



Tribunals and Gender Recognition Statistics Quarterly, January to March 2018 (Provisional)

Main points

<p>Decrease in overall volumes of receipts and disposals, while outstanding caseload continues to rise</p>	↓	<p>Her Majesty's Courts & Tribunals Service (HMCTS) recorded a decrease in both receipts and disposals in January to March 2018, down 9% and 1% respectively when compared to the same quarter in 2017. Caseload outstanding increased by 17% in the same period.</p>
<p>Decrease in overall volumes masks differing trends across jurisdictions</p>	↑	<p>SSCS and ET (multiple claims) receipts have fallen whilst receipts for ET single claims have more than doubled over the same period and FTTIAC receipts are also up, with similar patterns seen with disposals.</p>
<p>SSCS receipts decreased, whilst caseload outstanding continues to rise</p>	↓	<p>Social Security and Child Support (SSCS) receipts have decreased (by 12% when compared to January to March 2017), whereas disposals and caseload outstanding have continued to increase (by 2% and 29% respectively over the same period).</p>
<p>FTTIAC receipts increased, however disposals and caseload outstanding continue to fall</p>	↑	<p>In January to March 2018, First-tier Tribunal Immigration and Asylum Chamber (FTTIAC) receipts were 11% higher compared to the same period in 2017. Disposals and caseload outstanding both fell (by 22% and 31% respectively).</p>
<p>Single claims received at Employment tribunal (ET) continue to rise following the abolition of ET fees</p>	↑	<p>Single ET claims – this quarter receipts, disposals and caseload outstanding all increased, by 118%, 43% and 89% respectively, compared to the same period in 2017. Multiple ET claims - receipts and disposals both decreased, by 40% and 36% respectively. Caseload outstanding rose by 21% in the same period.</p>
<p>7,700 ET fee refund payments have been made as at 31 March 2018, with a total value of £6.6m</p>	£	<p>From the launch of the ET fee refund scheme to 31 March 2018, there were 9,500 applications for refunds received and 7,700 refund payments made, with a total value of £6,555,600.</p>
<p>Adjournments and postponements have both increased in 2017/18</p>	↑	<p>Adjournments and postponements have increased by 13% and 37% respectively in 2017/18 compared to 2016/17, driven by SSCS which makes up the majority of adjournments (76%) and postponements (56%).</p>

This publication gives tribunals and gender recognition certificate statistics for the latest quarter (January to March 2018), compared to the same quarter the previous year, alongside annual data for 2017/18. For technical detail about data sources, quality, policy changes and terminology, please refer to the accompanying guide to tribunal statistics.

1. Overview of Tribunals

122,400 receipts and 103,800 disposals recorded by HMCTS

In January to March 2018, HMCTS recorded a **decrease** in both **receipts** and **disposals**, down **9%** and **1%** respectively, when compared to the same quarter in 2017. **Caseload outstanding** continues to **increase**, rising 17% (to 550,400) over the same period.

However, the decrease in overall volumes masks differing trends across jurisdictions. SSCS and ET (multiple claims) receipts have fallen whilst receipts for ET single claims have more than doubled over the same period and FTTIAC receipts are also up, with similar patterns seen with disposals.

This summary bulletin focuses mainly on the three largest tribunals as they make up the majority (**82%**) of tribunal **receipts** in January to March 2018. These are:

- Social Security and Child Support (SSCS) - **50%** of receipts
- Employment Tribunal (ET) – **21%** of receipts
- First Tier Tribunal Immigration and Asylum Chamber (FTTIAC) – **11%** of receipts

Figure 1: Receipts, disposals and caseload outstanding¹ for all tribunals, Q4 2015/16 to Q4 2017/18 (Source: Tables S.2 - S.4)

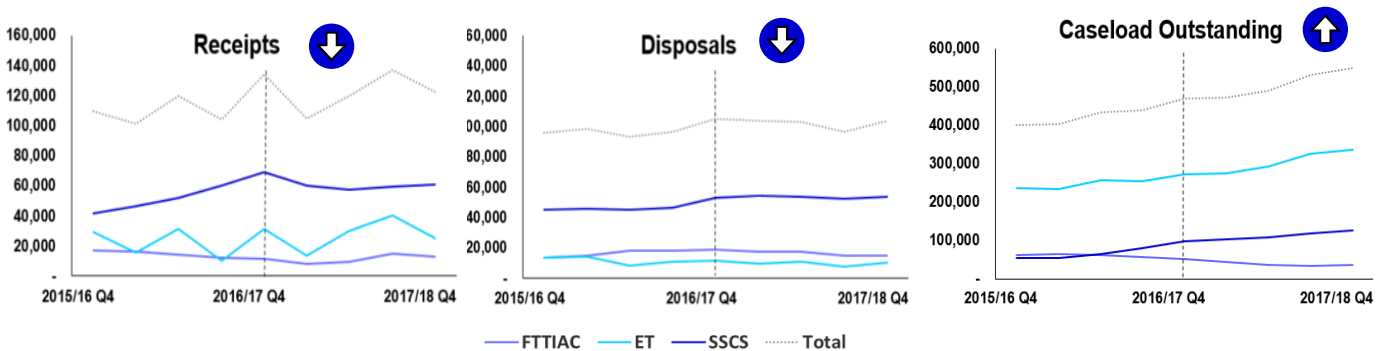


Figure 1 shows trends in receipts, disposals and caseload outstanding over the last three years for the main three tribunals and overall. In January to March 2018, overall receipts decreased 9% compared to January to March 2017, driven by a 12% decrease in SSCS receipts and a 40% decrease in ET (multiple claim) receipts. FTTIAC receipts on the other hand increased by 11% in the same period and ET (single claims) more than doubled (up 118%). Over the past four quarters, overall receipts rose steadily from 104,667 in Q1 2017/18 to a peak of 136,857 in Q3 2017/18 (highest level since Q3 2013/14), before falling to 122,410 in Q4 2017/18.

Overall, HMCTS tribunals disposed of 1% fewer cases in January to March 2018 (103,773 disposals), compared to January to March 2017. The SSCS tribunal (which makes up half of all tribunal disposals) disposed of 2% more cases in the same period. However, this increase was offset by a 22% and a 9% decrease in FTTIAC and ET disposals respectively in the same period, leading to a marginal fall in disposals overall.

There were 550,354 cases outstanding overall at the end of March 2018, up 17% compared to same period in 2017. This was driven by a 29% increase in SSCS caseload outstanding

¹ Outstanding caseload is based on a snapshot in time based on the last day of each quarter.

as well as a 24% increase in ET caseload outstanding, continuing the long-term upward trend.

Annual figures for 2017/18 show a different trend to those seen this quarter, with receipts and disposals both rising 5% and 3% respectively (to 483,735 receipts and 407,404 disposals) when compared to 2016/17, both driven by the SCS tribunal.

2. Social Security and Child Support

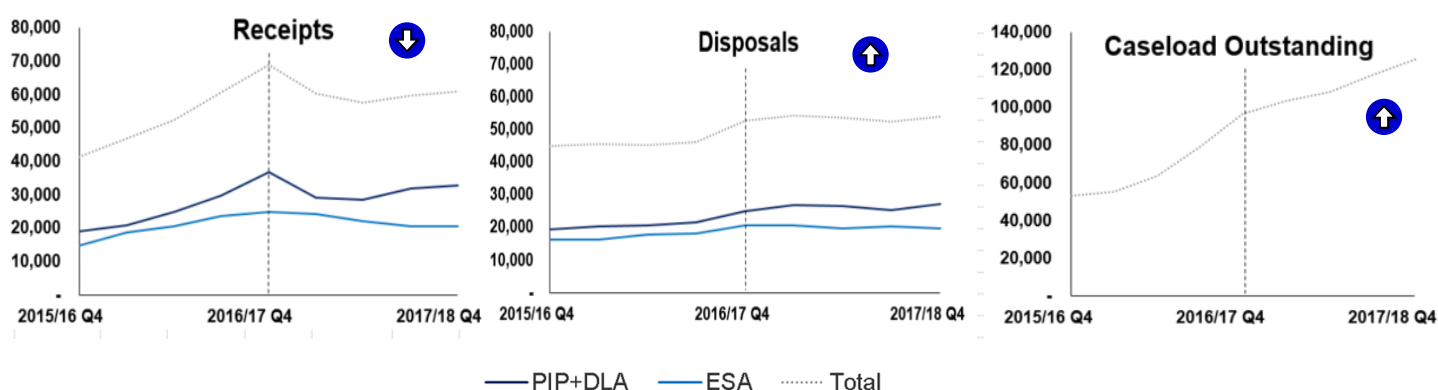
SSCS receipts decreased, however caseload outstanding continues to rise

Social Security and Child Support (SSCS) **receipts** have **decreased** by 12% when compared to January to March 2017 while **disposals** have **increased** by 2% in the same period. **Caseload outstanding** continues to **increase** (up 29% over the same period).

82% of disposals were cleared at hearing with a 66% overturn rate

Of the 54,100 **disposals** in January to March 2018, **82%** were **cleared** at a hearing and of these, **66%** had the initial decision **revised in favour of the claimant** (up from 64% in the same period in 2017).

Figure 2: Social Security and Child Support receipts, disposals and caseload outstanding, Q4 2015/16 to Q4 2017/18 (Source: Tables SSCS.1, SSCS.2 and S.4)



SSCS tribunal receipts decreased by 12% this quarter to 60,992 appeals, when compared to January to March 2017. This was driven by both Employment Support Allowance (ESA) and Personal Independence Payment (PIP) appeals, which fell 18% and 14% respectively. ESA and PIP accounted for 34% and 49% of all SSCS receipts respectively in January to March 2018. Over the last four quarters, SSCS receipts have remained broadly stable (around 58,000 to 61,000 appeals) since the sharp peak in Q4 2016/17 (with 69,006 appeals), due to the fall in ESA receipts being offset by rising PIP receipts.

ESA and PIP also make up the majority of SSCS disposals, with 36% and 47% of total disposals respectively. In January to March 2018, 54,052 cases were disposed of, up 2% when compared with the same period in 2017. Whilst disposals have increased when compared to the same quarter in 2017, over the past four quarters the number of disposals has also stabilised around 52,000 to 54,000 cases.

Of the disposals made by the SSCS Tribunal, 44,209 (82%) were cleared at hearing, and of these, 66% were found in favour of the customer (up from 64% on the same period of 2016/17). This overturn rate varied by benefit type, with PIP having 71%, ESA 70%, Disability Living Allowance 63%, Universal Credit 47% and Job Seekers Allowance having 44% in favour of the customer. PIP has driven the overall increase in the overturn rate, increasing seven percentage points on January to March 2017.

There were 125,281 SSCS cases outstanding at the end of March 2018, up 29% compared to the same period in 2017. This has been driven by receipts consistently being higher than disposals in the last two years, despite the recent decreases seen in receipts in the last two quarters.

Of those cases disposed of by the SSCS Tribunal in January to March 2018, the mean age of a case at disposal was 25 weeks, eight weeks more than the same period in 2017.

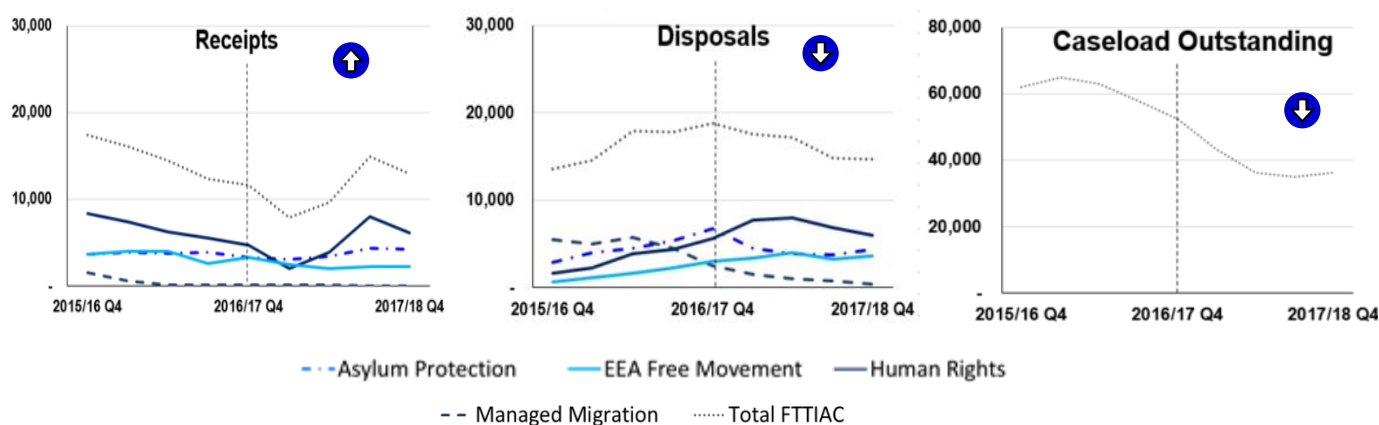
3. Immigration and Asylum

First-tier Tribunal Immigration and Asylum Chamber (FTTIAC)

In January to March 2018, FTTIAC **receipts increased** by 11% (to 12,900) compared to the same period in 2017. However, across 2017/18 receipts have decreased by 14% when compared to October to December 2017, following two consecutive increasing quarters. On an annual basis receipts continue to decrease (down 17% in 2017/18 compared to the previous year).

In the same period, **disposals and caseload outstanding decreased by 22%** (to 14,700) and **31%** (to 36,300) respectively, with the latter continuing the decline seen since the peak in April to June 2016, due to the volume of receipts being below that of disposals since this peak.

Figure 3: First-tier Tribunal Immigration and Asylum Chamber receipts, disposals and caseload outstanding, Q4 2015/16 to Q4 2017/18 (Source: Tables FIA.1, FIA.2, S.3)



The Immigration Act 2014 (IA 2014) tribunal categories of Human Rights, EEA Free Movement (EEA) and Asylum/Protection continue to make up the majority (98%) of the FTTIAC receipts.

Human Rights (HR) receipts have increased by 31% (to 6,159) in January to March 2018, compared to the same period in 2017. During the year, receipts in the second half of 2017/18 (October to March) (14,120 receipts total) were more than double those in April to September (5,966 receipts total) despite the fall seen in FTTIAC receipts in the most recent quarter when compared to October to December 2017. HR receipts proportionally represent almost half (48%) of all FTTIAC receipts (up from 41% a year ago) and they have driven the recent increase in receipts in the second half of 2017/18, compared with the same periods of the previous year.

Asylum/Protection receipts also increased (by 30% to 4,240) and increased in proportion from 28% to 33% of all FTTIAC receipts, reversing the recent decreases in proportion (from 39% in Q1 2017/18). EEA receipts were once again the only IA 2014 category to see a decrease in receipts this quarter, down 34% from the same quarter last year (from 3,317 to 2,200), as well as proportionally decreasing from 29% to 17% of all FTTIAC receipts.

The FTTIAC disposed of 14,710 appeals, down 22% on January to March 2017. Pre-Immigration Act categories made up 4% of all FTTIAC disposals, down from 18% in the same quarter a year ago. As with receipts, Human Rights appeals continue to make up the largest proportion (41%) of all FTTIAC disposals in January to March 2018, up from 30% a year ago.

Of the disposals made in the FTTIAC this quarter, 81% were determined i.e. a decision was made by a judge at a hearing or on the papers; 12% were withdrawn; 5% were invalid or out of time, and 2% were struck-out for non-payment of the appeal fee. Half of the 11,865 cases determined were allowed/granted, although this varied across the IA 2014 categories (from 42% for Asylum/Protection to 55% for Human Rights). This is up from 42% of determined cases allowed/granted in January to March 2017 (where IA 2014 categories ranged from 38% for Asylum/Protection to 48% for Human Rights).

In the FTTIAC, the average time taken to clear appeals across all categories has increased by one week to 46 weeks this quarter compared to the same period of 2016/17. Asylum/Protection had the lowest average time to clearance with 23 weeks (down from 29 weeks in January to March 2017), while most of the other appeal categories took over 52 weeks to be cleared.

Upper Tribunal Immigration and Asylum Chamber (UTIAC)

In January to March 2018, UTIAC **receipts, disposals** and **outstanding caseload** have all **increased**, up 48%, 22% and 78% respectively, when compared to the same period in 2017.

UTIAC **judicial review receipts** continue to **fall** - now at the lowest level since the UTIAC took on responsibility for deciding applications for judicial review of certain decisions under immigration legislation in November 2013

For the UTIAC, receipts stood at 2,315 in January to March 2018, up 48% on the same period in 2017. Appeals for all three IA 2014 categories have also increased over this time, with Asylum/Protection increasing from 591 to 840 receipts; Human Rights from 210 to 800 receipts; and EEA from 55 to 422 receipts. Receipts for the pre-Immigration Act categories on the other hand continue to fall, now accounting for 11% of all UTIAC receipts this quarter (down from 45% in January to March 2017).

Over the same period, UTIAC disposals increased 22% (to 2,159), with the three IA 2014 categories making up 83% of all disposals.

At the end of March 2018, the UTIAC caseload outstanding stood at 3,000, a 78% increase on the end of March 2017. This is due to receipts consistently being higher than disposals over the past year.

UTIAC Immigration and Asylum Judicial Reviews

In January to March 2018, there were 2,338 Immigration and Asylum Judicial Review receipts and 3,083 disposals, down 24% and 10% respectively on January to March 2017.

Of the 3,083 Immigration and Asylum Judicial Reviews disposed of in the UTIAC in January to March 2018, 71% were determined and 2% were transferred to the Administrative Court. The remaining 27% were in an 'other category', which included cases that were withdrawn or not served (see table UIA.2).

During January to March 2018, 1,353 Judicial Review applications were determined by paper hearing, of which 9% were allowed to continue to the substantive hearing stage. A further 662 were reconsidered at an oral renewal, of which 22% were allowed to continue to the substantive hearing stage. There were 49 substantive hearings which were determined in January to March 2018, of which 31% were granted in favour of the appellant (see table UIA.3).

4. Employment Tribunals

Employment Tribunal Fee Refunds

From the launch of the **ET fee refund scheme** in October 2017 to 31 March 2018, there were 9,500 **applications for refunds received** and 7,700 **refund payments made**, with a **total monetary value of £6,555,600**.

Between 1 January 2018 and 31 March 2018, **4,700 refund applications** were **received** and **4,400 refund payments** were made with a **total value of £3,799,500**.

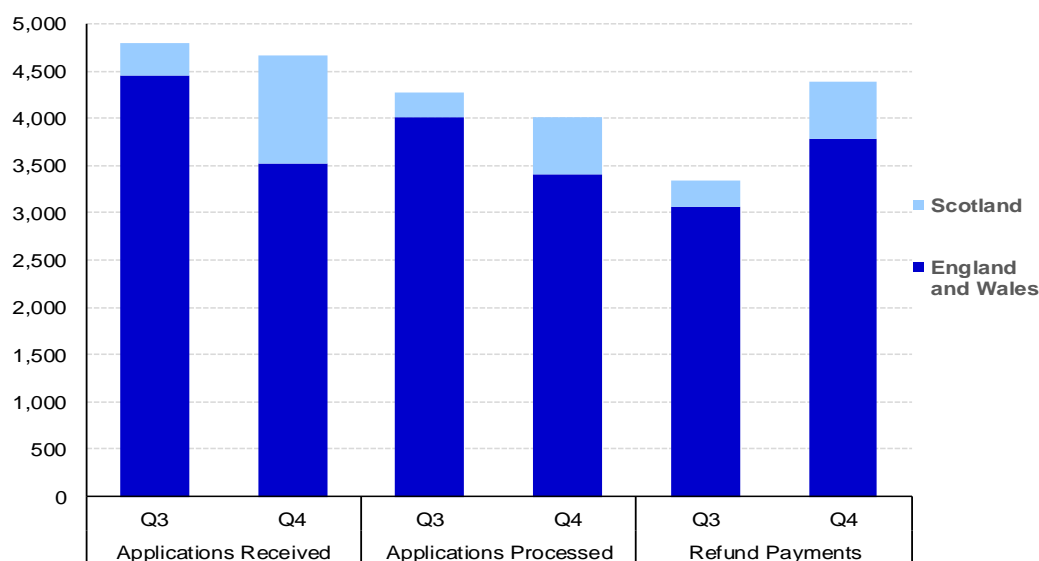
The Employment Tribunal (ET) fee refund scheme² was introduced (as a phased implementation scheme) in October 2017 following the abolition of ET fees on 26 July 2017. Since the introduction of the scheme, a total of 9,472 applications for refunds have been received and 7,733 payments have made, with a total value of £6,555,595 as at 31 March 2018.

Of the applications received between January and March 2018, 75% (3,520 applications) related to cases initially brought forward in England and Wales, down from 93% in the period to 31 December 2017. The remaining 25% of applications received this quarter (1,152 applications) related to cases initially brought forward in Scotland.

In the quarter January to March 2018, 4,397 refund payments were made by the MoJ, with a total monetary value of £3,799,530. Of these 4,397 refund payments made:

- 83% (3,629 refunds) related to England, 14% (619 refunds) to Scotland and the remaining 3% (149 refunds) related to Wales.
- 4,019 refunds related to single claims and 339 related to multiple claims. As each refund payment may relate to several fees paid by the claimant across a number of cases, there are also 29 refunds which relate to both single and multiple ET claims.

Figure 4.1: Employment Tribunal fees – refund applications received and processed, and refund payments made, Q3 2017/18 – Q4 2017/18 (Source: Tables ETFR.1 and ETFR.2)



² More information on the scheme is available here www.gov.uk/government/news/opening-stage-of-employment-tribunal-fee-refund-scheme-launched

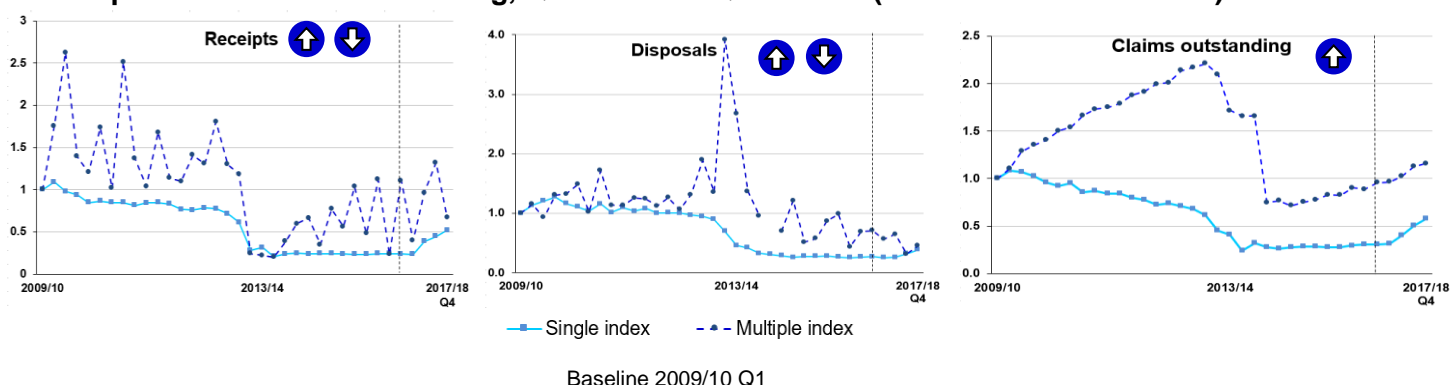
Employment tribunal single cases

In January to March 2018, single claim **receipts, disposals and outstanding caseload** all **increased** (by 118%, 43% and 89% respectively), when compared to the same period in 2017. **Mean age** at disposal **decreased** by two weeks to 27 weeks, over the same timeframe.

Employment tribunal multiple cases

Receipts and disposals both decreased, by 40% and 36% respectively, whilst caseload outstanding increased by 21%. **Mean age** at disposal **decreased** by four weeks to 202 weeks over the same period.

Figure 4.2: Index of Employment Tribunals single and multiple claim receipts, disposals & claims outstanding, Q1 2009/10 – Q4 2017/18 (Source: Tables S.2 - S.4)³



From October to December 2013 (following the introduction of ET fees) to April to June 2017 (prior to the abolition of ET fees), single claim receipts remained relatively stable with around 4,300 claims per quarter. However, since July to September 2017/18 this trend has changed, with single claim receipts more than doubling to 9,252 in the most recent quarter - most likely due to the abolition of Employment Tribunal Fees on 26 July 2017.

There were 16,272 multiple claims received this quarter, down 40% on the same period last year. Multiple claims tend to be more volatile as they can contain a high number of claims against a single employer, which can skew the national figures. The multiple claims received this quarter related to 537 multiple claim cases (an average of 30 claims per multiple case) – up from 241 cases (average of 112 claims per case) in the same period a year ago.

The Employment Tribunal disposed of 10,343 claims during January to March 2018, down 9% on the same period in 2017. This was driven by a 36% decrease in multiple claims disposals, offsetting a 43% increase in single claim disposals. The multiple claims disposed of this quarter relate to 441 multiple claim cases, up from 187 in January to March 2017.

In January to March 2018, 29% of jurisdictional complaints disposed were Acas conciliated settlements, 17% were withdrawn, 16% were dismissed upon withdrawal, 14% were struck out (not at a hearing) and 10% were successful at hearing. The most common jurisdictional complaint disposed of between January and March 2018 was 'Unauthorised deductions (formerly Wages Act)', in the same quarter a year ago, this was 'Equal Pay'.

³ The Q3 2014/15 disposals data point is not included, in order to aid comparability. This figure was a disproportionately high outlier (index: 24.2) as a result of the disposal of a large multiple claim between an airline and its employees.

5. Gender Recognition Certificate

94 Gender Recognition Panel (GRP) applications were received and 86 were disposed of between January and March 2018; 92 applications were pending by the end of March 2018

Of the 86 applications disposed of, a full Gender Recognition Certificate (GRC) was granted in 85% of cases (73 full GRCs), three percentage points lower than in the same period in 2017 (56 full GRCs were granted out of 64 disposals).

Since April 2005/06 when the Gender Recognition Act 2004 came into effect, two thirds of interim certificates (130 of the 196 Interim GRC granted) were converted to a full GRC, 58% of which were within 30 weeks. There were no interim certificates converted to a full GRC between January and March 2018.

In January to March 2018, 73 full certificates were granted, of which seven were for married applicants and 66 for single applicants. 45 (62%) of the individuals granted full certificates granted were registered male at birth while 28 (38%) were registered female at birth.

Over the longer term, annual GRP receipts have remained at a relatively steady level over the last three years (around 370 GRC applications received a year). In contrast, disposals have risen 16% in the last year (to 407 disposals in 2017/18), leading to a 31% fall in applications pending at the end of 2017/18, compared to the end of 2016/17.

Figure 5.1: Applications for Gender Recognition Certificates received, disposed of and pending, 2007/08 to 2017/18 (Source: Tables GRP.1 and GRP.2)

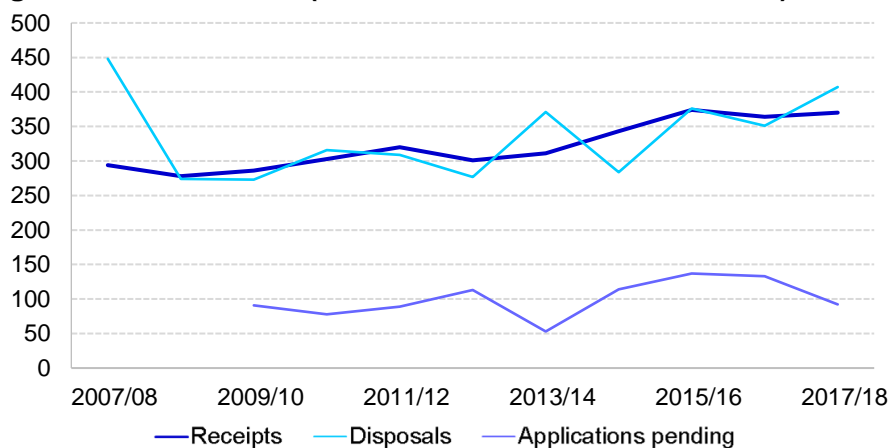
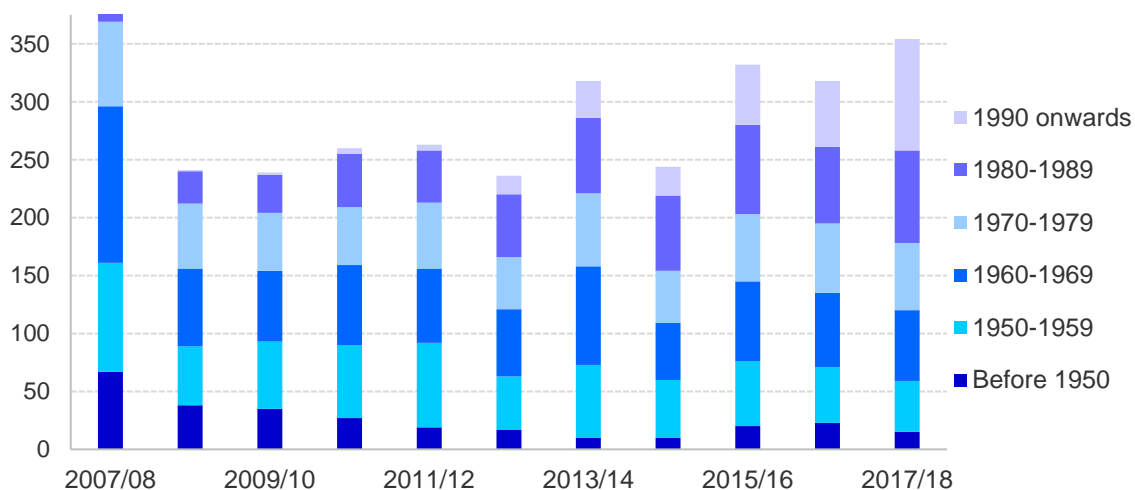


Figure 5.2: Full Gender Recognition Certificates granted by year of birth, 2007/08 to 2017/18 (Source: Table GRP.4)



6. Adjudgments and Postponements

Adjudgments have increased by 13% in 2017/18 compared to the previous year, driven by a 20% rise in SSCS adjournments, which represent the majority (76%) of adjournments in 2017/18

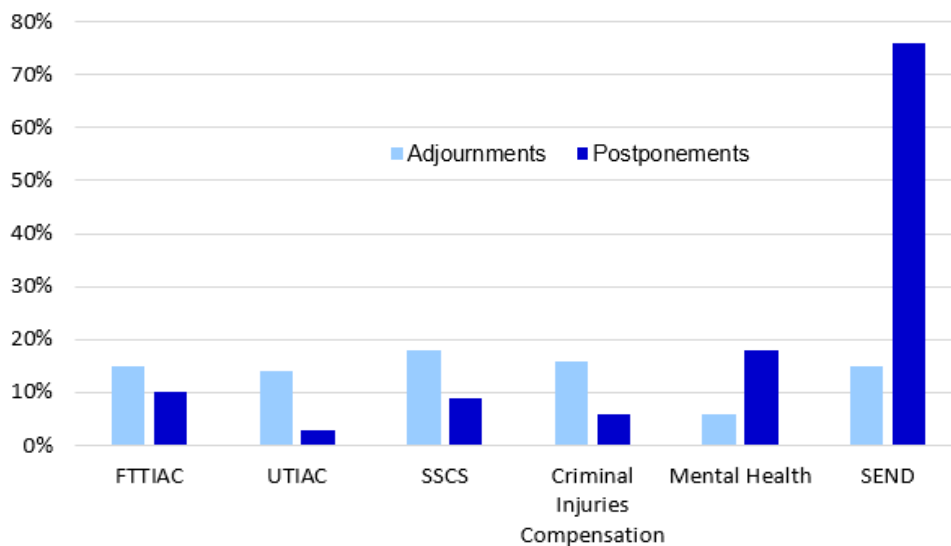
SSCS adjournments increased from 33,973 in 2016/17 to 40,887 in 2017/18; making up 76% of the 53,928 adjournments in the year.

Postponements increased 37% in 2017/18, again driven by the SSCS tribunal which represent the majority (56%) of postponements in 2017/18

The number of tribunal postponements increased 37% to 38,029 in 2017/18, driven by a 63% rise in SSCS tribunal postponements

Social Security and Child Support tribunals had the largest number of adjournments (40,887) which accounted for 18% of SSCS listed hearings (up one percentage point on 2016/17). Mental Health tribunal had the smallest proportion of listed hearings that were adjourned – 6% in 2017/18, the same proportion as in 2016/17.

Figure 6: Percentage of listed hearings Adjourned and Postponed by jurisdiction, 2017/18 (Source: Table APJ.1)



SEND tribunal continued to have the largest number of postponements as a proportion of listed hearings – with 76% of its listed hearings being postponed in 2017/18, compared to 68% in 2016/17. In the long-term, the number of SEND postponements have also continued to increase year on year, from 624 in 2013/14 to 2,129 in 2017/18.

The percentage of SSCS tribunals with postponements has also risen, up from 6% of listed hearings in 2016/17 to 9% in 2017/18. The absolute number of SSCS postponements also increased, by 63% to 21,427 in 2017/18, driving the overall increase in postponements.

The smallest proportion of postponements in 2017/18 was at the UTIAC, where 3% of listed hearings were postponed (down from 4% in 2016/17).

7. Tribunal Judicial Salaried and Fee-paid sittings by Jurisdiction

SSCS (39%) and Mental Health (25%) judicial sittings⁴ continue to make up a large proportion of all sittings

In 2017/18 there were 76,108 SSCS judicial sittings, up 7% (from 70,921) on 2016/17. In the same period, Mental Health judicial sitting days fell 2% to 48,527.

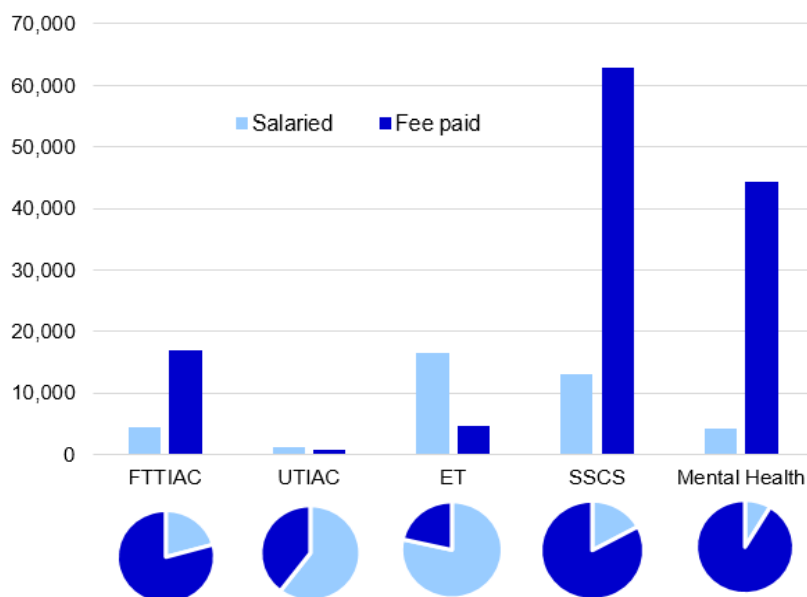
The vast majority of sittings in the Mental Health and SSCS tribunals were fee-paid (91% and 83% respectively), whereas the majority of sitting days in the Employment tribunal were salaried (79%).

Fee Paid sittings accounted for 74% of all tribunal judicial sittings in 2017/18, while salaried sittings accounted for 26%. Of the 146,057 fee-paid judicial sittings in 2017/18, 73% were for the Mental Health and SSCS tribunal.

The number of Employment Tribunal (ET) sittings has stabilised following a previous decreasing trend. In contrast, the number of ET fee-paid sittings has increased from 1,730 in 2016/17 to 4,519 in 2017/18, reversing the downward trend seen in the last few years. This may be due to the increasing number of ET receipts following the abolition of fees.

The number of judicial sitting days at the FTTIAC decreased 5% to 21,240 in 2017/18, while the number of UTIAC sitting days increased 15% to 1,992 in the same period. The proportion of salaried judicial sittings at the FTTIAC continued to decrease, down one percentage point to 21% in 2017/18.

Figure 7: Judicial Salaried and Fee-paid Judicial Sittings⁴, 2017/18 (Source: Tables JSFP.1 & JSFP.2)



⁴ For SSCS, judicial sittings relate to half-day sessions and therefore the SSCS figures are not comparable to the other tribunal sitting days

Further information

Provisional data and revisions

As part of an annual data reconciliation exercise, the quarterly data for April to December 2017 have been refreshed and figures revised accordingly. Final revised data for January to March 2018 will be published in September 2018. If revisions are needed in the subsequent year, these will be clearly annotated in the tables.

Accompanying files

As well as this bulletin, the following products are published as part of this release:

- A supporting document providing further information on how the data is collected and processed, as well as information on the revisions policy and legislation relevant to trends and background on the functioning of the tribunal system.
- A set of overview tables, covering each section of this bulletin, and two additional sets of tables on Employment Tribunals (for ET Fee Refunds and ET Management information – Annex C).
- A set of CSV files including data on each of the three large tribunals (SSCS, Employment and Immigration and Asylum) and an overall receipts and disposals CSV, covering all tribunal types.
- Additional releases this quarter:
 - Supplementary tables providing the new SEND Tribunal rate of appeal for the calendar years 2014 to 2017 at both a national and Local Authority level, calculated as a ratio of appeals submitted to the Tribunals to ‘appealable decisions’, as set out in the consultation response [previously published here](#).
 - An updated statistical notice on Immigration and Asylum (I&A) Detained Immigration Appeals (DIA).
 - A statistical notice presenting management information on reinstatements and ‘out of time’ claims brought to the Employment Tribunal following abolition of Employment Tribunal fees in July 2017. Data is presented as at 31 March 2018.

Contact

Press enquiries should be directed to the Ministry of Justice or HMCTS press office:

Sebastian Walters - email: Sebastian.Walters@justice.gov.uk

Alice Booth (HMCTS) - email: Alice.Booth@justice.gov.uk

Other enquiries about these statistics should be directed to the Justice Statistics Analytical Services division of the Ministry of Justice:

Bridgette Miles - email: CAJS@justice.gov.uk

Next update: 13 September 2018 (URL: www.gov.uk/government/collections/tribunals-statistics)

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