

Michael Hunt
Responsible Officer
ETC Awards Ltd
Sketrick House
Jubilee Road
Newtownards
Down
BT23 4YH

Direction

With a view to securing compliance with the General Conditions of Recognition (the Conditions) set and published by Ofqual in accordance with section 134(1), **in particular Conditions H6.1(d), I4.2(a) and I4.2(b)**, in the exercise of its powers under section 151(2) of the Apprenticeships, Skills, Children and Learning Act 2009 (ASCLA):

Ofqual directs ETC Awards Ltd (ETCAL), as follows:

1. ETCAL must refrain immediately:
 - a. From issuing results to any Learner in respect of any unit or any qualification; and
 - b. From issuing certificates to any Learner in respect of any qualification; and
 - c. From registering any new Learner to any qualification;

UNTIL such time as the Executive Director for Vocational and Technical Qualifications (Ofqual) confirms in writing that ETCAL has demonstrated to his satisfaction that it has the capacity to undertake the delivery and award of qualifications in accordance with the Conditions, and in particular (but not exhaustively) that it has:

- i. An effective Responsible Officer;
- ii. An effective Governing Body;
- iii. Sufficient staff or contracted resources to ensure the effective investigation of suspected or alleged malpractice or maladministration;
- iv. Sufficient staff or contracted resources to ensure before issuing results that such results are accurate;
- v. Sufficient staff or contracted resources to ensure before issuing certificates that the learner has a valid entitlement to that certificate;

- vi. Appropriate systems of control to secure that results will be accurate and certificates will be issued only to learners with a valid entitlement;
- vii. Appropriate systems of control in respect of the investigation of suspected or alleged malpractice and maladministration.

Amended **Or** the Executive Director confirms in writing that ETCAL may issue results and certificates in respect of a specified cohort of Learners, which consent may not be given unless:

- viii. ETCAL demonstrates to the satisfaction of the Executive Director that the purported entitlement to results or certificates accrued before the date of the direction; and
- ix. ETCAL demonstrates to the satisfaction of the Executive Director that it is appropriately assured in respect of the accuracy of any results and that the Learners have a valid entitlement to the certificate.

Amended

2. ETCAL must notify immediately every Centre concerned with or connected to the delivery of its qualifications, that the specified restrictions have been imposed.
3. ETCAL must use best endeavours, during the period of the restriction on issuing results and certificates, to assist any Learner, Centre or awarding organisation which requests its assistance to transfer any Learner(s) from ETCAL qualifications to another qualification regulated by Ofqual;
4. ETCAL must before 4pm on 17 October 2017, cause to be delivered to Ofqual by secure means searchable information in respect of every registered Learner. That information must be sufficient to allow Ofqual to identify the extent to which each Learner has completed a qualification and at which Centre;

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Ofqual's Reasons for imposing this Direction are set out in the attached Statement of Reasons.

Signed:Tom Taylor..... Dated: 16 October 2017

Tom Taylor, Board Member

Amended: 2 November 2017