

- DO NOT STAPLE
- PRINT ON ONE SIDE ONLY

FORM AR21

Trade Union and Labour Relations (Consolidation) Act 1992

ANNUAL RETURN FOR A TRADE UNION

Name of Trade Union:	National Union of Mineworkers (Colliery Officials and Staff Area) Region No. 4		
Year ended:	31 December 2016		
List no:	205		
Head or Main Office:	2 Huddersfield Road Barnsley S70 2LS		
Website address (if available)			
Has the address changed during the year to which the return relates?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	(Click the appropriate box)
General Secretary:	Ken Hollingsworth		
Telephone Number:	01623 882694		
Contact name for queries regarding	Alistair Allcock		
Telephone Number:	0115 9608412		
E-mail:	ali@rogers-spencer.co.uk		

PLEASE FOLLOW THE GUIDANCE NOTES IN THE COMPLETION OF THIS RETURN.

Any difficulties or problems in the completion of this return should be directed to the Certification Officer as below or by telephone to: 020 7210 3734

The address to which returns and other documents should be sent are:

For Unions based in England and Wales:
Certification Office for Trade Unions and Employers' Associations
22nd Floor, Euston Tower, 286 Euston Road, London NW1 3JJ

For Unions based in Scotland:
Certification Office for Trade Unions and Employers' Associations
Melrose House, 69a George Street, Edinburgh EH2 2JG



(Revised February 2011)

RETURN OF MEMBERS

(see notes 10 and 11)

	NUMBER OF MEMBERS AT THE END OF THE YEAR				
	Great Britain	Northern Ireland	Irish Republic	Elsewhere Abroad (including Channel Islands)	TOTALS
MALE	34				34
FEMALE					
TOTAL					A 34

Number of members included in totals box 'A' above for whom no home or authorised address is held:

—
34

Number of members at end of year contributing to the General Fund

OFFICERS IN POST

(see note 12)

Please attach as an annexe to this form a complete list of all officers in post at the end of the year to which this form relates, with the title of each persons office.

RETURN OF CHANGE OF OFFICERS

Please complete the following to record any changes of officers during the twelve months covered by this return.

Title of Office	Name of Officer ceasing to hold office	Name of Officer Appointed	Date

State whether the union is:

a. A branch of another trade union?

Yes

No

union: If yes, state the name of that other

b. A federation of trade unions?

Yes

No



**NATIONAL UNION OF MINeworkERS
(COLLIERY OFFICIALS AND STAFFS AREA)
REGION NO.4**

**STATEMENT TO MEMBERS ISSUED IN CONNECTION WITH THE UNION'S
ANNUAL RETURN FOR YEAR ENDED 31 DECEMBER 2016
AS REQUIRED BY SECTION 32A OF TRADE UNION AND LABOUR RELATIONS
(CONSOLIDATION) ACT 1992**

List of officers imposed during the year ended 31 December 2016

Mr Ken Hollingsworth
Mrs Pat Brown



GENERAL FUND

(see notes 13 to 18)

	£	£
INCOME		
From Members: Contributions and Subscriptions		8,745
From Members: Other income from members (specify)		
Total other income from members		8,745
Total of all income from members		8,745
Investment income (as at page 12)	2	
Other Income		
Income from Federations and other bodies (as at page 4)		
Income from any other sources (as at page 4)		
Contribution from Midland Counties Colliery Officials F/S	20,000	
Total of other income (as at page 4)		20,002
		TOTAL INCOME
		28,747
EXPENDITURE		
Benefits to members (as at page 5)		
Administrative expenses (as at page 10)		43,289
Federation and other bodies (specify)		
Contributions to NUM	2,882	
Total expenditure Federation and other bodies		2,882
Taxation		
		TOTAL EXPENDITURE
		46,171
		(17,424)
Amount of general fund at beginning of year		125,887
Amount of general fund at end of year		108,463

ANALYSIS OF INCOME FROM FEDERATION AND OTHER BODIES AND OTHER INCOME

(see notes 19 and 20)

DESCRIPTION	£	£
Federation and other bodies Contribution from Friendly Society re salary costs	20,000	
TOTAL FEDERATION AND OTHER BODIES		20,000
Other income		
TOTAL OTHER INCOME		
TOTAL OF ALL OTHER INCOME		20,000

ANALYSIS OF BENEFIT EXPENDITURE SHOWN AT GENERAL FUND

(see notes 21 to 23)

	£		£
Representation – Employment Related Issues		brought forward	
		Education and Training services	
Representation – Non Employment Related Issues			
		Negotiated Discount Services	
Communications			
		Salary Costs	
Advisory Services			
		Other Benefits and Grants (specify)	
Dispute Benefits			
Other Cash Payments			
carried forward		Total (should agree with figure in General Fund)	

(See notes 24 and 25)

FUND 2		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

FUND 3		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

(See notes 24 and 25)

FUND 4		Fund Account	
Name:		£	£
Income			
	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure			
	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

FUND 5		Fund Account	
Name:		£	£
Income			
	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure			
	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

(see notes 26 to 31)

POLITICAL FUND ACCOUNT 1		To be completed by trade unions which maintain their own fund		
		£	£	
Income	Members contributions and levies			
	Investment income (as at page 12)			
	Other income (specify)			
	Total other income as specified			
	Total income			
Expenditure	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)			
	Administration expenses in connection with political objects (specify)			
	Non-political expenditure			
		Total expenditure		
		Surplus (deficit) for year		
		Amount of political fund at beginning of year		
		Amount of political fund at the end of year (as Balance Sheet)		
		Number of members at end of year contributing to the political fund		
		Number of members at end of the year not contributing to the political fund		
	Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund			

POLITICAL FUND ACCOUNT 2		To be completed by trade unions which act as components of a central trade union		
		£	£	
Income	Contributions and levies collected from members on behalf of central political fund			
	Funds received back from central political fund			
	Other income (specify)			
	Total other income as specified			
	Total income			
Expenditure	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)			
	Administration expenses in connection with political objects (specify)			
	Non-political expenditure			
		Total expenditure		
		Surplus (deficit) for year		
		Amount held on behalf of trade union political fund at beginning of year		
		Amount remitted to central political fund		
		Amount held on behalf of central political fund at end of year		
		Number of members at end of year contributing to the political fund		
		Number of members at end of the year not contributing to the political fund		
	Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund			

ANALYSIS OF ADMINISTRATIVE EXPENSES AND OTHER OUTGOINGS EXCLUDING AMOUNTS CHARGED TO POLITICAL FUND ACCOUNTS

(see notes 32 and 33)

		£
Administrative Expenses		
Remuneration and expenses of staff		32,488
Salaries and Wages included in above	£26,316	
Auditors' fees		4,080
Legal and Professional fees		
Occupancy costs		1,463
Stationery, printing, postage, telephone, etc.		1,847
Expenses of Executive Committee (Head Office)		2,493
Expenses of conferences		-
Other administrative expenses (specify)		
Motor and travelling		4,121
Bank charges and interest		(3,380)
Sundry expenses		177
Other Outgoings		
Interest payable:		
Bank loans (including overdrafts)		
Mortgages		
Other loans		
Depreciation		
Taxation		
Outgoings on land and buildings (specify)		
Other outgoings (specify)		
Total		43,289
Charged to:	General Fund (Page 3)	
	Fund (Account)	
	Fund (Account)	
	Fund (Account)	
	Fund (Account)	
Total		43,289

ANALYSIS OF INVESTMENT INCOME

(see notes 45 and 46)

	Political Fund £		Other Fund(s) £
Rent from land and buildings			
Dividends (gross) from:			
Equities (e.g. shares)			
Interest (gross) from:			
Government securities (Gilts)			
Mortgages			
Local Authority Bonds			
Bank and Building Societies			2
Other investment income (specify)			
		Total investment income	2
		Credited to:	
		General Fund (Page 3)	
		Fund (Account)	
		Fund (Account)	
		Fund (Account)	
		Fund (Account)	
		Fund (Account)	
		Political Fund	
		Total Investment Income	2

BALANCE SHEET as at

(see notes 47 to 50)

Previous Year		£	£
	Fixed Assets (at page 14)		
	Investments (as per analysis on page 15)		
	Quoted (Market value £)		
	Unquoted		
	Total Investments		
	Other Assets		
	Loans to other trade unions		
98,706	Sundry debtors		114,037
38,317	Cash at bank and in hand		20,558
	Income tax to be recovered		
	Stocks of goods		
	Others (specify)		
137,023	Total of other assets		134,595
137,023	TOTAL ASSETS		134,595
	Fund (Account)		
	Fund (Account)		
	Fund (Account)		
	Superannuation Fund (Account)		
	Political Fund (Account)		
	Revaluation Reserve		
	LIABILITIES		
	Amount held on behalf of central trade union political fund		
	Loans: From other trade unions		
	Loans: Other		
	Bank overdraft		
	Tax payable		
	Sundry creditors		
3,840	Accrued expenses		3,960
	Provisions		
7,296	Other liabilities		22,172
11,136	TOTAL LIABILITIES		26,132
125,887	TOTAL ASSETS		108,463

FIXED ASSETS ACCOUNT

(see notes 51 to 55)

	Land and Buildings		Furniture and Equipment £	Motor Vehicles £	Not used for union business £	Total £
	Freehold	Leasehold				
Cost or Valuation						
At start of year			11,350			11,350
Additions						
Disposals						
Revaluation/Transfer s						
At end of year			11,350			11,350
Accumulated Depreciation						
At start of year			11,350			11,350
Charges for year						
Disposals						
Revaluation/Transfer s						
At end of year			11,350			11,350
Net book value at end of year			0			0
Net book value at end of previous year			0			0

ANALYSIS OF INVESTMENTS

(see notes 56 and 57)

QUOTED	All Funds Except Political Funds £	Political Fund £
Equities (e.g. Shares)		
Government Securities (Gilts)		
Other quoted securities (to be specified)		
TOTAL QUOTED (as Balance Sheet)		
Market Value of Quoted Investment		
UNQUOTED Equities		
Government Securities (Gilts)		
Mortgages		
Bank and Building Societies		
Other unquoted investments (to be specified)		
TOTAL UNQUOTED (as Balance Sheet)		
Market Value of Unquoted Investments		

ANALYSIS OF INVESTMENT INCOME (CONTROLLING INTERESTS)

(see notes 58 and 59)

Does the union, or any constituent part of the union, have a controlling interest in any limited company?		YES <input type="checkbox"/>	NO x
If YES name the relevant companies:			
COMPANY NAME	COMPANY REGISTRATION NUMBER (if not registered in England & Wales, state where registered)		
Are the shares which are controlled by the union registered in the names of the union's trustees?		YES <input type="checkbox"/>	NO <input type="checkbox"/>
If NO, state the names of the persons in whom the shares controlled by the union are registered.			
COMPANY NAME	NAMES OF SHAREHOLDERS		

SUMMARY SHEET

(see notes 60 to 71)

	All funds except Political Funds £	Political Funds £	Total Funds £
INCOME			
From Members			8,745
From Investments			2
Other Income (including increases by revaluation of assets)			20,000
Total Income			28,747
EXPENDITURE (including decreases by revaluation of			
Total Expenditure			46,171
Funds at beginning of year (including reserves)			125,887
Funds at end of year (including reserves)			108,463
ASSETS			
Fixed Assets			0
Investment Assets			
Other Assets			134,595
Total Assets			134,595
LIABILITIES			
Total Liabilities			26,132
NET ASSETS (Total Assets less Total Liabilities)			108,463

NOTES TO THE ACCOUNTS

(see notes 72 and 73)

All notes to the accounts must be entered on or attached to this part of the return.

A large, empty rectangular box with a black border, intended for the user to enter notes to the accounts. The box occupies most of the page below the instructions.

ACCOUNTING POLICIES

(see notes 74 and 75)

SIGNATURES TO THE ANNUAL RETURN

(see notes 76 and 77)

including the accounts and balance sheet contained in the return.

Secretary's Signature: <u></u> Name: <u>KEN HOLLINGSWORTH</u> Date: <u>26/05/17</u>	Chairman's Signature: <u></u> (or other official whose position should be stated) Name: <u>KEVIN BOOTH</u> Date: <u>26/05/17</u>
---	---

CHECK LIST

(see notes 78 to 80)

(please tick as appropriate)

IS THE RETURN OF OFFICERS ATTACHED? (see Page 2 and Note 12)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE RETURN OF CHANGE OF OFFICERS BEEN COMPLETED? (see Page 2 and Note 12)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE RETURN BEEN SIGNED? (see Pages 19 and 21 and Notes 76 and 77)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE AUDITOR'S REPORT BEEN COMPLETED? (see Pages 20 and 21 and Notes 2 and 77)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
IS A RULE BOOK ENCLOSED? (see Notes 8 and 78)	YES	<input type="checkbox"/>	NO	<input checked="" type="checkbox"/>
A MEMBER'S STATEMENT IS: (see Note 80)	ENCLOSE D	<input checked="" type="checkbox"/>	TO FOLLOW	<input type="checkbox"/>
HAS THE SUMMARY SHEET BEEN COMPLETED (see Page 17 and Notes 7 and 59)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>

AUDITOR'S REPORT

(see notes 81 to 86)

made in accordance with section 36 of the Trade Union and Labour Relations (Consolidation) Act 1992.

1. In the opinion of the auditors or auditor do the accounts they have audited and which are contained in this return give a true and fair view of the matters to which they relate?
(See section 36(1) and (2) of the 1992 Act and notes 83 and 84)

YES

If "No" please explain below.

2. Have the auditors or auditor carried out such investigations in the preparation of their audit report as will enable them to form an opinion as to:
- (a) whether the trade union has kept proper accounting records in accordance with section 28 of the 1992 Act;
 - (b) whether it has maintained a satisfactory system of control over its transactions in accordance with the requirements of that section; and
 - (c) whether the accounts to which the report relates agree with the accounting records?
- (See section 36(3) of the 1992 Act, set out in note 83)

YES

If "No" please explain below.


3. Are the auditors or auditor of the opinion that the union has complied with section 28 of the 1992 Act and has:
- (a) kept proper accounting records with respect to its transactions and its assets and liabilities; and
 - (b) established and maintained a satisfactory system of control of its accounting records, its cash holding and all its receipts and remittances.
- (See section 36(4) of the 1992 Act set out in rule 83)

YES

If "No" please explain below.

4. Please set out a copy of the report made by the auditors or auditor to the union on the accounts to which this AR21 relates. The report is to set out the basis upon which the audit has been conducted and/or such other statement as the auditor considers appropriate. Such a statement may be provided as a separate document.
(See note 85)

AUDITOR'S REPORT (continued)

Signature(s) of auditor or auditors:		
Name(s):	ALISTAIR ALCOCK	
Profession(s) or Calling(s):	CHARTERED ACCOUNTANTS	
Address(es):	NEWSTEAD HOUSE PELHAM ROAD NOTTINGHAM NG5 1AP	
Date:	27/05/17	
Contact name and telephone number:		

N.B. When notes to the accounts are referred to in the auditor's report a copy of those notes must accompany this return.

MEMBERSHIP AUDIT CERTIFICATE

(see notes 88 to 94)

made in accordance with section 24ZD of the
Trade Union and Labour Relations (Consolidation) Act 1992.

At the end of the reporting period preceding the one to which this audit relates was the total membership of the trade union greater than 10,000?

YES/NO

If "YES" please complete SECTION ONE below or provide the equivalent information on a separate document to be submitted with the completed AR21.

If "NO" please complete SECTION TWO below or provide the equivalent information on a separate document to be submitted with the completed AR21.

MEMBERSHIP AUDIT CERTIFICATE SECTION ONE

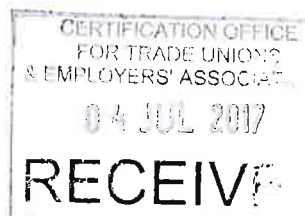
For a trade union with more than 10,000 members, required by section 24ZB of the 1992 Act to appoint an independent assurer

1. In the opinion of the assurer appointed by the trade union was the union's system for compiling and maintaining its register of the names and addresses of its members satisfactory to secure, so far as is reasonably practicable, that the entries in its register were accurate and up-to-date throughout the reporting period?

YES/NO

2. In the opinion of the assurer has he/she obtained the information and explanations necessary for the performance of his/her functions?

YES/NO



If the answer to **either** questions 1 or 2 above is "NO" the assurer must:

- (a) set out below the assurer's reasons for stating that
- (b) provide a description of the information or explanation requested or required which has not been obtained
- (c) state whether the assurer required that information or those explanations from the union's officers, or officers of any of its branches or sections under section 24ZE of the 1992 Act
- (d) **send a copy of this certificate to the Certification Officer as soon as is reasonably practicable after it is provided to the union.**

MEMBERSHIP AUDIT CERTIFICATE

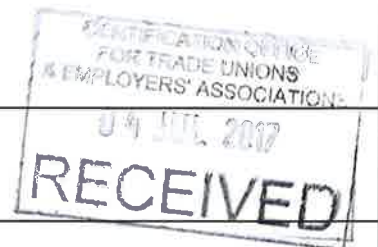
SECTION TWO

For a trade union with no more than 10,000 members at the end of the reporting period preceding the one to which this audit relates.

To the best of your knowledge and belief has the trade union during this reporting period complied with its duty to compile and maintain a register of the names and addresses of its members and secured, so far as is reasonably practicable, that the entries in the register are accurate and up-to-date?

YES NO

If "NO" Please explain below:



Signature

A Allcock

Name

ALISTAIR ALLCOCK

Office held

ACCOUNTANT

Date

03/07/17

**National Union of Mineworkers
(Colliery Officials and Staffs Area)
Region No. 4**

**Financial Statements
for the year ended 31 December 2016**

National Union of Mineworkers (Colliery Officials and Staffs Area) Region No. 4

Company Information

Auditors	Rogers Spencer Newstead House Pelham Road Nottingham NG5 1AP
Business Address	2 Huddersfield Road Barnsley S70 2LS
Bankers	National Westminster Bank Plc Portland Square Sutton in Ashfield Nottinghamshire NG17 1AS

National Union of Mineworkers (Colliery Officials and Staffs Area) Region No. 4

Contents

	Page
Statement of Committee's Responsibilities	1
Auditors' Report	2
Income and Expenditure Account	3
Analysis of Income and Expenditure Account	4
Balance Sheet	5
Notes to the Financial Statements	6 - 8

National Union of Mineworkers (Colliery Officials and Staffs Area) Region No. 4

Statement of Committee's Responsibilities

Trade Union legislation requires the committee to prepare financial statements for each financial year which give a true and fair view of the state of affairs of the Union at the end of the financial year and the income and expenditure of the Union for the year ended on that date. In preparing those financial statements suitable accounting policies have been used, framed, to the best of the committee's knowledge and belief, by reference to reasonable and prudent judgements and estimates and applied consistently. Applicable accounting standards have been followed. The committee is also required to indicate where the financial statements are prepared other than on the basis that the Union is a going concern.

The committee is responsible for ensuring that arrangements are made for keeping proper books of account with respect to the Union's transactions and its assets and liabilities and for maintaining a satisfactory system of control over the Union's books of account and transactions. The committee is also responsible for ensuring that arrangements are made to safeguard the assets of the Union and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

A handwritten signature in black ink, appearing to read 'K. Booth', with a stylized flourish at the end.

By order of the committee

27/05/17

National Union of Mineworkers (Colliery Officials and Staffs Area)

**Independent Auditors' Report to the Members of
the National Union of Mineworkers (COSA)**

We have audited the financial statements of National Union of Mineworkers (COSA) for the year ended 31st December 2016 which comprise of the income and expenditure account, the balance sheet and the related notes. These financial statements have been prepared under the historical cost convention and the accounting policies set therein.

This report is made solely to the Union's members, as a body, in accordance with the Trade Union and Labour Relations (Consolidation) Act 1992. Our audit work has been undertaken so that we might state to the members those matters we are required to state to them in an auditors report and for no other purpose. To the fullest extent permitted by law we do not accept or assume responsibility to anyone other than the National Union of Mineworkers (COSA) and the members as a body, for our audit work, for this report, or for the opinions we have formed.

Respective responsibilities of the members and auditors

As described in the Statement of Members Responsibilities, the members are responsible for the preparation of financial statements in accordance with applicable law and United Kingdom Accounting Standards.

Our responsibility is to audit the financial statements in accordance with relevant legal and regulatory requirements and International Standards on Auditing (UK and Ireland).

We report to you our opinion as to whether the financial statements give a true and fair view and are properly prepared in accordance with the Trade Union and Labour Relations (Consolidation) Act 1992. We also report to you if, in our opinion, the National Union of Mineworkers (COSA) has not kept proper accounting records, or if we have not received all the information and explanations we require for our audit.

Basis of audit opinion


We conducted our audit in accordance with International Standards on Auditing (UK and Ireland) issued by the Auditing Practices Board. An audit includes examination, on a test basis, of evidence relevant to the amounts and disclosures in the financial statements. It also includes an assessment of the significant estimates and judgements made by the Council in the preparation of financial statements, and of whether the accounting policies are appropriate to the Federations' circumstances, consistently applied and adequately disclosed.

We planned and performed our audit so as to obtain all the information and explanations which we considered necessary in order to provide us with sufficient evidence to give reasonable assurance that the financial statements are free from material misstatement, whether caused by fraud or other irregularity or error. In forming our opinion we also evaluated the overall adequacy of the presentation of information in the financial statements.

We have undertaken the audit in accordance with the requirements of APB Ethical Standards including APB Ethical Standard - Provisions Available for Smaller Entities, in the circumstances set out in note 7 to the financial statements.

Opinion

In our opinion the financial statements give a true and fair view of the state of the Union's affairs as at 31st December 2016 and of its surplus for the year then ended and have been properly prepared in accordance with the Trade Union and Labour Relations (Consolidation) Act 1992.

 Date 29/5/17

Alistair Allcock (FCA) – Senior Statutory Auditor

For and on behalf of Rogers Spencer

Chartered Certified Accountants

National Union of Mineworkers (Colliery Officials and Staffs Area) Region No. 4

Income and Expenditure Account
for the year ended 31 December 2016

	2016		2015	
	£	£	£	£
Income		28,747		33,195
Expenditure				
National expenditure	2,882		4,527	
Management expenses	43,289		45,190	
	<hr/>	46,171	<hr/>	49,717
Net (deficit) / surplus for the year		<u>(17,424)</u>		<u>(16,522)</u>

Continuing operations

None of the Union's activities were acquired or discontinued during the above two financial years.

Total recognised gains and losses

The Union has no recognised gains and losses.

The notes on pages 6 to 8 form an integral part of these financial statements.

National Union of Mineworkers (Colliery Officials and Staffs Area) Region No. 4

**Analysis of Income and Expenditure Account
for the year ended 31 December 2016**

	2016	2015
	£	£
Income		
Contributions receivable	8,745	13,191
Contribution from Friendly Society re salary costs	20,000	20,000
Bank interest	2	4
	<u>28,747</u>	<u>33,195</u>
	<u><u>28,747</u></u>	<u><u>33,195</u></u>
National Expenditure		
Contributions to NUM	2,882	4,527
Management Expenses		
Salaries and national insurance	32,488	34,047
Water	364	-
Light and heat	1,099	1,227
Stationery, postage and telephone	1,847	1,668
Motor and travelling expenses	4,121	2,006
Negotiations, deputations, hospitality & delegates	2,493	1,804
Audit and accountancy	4,080	3,960
Bank charges and interest	(3,380)	159
Sundry expenses	177	319
	<u>43,289</u>	<u>45,190</u>
	<u><u>43,289</u></u>	<u><u>45,190</u></u>

The notes on pages 6 to 8 form an integral part of these financial statements.

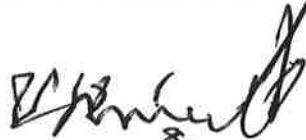
National Union of Mineworkers (Colliery Officials and Staffs Area) Region No. 4

Balance Sheet
as at 31 December 2016

	Notes	2016		2015	
		£	£	£	£
Fixed Assets	2				
Tangible assets			-		-
			<u>-</u>		<u>-</u>
Current Assets					
Debtors	3	114,037		98,706	
Cash at bank and in hand		20,558		38,317	
		<u>134,595</u>		<u>137,023</u>	
Creditors: amounts falling due within one year	4	<u>(26,132)</u>		<u>(11,136)</u>	
Net Current Assets			108,463		125,887
Total Assets Less Current Liabilities			<u>108,463</u>		<u>125,887</u>
Accumulated Surplus					
Balance at 1 January 2014			125,887		142,409
(Deficit) / Surplus for the year			(17,424)		(16,522)
Shareholders' Funds			<u>108,463</u>		<u>125,887</u>

The financial statements were approved by the Committee on 27/05/17 and signed on its behalf by

K R Hollingsworth



G Stothard



The notes on pages 6 to 8 form an integral part of these financial statements.

National Union of Mineworkers (COSA) Region Number 4

**Notes to the Financial Statements
for the year ended 31 December 2016**

1. Accounting Policies

1.1. Accounting convention

The financial statements are prepared under the historical cost basis of accounting.

1.2. Tangible fixed assets and depreciation

All the tangible fixed assets have now been fully depreciated leaving a zero net book value.

2. Tangible fixed assets

	Fixtures, fittings & equipment
	£
Cost	
At 1 January 2016 and 31 December 2016	11,350
	<hr/>
Depreciation	
At 1 January 2016 and 31 December 2016	11,350
	<hr/>
Net book values	
At 31 December 2016	-
	<hr/>
At 31 December 2015	-
	<hr/> <hr/>

National Union of Mineworkers (COSA) Region Number 4

Notes to the Financial Statements
for the year ended 31 December 2016

3. Debtors

	2016	2015
	£	£
Contributions receivable	1,165	1,509
Other debtors	112,330	96,745
Prepayments and accrued income	541	452
	<u>114,036</u>	<u>98,706</u>

4. Creditors: amounts falling due within one year

	2016	2015
	£	£
Wages and salaries	16,380	-
Other taxes and social security costs	5,355	5,941
Other creditors	437	1,355
Accruals and deferred income	3,960	3,840
	<u>26,132</u>	<u>11,136</u>

National Union of Mineworkers (COSA) Region Number 4

**Notes to the Financial Statements
for the year ended 31 December 2016**

5. Related party transactions

NUM (COSA) Region No. 4 is run on a day to day basis by the Secretary, Mr K Hollingsworth. Mr Hollingsworth is also responsible for the day to day running of the Midland Counties Colliery Officials and Staffs Friendly Society.

The following transactions have taken place during the year.

	2016	2015
	£	£
Contribution by Region No. 4 to Friendly Society costs:		
Light and heat	1,099	1,227
Telephone	706	422
	<u> </u>	<u> </u>
Contribution from Friendly Society to Region No. 4 costs:		
Salaries	20,000	20,000
	<u> </u>	<u> </u>
Friendly Society costs paid for by Region No. 4:		
Death certificate	18	-
Skip hire	200	-
Rates	96	-
	<u> </u>	<u> </u>

At 31st December 2016 £112,330 (2015: £93,594) was owed to Region No. 4 by the Friendly Society.

The National Union of Mineworkers (Colliery Officials & Staffs Area) is managed on a day to day basis by NUM (COSA) Region No. 4. No transactions have taken place during the year between the two parties.

6. Auditors' Ethical Standards

The relevant circumstances requiring disclosure in accordance with the requirements of APB Ethical Standard - Provisions available for Small Entities are:

In common with many organisations of our size and nature we use our auditors to assist with the preparation of the financial statements.