



Animal &
Plant Health
Agency

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[REDACTED]
{By Email}

Our Ref: ATIC1057

18 April 2017

Dear [REDACTED]

PROVISION OF REQUESTED INFORMATION

Thank you for your request for information about cross-compliance inspection statistics which we received on 21 March 2017. Your request has been handled under the Freedom of Information Act 2000.

The information you requested and our response is detailed below:

1. "For 2015 and 2016*", the number of Cross Compliance inspections of farms carried out by APHA in England (and Wales, Scotland if appropriate)

Please see [Appendix 1](#) for the total number of Cross Compliance inspections carried out in 2015 and 2016.

Cross compliance visits are defined as:

- Visits undertaken as part of the annual Risk/Random 1% audit selection.
- Visits undertaken for purposes of investigating welfare complaint allegations on premises where the farmer is also a claimant and the inspection discloses breaches, are classed as "Targeted cross compliant inspections". They are included on the total number of cross compliant visits.

The number of inspections where breaches / non-compliances were identified, along with the Statutory Management Requirement (SMR) breached, the description of the breach(s) (e.g. as outlined in the Verifiable Standards documents), and the Severity of the breach(s)"

Please see [Appendix 2](#) for data on breaches and non-compliances for 2015 inspections. Please see [Appendix 3](#) for 2016 data.

The spreadsheets can be filtered to show the country where the visit took place (England, Scotland or Wales), the criteria for which the visit was selected (Risk, Random or 'Targeted'), the Statutory Management Requirement (SMR), and the breach section number and scores recorded against each non-compliance.

Please note: the data provided has been drawn from a bespoke database that was built some years ago. Information uploaded to and held in this database is not always extracted correctly onto the report, which can mean that visit result information may be missing. This is a recognised system issue that is being reviewed by APHA.

Please note: the SMR codes are as follows –

11 = Calves

12= Pigs

13 = All Animals.

Information disclosed in response to this FOI request is releasable to the public. In keeping with the spirit and effect of the FOIA and the government's Transparency Agenda, this letter and the information disclosed to you may be placed on GOV.UK, together with any related information that will provide a key to its wider context. No information identifying you will be placed on the GOV.UK website.

I attach an Annex which explains the copyright that applies to the information being released to you and contact details should you be unhappy with the service you have received.

If you have any queries about this letter, please contact the Access to Information Team at the email address below or postal address at the top of this letter.

Yours sincerely

ACCESS TO INFORMATION TEAM

Email: enquiries@apha.gsi.gov.uk

Annex

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Complaints

If you are unhappy with the result of your request for information you may request an internal review within 40 working days of the date of this letter.

If you wish to request an internal review, please contact: The Access to Information Team at enquiries@apha.gsi.gov.uk or at the postal address at the top of this letter, who will arrange for an internal review of your case.

If you are not content with the outcome of the internal review, you have the right to apply directly to the Information Commissioner for a decision. Please note that generally the Information Commissioner cannot make a decision unless you have first exhausted APHA's own complaints procedure. The Information Commissioner can be contacted at:

Information Commissioner's Office
Wycliffe House
Water Lane
Wilmslow
Cheshire
SK9 5AF

Statutory Management Requirement (SMR) Code 11

1. INSPECTION (Euro ref: 2008/119 INS)
1.1 Are all housed calves inspected at least twice (and any outdoor calves once) per day?
2. FREEDOM OF MOVEMENT (Euro ref: 2008/119 FMV)
2.1 Can each calf stand up, turn around, lie down, rest and groom itself without difficulty?
2.2 Are calves tethered?*(may be tethered for up to one hour for feeding)
2.3 If tethered are these likely to cause pain or injury?
2.4 Are any calves muzzled?
3. ACCOMMODATION
(If at grass tick n/a and AWF score not necessary) (Euro ref: 2008/119 HOU)
3.1 Are any calves kept in an individual stall or pen after the age of eight weeks (unless following vet advice)?
3.2 Is the width of any individual stall or pen at least equal to the height of the calf at the withers, measured in the standing position, and length at least equal to the body length of the calf x 1.1 - measured from the tip of the nose to the rear of the pin bone?*
3.3 Do individual stalls have perforated walls which allow calves to have direct visual and physical contact with each other? (<i>solid side walls are not acceptable, excludes stalls used as sick pens</i>)
3.4 Are calves provided with sufficient unobstructed floor area? All measurements must be detailed if non-compliance has occurred
3.5 Are floors smooth but not slippery so to prevent injuries?
3.6 Are floors built and maintained so they do not injure the calves standing or lying on them or cause them suffering?
3.7 Are floors rigid, even, stable and suitable for the size and weight of the calves?
4. ENVIRONMENT (Euro ref: 2008/119ENV)

Statutory Management Requirement (SMR) Code 11

<p>4.1 Do all housed calves have access to a lying area that is clean, comfortable, well-drained and well-maintained with dry bedding?</p>
<p>4.2 If calves are kept in an artificially lit building, is artificial lighting provided for a period which is at least equal to the period of natural light normally available between 9am and 5pm?</p>
<p>4.3 Are all housing, stalls, pens, equipment and utensils properly cleansed and disinfected sufficient to prevent cross-infection and the build-up of disease-carrying organisms.?</p>
<p>4.4 Are faeces, urine and uneaten or spilt food removed frequently enough to avoid attracting flies or rodents?</p>
<p>5. FEED, WATER AND OTHER SUBSTANCES (Euro ref: 2008/119 FDW)</p>
<p>5.1 Are all weaned calves fed at least twice a day?</p>
<p>5.2 Where calves are housed in a group and they do not have continuous access to feed, or are not fed by an automatic-feeding system, does each calf have access to food at the same time as the others in the feeding group?</p>
<p>5.3 As well as the general requirements for providing drinking water in SMR 18, are calves given fresh drinking water at all times in hot weather conditions or when they are ill?</p>
<p>5.4 Are calves showing evidence of clinical anaemia?*</p>
<p>5.5 Is a minimum daily ration of fibrous food provided for each calf over two weeks old (from at least 100 grams at two weeks old to at least 250 grams at 20 weeks old) ?</p>
<p>5.6 Does the farmer ensure each calf receives bovine colostrum within the first six hours of life?</p>

Statutory Management Requirement (SMR) Code 12

1. FREEDOM OF MOVEMENT (Except farrowing sows in crates) (Euro ref: 2008/120 FMV)
1.1 Are pigs able to turn around without difficulty (including when tethered which is for exam / treatment purposes only)?
1.2 Are pigs tethered or in stalls unnecessarily (i.e. no imminent or recent examination, treatment or operation)
1.3 Are tethers a comfortable fit with no risk of strangulation pain or injury?
1.4 Do stalls (used for holding pigs temporarily) have an inside area at least the square of the length of the pig and sides no less than 75% of pig length? (snout to tail base)
2. ACCOMMODATION (Euro ref: 2008/120 HOU)
2.1 Are pigs able to stand up lie down and rest without difficulty?
2.2 Is there a clean, comfortable and adequately drained place in which the pigs can rest?
2.3 Can they see other pigs? (exempt if the pig isolated for veterinary reason or for farrowing)
2.4 Is a comfortable temperature maintained?
2.5 Are floors smooth but not slippery so to prevent injuries?
2.6 Are floors built and maintained so they do not injure the pigs standing or lying on them or cause them suffering?
2.7 Are floors rigid, even, stable and suitable for the size and weight of the pigs?
3. LIGHT LEVELS (Euro ref: 2008/120 LUX-ENV)
3.1 For pigs reared in artificial light are light levels a minimum of 40* lux for a minimum of 8 hours every day for all pigs*?
<i>Details/Action: You must list all measurements & locations taken. 36-39 lux pass but verbal/written advice must be given (this allows 10% inaccuracy of meter / differences in luminescence). Note a High severity (no light) comes under SMR 18 98/58 ENV.</i>

Statutory Management Requirement (SMR) Code 12

4. NOISE LEVELS (Euro ref: 2008/120 NOISE-ENV)
4.1 Are pigs exposed to any constant or sudden noise that could be reasonably avoided or minimised?
4.2 Are pigs exposed to constant noise (that could be reasonably avoided) above 85* decibels?
<i>Please comment and justify any breaches *You must list all measurements taken & locations. 86-94 decibels pass but verbal/written advice must be given (allow 10% inaccuracy of meter)</i>
5. MANIPULABLE MATERIALS
5.1 Do all pigs have permanent access to a sufficient quantity of material such as straw, hay, wood, sawdust, mushroom compost, peat or a mixture of such?
<i>Please comment and justify any breaches *You must detail material types provided. ** You must detail why manipulable material is not made available. If no breach is recorded despite a 'No' you must evidence clearly that you are satisfied with reasons given..</i>
6. FEED & WATER
(Euro Ref: 2008/120 FDW)
6.1 Where pigs are housed in a group and do not have continuous access to feed, or are not fed by an automatic-feeding system, does each pig have access to the food at the same time as the others in the feeding group?*
6.2 Do all pigs over 2 weeks of age have continuous access to water?*
<i>Please comment and justify any breaches *Note a High severity comes under SMR 18 (98/58 EC FDW)</i>
7. WEANERS/REARERS (Euro ref: 2008/120 WEAN/REAR) STAFFING(STF)
7.1 Are pigs placed in groups as soon as possible after weaning?

Statutory Management Requirement (SMR) Code 12

7.2 After weaning are pigs kept in stable groups with as little mixing as possible?
7.3 When mixing pigs are there enough opportunities to escape and hide from other pigs they are not familiar with?
7.4 Is tranquillising medication used on pigs in order to help with mixing, without veterinary consultation?
BUILDINGS/HOUSING (HOU)
7.5 Are weaners / rearers provided with sufficient unobstructed floor area? – all measurements must be detailed if non-compliance has occurred
Unobstructed Area (SQM)
<i>Please comment and justify any breaches *Note a High severity comes under SMR 18 (98/58 EC FDW)</i>
8. BOARS (Euro ref: 2008/120 BOAR)
(If no boars do not complete AWF score: check box) Freedom of Movement(FMV)
8.1 Can boars turn round, hear, see and smell other pigs?
8.2 Do pens contain clean resting areas and a dry and comfortable lying area ?
BUILDING/HOUSING (HOU)
8.3 Is the minimum unobstructed floor area for an adult boar 6 square metres? *
8.4 Do boar pens (where natural service occurs), have a floor area of at least 10 square metres and free of any obstacles?*
<i>Details/Action* include all measurements taken **Note a High severity comes under SMR18 98/58 FMV.</i>

Statutory Management Requirement (SMR) Code 12

9. [BREEDING UNITS ONLY] SOWS & GILTS
(Euro ref: 2008/120 SOW/GILT) (If no sows or gilts do not complete AWF score: check box)
DISEASE(DIS)
9.1 Is there any evidence of internal and external parasites in pregnant gilts and sows?
9.2 If placed in farrowing crates, are the pregnant sows and gilts thoroughly cleaned beforehand?
ENVIRONMENT (ENV)
9.3 In the week before the expected farrowing time, are sows and gilts given enough suitable nesting material (unless it is not technically practical because of the slurry system in use)?
<i>* You must detail why manipulable material is not made available. If no breach is recorded despite a 'No' you must evidence clearly that you are satisfied with reasons given.</i>
BUILDING/HOUSING (ENV)
9.4 In farrowing pens is an unobstructed area behind the sow or gilt available for the ease of natural or assisted farrowing?
9.5 Is there some method of protecting the piglets, when keeping sows loose in farrowing pens, such as farrowing rails?
9.6 Are sows and gilts kept in groups except during the period between seven days before the predicted day of farrowing and the day on which the weaning of piglets (including any piglets fostered) is complete?
FEED & WATER (FDW)
9.7 When feeding sows and gilts is a system used where each pig can get enough food even when other pigs are competing for food?
9.8 Are all dry pregnant sows and gilts given enough bulky or high-fibre food as well as high-energy food to satisfy their hunger and need to chew?

Statutory Management Requirement (SMR) Code 12

10. [BREEDING UNITS ONLY] PIGLETS (Euro ref: 2008/120 PIG)
(If no piglets do not complete AWF score: check box)
ENVIRONMENT (ENV)
10.1 Are piglets provided with a source of heat and a dry and comfortable lying area away from the sow where all of them can rest at the same time?
10.2 Are piglets either i) routinely weaned at 28 days or more, or ii) weaned up to 7 days earlier into specialised housing which is empty, thoroughly cleaned and disinfected before introducing a new group and which is separate from housing where sows are kept?
BUILDING/HOUSING (ENV)
10.3 Is the total floor area where piglets are kept large enough to allow the animals to rest together at the same time?
ENVIRONMENT(ENV)
10.4 Is the floor solid or covered with a mat, or littered with straw or any other suitable material?
FREEDOM OF MOVEMENT(FMV)
10.5 If using a farrowing crate, have the piglets enough space to be able to be suckled without difficulty?
11. ACCOMMODATION (Euro Ref: 2008/120 NEW FMV)
BUILDING/HOUSING (ENV)
11.1 Where concrete slatted floor are used; Are openings too wide or slat widths too small for category of pig?
FREEDOM OF MOVEMENT (FMV)
11.2 Are dry sows or gilts kept in accommodation that does not meet the minimum pen length

Statutory Management Requirement (SMR) Code 12

(2.4m for < 6 sows, 2.8m for 6 or more sows)

Details/Action: * High under SMR 18 (98/58 FMV) Please detail all measurements made

BUILDINGS/HOUSING (HOU)

11.3 Are gilts (after service) or sows kept in accommodation which does not provide them with the minimum unobstructed floor area? (2.25 sqm/sow, 1.64sqm/gilt)

[reduce by 10% for 40+ sows, increase by 10% for <6 sows]

11.4 Are gilts (after service) or sows kept in accommodation which does not provide them with at least 0.95sqm (gilt) or 1.3sqm (sow) of continuous solid floor? [of which 15% maybe drainage openings]

Details/Action: * High under SMR 18 (98/58 FMV) Please detail all measurements made

12. PREVENTION OF FIGHTING (Euro Ref: 2008/120)

STAFFING(STF)

12.1 If pigs are kept together are measures taken to prevent fighting which goes beyond normal behaviour?

(Breaches involving only unweaned piglets or boars must ONLY be recorded under SMR 18 (Staff) for cross compliance purposes)

13. MUTILATIONS (Euro Ref:2008/120 MUT)

13.1 Is routine tooth-clipping carried out without evidence of improvement in environmental conditions and/or management procedures to reduce the incidence of injury?

13.2 Is routine tail docking carried out without evidence of improvement in environmental conditions and/or management procedures to reduce the incidence of injury?

13.3 Have pigs been castrated without evidence that this was necessary for the animal's welfare?

Statutory Management Requirement (SMR) Code 13

1. STAFFING (Euro ref:98/58 STF)
1.1 Are there sufficient staff to prevent welfare issues?
1.2 Does the stockperson have the knowledge and professional skills to look after the animals?
2.INSPECTION (Euro ref:98/58 INS)
2.1 Is frequency of inspection acceptable?
2.2 Is there sufficient lighting to undertake inspections?
3. ANIMAL CARE (Euro ref:98/58 DIS)
3.1 Are sick animals suitably cared for and where necessary getting veterinary advice?
3.2 Is accommodation for sick and injured animals suitable for purpose?
4. KEEPING RECORDS (Euro ref:98/58 REC)
4.1 Are there medicine records which are complete and available for previous 3 years (or if less than 3 years, since the business started or since the last reported non-compliance)?
4.2 Is the number of deaths found at each inspection recorded and are there records for the previous 3 years (or if less than 3 years, since the business started or since the last reported non-compliance)?

Statutory Management Requirement (SMR) Code 13

5. ACCOMMODATION/BUILDINGS (Euro ref:98/58 HOU)
5.1 Are there any harmful materials used in accommodation construction?
5.2 Are materials capable of being thoroughly cleaned and disinfected?
5.3 Are there any sharp edges or protrusions likely to cause injury?
6. ENVIRONMENT (Euro ref:98/58 ENV)
6.1 Is there evidence of harm to animals caused by the effect of air humidity, dust levels and gas concentrations of animals kept in buildings?
6.2 Is there sufficient natural or artificial lighting to meet the animals biological needs of animals kept in buildings?
6.3 Are animals (kept outside) protected, when necessary and possible, from adverse weather conditions, predators & other risks to health?
6.4 Do animals have access to a well drained lying area? (Animals kept outside must have access to a well drained lying area). Please note - Failure to provide housed animals with dry bedding or litter or a well drained area for resting cannot be recorded under this section.
6.5 Do neonatal animals have sufficient heat and or bedding to avoid hypothermia?
7. EQUIPMENT AUTOMATIC OR MECHANICAL (Euro ref:98/58 EQP)
If there is no automatic or mechanical equipment on farm please tick this box and proceed on section 8
7.1 Is all automated and/or mechanical equipment essential for the health and well being of animals inspected at least once a day?
7.2 Is all equipment inspected at least once per day?

Statutory Management Requirement (SMR) Code 13

7.3 Has the farmer taken appropriate action to rectify any defects found?
7.4 Is there an appropriate back-up system for artificially ventilated buildings that is checked and working at least every 7 days?
7.5 Is there an alarm system which will warn when the ventilation system fails, which can operate even when the electricity supply fails?
7.6 Does the alarm and backup system work when demonstrated?
8. FREEDOM OF MOVEMENT (Euro ref:98/58 FMV)
8.1 Are animals restricted in movement causing them unnecessary suffering or injury? (Laying hens use WOFAR 2002, see specifics SMR 16 calves & SMR 17 pigs)
8.2 If tethered or confined is there sufficient space to avoid UPUD?
9. FEED, WATER AND OTHER SUBSTANCES (Euro ref:98/58 FDW)
9.1 Are animals being fed at least once per day? (check for overgrazing GAEC 9)
9.2 Are they being fed to meet their physical needs?
9.3 Have animals been provided with a food/liquid that may cause un-necessary suffering or injury
9.4 Do animals have adequate access to a suitable water supply or able to satisfy fluid intake by other means?
9.5 Is feeding and watering equipment designed and built so as to minimise contamination and the harmful effects of competition?

Statutory Management Requirement (SMR) Code 13

<p>9.6 To the best of your knowledge has any treatment been administered to animals that is not licensed or unacceptable therapy? (breach also SMR 11)</p>
<p>10.MUTILATIONS (Euro ref:98/58 MUT)</p>
<p>10.1 Has a mutilation been carried out that is deemed un-necessary?</p>
<p>10.2 Is there any evidence of an un-lawful mutilation having been carried out on farm?</p>
<p>11. BREEDING PROCEDURES (Euro ref:98/58 BRE)</p>
<p>11.1 Is there any evidence that breeding or artificial breeding procedures are likely to cause or have caused suffering or injury to animals?</p>