

To: The Board

For meeting on: 29 July 2015

Agenda item: 4

Report by: Miranda Carter, Executive Director of Provider Appraisal

Report on: Provider Appraisal Update

Provider Appraisal directorate headlines:

1. The Provider Appraisal directorate currently has two active assessments and one new trust was referred this month. There are four ongoing transaction reviews.
2. There are no policy or strategy issues to report to the Board.

A. Developments

3. The Executive Director of Provider Appraisal has met with the NHS Trust Development Authority (NHS TDA) to discuss developing trusts against the Well Led framework. The aim is to identify ways in which development and assessment of trusts can be streamlined. In light of recent announcements regarding closer working between Monitor and the NHS TDA, the team will be engaging with the NHS TDA to maximise the benefits to patients.

B. Update on assessments

Active assessments (exception reporting)

4. A list of the 12 NHS trusts currently with Monitor for assessment is provided in Annex 1. Any changes from the last report are highlighted in red.
5. At the Provider Appraisal Executive (PAE) meeting on 17 June 2015, the decision was made to defer the assessment of Dudley and Walsall Mental Health Partnership NHS Trust for up to 12 months (potentially up to 24 June 2016).

Referrals

6. The assessments of Wirral Community NHS Trust and Norfolk Community NHS Trust will commence on 1 August 2015. One new referral has been received (Lincolnshire Community Health Services NHS Trust) this month.

Assessment Pipeline

7. An overview of the assessment pipeline is provided in Annex 2.

C. Update on transactions

Cambridgeshire integrated and older persons care joint venture

8. The Provider Appraisal directorate is nearing the completion of the transaction review of the Cambridgeshire and Peterborough NHS Foundation Trust (CPFT) older persons transaction. As agreed by the PAE in March 2015, this has been undertaken under the regulatory remit of a formal investigation into CPFT finances given that the transaction progressed on 1 April 2015 following an amber risk rating at that time.

South Devon Healthcare NHS Foundation Trust FBC review

9. A discussion on a risk rating is planned for the PAE meeting on 7 August 2015.

Chelsea and Westminster NHS Foundation Trust acquisition of West Middlesex University Hospital

10. A Board to Board meeting was held with the Trust on 25 June 2015. The team is planning to issue a transaction risk rating following the PAE meeting on 7 August 2015.

Miranda Carter
Executive Director of Provider Appraisal

Making a difference for patients:

Monitor's mission is to make the health sector work better for patients. This report provides an update on the Provider Appraisal team. The key focus of this team is to assess if providers are well led and can therefore deliver sustainable high quality services to patients.

Public Sector Equality Duty:

Monitor has a duty under the Equality Act 2010 to have due regard to the need to eliminate unlawful discrimination, advance equality of opportunity and foster good relations between people from different groups. In drafting this report consideration has been given to the impact that the issues dealt with might have on these requirements and on the nine protected groups identified by this Act. It is anticipated that the issues dealt with in this paper are not likely to have any particular impact upon the requirements of or the protected groups identified by the Act.

This report considers the activity of the Provider Appraisal team, whose key focus is to authorise NHS Trusts as Foundation Trusts. The assessment process considers how representative of the population that it serves the membership of an applicant NHS FT actually is, and therefore has had regard to the duties required by the Equality Act. It is not considered that there are any decisions within this paper which would impact on protected groups identified by the Act.

Exempt information:

Some of the information in this report is exempt from publication under the Freedom of Information Act 2000.

The information is exempt as it falls under section 36 of the Freedom of Information Act 2000. Disclosure of that information would, or would be likely to, inhibit the free and frank provision of advice, or exchange of views for the purposes of deliberation. In applying these exemptions, we have considered the public interest test; disclosure of this information will not enhance the accountability or transparency of Monitor as its disclosure would inhibit the free frank disclosure of information.

Annex 1: Active assessments

Trust name	Status detail	Start	Exec meeting	Target Board to Board	Decision
Royal Liverpool & Broadgreen University Hospitals	Reactivated (paused)	Mar 2015		10 June	September 15
Oxford University Hospitals	Assessment	May 2015		15 July	August 15
<i>Paused in short-term</i>					
Norfolk Community Health and Care	Reactivated (paused)	August 2015			
Surrey and Sussex Healthcare	Assessment (paused)	September 2015			
<i>Referred, awaiting start</i>					
Wirral Community	Referral	August 2015			
South West London and St George's Mental Health	Referral	Delayed due to CQC findings			
Lincolnshire Community Health Services	Referral	1 September			

Annex 2: Pipeline information

(i) Monitor pipeline

	At 8 June 2015	Pipeline progress	At 7 July 2015
Total Foundation Trusts (FTs)	152		152
Monitor pipeline			
Active Assessments - decisions due this month	1	(1)	0
Active Assessments - in progress	3	(1)	2
Assessments paused in the short-term	1	1	2
Referrals waiting to start	2		2
Assessments paused post phase 1	2		2
Deferrals	3	1	4
Withdrawal	0	(1)	(1)
Total Monitor pipeline	12		11
NHS TDA pipeline	77	1	78
TOTAL NUMBER OF TRUSTS	241	-	241

(ii) Pipeline analysis

	Acute	Mental Health	Community	Ambulance	Total
Foundation Trusts	101	43	3	5	152
Monitor pipeline	3	3	4	1	11
With TDA	52	10	12	4	78
Total trusts	156	56	19	10	241

(iii) QG reviews in TDA phase

Ongoing	Timing
-	
Completed	
South West London and St George's Mental Health	Jan – Mar 2015
Worcestershire Health and Care NHS Trust	Oct - Dec 2014
Oxford University Hospitals NHS Trust	Aug - Oct 2014
Wirral Community NHS Trust	July - Sept 2014
Liverpool Community Health (PILOT)	Jan - June 2014
Bradford District Care (PILOT)	Jan - April 2014