

Data Provision Notice

For National Diabetes Audit (Core Audit)

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Background

The Health and Social Care Act 2012 (the Act) gives the Health and Social Care Information Centre now known as **NHS Digital** and hereafter referred to by this name, statutory powers, under section 259(1), to require data from health or social care bodies, or organisations who provide health or adult social care in England, where it has been directed to establish an information system by the Department of Health (DH) (on behalf of the Secretary of State) or NHS England.

The data, as specified by NHS Digital in this published Data Provision Notice, is required to support a direction from NHS England to NHS Digital. Therefore, organisations that are in scope of the notice are legally required, under section 259(5) of the Act, to provide the data in the form and manner specified below.

Purpose of the collection

NHS England has directed NHS Digital to establish and operate a system for the collection and analysis of the National Diabetes Audit (NDA) including the National Diabetes Audit Core Audit, which is part of the NDA programme of clinical audits.

People with diabetes should receive annual care checks each year and should be achieving a target for their blood glucose, cholesterol and blood pressure values; these annual checks and targets are outlined in the National Institute for Health and Care Excellence (NICE) Clinical Guidelines and Quality Standards¹.

The main NDA, known as the Core Audit, collects information from general practices and specialist diabetes out-patient services to look at whether people with diabetes are receiving their annual care checks, are achieving their treatment targets and looks at their health outcomes along with whether they have been offered and attended structured education.

The key Audit findings are published in a national report as well as in general practice, Clinical Commissioning Group and specialist diabetes out-patient service level reports.

Benefits of the collection

Participation in the National Diabetes Audit (Core Audit) provides general practices and specialist diabetes out-patient services with the opportunity to plan diabetes care in response to local needs and to look at local performance in comparison with similar organisations. Participation allows general practices to:

- Assess local service provision for diabetes against NICE guidelines
- Compare service delivery, treatment effectiveness and outcomes of care with similar general practices
- Identify and share best practice
- Identify gaps or shortfalls in commissioning services

¹ NICE Standards for diabetes in Adults QS6 <https://www.nice.org.uk/guidance/qs6>

- Inform people with diabetes about the care they receive and about local diabetes service provision and outcomes

Legal basis for the collection, analysis, publication and dissemination

NHS Digital has been directed by NHS England under section 254 of the Health and Social Care Act 2012 to establish and operate a system for the collection and analysis of the information specified for the National Diabetes Audit Programme in the Technical Output Specification or any subsequent amended version of the Specification, as approved by the Board. The direction was accepted by the NHS Digital Board on 28 March 2017 the signed copy is published on the [GOV.UK website](#).

The National Diabetes Audit Programme Technical Specification is available on the [NDA Website](#).

The information in the technical specification is required by NHS Digital under section 259(1) of the Health and Social Care Act 2012.

In line with section 259(5) of the Act, all general practices and specialist services in England must comply with the requirement and provide information to NHS Digital in the form, manner and period specified in this Data Provision Notice.

This Notice is issued in accordance with the procedure published as part of NHS Digital duty under section 259(8) to notify relevant persons of the requirement to provide this data.

Persons consulted

Following receipt of a direction to establish and operate a system to collect information for Data Provision Notice, NHS Digital has, as required under section 258 of the Health and Social Care Act 2012, consulted with the following persons:

- NHS England
- Healthcare Quality Improvement Partnership (HQIP)
- The British Medical Association Joint General Practice Information Technology Committee (BMA JGPITC)
- National Advisory Group on Clinical Audit and Enquiries (NAGCAE) (no longer in existence)
- Welsh Government
- National Clinical Directors
- NICE
- Clinicians
- Healthcare professionals

- Patient body representatives
- People with diabetes
- Research community
- The Standardisation Committee for Care Information (SCCI), which included representatives from the UK Data Standards Panel, the Department of Health, the Medicines and Healthcare products Regulatory Agency, NICE, NHS Employers, NHS Improvement, NHS Northern Ireland, the Professional Records Standards Body (PRSB), techUK and NHS Digital

Scope of the collection

Under section 259(1) of the Health and Social Care Act 2012, this Notice is served in accordance with the procedure published as part of the NHS Digital duty under section 259(8) of the Act for all general practices and specialist diabetes out-patient services in England that deliver diabetes care. By diabetes care we mean responsibility for overseeing the general care of people with diabetes including annual care checks, care planning and review of education needs.

Where a patient requests their information to be excluded from the Audit the general practice or specialist diabetes out-patient service should not share the patient record with NHS Digital. For general practices there are specific read codes that must be applied to the patient record. Any record with an opt out code recorded will not be extracted from the practice system. The specific read codes can be found on the Audit webpage:

http://content.digital.nhs.uk/nda_collection

Under section 259(5) of the Health and Social Care Act 2012 the organisation types specified in the above Scope must comply with the Form, Manner and Period requirements below:

Form of the collection

The requirement relates to the extraction and submission of patient identifiable data from general practice clinical IT systems for the National Diabetes Audit Core collection. Full details of the data to be collected can be found in the Primary Care Extract Specification on the Audit webpage.

http://content.digital.nhs.uk/nda_collection

The requirement also relates to the submission of patient identifiable data from specialist diabetes out-patient services for the National Diabetes Audit Core collection. Full details of the data to be collected can be found in the Specialist Service Specification on the Audit webpage under Guidance for Specialist Services.

http://content.digital.nhs.uk/nda_collection

The following patient identifiable information is included in the collection:

- NHS number
- Date of birth

- Postcode
- Gender

Manner of the collection

System suppliers have developed extraction mechanisms to enable general practices to participate in the Audit. General practices should participate in the Audit by following the mechanism provided by the clinical IT system supplier. Guidance for each clinical system is provided on the NDA website at http://content.digital.nhs.uk/nda_collection

For general practices that do not have a compatible clinical system, manual queries (MiQUEST) are available to download from the NDA webpage at http://content.digital.nhs.uk/nda_collection.

Specialist diabetes out-patient services should complete the specialist service template for their service. Data should be inputted into the online data collection tool. Users must register for the online data collection tool. To register for the online tool a primary user with an nhs.net email address will need to take responsibility for who is registered to submit for their practice/service. Additional submitters can be registered, each submitter will need an NHS Digital single sign-on account.

Guidance on how to register and submit data is available on the Audit collection webpage http://content.digital.nhs.uk/nda_collection

Period of the collection

The National Diabetes Audit (Core Audit) collects information from general practices and specialist diabetes out-patient services on an annual basis. This will move to twice a year from 2018.

General practices and specialist diabetes out-patient services are notified directly of the 6 week collection period

The 2016-17 collection will take place between 12 June and 21 July 2017.

Subsequent collection time periods will be published on the NDA website at http://content.digital.nhs.uk/nda_collection

Burden of the collection

Steps taken by NHS Digital to minimise the burden of collection

In seeking to minimise the burden it imposes on others, in line with sections 253(2a) and 265(3) of the Health and Social Care Act 2012, NHS Digital has an assessment process to validate and challenge the level of burden incurred through introducing new information standards, collections and extractions.

This assessment is carried out by the Burden Advice and Assessment Service (BAAS) which carries out a Detailed Burden Assessment (DBA) and reports findings and recommendations, as part of the overarching SCCI process. The Committee oversees the development, assurance and acceptance of information standards, data collections and data extractions for the health and social care system in England.

Detailed burden assessment findings

The below recommendations were drawn from the detailed burden assessment report.

For the collection owner:

1. Consider an automated extraction to increase the population of optional fields - especially where those fields are useful to providers in terms of benchmarking.
2. Work with data providers to determine an appropriate period of notice for any changes to the collection.
3. Communicate with providers of data on the benefits of the data collection and the link to the patient care.
4. Ensure that data providers have an option to use open document format files rather than Excel as specified at <https://standards.data.gov.uk/challenge/sharing-or-collaborating-government-documents>

For providers:

5. Consider completing optional fields where your trust would find the information useful for benchmarking.

For NHS Digital Clinical Audits and Registries Management Service:

6. Investigate how to populate the blank NHS number field.

Assessed costs

The associated burden of the data collection is:

| | | |
|--------------------------------------|--------|---|
| Burden on providers | £398k | |
| Set up costs for the data collection | £42.5k | Includes NHS Digital and supplier costs, representing a maximum estimate. |
| Other costs of the data collection | £395k | |

Help us to identify inappropriate collections

NHS Digital's Burden Advice and Assessment Service (BAAS) offers a Collection Referral Service which is a simple and confidential way to allow data providers to refer data collections they feel would benefit from further scrutiny.

For more details and information on how to refer a collection, please visit:
<http://www.digital.nhs.uk/article/6183/Collection-Referral-Service>

More about the Burden Advice and Assessment Service can be found at:
<http://digital.nhs.uk/baas>

For further information

www.digital.nhs.uk

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