

**Undertaking given by Awarding Body for Vocational Achievement Ltd (trading as British Awarding Association) (BAA) to the Office of Qualifications and Examinations Regulation (Ofqual), in accordance with Condition B8 of the General Conditions of Recognition, June 2016 (the Conditions).**

In the context of:

- i. An Ofqual Investigation;
- ii. An admission that BAA does not have adequate controls in relation to the identification and management of conflicts of interest;
- iii. An admission that BAA does not have adequate controls in relation to the retention of relevant information;
- iv. An admission that BAA is likely to breach Conditions A8.1, A8.2, A8.3, H5.1, H6.1, I4.2 if it issues results or certificates before resolving the weaknesses in necessary controls.

## **Undertaking**

1. BAA will not issue any results or certificates to any learner for any BAA qualification, unless the issue of particular results or certificates is authorised in writing by the Ofqual Executive Director for Vocational and Technical Qualifications;
2. BAA will cease registration of any learner for any BAA qualification;
3. BAA will not permit any centre to enrol any learner for any BAA qualification;
4. BAA will review and revise its arrangements for the identification and management of conflicts of interest and in respect of the retention of relevant information (the review), and in particular:
  - a. On or before 19 March 2018, provide to Ofqual Terms of Reference for the review, including a detailed timeline;
  - b. On or before 2 April 2018, and every 14 days thereafter, provide to Ofqual an update as to the progress of the review;
  - c. Use best endeavours to complete the implementation of the review before 31 May 2018.

### **Effect of this undertaking**

5. This undertaking will remain in effect until Ofqual releases BAA from its undertaking;
6. Ofqual will release BAA from its undertaking when Ofqual is satisfied that BAA has remedied the defects in its arrangements for the identification and management of conflicts of interest and in respect of the retention of relevant information;
7. Nothing in this undertaking shall prevent or limit Ofqual's ability to take alternative or further action in accordance with the Apprenticeships, Skills, Children and Learning Act 2009.

### **Declaration**

In giving this undertaking, I acknowledge on behalf of BAA that:

- (1) Ofqual will publish this undertaking; and
- (2) Ofqual may take enforcement action in respect of any failure to comply with an Undertaking given to it which may include the issue of a Direction, the imposition of a fine, costs or other such action as it deems appropriate.

Signed:        Mr Munir Lone  
                    Responsible Officer

Date: 9 March 2018