

**MEETING OF THE AUDIT AND RISK COMMITTEE
THURSDAY 21 MAY 2015, at 10.00am**

Blackwell, Wellington House, 133-155 Waterloo Road, London SE1 8UG

AGENDA

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|----|-------|---|------------------|----------------|
| 1. | 10.00 | Welcome and Apologies | | (oral item) |
| 2. | | Declarations of interest
If any member of the Audit and Risk Committee has an interest in any of the items on the agenda, they should declare these and if necessary withdraw from the meeting. | | |
| 3. | 10.05 | Minutes and matters arising from the meeting held on Thursday 19 February 2015 (attached) | Keith Palmer | ARC/15/12 |
| 4. | 10.10 | Audit Progress Reports: | | |
| | | (i) Audit progress report on the 2014/15 financial statement audit (attached); and | NAO | ARC/15/13(i) |
| | | (ii) Internal Audit Progress Report (and oral update on plan for 2015/16) (attached) | PwC | ARC/15/13(ii) |
| 5. | 10.15 | Internal Audit reports: | PwC | |
| | | (i) Legal Services (attached) | | ARC/15/14(i) |
| | | (ii) Recruitment and Induction (attached) | | ARC/15/14(ii) |
| | | (iii) Provider Appraisal (attached) | | ARC/15/14(iii) |
| | | (iv) Pricing Enforcement and Compliance (attached) | | ARC/15/14(iv) |
| | | (v) Data Quality (attached) | | ARC/15/14(v) |
| | | (vi) IT Security (attached) | | ARC/15/14(vi) |
| 6. | 10.35 | Follow up internal audit actions – update (attached) | Philippa Harding | ARC/15/15 |
| 7. | 10.45 | Q4 Risk Report (attached) | Das Mootanah | ARC/15/16 |
| 8. | 11.00 | Monitor draft accounts 2014/15 (attached) | Steven Murray | ARC/15/17 |
| 9. | 11.15 | Monitor draft annual governance statement 2014/15 (attached) | Philippa Harding | ARC/15/18 |

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| 10. | 11.30 | Update on consolidated NHS foundation trust accounts 2014/15 (attached) | Ian Ratcliffe | ARC/15/19 |
| 11. | 11.40 | Going concern basis disclosure in consolidated NHS foundation trust accounts (attached) | Ian Ratcliffe | ACR/15/20 |
| 12. | 11.50 | Annual report of the Audit and Risk Committee to the Board (attached) | Philippa Harding | ARC/15/21 |
| 13. | 11.55 | Any other business | | |
| 14. | 12.00 | Close | | |