

## Recommendation Status Report: Fatal accident involving a train passenger near Balham

This report is based on information provided to the RAIB by the relevant safety authority or public body.

The status of the recommendation(s), as reported to us, are described by the following categories:

### Key to Recommendation Status

<b>Open</b> (replaces Progressing and Implementation On-going)	Actions to address the recommendation are ongoing.
<b>Closed</b> (replaces Implemented, Implemented by alternative means, and Non-implementation)	ORR consider the recommendation to have been taken into consideration by an end implementer and evidence provided to show action taken or justification for no action taken.
<b>Insufficient response:</b>	The end implementer has not provided sufficient evidence that the recommendation has been taken into consideration, or if it has, the action proposed does not address the recommendation, or there is insufficient evidence to support no action being taken.
<b>Superseded:</b>	The recommendation has been superseded either by a newer recommendation or actions have subsequently been taken by the end implementer that have superseded the recommendation.
<b>Awaiting response:</b>	Awaiting initial report from the relevant safety authority or public body on the status of the recommendation.

RAIB concern over the way that an organisation has responded to a recommendation are indicated by one of the following:

**Red** – RAIB has concerns that no actions have been taken in response to a recommendation.

**Blue** – RAIB has concerns that the actions taken, or proposed, are inappropriate or insufficient to address the risk identified during the investigation.

**White** – RAIB notes substantive actions have been reported, but the RAIB still has concerns.

# Recommendation Status Report



<b>Report Title</b>	Fatal accident involving a train passenger near Balham
<b>Report Number</b>	09/2017
<b>Date of Incident</b>	07/08/2016

Rec No.	Status	RAIB Concern	Recommendation	RAIB Summary of current status
09/2017/01	Closed - I		<p>The intent of this recommendation is to improve the industry's management of the interacting risks between infrastructure and rolling stock on the route.</p> <p>Network Rail, in collaboration with operators of trains, should introduce a process to implement the sharing of data regarding clearances between structures and trains at window height with train operators, so that operators can make more informed decisions about the management of risk associated with opening windows (paragraph 104).</p>	<p>.ORR has reported that Network Rail has reported that it has completed actions taken in response to this recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate.</p>
09/2017/02	Closed - I		<p>The intent of this recommendation is to reduce the risk of injury at open train windows.</p> <p>Operators of trains which include rolling stock with droplight windows should assess the risk arising from reduced clearance outside those windows and implement any reasonably practicable measures to mitigate it. The review should be informed by obtaining from Network Rail the data referred to in recommendation 1, and include consideration of means of preventing people from leaning out of windows and/or improving warning signage. These measures should address the risks to both passengers and staff (paragraph 103b).</p>	<p>ORR has reported that operators of trains which include rolling stock with droplight windows have reported that they have completed actions taken in response to this recommendation. ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate.</p>