

## Checklist for submitting Clinical Investigation notification

**Before submitting your notification, you must make sure you have included all information listed below.**

We will only accept notifications that:

- include all of the relevant documents listed below
- are submitted on CD, with all documents labelled as separate attachments
- have attachments labelled on the CD in a way that reflects their content
  - e.g. clinical investigation plan, essential requirements checklist, instructions for use
- have all attachments in English
  - any other language must be translated and included with the original version as a separate document
- include only attachments that are searchable
  - do not include pdfs of scanned documents

### Documents to include

|                                                                                                                                                           |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <b>Cover Letter</b>                                                                                                                                       |  |
| As a minimum, your cover letter should include the following information.                                                                                 |  |
| an explanation of the purpose of the clinical investigation                                                                                               |  |
| confirmation of whether the same device has been the subject of previous notifications to MHRA                                                            |  |
| MHRA reference numbers for any previous notifications                                                                                                     |  |
| confirmation of whether any subsequent modifications have been made to the device or whether the device remains unchanged from the previous notifications |  |

## Notification Forms

Please print, sign and scan these forms and include them on the CDs. We recommend you also send an additional version of the PCA forms with no signature (i.e. a pdf version that has not been scanned).

|                     |  |
|---------------------|--|
| PCA1 form           |  |
| PCA2 form           |  |
| Sterilisation annex |  |

## Supporting documents

|                                                                 |  |
|-----------------------------------------------------------------|--|
| details of who to invoice                                       |  |
| clinical investigation plan                                     |  |
| investigator's brochure                                         |  |
| patient information                                             |  |
| patient Consent                                                 |  |
| CVs for UK clinical investigators                               |  |
| detailed information on the device and its accessories (if any) |  |
| essential requirements checklist                                |  |
| risk analysis                                                   |  |
| instructions for use                                            |  |
| device labels                                                   |  |
| summary of all bench testing and pre-clinical testing conducted |  |
| summary of all clinical experience with the device to date      |  |

- full company name, address and registered tax/VAT number

- intended purpose, drawings etc.

|                                                                                                                                                                                            |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| end of study reports for any concluded clinical investigations that involved the same medical device under investigation                                                                   |  |
| list of standards met                                                                                                                                                                      |  |
| sterilisation validation report (where relevant)                                                                                                                                           |  |
| software information (where relevant) <ul style="list-style-type: none"> <li>pg 26-27 <a href="#">guidance for manufacturers</a></li> </ul>                                                |  |
| biological safety assessments of patient contacting materials (where relevant) <ul style="list-style-type: none"> <li><a href="#">biological safety assessment guidance</a></li> </ul>     |  |
| information on animal tissues (where relevant) <ul style="list-style-type: none"> <li>Appendix 2 <a href="#">guidance for manufacturers</a></li> </ul>                                     |  |
| Information on any medicine or human blood derivative incorporated into the device <ul style="list-style-type: none"> <li>Appendix 5 <a href="#">guidance for manufacturers</a></li> </ul> |  |
| research ethics committee opinion (if available)                                                                                                                                           |  |