibiotic Review:** C=Continue; I=IV to Oral Switch; CH=Change to another antimicrobial; O=OPAT; UNK =Unknown, not dedicated; NA=not applicable (i.e. treatment is less than 2 days)

<sup>&</sup>lt;sup>1</sup> Unique identifier for each unit (abbreviated ward name) within a hospital



## Point Prevalence Survey 2016: healthcare-associated infections and antimicrobial use HAI data



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Hospital code:	······	Ward nan	ne/unit	ID¹:				_ Su	rvey date:	Gender:  HAI 3  No □ Unknown □ No □ Unknown / rent hospital □ Other hospital					
NHS number:		Hospital r	number:			Date of bir	th:	_//	Ge	Yes No Unknown  Yes No Unknown  / /  Current hospital Other hospital Other/ unknown  Yes No Unknown  AMR					
		HAI 1				HAI 2				HAI 3					
Infection type															
Invasive device <sup>2</sup>	☐ Yes ☐ No	o □ Unknow	n		□ Yes □ No	□ Unknow	n		□ Yes □ No	□ Unknow	n				
Present on admission	☐ Yes ☐ No	o □ Unknow	n		☐ Yes ☐ No	□ Unknow	n		☐ Yes ☐ No	□ Unknow	n				
Date of onset <sup>3</sup>	/	/			/	/			/ /						
Origin of infection	☐ Current ho☐ Other/ unl	ospital □ O known	ther hospit	☐ Current ho☐ Other/ unl	ospital □ Ot known	ther hospit	al	☐ Current hospital ☐ Other hospital ☐ Other/ unknown							
HAI associated to current ward	□ Yes □ No	o □ Unknow	n		□ Yes □ No	o □ Unknowi	n		☐ Yes ☐ No ☐ Unknown						
If BSI: source <sup>4</sup>															
		AM	R			AMI	R			AMI	R				
	MO code	AB <sup>5</sup>	SIR	PDR	MO code	AB <sup>5</sup>	SIR	PDR	MO code	AB <sup>5</sup>	SIR	PDR			
Microorganism 1															
Microorganism 2															
Microorganism 3															

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<sup>&</sup>lt;sup>2</sup> Relevant invasive device present (even intermittently) 48 hours before onset infection; intubation for pneumonia (PN); CVC/PVC for BSI; urinary catheter for UTI

<sup>&</sup>lt;sup>3</sup> Only for infections not present/active on admission (dd/mm/yyyy)

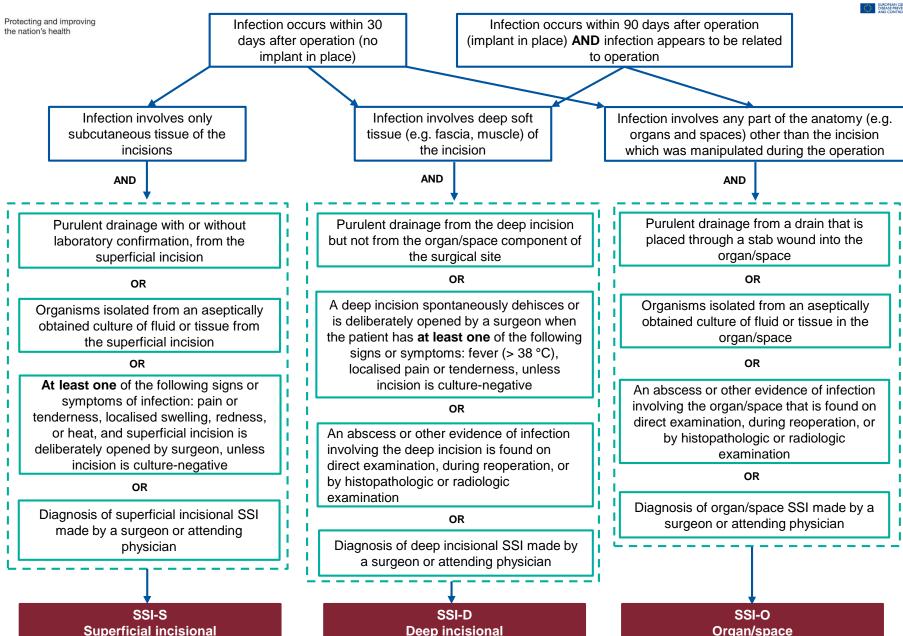
<sup>&</sup>lt;sup>4</sup> C-CVC (central venous catheter), C-PVC (peripheral venous catheter), S-PUL (pulmonary infection), S-UTI (urinary tract infection), S-DIG (digestive tract infection), S-SSI (surgical site infection), S-SST (skin/soft tissue infection), S-OTH (other), UO (none of the above, BSI of unknown origin, clinically asserted), UNK (unknown)

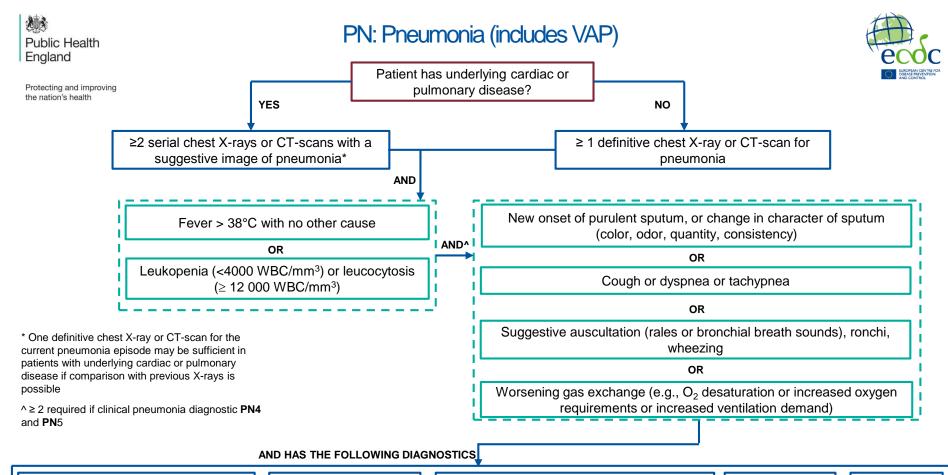
<sup>&</sup>lt;sup>5</sup> AB: tested antibiotic(s): STAAUR: OXA (includes oxacillin or other marker for MRSA such as cefoxitin, cloxacillin, dicloxacillin, flucloxacillin or methicillin) and GLY; Enterococci: GLY; *Enterobacteriaceae*: C3G and CAR; PSEAER and ACIBAU: CAR; SIR: S=sensitive, I=intermediate, R=resistant, U=unknown; PDR: Pan-drug resistant: N=No, P=Possible, C=Confirmed, U=Unknown



## SSI: Surgical site infection







## Positive quantitative culture from minimally contaminated LRT specimen

- Broncho-alveolar lavage (BAL) with a threshold of > 10<sup>4</sup> CFU/ml or ≥ 5% of BAL obtained cells contain intracellular bacteria on direct microscopic exam
- Protected brush (PB Wimberley) with a threshold of >10<sup>3</sup> CFU/ml
- Distal protected aspirate (DPA) with a threshold of > 10<sup>3</sup> CFU/ml

# Quantitative culture from possibly contaminated LRT specimen (i.e. ETA)

 Quantitative culture of LRT specimen (e.g. endotracheal aspirate) with a threshold of 10<sup>6</sup> CFU/ml)

#### Alternative microbiology methods

- Positive BC not related to other source
- Positive growth in pleural fluid culture
- Pleural/ pulmonary abscess with positive needle aspiration
- Histologic pulmonary exam = pneumonia
- Positive detection of viral antigen or antibody from respiratory secretions
- Positive direct exam or positive culture from bronchial secretions or tissue
- Seroconversion
- -Detection of antigens in urine

Positive sputum culture or non-quantitative LRT specimen culture

No positive micro-biology

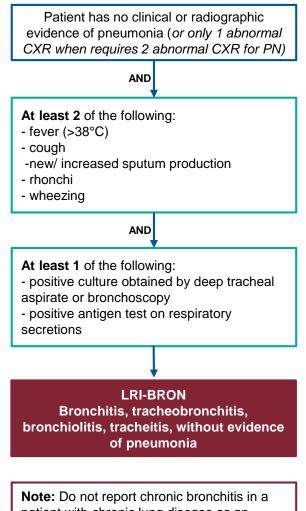
PN1 PN2 PN3 PN4 PN5



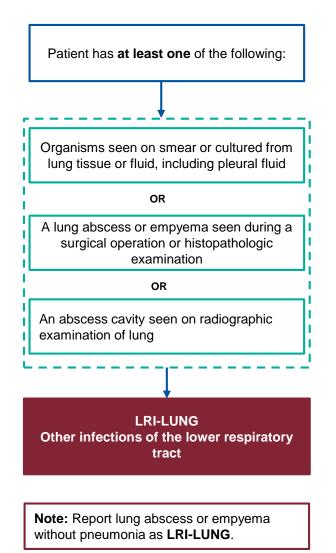
### LRI: Lower respiratory tract infection, other than pneumonia



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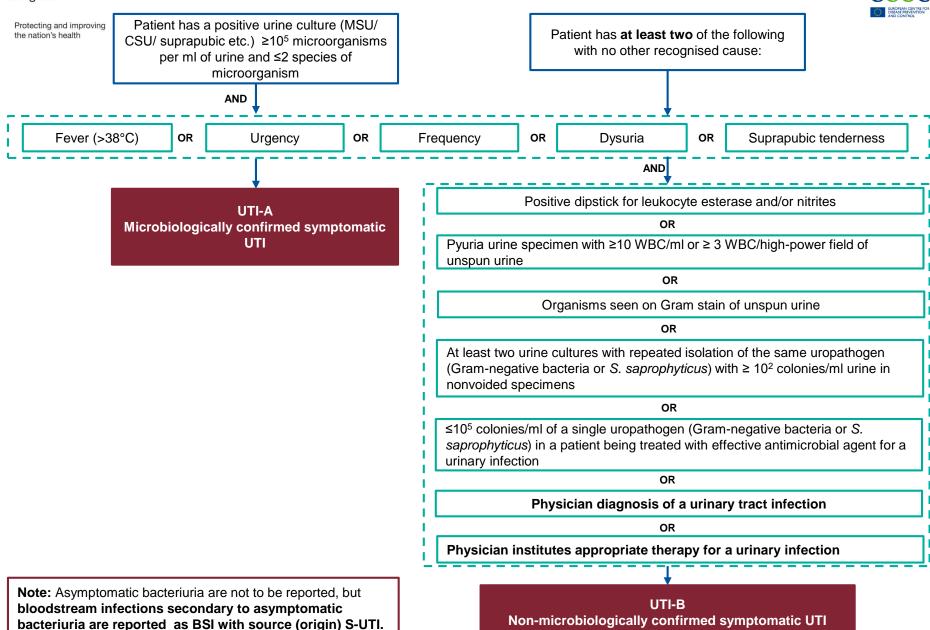
**Note:** Do not report chronic bronchitis in a patient with chronic lung disease as an infection unless there is evidence of an acute secondary infection, manifested by change in organism.





### UTI: Urinary tract infection

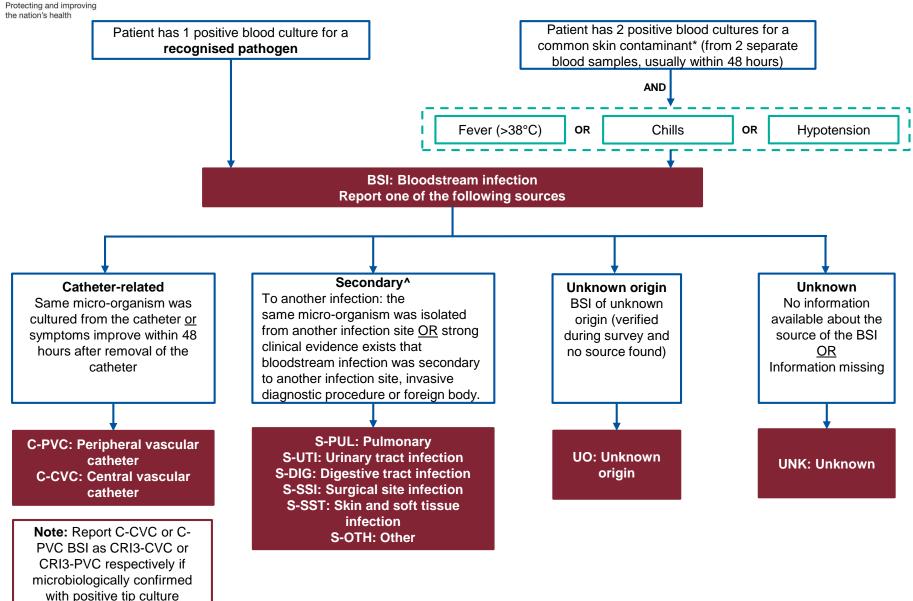






### **BSI**: Bloodstream infection





<sup>\*</sup> Skin contaminants = coagulase-negative staphylococci, Micrococcus sp., Propionibacterium acnes, Bacillus sp., Corynebacterium sp.

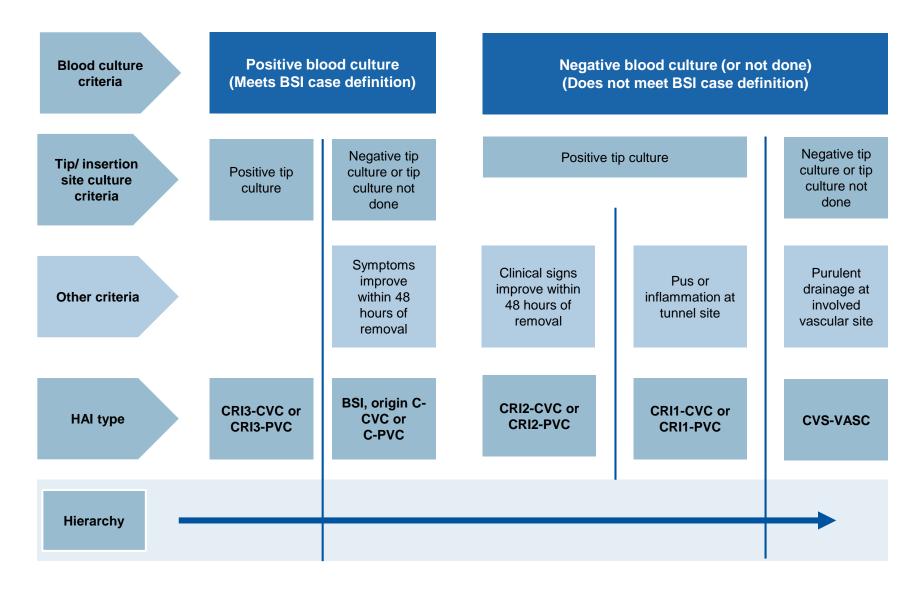
<sup>^</sup> Does not need to meet case definition for this to be noted. If the primary infection is an active HAI and meets a case definition, report both primary HAI and secondary BSI.



## CRI: Catheter-related infection (CVC or PVC infections)



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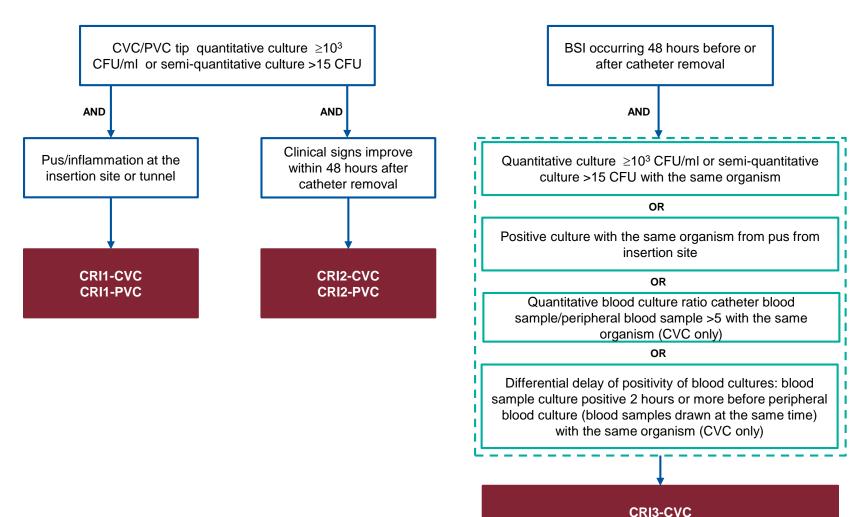




## CRI: Catheter-related infection Central vascular catheter (CVC) or peripheral vascular catheter (PVC) infections



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**CRI3-PVC** 



## CVS: Cardiovascular system infection



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Organisms cultured from arteries or veins removed during a surgical operation

#### AND

Blood culture not done or no organisms cultured from blood

#### OR

Evidence of arterial or venous infection seen during a surgical operation or histopathologic examination

#### OR

≥1 of the following signs or symptoms with no other recognized cause: fever (>38°C), pain, erythema, or heat at involved vascular site

#### AND

More than 15 colonies cultured from intravascular cannula tip using semiquantitative culture method

#### **AND**

Blood culture not done or no organisms cultured from blood

#### OR

Purulent drainage at involved vascular site

#### AND

Blood culture not done or no organisms cultured from blood

Organisms cultured from valve or vegetation

#### OR

≥ 2 of the following signs or symptoms with no other recognized cause: fever (>38°C), new or changing murmur, embolic phenomena, skin manifestations (ie, petechiae, splinter hemorrhages, painful subcutaneous nodules), congestive heart failure, or cardiac conduction abnormality

#### AND

Organisms cultured from ≥ 2 blood cultures

#### OR

Organisms seen on Gram's stain of valve when culture is negative or not done

#### OR

Valvular vegetation seen during a surgical operation or autopsy

#### OR

Positive antigen test on blood or urine (eg, *H. influenzae*, *S. pneumoniae*, *N. meningitidis*, or Group B

Streptococcus)

#### OR

Evidence of new vegetation seen on echocardiogram **AND** if diagnosis is made antemortem, physician institutes appropriate antimicrobial therapy.

Organisms cultured from pericardial tissue or fluid obtained by needle aspiration or during a surgical operation

#### OR

≥ 2 of the following signs or symptoms with no other recognized cause: fever (>38°C), chest pain, paradoxical pulse, or increased heart size

#### AND

Abnormal ECG/EKG consistent with myocarditis or pericarditis

#### OI

Positive antigen test on blood (eg, *H. influenzae*, *S. pneumoniae*)

#### OR

Evidence of myocarditis or pericarditis on histologic examination of heart tissue

#### OR

4-fold rise in type-specific antibody with or without isolation of virus from pharynx or feces

#### OR

Pericardial effusion identified by echocardiogram, CT scan, MRI, or angiography.

Organisms cultured from mediastinal tissue or fluid obtained during a surgical operation or needle aspiration

#### OR

Evidence of mediastinitis seen during a surgical operation or histopathologic examination

#### OR

≥ 1 of the following signs or symptoms with no other recognized cause: fever (>38°C), chest pain, or sternal instability

#### AND

Purulent discharge from mediastinal area

#### OR

Organisms cultured from blood or discharge from mediastinal area

#### OR

Mediastinal widening on x-ray

CVS-VASC

CVS-ENDO

CVS-CARD

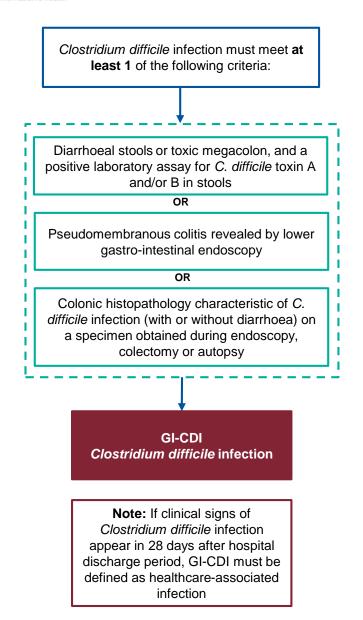
CVS-MED



### GI: Gastrointestinal system infection (also GI-GIT, GI-HEP, GI-IAB)



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Patient has an acute onset of Patient has ≥ 2 of the following signs or diarrhea (liquid stools for more symptoms with no other recognized than 12 hours) with or without cause: nausea, vomiting, abdominal vomiting or fever (>38°C) and no pain, fever (>38°C), or headache likely noninfectious cause (eg, diagnostic tests, therapeutic regimen other than antimicrobial AND agents, acute exacerbation of a chronic condition, or psychologic An enteric pathogen is cultured from stool or stress) rectal swab OR An enteric pathogen is detected by routine or electron microscopy OR An enteric pathogen is detected by antigen or antibody assay on blood or feces OR Evidence of an enteric pathogen is detected by cytopathic changes in tissue culture (toxin assay) OR Diagnostic single antibody titer (IgM) or 4fold increase in paired sera (IgG) for pathogen **GI-GE** Gastroenteritis (excl. CDI)



### SST: Skin and soft tissue infection (also SST-BURN & SST-BRST)



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Purulent drainage, pustules, vesicles, or boils

OR

≥ 2 of the following signs or symptoms with no other recognized cause: pain or tenderness, localized swelling, redness, or heat

AND

Organisms cultured from aspirate or drainage from affected site; if organisms are normal skin flora (ie, diphtheroids [Corynebacterium spp], Bacillus [not B. anthracis] spp, Propionibacterium spp, coagulase-negative staphylococci [including S. epidermidis], viridans group streptococci, Aerococcus spp, Micrococcus spp), they must be a pure culture

OR

Organisms cultured from blood

OR

Positive antigen test performed on infected tissue or blood (eg, herpes simplex, varicella zoster, *H. influenzae*, *N. meningitidis*)

OR

Multinucleated giant cells seen on microscopic examination of affected tissue

OR

Diagnostic single antibody titer (IgM) or 4-fold increase in paired sera (IgG) for pathogen

SST-SKIN: Skin infection

Organisms cultured from tissue or drainage from affected site

OR

Purulent drainage at affected site

OR

An abscess or other evidence of infection seen during a surgical operation or histopathologic examination

OR

≥ 2 of the following signs or symptoms at the affected site with no other recognized cause: localized pain or tenderness, redness, swelling, or heat

AND

Organisms cultured from blood

OR

Positive antigen test performed on blood or urine (eg, *H. influenzae, S.* pneumoniae, *N. meningitidis*, Group B Streptococcus, *Candida* spp)

ΩR

Diagnostic single antibody titer (IgM) or 4-fold increase in paired sera (IgG) for pathogen

SST-ST: Soft tissue

≥ 2 of the following signs or symptoms with no other recognized cause: redness, tenderness, or swelling of decubitus wound edges

AND

Organisms cultured from properly collected fluid or tissue\*

)R

Organisms cultured from blood

SST-DECU: Decubitus ulcer, including both deep and superficial infections

\* Purulent drainage alone is not sufficient evidence of an infection. Organisms cultured from the surface of a decubitus ulcer are not sufficient evidence that the ulcer is infected. A properly collected specimen from a decubitus ulcer involves needle aspiration of fluid or biopsy of tissue from the ulcer margin.

#### Note:

- Report infected decubitus ulcers as **SST-DECU**
- Report infected burns as SST-BURN
- Report breast abscesses or mastitis as SST-BRST
- Report infection of deep pelvic tissues as **SST-OREP**



### SYS: Systemic infection



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Disseminated infection is infection involving multiple organs or systems, without an apparent single site of infection, usually of viral origin, and with signs or symptoms with no other recognized cause and compatible with infectious involvement of multiple organs or systems

## SYS-DI Disseminated infection

#### Note:

- Use SYS-DI for viral infections involving multiple organ systems (eg, measles, mumps, rubella, varicella, erythema infectiosum). These infections often can be identified by clinical criteria alone
- Report viral exanthems or rash illness as SYS-DI
- **Do not** use SYS-DI for healthcareassociated infections with multiple metastatic sites, such as with bacterial endocarditis; only the primary site of these infections should be reported
- Do not report fever of unknown origin (FUO) as SYS-DI

