



Department for
Business, Energy
& Industrial Strategy

BUSINESS IMPACT TARGET: SUMMARY TEMPLATE

Non-qualifying Regulatory Provisions
(NQRP) summary reporting template



Business Impact Target Reporting Period Covered: 9 June 2017 to 20 June 2018

Excluded Category*	Summary of measure(s), including any impact data where available**
Measures certified as being below de minimis (measures with an EANDCB below +/- £5 million)	There is nothing to report in this area.
EU Regulations, Decisions and Directives and other international obligations, including the implementation of the EU Withdrawal Bill and EU Withdrawal Agreement	<p><u>Anti-Money Laundering Supervision</u> HMRC's role in Anti-Money Laundering Supervision is set out in the Money Laundering Regulations 2017, which implemented the EU 4th Money Laundering Directive.</p> <p>During the reporting period, HMRC continued to provide support to businesses in complying with the regulations (it engaged with industry bodies, had comprehensive online guidance available throughout the period and also produced a series of nine webinars, which have been viewed over 8,000 times). HMRC has carried out more than 1,200 direct interventions, including face-to-face visits and remote compliance activity, on its supervised businesses. In tackling those that do not comply they have issued over 600 penalties with a total value of in excess of £2million.</p>
Measures certified as concerning EU Withdrawal Bill operability measures	There is nothing to report in this area.
Pro-competition	There is nothing to report in this area.
Systemic Financial Risk	There is nothing to report in this area.
Civil Emergencies	There is nothing to report in this area.
Fines and Penalties	<p><u>Anti-Money Laundering Supervision</u> Activity in this area is captured in the 'EU and International' section.</p> <p><u>National Minimum Wage Enforcement</u></p> <p>When enforcing the National Minimum Wage (NMW) on behalf of the Department for Business, Energy and Industrial Strategy (BEIS, formerly BIS), HMRC impose penalties in line with the requirements set out in the National Minimum Wage Act 1998 and the National Minimum Wage Regulations 2015.</p> <p>In the reporting period HMRC has levied penalties in excess of £15,000,000.</p>
Misuse of Drugs	There is nothing to report in this area.
Measures certified as relating to the safety of tenants, residents and occupants in response to the Grenfell tragedy	There is nothing to report in this area.
Casework	

Excluded Category*	Summary of measure(s), including any impact data where available**
	<p><u>National Minimum Wage</u> Activity in this area is in line with the service level agreement between BEIS and HMRC.</p> <p>HMRC responds to every complaint referred via the Acas helpline and opens risk-based targeted enforcement campaigns into high risk sectors.</p> <p>Within the reporting period HMRC has closed over 2, 700 NMW investigations.</p> <p>Within the reporting period HMRC opened over 4, 200 NMW investigations. This includes cases that remain open where the HMRC investigation is still ongoing.</p>
Education, communications and promotion	<p><u>Anti-Money Laundering Supervision</u> Activity in this area is captured in the 'EU and International' section.</p> <p><u>National Minimum Wage</u></p> <p>During the reporting period, HMRC delivered a programme of activities to promote understanding of NMW rules including: webinars; presentations to employer forums; email contact; eLearning; and the production of sectoral guides. This programme of activity directly resulted in 166,674 employers/workers seeking out information in relation to National Minimum Wage compliance in the last financial year 2017/18.</p> <p>BEIS and HMRC launched a comms campaign in March promoting awareness of new NMW rates and driving complaint increases.</p>
Activity related to policy development	There is nothing to report in this area.
Changes to management of regulator	There is nothing to report in this area.

* For full, legal definitions of these exclusion categories, please see <https://www.parliament.uk/business/publications/written-questions-answers-statements/written-statement/Commons/2018-06-20/HCWS776/>

** Complete the summary box as 'Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.' where this is appropriate.