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Special Condition

The Office of Qualifications and Examinations Regulation (Ofqual), in accordance with its powers under section 132(3)(d) of the Apprenticeships, Skills, Children and Learning Act 2009 (ASCLA) has imposed the following Special Conditions on ETC Awards Ltd (ETCAL).

Special Conditions

- ETCAL 1.1. ETCAL must not issue any result or certificate in respect of any qualification, other than as specified by this Condition;
- ETCAL 1.2. Results and / or certificates may be issued to specified Learners where the issue of those results and / or certificates is authorised by the Executive Director for Vocational and Technical Qualifications;
- ETCAL 1.3. ETCAL may at any time apply for authorisation, every such application must be accompanied by evidence demonstrating that ETCAL is appropriately assured in respect of the accuracy of any results and that the Learners have a valid entitlement to the certificate;
- ETCAL 1.4. The Executive Director shall authorise the issue of results where ETCAL demonstrates to his satisfaction that it is appropriately assured.

- ETCAL 2.1. Before 28 February 2018, ETCAL must review and where necessary revise its arrangements to secure ongoing compliance with the General Conditions of Recognition, in accordance with this Condition;
- ETCAL 2.2. Before 28 February 2018, ETCAL must demonstrate to Ofqual's satisfaction that it has in place a comprehensive plan to review and where necessary revise its arrangements (the Compliance Action Plan) to secure ongoing compliance with the General Conditions of Recognition;
- ETCAL 2.3. Before 28 February 2018, ETCAL must demonstrate to Ofqual's satisfaction that it has in place or will have in place the necessary financial and other resources to implement its Compliance Action Plan;
- ETCAL 2.4. The Compliance Action Plan must prioritise the review and where necessary revision of the following arrangements:
- ETCAL 2.4.1. ETCAL's arrangements to secure that it will:
- (a) Identify and monitor any Conflict of Interest which exists in relation to it;
 - (b) Manage any Conflict of Interest which relates to it, to ensure that the Conflict of Interest does not have any Adverse Effect.
- ETCAL 2.4.2. ETCAL's arrangements in respect of any aspect of the development, delivery and award of qualifications which is undertaken by Centres, and in particular:
- (a) The agreements between ETCAL and any Centre;
 - (b) The arrangements ETCAL has in place to monitor and enforce agreements with its Centres;

- (c) The arrangements ETCAL has in place for the Moderation of assessment evidence marked by Centres.

ETCAL 2.4.3. ETCAL's arrangements in respect of the prevention, detection and investigation of suspected malpractice and maladministration;

ETCAL 2.5. The Compliance Action Plan must make provision for ETCAL to notify Ofqual in respect of its progress against that plan. The timing, mechanism and focus of particular updates will be determined by Ofqual;

ETCAL 2.6. ETCAL must at all times comply with its Compliance Action Plan;

ETCAL 2.7. ETCAL must keep its Compliance Action Plan under review and must notify Ofqual of any changes it makes;

ETCAL 2.8. ETCAL must comply with any Notice given under this Condition which requires ETCAL to amend its Compliance Action Plan.

Signed:P. Beach. Dated: 23/1/2018

Phil Beach

Executive Director for Vocational and Technical Qualifications