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FORM AR21

Trade Union and Labour Relations (Consolidation) Act 1992

ANNUAL RETURN FOR A TRADE UNION

Name of Trade Union:	Union of Democratic Mineworkers Nottingham Section
Year ended:	31 st December 2017
List no:	682
Head or Main Office:	C/o Nottinghamshire Miners Pension Scheme Berry Hill Lane Mansfield Notts NG18 4JR
Website address (if available)	
Has the address changed during the year to which the return relates?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> (Click the appropriate box)
General Secretary:	Jeffrey Wood
Telephone Number:	01623 541405
Contact name for queries regarding	Michael Corrigan
Telephone Number:	01623 624494
E-mail:	mike@bullpayne.com

PLEASE FOLLOW THE GUIDANCE NOTES IN THE COMPLETION OF THIS RETURN.

Any difficulties or problems in the completion of this return should be directed to the Certification Officer as below or by telephone to: 0330 109 3602

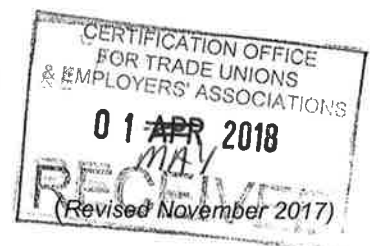
The address to which returns and other documents should be sent are:

For Unions based in England and Wales:

Certification Office for Trade Unions and Employers' Associations
Lower Ground Floor, Fleetbank House, 2-6 Salisbury Square, London EC4Y 8JX

For Unions based in Scotland:

Certification Office for Trade Unions and Employers' Associations
Melrose House, 69a George Street, Edinburgh EH2 2JG



UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION

LIST OF OFFICERS AS AT 31 DECEMBER 2017

President/General Secretary

J. Wood

Council Members

C. Hunter
S. Norwood

Trustees

T. Barker
D. Nettleship

RETURN OF MEMBERS

(see notes 10 and 11)

	NUMBER OF MEMBERS AT THE END OF THE YEAR				
	Great Britain	Northern Ireland	Irish Republic	Elsewhere Abroad (including Channel Islands)	TOTALS
MALE	97				97
FEMALE	2				2
TOTAL	99				A 99

Number of members at end of year contributing to the General Fund

99

Number of members included in totals box 'A' above for whom no home or authorised address is held:

0

OFFICERS IN POST

(see note 12)

Please attach as an annexe to this form a complete list of all officers in post at the end of the year to which this form relates, with the title of each person's office.

RETURN OF CHANGE OF OFFICERS

Please complete the following to record any changes of officers during the twelve months covered by this return.

Title of Office	Name of Officer ceasing to hold office	Name of Officer Appointed	Date

State whether the union is:

a. A branch of another trade union?

Yes

No

If yes, state the name of that other union:

UDM National

b. A federation of trade unions?

Yes

No

If yes, state the number of affiliated unions:

and names:

GENERAL FUND

(see notes 13 to 18)

	£	£
INCOME		
From Members: Contributions and Subscriptions		12266
From Members: Other income from members (specify)		
 Total other income from members		0
Total of all income from members		
Investment income (as at page 12)		16008
Other Income		
Income from Federations and other bodies (as at page 4)		
Income from any other sources (as at page 4)	1180	
Total of other income (as at page 4)		1180
		TOTAL INCOME
		29454
EXPENDITURE		
Benefits to members (as at page 5)		2110
Administrative expenses (as at page 10)		97304
Federation and other bodies (specify)		
National UDM	1153	
		1153
Total expenditure Federation and other bodies		100567
Taxation		-4393
		TOTAL EXPENDITURE
		96174
Surplus (deficit) for year		-66720
Amount of general fund at beginning of year		477840
Amount of general fund at end of year		411120

ANALYSIS OF INCOME FROM FEDERATION AND OTHER BODIES AND OTHER INCOME

(see notes 19 and 20)

DESCRIPTION	£	£
Federation and other bodies		
TOTAL FEDERATION AND OTHER BODIES		
Other income		
Personal Accident Fund Commission	60	
Legal & Medical Fees Compensation	1120	
TOTAL OTHER INCOME		1180
TOTAL OF ALL OTHER INCOME		1180

ANALYSIS OF BENEFIT EXPENDITURE SHOWN AT GENERAL FUND

(see notes 21 to 23)

	£		£
Representation – Employment Related Issues Legal Fees	2110	brought forward Education and Training services	2110
Representation – Non Employment Related Issues		Negotiated Discount Services	
Communications		Salary Costs	
Advisory Services		Other Benefits and Grants (specify)	
Dispute Benefits			
Other Cash Payments			
carried forward	2110	Total (should agree with figure in General Fund)	2110

(See notes 24 and 25)

FUND 2		Fund Account	
Name:	Medical Welfare Service Fund	£	£
Income			
From members			
Investment income (as at page 12)			
Other income (specify)			
		Total other income as specified	
		Total Income	
Expenditure			
Benefits to members			631
Administrative expenses and other expenditure (as at page 10)			
		Total Expenditure	631
		Surplus (Deficit) for the year	-631
		Amount of fund at beginning of year	5861
		Amount of fund at the end of year (as Balance Sheet)	5230
		Number of members contributing at end of year	0

FUND 3		Fund Account	
Name:		£	£
Income			
From members			
Investment income (as at page 12)			
Other income (specify)			
		Total other income as specified	
		Total Income	
Expenditure			
Benefits to members			
Administrative expenses and other expenditure (as at page 10)			
		Total Expenditure	
		Surplus (Deficit) for the year	
		Amount of fund at beginning of year	
		Amount of fund at the end of year (as Balance Sheet)	
		Number of members contributing at end of year	

(See notes 24 and 25)

FUND 4		Fund Account	
Name:		£	£
Income			
	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure			
	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

FUND 5		Fund Account	
Name:		£	£
Income			
	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure			
	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

(See notes 24 and 25)

FUND 6		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
Amount of fund at the end of year (as Balance Sheet)			
Number of members contributing at end of year			

FUND 7		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
Amount of fund at the end of year (as Balance Sheet)			
Number of members contributing at end of year			

(see notes 26 to 31)

POLITICAL FUND ACCOUNT 1		To be completed by trade unions which maintain their own fund	
		£	£
Income	Members contributions and levies		
	Investment income (as at page 12)		
	Other income (specify)		
		Total other income as specified	
		Total income	
Expenditure	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)		
	Administration expenses in connection with political objects (specify)		
	Non-political expenditure		
		Total expenditure	
		Surplus (deficit) for year	
		Amount of political fund at beginning of year	
		Amount of political fund at the end of year (as Balance Sheet)	
		Number of members at end of year contributing to the political fund	
		Number of members at end of the year not contributing to the political fund	
		Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund	

POLITICAL FUND ACCOUNT 2		To be completed by trade unions which act as components of a central trade union	
		£	£
Income	Contributions and levies collected from members on behalf of central political fund		
	Funds received back from central political fund		
	Other income (specify)		
		Total other income as specified	
		Total income	
Expenditure	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)		
	Administration expenses in connection with political objects (specify)		
	Non-political expenditure		
		Total expenditure	
		Surplus (deficit) for year	
		Amount held on behalf of trade union political fund at beginning of year	
		Amount remitted to central political fund	
		Amount held on behalf of central political fund at end of year	
		Number of members at end of year contributing to the political fund	
		Number of members at end of the year not contributing to the political fund	
		Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund	

ANALYSIS OF ADMINISTRATIVE EXPENSES AND OTHER OUTGOINGS EXCLUDING AMOUNTS CHARGED TO POLITICAL FUND ACCOUNTS

(see notes 32 and 33)

		£
Administrative Expenses		
Remuneration and expenses of staff		120318
Salaries and Wages included in above	81941	
Auditors' fees		4200
Legal and Professional fees		5590
Occupancy costs		22108
Stationery, printing, postage, telephone, etc.		7009
General District Expenditure		6826
Branch Expenditure		350
Other administrative expenses (specify)		
Bank Charges		74
Meetngs & Travel Expenses .		1676
Actuarial Gains on Pension Scheme Assets/Liabilities		-97000
Other Outgoings		
Interest payable:		
Bank loans (including overdrafts)		
Mortgages		
FRS 102 Interest Charge		7000
Depreciation & Loss on Sale		19153
Taxation		
Outgoings on land and buildings (specify)		
Other outgoings (specify)		
	Total	97304
Charged to:	General Fund (Page 3)	97304
	Fund (Account)	
	Fund (Account)	
	Fund (Account)	
	Fund (Account)	
	Total	

ANALYSIS OF OFFICIALS SALARIES AND BENEFITS

(see notes 34 to 44 below)

Office held	Gross Salary £	Employers N.I. contributions £	Benefits		Total £	
			Pension Contribution s £	Other Benefits		
				Description		Value £
President	50030	4474	11910		66414	
Branch Delegates	3357				3357	

ANALYSIS OF INVESTMENT INCOME

(see notes 45 and 46)

	Political Fund £		Other Fund(s) £
Rent from land and buildings			50
Dividends (gross) from:			
Equities (e.g. shares)			
Interest (gross) from:			
Government securities (Gilts)			2845
Corporate Bonds			13113
Local Authority Bonds			
Bank and Building Societies			
Other investment income (specify)			
		Total investment income	16008
		Credited to:	
		General Fund (Page 3)	16008
		Fund (Account)	
		Fund (Account)	
		Fund (Account)	
		Fund (Account)	
		Fund (Account)	
		Political Fund	
		Total Investment Income	16008

BALANCE SHEET as at 31/12/2017

(see notes 47 to 50)

Previous Year		£	£
84488	Fixed Assets (at page 14)		80616
	Investments (as per analysis on page 15)		
481842	Quoted (Market value £)		461843
	Unquoted		
461842	Total Investments		461843
	Other Assets		
	Loans to other trade unions		
30200	Sundry debtors	709	
255468	Cash at bank and in hand	110388	
	Income tax to be recovered		
686	Stocks of goods	686	
6278	Others (specify) Prepayments	3583	
292633	Total of other assets		115366
838963	TOTAL ASSETS		657825
477840	Fund (Account 1)		411120
5861	Fund (Account 2)		5230
	Fund (Account)		
	Superannuation Fund (Account)		
	Political Fund (Account)		
	Revaluation Reserve		
	LIABILITIES		
	Amount held on behalf of central trade union political fund		
	Loans: From other trade unions		
	Loans: Other		
	Bank overdraft		
	Tax payable		
56562	Sundry creditors		14975
7700	Accrued expenses		6500
291000	Provisions		220000
	Other liabilities		
355262	TOTAL LIABILITIES		241475
838963	TOTAL ASSETS		657825

FIXED ASSETS ACCOUNT

(see notes 51 to 55)

	Land and Buildings		Furniture and Equipment £	Motor Vehicles £	Not used for union business £	Total £
	Freehold old £	Leaseh £				
Cost or Valuation						
At start of year	207272		14903			222175
Additions			696			665
Disposals						
Revaluation/Transfer s						
At end of year	207272		15599			222871
Accumulated Depreciation						
At start of year	129815		7872			137687
Charges for year	3594		1074			4568
Disposals						
Revaluation/Transfer s						
At end of year	133309		8946			142255
Net book value at end of year	73963		6653			80616
Net book value at end of previous year	77457		7031			84488

ANALYSIS OF INVESTMENTS

(see notes 56 and 57)

QUOTED	All Funds Except Political Funds £	Political Fund £
Equities (e.g. Shares)		
Government Securities (Gilts)		
Uk Treasury Stock	119025	
Other quoted securities (to be specified)		
Corporate Bonds	342818	
TOTAL QUOTED (as Balance Sheet)	461843	
Market Value of Quoted Investment	485471	
UNQUOTED Equities		
Government Securities (Gilts)		
Mortgages		
Bank and Building Societies		
Other unquoted investments (to be specified)		
TOTAL UNQUOTED (as Balance Sheet)		
Market Value of Unquoted Investments		

ANALYSIS OF INVESTMENT INCOME (CONTROLLING INTERESTS)

(see notes 58 and 59)

Does the union, or any constituent part of the union, have a controlling interest in any limited company?		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
If YES name the relevant companies:			
COMPANY NAME	COMPANY REGISTRATION NUMBER (if not registered in England & Wales, state where registered)		
Are the shares which are controlled by the union registered in the names of the union's trustees?		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
If NO, state the names of the persons in whom the shares controlled by the union are registered.			
COMPANY NAME	NAMES OF SHAREHOLDERS		

SUMMARY SHEET

(see notes 60 to 71)

	All funds except Political Funds £	Political Funds £	Total Funds £
INCOME			
From Members	12266		12266
From Investments	16008		16008
Other Income (including increases by revaluation of assets)	1180		1180
Total Income	29454		29454
EXPENDITURE (including decreases by revaluation of			
Total Expenditure	96805		96805
Funds at beginning of year (including reserves)	483701		483701
Funds at end of year (including reserves)	416350		416350
ASSETS			
Fixed Assets			80616
Investment Assets			461843
Other Assets			115366
		Total Assets	657825
LIABILITIES			
		Total Liabilities	241475
NET ASSETS (Total Assets less Total Liabilities)			416350

NOTES TO THE ACCOUNTS

(see notes 72 and 73)

All notes to the accounts must be entered on or attached to this part of the return.

See Attached

UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION INDUSTRIAL FUND
NOTES TO THE ACCOUNTS
FOR THE YEAR ENDED 31 DECEMBER 2017

1. **Accounting Policies**

a) **Accounting convention**

The accounts are prepared under the historical cost convention and in accordance with applicable accounting standards and the Financial Reporting Standard 102 section 1a 'The Financial Reporting Standard applicable to the UK and Republic of Ireland'.

b) Contributions are brought into account on the basis of the amounts receivable in the year.

c) **Fixed Assets**

Depreciation is provided on fixed assets, other than freehold land, and calculated on the straight line basis as follows :-

Freehold Property - 5% per annum for first 10 years
2.1/2% per annum thereafter

Furniture and Equipment - 10% per annum on cost

Motor Vehicles - 25% per annum on cost

d) **Staff Superannuation**

The Union of democratic Mineworkers officials and Permanent Staff Pension Scheme operates a Superannuation scheme for the whole of the U.D.M. Benefits are paid in line with those payable by the Mineworkers Pension Scheme.

The union operates a pension scheme providing benefits based on final pensionable pay. The assets of the scheme are held separately from those of the union, being invested in a separately administered fund. Contributions to the scheme are charged to the income and expenditure account so as to spread the cost of pensions over employees' working lives with the union. The contributions are determined by a qualified actuary on the basis of triennial valuations using the attained age method.

The pension charge for the year was £38,000 (2016 - £36,000)

The Union participates in a defined benefit scheme in the UK. A full actuarial valuation was carried out at 31 December 2014 by a qualified independent actuary.

UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION INDUSTRIAL FUND
NOTES TO THE ACCOUNTS
FOR THE YEAR ENDED 31 DECEMBER 2017

e) Staff Superannuation Scheme (continued)

As the Vendside Limited section of the scheme will be segregated at some point in the near future the information relating to assets in the scheme and the expected long term rates of return figures represents the UDM section only:

	31/12/2016 £	31/12/2017 £
Equities	1,409	1,392
Bonds	2,253	2,460
Cash	<u>111</u>	<u>(90)</u>
Total Market Value of assets	3,773	3,762
Present Value of Scheme liabilities	<u>4,064</u>	<u>3,972</u>
Surplus / (Deficit) in the Scheme	<u>(291)</u>	<u>(220)</u>

At the actuarial valuation at 31 December 2014, the actuary recommended a Union contribution rate of 30% of Pensionable Pay, plus special contributions.

Under the projected unit method, the current service cost will increase as members approach retirement.

- f) The Union has taken advantage of the exemption in Financial Reporting Standard No. 1 from the requirement to produce a cash flow statement on the grounds that it is a small entity.

UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION INDUSTRIAL FUND
FIXED ASSETS AS AT 31 DECEMBER 2017

Note 2 (a)

	<u>Freehold Property</u>	<u>Furniture & Equipment</u>	<u>and Total</u>
	£	£	£
Cost at 1 January 2017	207,272	14,903	222,175
Additions	-	695	695
Disposals	-	-	-
Cost at 31 December 2017	<u>207,272</u>	<u>15,598</u>	<u>222,870</u>
Depreciation at 1 January 2017	129,815	7,872	137,687
Charge for the Year	3,494	1,074	4,568
On Disposals	-	-	-
Depreciation at 31 December 2017	<u>133,309</u>	<u>8,946</u>	<u>142,255</u>
Net Book Value at 31 December 2017	<u>73,963</u>	<u>6,652</u>	<u>80,615</u>
Net Book Value at 31 December 2016	<u>77,457</u>	<u>7,031</u>	<u>84,488</u>

UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION INDUSTRIAL FUND
SCHEDULE OF PROPERTIES AS AT 31 DECEMBER 2017

Note 2(b)

	At Cost Including	Depreciation	Book Value		Depreciation	
	Improvements	Written Off	2017	2016	2017	2016
	<u>Less Sales</u>	<u>To Date</u>	<u>£</u>	<u>£</u>	<u>£</u>	<u>£</u>
	£	£	£	£	£	£
30 Linwood Crescent, Ravenshead, Mansfield, Notts	54,689	37,784	16,905	17,835	930	930
143 Huthwaite Road, Sutton in Ashfield, Notts	<u>152,583</u>	<u>95,525</u>	<u>57,058</u>	<u>59,622</u>	<u>2,564</u>	<u>2,564</u>
	<u>207,272</u>	<u>133,309</u>	<u>73,963</u>	<u>77,457</u>	<u>3,494</u>	<u>3,494</u>
			As per Note 2(a)		As per Income and Expenditure Account	

30 Linwood Crescent is subject to a charge in respect of The Union of Democratic Mineworkers Officials and Permanent Staff Pension Scheme to cover any potential shortfall on the UDM pension scheme.

UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION INDUSTRIAL FUND
SCHEDULE OF INVESTMENTS AT 31 DECEMBER 2017

Note 3

<u>UK Bonds</u>	<u>Market Value</u>	<u>Cost</u>
£	£	£
35,000 21k / London Stock Xch 4.75% 02.11.21	39,501	39,111
43,000 BAT International 3.25% 7.6.22	32,302	31,270
47,000 UK Govt 2% 7/9/25	50,663	49,314
20,000 UK Govt 4% 7/3/22	23,034	23,699
13,500 UK Govt 4.125% 22/07/30	50,121	46,012
44,000 HSBC Holdings 5.75% 20/12/27	55,617	49,445
46,000 A2D Funding PLC 4.5 30/09/26	51,747	48,595
21,000 Anglian Water I/L 30/07/32	51,667	44,177
35,000 Broadgate Financing 4.821% 05/07/36	46,908	43,512
43,000 Impala 4.2% 10/12/25	42,133	43,881
28,000 Diageo 2.625% 29/04/23	20,735	23,223
28,000 Vodafone 2.95% 19/02/23	21,043	19,604
	<u>485,471</u>	<u>461,843</u>

Note 4

	2016	2017
	£	£
Provisions for Liabilities and Charges		
Pension (provision) / Asset Brought Forward	57,000	(291,000)
Charged to Income and Expenditure	(36,000)	(38,000)
Actuarial Gain / (Loss) on Assets	250,000	146,000
Actuarial Gain / (Loss) on Liabilities	(697,000)	(49,000)
Pension Contribution Paid	<u>135,000</u>	<u>12,000</u>
Pension (Provision) / Asset Carried Forward	<u>(291,000)</u>	<u>220,000</u>

Note 5

	2016	2017
Average number of employees	<u>4</u>	<u>4</u>

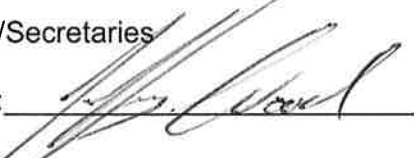

ACCOUNTING POLICIES

(see notes 74 and 75)

SIGNATURES TO THE ANNUAL RETURN

(see notes 76 and 77)

including the accounts and balance sheet contained in the return.

Chairman/Secretaries Signature:  Name Jeffrey Wood Date: <u>26-04-18</u>	Branch Secretaries Signature  (or other official whose position should be stated) Name: Date: <u>27/04/18</u>
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CHECK LIST

(see notes 78 to 80)

(please tick as appropriate)

IS THE RETURN OF OFFICERS ATTACHED? (see Page 2 and Note 12)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE RETURN OF CHANGE OF OFFICERS BEEN COMPLETED? (see Page 2 and Note 12)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE RETURN BEEN SIGNED? (see Pages 19 and 21 and Notes 76 and 77)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE AUDITOR'S REPORT BEEN COMPLETED? (see Pages 20 and 21 and Notes 2 and 77)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
IS A RULE BOOK ENCLOSED? (see Notes 8 and 78)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
A MEMBER'S STATEMENT IS: (see Note 80)	ENCLOSED	<input checked="" type="checkbox"/>	TO FOLLOW	<input type="checkbox"/>
HAS THE SUMMARY SHEET BEEN COMPLETED (see Page 17 and Notes 7 and 59)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
IS A MEMBERSHIP AUDIT CERTIFICATE PROVIDED (See Pages 23 and 24 and Notes 88 to 94)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>

AUDITOR'S REPORT

(see notes 81 to 86)

made in accordance with section 36 of the Trade Union and Labour Relations (Consolidation) Act 1992.

1. In the opinion of the auditors or auditor do the accounts they have audited and which are contained in this return give a true and fair view of the matters to which they relate?
(See section 36(1) and (2) of the 1992 Act and notes 83 and 84)

YES

If "No" please explain below.

2. Have the auditors or auditor carried out such investigations in the preparation of their audit report as will enable them to form an opinion as to:
- (a) whether the trade union has kept proper accounting records in accordance with section 28 of the 1992 Act;
 - (b) whether it has maintained a satisfactory system of control over its transactions in accordance with the requirements of that section; and
 - (c) whether the accounts to which the report relates agree with the accounting records?
(See section 36(3) of the 1992 Act, set out in note 83)

YES

If "No" please explain below.

3. Are the auditors or auditor of the opinion that the union has complied with section 28 of the 1992 Act and has:
- (a) kept proper accounting records with respect to its transactions and its assets and liabilities; and
 - (b) established and maintained a satisfactory system of control of its accounting records, its cash holding and all its receipts and remittances.
(See section 36(4) of the 1992 Act set out in rule 83)

YES

If "No" please explain below.

4. Please set out a copy of the report made by the auditors or auditor to the union on the accounts to which this AR21 relates. The report is to set out the basis upon which the audit has been conducted and/or such other statement as the auditor considers appropriate. Such a statement may be provided as a separate document.
(See note 85)

AUDITOR'S REPORT (section one)

See Attached

Signature(s) of auditor or auditors:

Bull Payne Co

Name(s):

BULL PAYNE CO

Profession(s) or Calling(s):

Chartered Certified
Accountants

Address(es):

25 Brunts Street
Mansfield
Nottinghamshire
NG18 1AX

Date:

Contact name and telephone number:

M. Corrigan
01623 624494

N.B. When notes to the accounts are referred to in the auditor's report a copy of those notes must accompany this return.

INDEPENDENT AUDITORS' REPORT TO THE MEMBERS OF
THE UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION

Opinion

We have audited the financial statements of the Union of Democratic Mineworkers, Nottingham Section for the year ended 31 December 2017 which comprise the income and expenditure account, the balance sheet and the notes to the financial statements. These financial reporting framework that has been applied in their preparation is Applicable Law and United Kingdom Accounting Standards (United Kingdom Generally Accepted Accounting Practice), including FRS 102 Section 1A 'The Financial Reporting Standard applicable in the UK and Republic of Ireland'.

This report is made solely to the Union's members, as a body, in accordance with The Trade Union and Labour Relations Consolidation Act 1992. Our audit work has been undertaken so that we might state to the Union's members those matters that we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the Union and the Union's members as a body, for our audit work, or the opinions we have formed.

In our opinion, the financial statements:

- Give a true and fair view of the state of the Union's affairs as at 31 December 2017 and of its profit for the year then ended;
- Have been properly prepared in accordance with United Kingdom Generally Accepted Practice, applicable to smaller entities;
- Have been prepared in accordance with the requirements of The Trade Union and Labour Relations Consolidation Act 1992.

Basis for Opinion

We conducted our audit in accordance with Applicable Law and International Standards on Auditing (UK) (ISAs (UK)). Our responsibilities under those standards are further described in the Auditor's responsibilities for the audit of the financial statements section of our report. We are independent of the company in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Conclusions relating to Going Concern

We have nothing to report in respect of the following matters in relation to which the ISAs (UK) require us to report to you where:

- The trustees use of going concern basis of accounting in the preparation of the financial statements is not appropriate; or
- The trustees have not disclosed in the financial statements any identified material uncertainties that may cast significant doubt about the company's ability to continue to adopt the going concern basis of accounting for a period of at least 12 months from the date when the financial statements are authorised for issue.

Other Information

The other information comprises the information included in the annual report, other than the financial statements and our auditor's report thereon. The trustees are responsible for the other information. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon.

In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether there is a material misstatement in the financial statements or a material misstatement of the other information. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

Matter on which we are required to report by exception

In the light of knowledge and understanding of the company and its environment obtained in the course of the audit, we have not identified material misstatements in the directors' report.

We have nothing to report in respect of the following matters where the Trade Union and Labour Relations Consolidation Act 1992 requires us to report to you if, in our opinion;

- Adequate accounting records have not been kept, or returns adequate for our audit have not been received from branches not visited by us; or
- The financial statements are not in agreement with the accounting records or returns; or
- We have not received all the information and explanations we require for our audit; or
- The trustees were not entitled to prepare the financial statements and the directors' report in accordance with the small companies' regime and take advantage of the small companies' exemptions in preparing the directors' report and from the requirement to prepare a strategic report.

Responsibilities of Trustees

The trustees are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view, and for such internal control as the trustees determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the trustees are responsible for assessing the Union's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the directors either intend to liquidate the company or to cease operations, or have no realistic alternative but to do so.

Auditors' responsibilities for the audit of financial statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

A further description of our responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website at: www.frc.org.uk/auditorsresponsibilities. This description forms part of our auditor's report.

Michael Corrigan (senior statutory auditor)
For and on behalf of Bull Payne & Co.
Chartered Certified Accountants
Statutory Auditors
25 Brunts Street
Mansfield
Nottinghamshire
NG18 1AX

23 April 2018

MEMBERSHIP AUDIT CERTIFICATE

(see notes 88 to 94)

made in accordance with section 24ZD of the
Trade Union and Labour Relations (Consolidation) Act 1992.

At the end of the reporting period preceding the one to which this audit relates was the total membership of the trade union greater than 10,000?

NO

If "YES" please complete SECTION ONE below or provide the equivalent information on a separate document to be submitted with the completed AR21.

If "NO" please complete SECTION TWO below or provide the equivalent information on a separate document to be submitted with the completed AR21.

MEMBERSHIP AUDIT CERTIFICATE SECTION ONE

For a trade union with more than 10,000 members, required by section 24ZB of the 1992 Act to appoint an independent assurer

1. In the opinion of the assurer appointed by the trade union was the union's system for compiling and maintaining its register of the names and addresses of its members satisfactory to secure, so far as is reasonably practicable, that the entries in its register were accurate and up-to-date throughout the reporting period?

YES/NO

2. In the opinion of the assurer has he/she obtained the information and explanations necessary for the performance of his/her functions?

YES/NO

If the answer to **either** questions 1 or 2 above is "NO" the assurer must:

- (a) set out below the assurer's reasons for stating that
- (b) provide a description of the information or explanation requested or required which has not been obtained
- (c) state whether the assurer required that information or those explanations from the union's officers, or officers of any of its branches or sections under section 24ZE of the 1992 Act
- (d) **send a copy of this certificate to the Certification Officer as soon as is reasonably practicable after it is provided to the union.**

MEMBERSHIP AUDIT CERTIFICATE (continued)

MEMBERSHIP AUDIT CERTIFICATE


SECTION TWO

For a trade union with no more than 10,000 members at the end of the reporting period preceding the one to which this audit relates.

To the best of your knowledge and belief has the trade union during this reporting period complied with its duty to compile and maintain a register of the names and addresses of its members and secured, so far as is reasonably practicable, that the entries in the register are accurate and up-to-date?

YES

If "NO" Please explain below:

Signature	
Name	Michael Corrigan
Office held	Auditor
Date	26/04/2018