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FORM AR21

To be used for reporting years starting on or after 6 April 2015

Trade Union and Labour Relations (Consolidation) Act 1992

ANNUAL RETURN FOR A TRADE UNION

Name of Trade Union:	Union of Democratic Mineworkers Nottingham Section
Year ended:	31 st December 2016
List no:	682
Head or Main Office:	Rees House Nursery Street Mansfield Notts. NG18 2AG
Website address (if available)	
Has the address changed during the year to which the return relates?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> (Click the appropriate box)
General Secretary:	Jeffrey Wood
Telephone Number:	01623 541407
Contact name for queries regarding	M.J. Corrigan
Telephone Number:	01623 624494
E-mail:	mike@bullpayne.com

PLEASE FOLLOW THE GUIDANCE NOTES IN THE COMPLETION OF THIS RETURN.

Any difficulties or problems in the completion of this return should be directed to the Certification Officer as below or by telephone to: 020 7210 3734

The address to which returns and other documents should be sent are:

For Unions based in England and Wales:

Certification Office for Trade Unions and Employers' Associations
Lower Ground Floor, Fleetbank House, 2-6 Salisbury Square, London EC4Y 8JX

For Unions based in Scotland:

Certification Office for Trade Unions and Employers' Associations
Melrose House, 69a George Street, Edinburgh EH2 2JG



(Revised June 2017)

UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION

LIST OF OFFICERS AS AT 31 DECEMBER 2016

President/General Secretary

J. Wood

Council Members

C. Hunter
S. Norwood

Trustees

T. Barker
D. Nettleship

RETURN OF MEMBERS

(see notes 10 and 11)

	NUMBER OF MEMBERS AT THE END OF THE YEAR				
	Great Britain	Northern Ireland	Irish Republic	Elsewhere Abroad (including Channel Islands)	TOTALS
MALE	97				97
FEMALE	2				2
TOTAL	99				A 99

Number of members included in totals box 'A' above for whom no home or authorised address is held:

	0
Number of members at end of year contributing to the General Fund	99

OFFICERS IN POST

(see note 12)

Please attach as an annexe to this form a complete list of all officers in post at the end of the year to which this form relates, with the title of each persons office.

RETURN OF CHANGE OF OFFICERS

Please complete the following to record any changes of officers during the twelve months covered by this return.

Title of Office	Name of Officer ceasing to hold office	Name of Officer Appointed	Date

State whether the union is:

a. A branch of another trade union?

Yes No

If yes, state the name of that other union:

b. A federation of trade unions?

Yes No

If yes, state the number of affiliated unions:

and names:

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GENERAL FUND

(see notes 13 to 18)

	£	£
INCOME		
From Members: Contributions and Subscriptions		55586
From Members: Other income from members (specify)		
Total other income from members		
Total of all income from members		55586
Investment income (as at page 12)		17615
Other Income		
Income from Federations and other bodies (as at page 4)		
Income from any other sources (as at page 4)	135948	
Total of other income (as at page 4)		135948
		TOTAL INCOME
		209149
EXPENDITURE		
Benefits to members (as at page 5)		41978
Administrative expenses (as at page 10)		641315
Federation and other bodies (specify)		
UDM National Contributions	5573	
Total expenditure Federation and other bodies		5573
Taxation		
		TOTAL EXPENDITURE
		688866
		Surplus (deficit) for year
		-479717
		Amount of general fund at beginning of year
		957557
		Amount of general fund at end of year
		477840

ANALYSIS OF INCOME FROM FEDERATION AND OTHER BODIES AND OTHER INCOME

(see notes 19 and 20)

DESCRIPTION	£	£
Federation and other bodies		
TOTAL FEDERATION AND OTHER BODIES		
Other income		
Personal Accident Fund Commission	53	
Legal & Medical Fees Compensation	1600	
Capital Distribution From Subsidiary	13092	
Profit on Disposal of Freehold Property	117186	
Sundry Income	17	
FRS 102 Pension Interest Credit	4000	
TOTAL OTHER INCOME		135948
TOTAL OF ALL OTHER INCOME		135948

ANALYSIS OF BENEFIT EXPENDITURE SHOWN AT GENERAL FUND

(see notes 21 to 23)

	£		£
Representation – Employment Related Issues Legal Fees	38924	brought forward Education and Training services	38924
Representation – Non Employment Related Issues		Negotiated Discount Services	
Communications		Salary Costs	
Advisory Services		Other Benefits and Grants (specify) Medical & Benevolent Grants	3054
Dispute Benefits			
Other Cash Payments			
carried forward	38924	Total (should agree with figure in General Fund)	41978

(See notes 24 and 25)

FUND 2		Fund Account	
Name:	Medical Welfare Service Fund	£	£
Income	From members		
	Investment income (as at page 12)		3
	Other income (specify)		
	Total other income as specified		
	Total Income		3
Expenditure	Benefits to members		1923
	Administrative expenses and other expenditure (as at page 10)		
		Total Expenditure	
	Surplus (Deficit) for the year		-1920
	Amount of fund at beginning of year		7781
	Amount of fund at the end of year (as Balance Sheet)		5861
	Number of members contributing at end of year		0

FUND 3		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
		Total Expenditure	
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		

Number of members contributing at end of year

(See notes 24 and 25)

FUND 4		Fund Account	
Name:		£	£
Income			
From members			
Investment income (as at page 12)			
Other income (specify)			
	Total other income as specified		
	Total Income		
Expenditure			
Benefits to members			
Administrative expenses and other expenditure (as at page 10)			
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

FUND 5		Fund Account	
Name:		£	£
Income			
From members			
Investment income (as at page 12)			
Other income (specify)			
	Total other income as specified		
	Total Income		
Expenditure			
Benefits to members			
Administrative expenses and other expenditure (as at page 10)			
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		

Number of members contributing at end of year

(See notes 24 and 25)

FUND 6		Fund Account	
Name:		£	£
Income			
From members			
Investment income (as at page 12)			
Other income (specify)			
Total other income as specified			
Total Income			
Expenditure			
Benefits to members			
Administrative expenses and other expenditure (as at page 10)			
Total Expenditure			
Surplus (Deficit) for the year			
Amount of fund at beginning of year			
Amount of fund at the end of year (as Balance Sheet)			
Number of members contributing at end of year			

FUND 7		Fund Account	
Name:		£	£
Income			
From members			
Investment income (as at page 12)			
Other income (specify)			
Total other income as specified			
Total Income			
Expenditure			
Benefits to members			
Administrative expenses and other expenditure (as at page 10)			
Total Expenditure			
Surplus (Deficit) for the year			
Amount of fund at beginning of year			
Amount of fund at the end of year (as Balance Sheet)			

Number of members contributing at end of year

(see notes 26 to 31)

POLITICAL FUND ACCOUNT 1		To be completed by trade unions which maintain their own fund	
		£	£
Income	Members contributions and levies		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
		Total income	
Expenditure	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)		
	Administration expenses in connection with political objects (specify)		
	Non-political expenditure		
		Total expenditure	
	Surplus (deficit) for year		
	Amount of political fund at beginning of year		
	Amount of political fund at the end of year (as Balance Sheet)		
	Number of members at end of year contributing to the political fund		
	Number of members at end of the year not contributing to the political fund		
	Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund		

POLITICAL FUND ACCOUNT 2		To be completed by trade unions which act as components of a central trade union	
		£	£
Income	Contributions and levies collected from members on behalf of central political fund		
	Funds received back from central political fund		
	Other income (specify)		
	Total other income as specified		
		Total income	
Expenditure	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)		
	Administration expenses in connection with political objects (specify)		
	Non-political expenditure		
		Total expenditure	
	Surplus (deficit) for year		
	Amount held on behalf of trade union political fund at beginning of year		
	Amount remitted to central political fund		
	Amount held on behalf of central political fund at end of year		
	Number of members at end of year contributing to the political fund		
	Number of members at end of the year not contributing to the political fund		
	Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund		

ANALYSIS OF ADMINISTRATIVE EXPENSES AND OTHER OUTGOINGS EXCLUDING AMOUNTS CHARGED TO POLITICAL FUND ACCOUNTS

(see notes 32 and 33)

	£
Administrative Expenses	
Remuneration and expenses of staff	119938
Salaries and Wages included in above	£83376
Auditors' fees	4967
Legal and Professional fees	14862
Occupancy costs	25906
Stationery, printing, postage, telephone, etc.	6675
Expenses of Executive Committee (Head Office)	
Branch Expenditure	4823
Other administrative expenses (specify)	
Actuarial Losses on Pension Scheme Assets/Liabilities	447000
Travelling Expenses	894
General District Expenditure	7751
Book Research Grant	4000
Other Outgoings	
Interest payable:	
Bank loans (including overdrafts)	
Mortgages	
Other loans	
Depreciation	4499
Taxation	
Outgoings on land and buildings (specify)	
Other outgoings (specify)	
Total	641315
Charged to:	
General Fund (Page 3)	641315
Fund (Account)	
Fund (Account)	
Fund (Account)	
Fund (Account)	
Total	

ANALYSIS OF OFFICIALS SALARIES AND BENEFITS

(see notes 34 to 44 below)

Office held	Gross Salary £	Employers N.I. contributions £	Benefits			Total £
			Pension Contributions £	Other Benefits		
				Description	Value £	
President	50260	5525	13550	Home Heat & Light	1020	70355
Branch Delegates	4476					4476

ANALYSIS OF INVESTMENT INCOME

(see notes 45 and 46)

	Political Fund £		Other Fund(s) £
Rent from land and buildings			37
Dividends (gross) from:			
Equities (e.g. shares)			
Interest (gross) from:			
Government securities (Gilts)			2508
UK Bonds			10395
Local Authority Bonds			
Bank and Building Societies			31
Other investment income (specify)			
Profit on Disposal of Investments			4649
		Total investment income	17618
		Credited to:	
		General Fund (Page 3)	17615
		Fund (Account 1)	3
		Fund (Account)	
		Fund (Account)	
		Fund (Account)	
		Fund (Account)	
		Political Fund	
		Total Investment Income	17618

BALANCE SHEET as at 31/12/2016

(see notes 47 to 50)

Restated

Previous Year		£	£
94640	Fixed Assets (at page 14)		84488
	Investments (as per analysis on page 15)		
68766	Quoted (Market value £ 482416)	461842	
	Unquoted		
	Total Investments	461842	461842
	Other Assets		
	Loans to other trade unions		
260163	Sundry debtors		30200
741482	Cash at bank and in hand		255469
57000	Pension Asset		
686	Stocks of goods		686
3991	Others (specify) Prepayments		6278
1006322	Total of other assets		292633
1226728	TOTAL ASSETS		838963
957557	Fund (Account 1)		477840
7781	Fund (Account 2)		5861
	Fund (Account)		
	Superannuation Fund (Account)		
	Political Fund (Account)		
	Revaluation Reserve		
	LIABILITIES		
	Amount held on behalf of central trade union political fund		
	Loans: From other trade unions		
	Loans: Other		
	Bank overdraft		
	Tax payable		
253290	Sundry creditors		56562
8100	Accrued expenses		7700
	Pension Provision		291000
	Other liabilities		
261390	TOTAL LIABILITIES		355262
1226728	TOTAL ASSETS		838963

FIXED ASSETS ACCOUNT

(see notes 51 to 55)

	Land and Buildings		Furniture and Equipment £	Motor Vehicles £	Not used for union business £	Total £
	Freehold £	Lease £				
Cost or Valuation						
At start of year	237717		14903			252620
Additions						
Disposals	30445					30445
Revaluation/Transfer s						
At end of year	207272		14903			222175
Accumulated Depreciation						
At start of year	151112		6867			157979
Charges for year	3494		1005			4499
Disposals	24791					24791
Revaluation/Transfer s						
At end of year	129815		7872			137687
Net book value at end of year	77457		7030			84488
Net book value at end of previous year	86605		8035			94640

ANALYSIS OF INVESTMENTS

(see notes 56 and 57)

QUOTED		All Funds Except Political Funds £	Political Fund £
	Equities (e.g. Shares)		
	Government Securities (Gilts)		
	UK Treasury Stock	119025	
	Other quoted securities (to be specified)		
	UK Bonds	342818	
	TOTAL QUOTED (as Balance Sheet)	461843	
	Market Value of Quoted Investment	482416	
UNQUOTED	Equities		
	Government Securities (Gilts)		
	Mortgages		
	Bank and Building Societies		
	Other unquoted investments (to be specified)		
	TOTAL UNQUOTED (as Balance Sheet)		

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ANALYSIS OF INVESTMENT INCOME (CONTROLLING INTERESTS)

Does the union, or any constituent part of the union, have a controlling interest in any limited company?

YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
------------------------------	--

If YES name the relevant companies:

COMPANY NAME	COMPANY REGISTRATION NUMBER (if not registered in England & Wales, state where registered)

Are the shares which are controlled by the union registered in the names of the union's trustees?

YES <input type="checkbox"/>	NO <input type="checkbox"/>
------------------------------	-----------------------------

If NO, state the names of the persons in whom the shares controlled by the union are registered.

COMPANY NAME	NAMES OF SHAREHOLDERS

(see notes 58 and 59)

SUMMARY SHEET

(see notes 60 to 71)

	All funds except Political Funds £	Political Funds £	Total Funds £
INCOME			
From Members	55586		55586
From Investments	17618		17618
Other Income (including increases by revaluation of assets)	135948		135948
Total Income	209152		209152
EXPENDITURE (including decreases by revaluation of			
Total Expenditure	690789		690789
Funds at beginning of year (including reserves)	965338		965338
Funds at end of year (including reserves)	483701		483701
ASSETS			
Fixed Assets			84488
Investment Assets			461842
Other Assets			292633
		Total Assets	838963
LIABILITIES			
		Total Liabilities	355262
NET ASSETS (Total Assets less Total Liabilities)			483701

NOTES TO THE ACCOUNTS

(see notes 72 and 73)

All notes to the accounts must be entered on or attached to this part of the return.

See Attached

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UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION
INDUSTRIAL FUND
NOTES TO THE ACCOUNTS
FOR THE YEAR ENDED 31 DECEMBER 2016

1. Accounting Policies

a) **Accounting convention**

The accounts are prepared under the historical cost convention and in accordance with applicable accounting standards and the Financial Reporting Standard 102 section 1a 'The Financial Reporting Standard applicable to the UK and Republic of Ireland'.

b) Contributions are brought into account on the basis of the amounts receivable in the year.

c) **Fixed Assets**

Depreciation is provided on fixed assets, other than freehold land, and calculated on the straight line basis as follows :-

Freehold Property

5% per annum for first 10 years
2.1/2% per annum thereafter

Furniture and Equipment

10% per annum on cost

Motor Vehicles

25% per annum on cost

UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION

INDUSTRIAL FUND

NOTES TO THE ACCOUNTS

FOR THE YEAR ENDED 31 DECEMBER 2016

d) Staff Superannuation

The Union of democratic Mineworkers officials and Permanent Staff Pension Scheme operates a Superannuation scheme for the whole of the U.D.M. Benefits are paid in line with those payable by the Mineworkers Pension Scheme.

The union operates a pension scheme providing benefits based on final pensionable pay. The assets of the scheme are held separately from those of the union, being invested in a separately administered fund. Contributions to the scheme are charged to the income and expenditure account so as to spread the cost of pensions over employees' working lives with the union. The contributions are determined by a qualified actuary on the basis of triennial valuations using the attained age method.

The pension charge for the year was £36,000 (2015 - £74,000)

The Union participates in a defined benefit scheme in the UK. A full actuarial valuation was carried out at 31st December 2014 by a qualified independent actuary.

UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION

INDUSTRIAL FUND

NOTES TO THE ACCOUNTS

FOR THE YEAR ENDED 31 DECEMBER 2016

d) Staff Superannuation

The Union of democratic Mineworkers officials and Permanent Staff Pension Scheme operates a Superannuation scheme for the whole of the U.D.M. Benefits are paid in line with those payable by the Mineworkers Pension Scheme.

The union operates a pension scheme providing benefits based on final pensionable pay. The assets of the scheme are held separately from those of the union, being invested in a separately administered fund. Contributions to the scheme are charged to the income and expenditure account so as to spread the cost of pensions over employees' working lives with the union. The contributions are determined by a qualified actuary on the basis of triennial valuations using the attained age method.

The pension charge for the year was £36,000 (2015 - £74,000)

The Union participates in a defined benefit scheme in the UK. A full actuarial valuation was carried out at 31st December 2014 by a qualified independent actuary.

UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION

INDUSTRIAL FUND

NOTES TO THE ACCOUNTS

FOR THE YEAR ENDED 31 DECEMBER 2016

(e) Staff Superannuation Scheme (continued)

As the Vendside Limited section of the scheme will be segregated at some point in the near future the information relating to assets in the scheme and the expected long term rates of return figures represents the UDM section only:

	<u>31/12/2015</u> £000	<u>31/12/2016</u> £000
Equities	1,157	1,409
Bonds	2,240	2,253
Cash	<u>138</u>	<u>111</u>
Total market Value of assets	3,535	3,773
Present value of Scheme liabilities	<u>3,478</u>	<u>4,064</u>
Surplus/(Deficit) in the Scheme	<u>57</u>	<u>(291)</u>

At the actuarial valuation at 31 December 2014, the actuary recommended a Union contribution rate of 30% of Pensionable Pay, plus special contributions.

Under the projected unit method, the current service cost will increase as members approach retirement.

- (f) The Union has taken advantage of the exemption in Financial Reporting Standard No. 1 from the requirement to produce a cash flow statement on the grounds that it is a small entity.

RECONCILIATION OF CHANGES IN DEFINED BENEFIT OBLIGATION

	2015 £000s	2016 £000s
Opening Defined Benefit Obligation	3,900	3,478
Current Service Cost	67	40
Interest Costs	131	124
Actual Contributions Paid by Plan Participants	3	3
Actuarial (Gains) / Losses on Obligation	(438)	697
Benefits Paid – Including Insurance Provisions	(133)	(249)
Administration Expenses Paid	(52)	(29)
	<u>3,478</u>	<u>4,064</u>

RECONCILIATION OF CHANGES IN PLAN ASSETS

Fair Value of Plan Assets at Start of Period	3,720	3,535
Expected Return on Plan Assets	124	128
Actuarial Gains / (Losses) on Assets	(165)	250
Contributions Paid by the Employee	38	135
Actuarial Contributions Paid by Participants	3	3
Benefits Paid	(133)	(249)
Administration Expenses Paid	(52)	(29)
	<u>3,535</u>	<u>3,773</u>

UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION

INDUSTRIAL FUND

FIXED ASSETS AS AT 31 DECEMBER 2016

Note 2 (a)

	<u>Freehold Property</u>	<u>Furniture and Equipment</u>	<u>Total</u>
	£	£	£
Cost at 1 January 2016	237,717	14,903	252,620
Additions	-	-	-
Disposals	(30,445)	-	(30,445)
Cost at 31 December 2016	<u>207,272</u>	<u>14,903</u>	<u>222,175</u>
Depreciation at 1 January 2016	151,112	6,867	157,979
Charge for the Year	3,494	1,005	4,499
On Disposals	(24,791)	-	(24,791)
Depreciation at 31 December 2016	<u>129,815</u>	<u>7,872</u>	<u>137,687</u>
Net Book Value at 31 December 2016	<u>77,457</u>	<u>7,030</u>	<u>84,488</u>
Net Book Value at 31 December 2015	<u>86,605</u>	<u>8,035</u>	<u>94,640</u>

UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION
INDUSTRIAL FUND
SCHEDULE OF PROPERTIES AS AT 31 DECEMBER 2016

Note 2(b)

	At Cost Including Improvements	Depreciation Written Off	Book Value		Depreciation	
	<u>Less Sales</u> £	<u>To Date</u> £	<u>2016</u> £	<u>2015</u> £	<u>2016</u> £	<u>2015</u> £
30 Linwood Crescent, Ravenshead, Mansfield, Notts	54,689	36,854	17,835	18,765	930	930
143 Huthwaite Road, Sutton in Ashfield, Notts	<u>152,583</u>	<u>92,961</u>	<u>59,622</u>	<u>62,186</u>	<u>2,564</u>	<u>2,564</u>
	<u>207,272</u>	<u>129,815</u>	<u>77,457</u>	<u>80,951</u>	<u>3,494</u>	<u>3,494</u>
			As per Note 2(a)		As per Income and Expenditure Account	

30 Linwood Crescent is subject to a charge in respect of The Union of Democratic Mineworkers Officials and Permanent Staff Pension Scheme to cover any potential shortfall on the UDM pension scheme.

UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION

INDUSTRIAL FUND

SCHEDULE OF INVESTMENTS AT 31 DECEMBER 2016

Note 3

<u>UK Bonds</u>	<u>Market Value</u>	<u>Cost</u>
£	£	£
35,000 21k / London Stock Xch 4.75% 02.11.21	39,517	39,111
43,000 BAT International 3.25% 7.6.22	35,588	31,270
47,000 UK Govt 2% 7/9/25	50,546	49,314
20,000 UK Govt 4% 7/3/22	23,533	23,699
13,500 UK Govt 4.125% 22/07/30	49,896	46,012
44,000 HSBC Holdings 5.75% 20/12/27	50,561	49,445
46,000 A2D Funding PLC 4.5 30/09/26	50,249	48,595
21,000 Anglian Water I/L 30/07/32	49,744	44,177
35,000 Broadgate Financing 4.821% 05/07/36	45,642	43,512
43,000 Impala 4.2% 10/12/25	42,839	43,881
28,000 Diageo 2.625% 29/04/23	22,383	23,223
28,000 Vodafone 2.95% 19/02/23	21,918	19,604
	<hr/>	<hr/>
	482,416	461,843
	<hr/> <hr/>	<hr/> <hr/>

Note 4

	2015	2016
	£	£
Provisions for Liabilities and Charges		
Pension (provision) / Asset Brought Forward	(179,037)	57,000
Charged to Income and Expenditure	(74,000)	(36,000)
Actuarial Gain / (Loss) on Assets	(165,000)	250,000
Actuarial Gain / (Loss) on Liabilities	438,000	(697,000)
Pension Contribution Paid	37,037	135,000
	<hr/>	<hr/>
Pension (Provision) / Asset Carried Forward	57,000	(291,000)
	<hr/> <hr/>	<hr/> <hr/>

UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION
INDUSTRIAL FUND
MOVEMENT IN GENERAL FUND

Note 5

	<u>Restated</u> £
General Fund Accumulation Account	
Balance at 1 January 2014	55,444
Add Transfer from Benevolent Fund	328,474
Excess of Income over Expenditure	864,671
Less Pension Provision Introduced	(179,037)
	<hr/>
Balance at 31 December 2014	1,069,552
Less Excess of Expenditure over Income for the year	(111,995)
	<hr/>
Balance at 31 December 2015	<u>957,557</u>

A prior year adjustment has been made to introduce the pension provision into the accounts. Previously the pension had been accounted for as a defined contribution scheme because it was a multi employee scheme where the assets could not be separated. The scheme has now been segregated following Vendside Limited going into liquidation. Consequently the pension can now be accounted for as a defined benefit scheme.

Note 6

	2016	2015
Average number of employees	4	4
	<hr/>	<hr/>

ACCOUNTING POLICIES

(see notes 74 and 75)

SIGNATURES TO THE ANNUAL RETURN

(see notes 76 and 77)

including the accounts and balance sheet contained in the return.

Chairman/Secretary's Signature::	Branch Secretaries Signature:
Name: Jeffrey Wood	Name <i>STEPHEN NORWOOD</i>
Date:	Date:

CHECK LIST

(see notes 78 to 80)

(please tick as appropriate)

IS THE RETURN OF OFFICERS ATTACHED? <small>(see Page 2 and Note 12)</small>	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE RETURN OF CHANGE OF OFFICERS BEEN COMPLETED? <small>(see Page 2 and Note 12)</small>	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE RETURN BEEN SIGNED? <small>(see Pages 19 and 21 and Notes 76 and 77)</small>	YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE AUDITOR'S REPORT BEEN COMPLETED? <small>(see Pages 20 and 21 and Notes 2 and 77)</small>	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
IS A RULE BOOK ENCLOSED? <small>(see Notes 8 and 78)</small>	YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
A MEMBER'S STATEMENT IS: <small>(see Note 80)</small>	ENCLOSED	<input type="checkbox"/>	TO FOLLOW	<input type="checkbox"/>
HAS THE SUMMARY SHEET BEEN COMPLETED <small>(see Page 17 and Notes 7 and 59)</small>	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
IS A MEMBERSHIP AUDIT CERTIFICATE PROVIDED <small>(See Pages 23 and 24 and Notes 88 to 94)</small>	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>

AUDITOR'S REPORT

(see notes 81 to 86)

made in accordance with section 36 of the Trade Union and Labour Relations (Consolidation) Act 1992.

1. In the opinion of the auditors or auditor do the accounts they have audited and which are contained in this return give a true and fair view of the matters to which they relate?
(See section 36(1) and (2) of the 1992 Act and notes 83 and 84)

YES

If "No" please explain below.

2. Have the auditors or auditor carried out such investigations in the preparation of their audit report as will enable them to form an opinion as to:
- (a) whether the trade union has kept proper accounting records in accordance with section 28 of the 1992 Act;
 - (b) whether it has maintained a satisfactory system of control over its transactions in accordance with the requirements of that section; and
 - (c) whether the accounts to which the report relates agree with the accounting records?
- (See section 36(3) of the 1992 Act, set out in note 83)

YES

If "No" please explain below.

3. Are the auditors or auditor of the opinion that the union has complied with section 28 of the 1992 Act and has:
- (a) kept proper accounting records with respect to its transactions and its assets and liabilities; and
 - (b) established and maintained a satisfactory system of control of its accounting records, its cash holding and all its receipts and remittances.
- (See section 36(4) of the 1992 Act set out in rule 83)

YES

If "No" please explain below.

4. Please set out a copy of the report made by the auditors or auditor to the union on the accounts to which this AR21 relates. The report is to set out the basis upon which the audit has been conducted and/or such other statement as the auditor considers appropriate. Such a statement may be provided as a separate document.
(See note 85)

AUDITOR'S REPORT (section one)

See attached

Signature(s) of auditor or auditors:

Bull Payne & Co

Name(s):

Bull Payne & Co.

Profession(s) or Calling(s):

Chartered Certified Accountants

Address(es):

25 Brunts Street
Mansfield
Notts.
NG18 1AX

Date:

19th July 2017

Contact name and telephone number:

M. Corrigan
01623 624494

N.B. When notes to the accounts are referred to in the auditor's report a copy of those notes must accompany this return.

**INDEPENDENT AUDITORS' REPORT TO THE MEMBERS OF
THE UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION**

We have audited the financial statements of the Union of Democratic Mineworkers, Nottingham Section for the year ended 31 December 2016 on pages 3 to 13. These financial reporting framework that has been applied in their preparation is applicable Law and United Kingdom Accounting Standards (United Kingdom Generally Accepted Accounting Practice), including FRS 102 Section 1A 'The Financial Reporting Standard applicable in the UK and Republic of Ireland'.

RESPECTIVE RESPONSIBILITIES OF THE TRUSTEES AND AUDITORS

The Trustees are required to prepare financial statements for each financial year, which gives a true and fair view of the state of affairs of the Union and of the surplus or deficit of income over expenditure of the Union for that period. In preparing those financial statements, the Trustees are required to:

- select suitable accounting policies and then apply them, consistently ;
- make judgement and estimates that are reasonable and prudent ;
- prepare the financial statements on a going concern basis unless it is inappropriate to presume that the section will continue in existence.

The Trustees are responsible for keeping proper accounting records which disclose with reasonable accuracy at any time the financial position of the Union. They are also responsible for safeguarding the assets of the Union and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities. The Trustees are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view. Our responsibility is to audit the financial statements in accordance with applicable law and International Standards on Auditing (UK and Ireland). Those standards require us to comply with the Auditing Practice Board (APB's) Ethical Standards for Auditors.

SCOPE OF THE AUDIT OF THE FINANCIAL STATEMENTS

A description of the scope of the audit of financial statements is provided on the APB's website at www.frc.org.uk/apb/scope/UKNP.

OPINION ON FINANCIAL STATEMENTS

In our opinion the financial statements:-

- Give a true and fair view of the state of the Fund's affairs as at 31st December 2016 and of it's surplus of expenditure over income for the year then ended ;
- Have been properly prepared in accordance with United Kingdom Generally Accepted Practice applicable to smaller entities.

- Have been properly prepared in accordance with the requirements of the Trade Union and Labour Relations Consolidation Act 1992.

Matters on which we are required to report by exception

We have nothing to report in respect of the following matters where the Trade Union & Labour Relations (Consolidation) Act 1992 (amended) requires us to report to you, if, in our opinion:

- Adequate accounting records have not been kept, or returns adequate for our audit have not been received from branches not visited by us.
- A satisfactory system of control over transactions has not been maintained.
- The financial statements are not in agreement with the accounting records or returns.
- We have not received all the information and explanations we require for our audit.



Bull Payne & Co.
Chartered Certified Accountants
Registered Auditors
25 Brunts Street
Mansfield
Notts
NG18 1AX

Date : 19th July 2017

MEMBERSHIP AUDIT CERTIFICATE

(see notes 88 to 94)

made in accordance with section 24ZD of the
Trade Union and Labour Relations (Consolidation) Act 1992.

At the end of the reporting period preceding the one to which this audit relates was the total membership of the trade union greater than 10,000?

NO

If "YES" please complete SECTION ONE below or provide the equivalent information on a separate document to be submitted with the completed AR21.

If "NO" please complete SECTION TWO below or provide the equivalent information on a separate document to be submitted with the completed AR21.

MEMBERSHIP AUDIT CERTIFICATE SECTION ONE

For a trade union with more than 10,000 members, required by section 24ZB of the 1992 Act to appoint an independent assurer

1. In the opinion of the assurer appointed by the trade union was the union's system for compiling and maintaining its register of the names and addresses of its members satisfactory to secure, so far as is reasonably practicable, that the entries in its register were accurate and up-to-date throughout the reporting period?

YES/NO

2. In the opinion of the assurer has he/she obtained the information and explanations necessary for the performance of his/her functions?

YES/NO

If the answer to **either** questions 1 or 2 above is "NO" the assurer must:

- (a) set out below the assurer's reasons for stating that
- (b) provide a description of the information or explanation requested or required which has not been obtained
- (c) state whether the assurer required that information or those explanations from the union's officers, or officers of any of its branches or sections under section 24ZE of the 1992 Act
- (d) **send a copy of this certificate to the Certification Officer as soon as is reasonably practicable after it is provided to the union.**

MEMBERSHIP AUDIT CERTIFICATE


SECTION TWO

For a trade union with no more than 10,000 members at the end of the reporting period preceding the one to which this audit relates.

To the best of your knowledge and belief has the trade union during this reporting period complied with its duty to compile and maintain a register of the names and addresses of its members and secured, so far as is reasonably practicable, that the entries in the register are accurate and up-to-date?

YES

If "NO" Please explain below:

Signature	
Name	M. Corrigan
Office held	Auditor
Date	19 th July 2017