

# Enterprise Investment Scheme and Seed Enterprise Investment Scheme

**Apr-17**

**8.1** Enterprise Investment Scheme : Number of companies raising funds, number of subscriptions and amounts raised

**8.11** Seed Enterprise Investment Scheme : Number of companies raising funds, number of subscriptions and amounts raised

**8.1a** Enterprise Investment Scheme : Number of companies seeking advance assurance, number of applications received, approved and rejected

**8.11a** Seed Enterprise Investment Scheme : Number of companies seeking advance assurance, number of applications received, approved and rejected

## Enterprise Investment Scheme

**Table 8.1: Number of companies raising funds, number of subscriptions and amounts raised from 1993-94<sup>1</sup> to 2015-16<sup>p</sup>**

Claims data received by March 2017<sup>2</sup>

Numbers: actual; Amounts: £ million

Year <sup>2</sup>	Companies raising funds for first time	All companies raising funds	Subscriptions <sup>3</sup>	Companies raising funds for first time	All companies raising funds
	Number	Number	Number	Amount	Amount
1993-94	75	75	480	3.9	3.9
1994-95	400	425	4,970	39.0	41.4
1995-96	440	550	5,140	45.5	52.9
1996-97	475	650	11,820	73.4	94.3
1997-98	530	725	11,410	85.1	113.4
1998-99	1,035	1,265	15,330	237.8	294.0
1999-00	1,640	2,105	29,340	462.6	613.7
2000-01	2,380	3,315	45,780	668.5	1,065.2
2001-02	1,685	2,855	25,480	418.9	760.9
2002-03	1,340	2,455	27,635	372.2	667.3
2003-04	1,150	2,175	28,125	334.1	627.2
2004-05	1,210	2,190	32,360	325.4	606.4
2005-06	1,155	2,140	31,525	305.6	647.6
2006-07	1,150	2,170	39,680	376.6	732.5
2007-08	1,130	2,205	37,135	365.9	707.3
2008-09	940	1,920	23,545	288.4	517.7
2009-10	995	1,975	25,960	363.5	622.7
2010-11	1,070	2,025	32,430	311.9	548.8
2011-12	1,570	2,680	88,865	691.9	1,033.6
2012-13 <sup>r</sup>	1,195	2,470	64,105	576.3	1,033.4
2013-14 <sup>r</sup>	1,410	2,845	123,090	894.1	1,588.5
2014-15 <sup>p</sup>	1,710	3,330	151,930	1,079.6	1,880.9
2015-16 <sup>p</sup>	1,500	3,285	148,355	787.1	1,647.0
All Years	26,170	** <sup>4</sup>	856,135	9,107.3	15,900.7

Source: EIS1 forms

Statistics in this table are consistent with HMRC's policies on dominance and disclosure.

Numbers are rounded to the nearest 5 and amounts are rounded to the nearest £0.1m. Totals may not sum due to rounding.

1. Tax year ending 5 April.

2. Companies have a period of several years after shares are issued to submit an EIS1 compliance statement. Therefore, data from 2014-15 to 2015-16 remain provisional and subject to change due to claims not yet received.

3. The number of subscriptions is not equal to the number of investors as an individual can invest in more than one company.

4. The total is not given as companies may raise funds in more than one year.

p. Provisional.

r. Revised

## Enquiries

Statistical enquiries should be addressed to: Michael McDaid, VC Statistics, KAI Direct Business Taxes, HM Revenue & Customs, Room 2/43,100 Parliament Street, London, SW1A 2BQ. Tel: 03000 589 900, E-mail: Michael.McDaid@hmrc.gsi.gov.uk

For more general enquiries please refer to the HMRC website:

[www.hmrc.gov.uk](http://www.hmrc.gov.uk)

or contact the Venture Capital **Helpline** on **03000 588907** for general enquiries.

The next update of these tables, will be published in October 2017.

## Seed Enterprise Investment Scheme

**Table 8.11: Number of companies raising funds, number of subscriptions and amounts raised from**

**2012-13<sup>1 P</sup> to 2015-16<sup>1 P</sup>**

Claims data received by March 2017<sup>2</sup>

Numbers: actual; Amounts: £ million

Year <sup>2</sup>	Companies raising funds for first time	All companies raising funds	Subscriptions <sup>3</sup>	Companies raising funds for first time	All companies raising funds
	Number	Number	Number	Amount	Amount
2012-13 <sup>r</sup>	1,175	1,175	7,840	86.9	86.9
2013-14 <sup>r</sup>	1,810	2,125	32,810	155.2	173.9
2014-15 <sup>p</sup>	1,850	2,340	32,770	154.2	177.6
2015-16 <sup>p</sup>	1,680	2,225	29,120	144.4	169.6
All Years	6,515	** <sup>4</sup>	102,535	540.7	607.9

Source: SEIS1 forms

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The next update of these tables, will be published in October 2017.

## Enterprise Investment Scheme - Advance Assurance Applications

**Table 8.1a: Number of companies seeking advance assurance, number of applications received, approved and rejected from 2006-07<sup>1</sup> to 2016-17<sup>p</sup>**

Applications submitted by April 2017<sup>2</sup>

Year <sup>2</sup>	Numbers: actual											All Years
	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2013-14 <sup>r</sup>	2013-14 <sup>r</sup>	2014-15 <sup>p</sup>	2015-16 <sup>p</sup>	2016-17 <sup>p</sup>	
Companies seeking advance assurance	1,035	1,025	965	1,095	1,370	2,030	2,265	2,640	3,075	3,450	3,420	** <sup>3</sup>
AARs applications received	1,080	1,060	995	1,125	1,460	2,150	2,340	2,730	3,170	3,570	3,530	23,200
<b>Applications processed in current year</b>												
Of which, applications approved in year	880	875	840	880	1,195	1,770	1,785	2,085	2,365	2,370	2,465	17,510
Of which, applications rejected in year	65	80	80	90	105	135	150	140	165	280	250	1,545
Of which, applications not pursued further	50	20	25	40	30	55	60	80	110	220	** <sup>4</sup>	1,490
<b>Applications processed in subsequent years</b>												
Of which, applications later approved	50	45	30	80	80	130	285	340	400	495	-	1,945
Of which, applications later rejected	30	45	20	35	50	60	60	80	130	205	-	715
Total applications received	1,080	1,060	995	1,125	1,460	2,150	2,340	2,730	3,170	3,570	3,530	23,200
Total applications approved	930	920	870	960	1,275	1,900	2,075	2,425	2,765	2,865	2,470	19,455

Source: EIS/SEIS(AA)

Statistics in this table are consistent with HMRC's policies on dominance and disclosure.

Numbers are rounded to the nearest 5. Totals may not sum due to rounding.

1. Tax year ending 5 April.

2. Data from 2014-15 to 2016-17 remain provisional and subject to change due to applications still being processed.

3. The total is not given as companies may seek assurance in more than one year.

4. The balance of applications include those which will be processed in a subsequent year.

p. Provisional.

r. Revised

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The next update of these tables, will be published in April 2018.

## Seed Enterprise Investment Scheme - Advance Assurance Applications

**Table 8.11a: Number of companies seeking advance assurance, number of applications received, approved**

Applications submitted by April 2017<sup>2</sup>

Numbers: actual

Year <sup>2</sup>	2012-13	2013-14	2014-15 <sup>p</sup>	2015-16 <sup>p</sup>	2016-17 <sup>p</sup>	All Years
	Number	Number	Number	Number	Number	Number
Companies seeking advance assurance	1,590	2,795	2,860	3,070	3,100	** <sup>3</sup>
AARs applications received	1,630	2,845	2,905	3,115	3,150	13,645
<b>Applications processed in current year</b>						
Of which, applications approved in year	1,175	2,210	2,195	2,235	2,325	10,135
Of which, applications rejected in year	70	140	145	170	175	700
Of which, applications not pursued further	25	75	100	180	** <sup>4</sup>	1,030
<b>Applications processed in subsequent years</b>						
Of which, applications later approved	315	350	380	410	-	1,460
Of which, applications later rejected	40	75	90	120	-	325
Total applications received	1,630	2,845	2,905	3,115	3,150	13,645
Total applications approved	1,495	2,555	2,570	2,645	2,325	11,595

Source: EIS/SEIS(AA)

Statistics in this table are consistent with HMRC's policies on dominance and disclosure.  
Numbers are rounded to the nearest 5 Totals may not sum due to rounding.

1. Tax year ending 5 April.
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