

## Cross Compliance Negligent matrix 2017

USE THIS MATRIX TO CALCULATE PENALTY FOR FIRST NON-COMPLIANCE				
Intent	Extent	Severity	Permanence	% Penalty
Negligent	Limited to an on-farm effect	Very Low	Rectifiable	EWS*
			Rectifiable	1%**
		Low	Permanent	1%
			Rectifiable	1%
		Medium	Permanent	3%
			Rectifiable	
		High	Permanent	5%
			Rectifiable	
	Not limited to an on-farm effect	Very Low	Rectifiable	1%
			Permanent	
		Low	Rectifiable	3%
			Permanent	
		Medium	Rectifiable	5%
			Permanent	
		High	Rectifiable	5%
			Permanent	

## Cross Compliance Negligent Reoccurrence matrix 2017

USE THIS MATRIX TO CALCULATE IF THE NON-COMPLIANCE HAS PREVIOUSLY BEEN IDENTIFIED SINCE 01/01/2015					Penalty for 2 <sup>nd</sup> , 3 <sup>rd</sup> , 4 <sup>th</sup> and ongoing reoccurrence
Intent	Extent	Severity	Permanence	Penalty for 1 <sup>st</sup> reoccurrence	
Negligent	Limited to an on-farm effect	Very Low	Rectifiable	EWS*	<p>For repeats that are occurring for a second or subsequent time, ignore the Extent, Severity and Permanence. Identify the previous applied repeated penalty and multiply this by three (3). This penalty will be capped at 15%.</p> <p>Once the 15% penalty is reached, if the same non-compliance is determined again, this will be considered to be an intentional act.</p>
			Rectifiable	3%**	
		Permanent	3%		
		Low	Rectifiable	3%	
			Permanent	9%	
		Medium	Rectifiable		
			Permanent		
		High	Rectifiable	3%	
	Permanent				
	Not limited to an on-farm effect	Very Low	Rectifiable	3%	
			Permanent		
		Low	Rectifiable	9%	
			Permanent		
		Medium	Rectifiable	15%	
			Permanent		
		High	Rectifiable	15%	
Permanent					

\* EWS – Early Warning System.

\*\* Where EWS breach has not been remedied.

## Cross Compliance Intentional matrix 2017

Intent	Extent	Severity	Permanence	Penalty for 1 <sup>st</sup> breach
Intentional	On-farm effect	Very Low	Rectifiable	15%
			Permanent	18%
		Low	Rectifiable	20%
			Permanent	22%
		Medium	Rectifiable	24%
			Permanent	26%
		High	Rectifiable	28-100%
			Permanent	30-100%
	Off-farm effect	Very Low	Rectifiable	18%
			Permanent	20%
		Low	Rectifiable	22%
			Permanent	24%
		Medium	Rectifiable	26%
			Permanent	28%
		High	Rectifiable	30-100%
			Permanent	32-100%*

\*If 100%, consider whether a 100% penalty is also required in the following year under 'extreme intent' guidance.

## Cross Compliance Intentional Reoccurrence matrix 2017

Intent	Extent	Severity	Permanence	1 <sup>st</sup> Reoccurrence	2 <sup>nd</sup> Reoccurrence	3 <sup>rd</sup> Reoccurrence onwards	
Intentional	On-farm effect	Very Low	Rectifiable	30%	60%	100%	
			Permanent	36%	72%		
		Low	Rectifiable	40%	80%		
			Permanent	44%	88%		
		Medium	Rectifiable	48%	96%		
			Permanent	52%	100%		
		High	Rectifiable	28 – 100% multiplied by 2. If % is equal to or >100% apply a 100% reduction *	100%		100%
			Permanent	30 – 100% multiplied by 2. If % is equal to or >100% apply a 100% reduction *	100%		100%
	Off-farm effect	Very Low	Rectifiable	36%	72%	100%	
			Permanent	40%	80%		
		Low	Rectifiable	44%	88%		
			Permanent	48%	96%		
		Medium	Rectifiable	52%	100%		
			Permanent	56%	100%		
High	Rectifiable	30 – 100% multiplied by 2. If % is equal to or >100% apply a 100% reduction *	100%	100%			
	Permanent	32 – 100% multiplied by 2. If % is equal to or >100% apply a 100% reduction *	100%	100%			

\* A multiplier of 3 can be used in the most serious cases