

13 November 2015

████████████████████  
██████████  
**By email**  
████████████████████

Dear ██████████

  
Monitor

Making the health sector  
Wellington House  
133-155 Waterloo Road  
London SE1 8UG

T: 020 3747 0000  
E: [enquiries@monitor.gov.uk](mailto:enquiries@monitor.gov.uk)  
W: [www.monitor.gov.uk](http://www.monitor.gov.uk)

## **Request under the Freedom of Information Act 2000 (the “FOI Act”)**

I refer to your email of 4 September 2015 in which you requested information under the FOI Act relating to St George’s University Hospitals NHS Foundation Trust (“the Trust”).

You made the following request:

*“Please can you provide the following under FOI laws:*

- 1. All emails sent and received by Miranda Carter, exec director of provider appraisal, relating to St George University Hospital FT, since the start of 2015.*
- 2. The briefing pack on St George’s financial position in 2014-15, which was attached in an email to David Bennett prior to May 19, 2015. The attachment was called ‘St George’s – 201415 Financial Position – MASTER (internal).pptx;ATT00001.htm’.”*

I have interpreted paragraph 1 of your request as being for all emails sent and received by Miranda Carter materially relating to the Trust since the start of 2015. I have therefore considered emails sent or received by Miranda Carter relating only to administrative matters, for example, emails relating to meeting requests, to be outside the scope of your request.

## **Decision**

Monitor holds the information that you have requested. Monitor has decided to withhold some of the information that it holds on the basis of the applicability of the exemptions in sections 31, 33, 36, 40 and 42 of FOI Act as explained in detail below.

The attached Annex sets out the details of the relevant information that we hold and whether that information is to be disclosed (in whole or in part) or withheld from disclosure. Where information is being withheld, we have identified in the Annex those exemptions which we consider to be relevant.

Where we are able to disclose information to you, it will be provided to you electronically as identified by the document number in the Annex. The application of exemptions to the information referred to in the Annex is explained in the following paragraphs.

### **Section 31 – law enforcement**

Where indicated in the Annex, Monitor considers that the withheld information is exempt from disclosure under section 31(1)(g) and (2)(c), which provides that information is exempt from disclosure if its disclosure would, or would be likely to, prejudice the exercise by Monitor of its functions for the purpose of ascertaining whether circumstances exist which may justify regulatory action in pursuance of an enactment. Monitor is a public authority responsible for monitoring compliance with the provider licence issued under Part 3 of the Health and Social Care Act 2012, and for considering the exercise of enforcement powers where it is satisfied (or has reasonable grounds to suspect) that a person has provided, or is providing NHS services in breach of the conditions of their licence.

The information Monitor has exchanged internally and gathered from the Trust has been necessary to enable Monitor to assess whether the Trust is in breach of its provider licence and to assess what regulatory action might be necessary. The Trust is currently subject to enforcement action under sections 106 and 111 of the Health and Social Care Act 2012 in relation to a breach of the conditions of its NHS provider licence. To disclose the withheld information more widely would prejudice Monitor's ongoing review of the progress the Trust is making towards addressing the breach of its provider licence and whether circumstances exist that would justify further regulatory action.

I also consider that disclosure of the withheld information is likely to have a considerable impact on the willingness of the Trust and other third parties to provide such information to Monitor on an open basis, and is likely to lead to less detailed information being provided. Monitor has statutory powers to require NHS foundation trusts to provide information but we believe we are better able to exercise our functions when information is provided voluntarily as part of an open relationship between the regulator and the regulated body.

#### *Public interest test*

Monitor acknowledges the strong public interest in accountability and transparency in relation to both the actions of NHS foundation trusts and to Monitor's exercise of its regulatory functions and this has been weighed against the detrimental impact that is likely to ensue if disclosure is permitted. In considering the public interest in disclosing the information, I have taken into account that Monitor routinely, proactively publishes details of any regulatory action it decides to take as a result of its investigations.

As stated above, the Trust is being closely monitored by way of formal regulatory action and Monitor is therefore continuing to assess the concerns that have been raised in relation to this Trust. It is vital that Monitor is to be able to give free and frank internal consideration to information provided by the Trust, and to freely exchange with the Trust the information necessary for Monitor to consider whether or not to exercise its regulatory powers. Any disclosure that would cause Monitor to reconsider requesting or exchanging sensitive information necessary to carry out its statutory function of regulating NHS foundation trusts would be detrimental to the process of regulation.

Furthermore, Monitor has commissioned an internal, lessons learned review of the authorisation of the Trust. Monitor intends to publish the findings of this review and publication of information at this stage would therefore be premature and potentially misleading.

Monitor has concluded that the need to avoid adversely affecting its continued monitoring and assessment of the Trust, and the need to ensure that the Trust and other third parties are able to share information with Monitor without fear that such disclosure will enter the public domain, outweighs the public interest in disclosure of the information that is being withheld. In the circumstances, and accepting that there is a general public interest in disclosing information to foster transparency and to further accountability of the NHS foundation trusts and of Monitor, I am satisfied that the section 31 exemption should be maintained in respect of the withheld information detailed in the Annex.

### **Section 33 – audit functions**

Where indicated in Annex 2, Monitor considers that the withheld information is exempt from disclosure under section 33(1)(b) of the FOI Act. Section 33(1)(b) provides that information is exempt from disclosure where this would be likely to prejudice the exercise of any of Monitor's functions in relation to the examination of the economy, efficiency and effectiveness with which other public authorities – in this case the Trust – use their resources in discharging their functions.

As part of its regulatory functions, Monitor has responsibility for examining the performance of NHS foundation trusts. In particular, NHS foundation trusts are required to comply with the terms of their licence, one of the conditions of which is that trusts shall exercise their functions effectively, efficiently and economically. As noted above, the Trust is currently subject to enforcement action for breach of its provider licence, including for breach of this licence condition.

Monitor requires a great deal of detailed, sensitive financial and other information from NHS foundation trusts in order to fully and properly assess their performance. Monitor relies on a relationship of trust and confidence with NHS foundation trusts to obtain the information necessary to carry out this examination. The disclosure of sensitive information, provided by an NHS foundation trust in good faith is likely to prejudice that relationship and may result in the provision of less detailed information, which in turn is likely to prejudice the exercise of Monitor's functions.

Some of the information that you have requested has been provided to Monitor by the Trust in the context outlined above and may be financially and commercially sensitive. I am therefore of the view that disclosure of this sensitive information would be likely to prejudice the exercise of Monitor's functions in relation to its assessment of the Trust's performance.

#### *Public interest test*

For similar reasons to those set out in relation to section 31, above, we consider that the public interest favours withholding the information under section 33 so as not to prejudice Monitor's ability to carry out its regulatory functions, and to allow Monitor space to obtain and consider all information necessary to enable the efficient and effective exercise of those functions.

### **Section 36 – prejudice to public affairs**

Where indicated in the Annex, Monitor considers that the withheld information is exempt under section 36(2)(b)(i) and (ii), and 36(2)(c) of the FOI Act, which provide that information

may be withheld where in the reasonable opinion of a qualified person disclosure of the information would, or would be likely to, inhibit the free and frank provision of advice or the exchange of views for the purpose of deliberation, or otherwise prejudice the effective conduct of public affairs.

In relation to information held by Monitor, the Chief Executive, (currently David Bennett), is the qualified person for the purposes of section 36. The relevant information was provided to allow the Chief Executive to make a fully informed decision on the application of section 36. The Chief Executive's opinion is that if the information was disclosed the prejudice inhibition specified in section 36(2)(b)(i) and (ii), and 36(2)(c), would occur. In particular –

- a. To ensure effective conduct of its regulatory affairs, it is necessary for officials in Monitor to have free and frank discussions about the reasons why a particular trust may be suffering serious financial or governance problems, particular where the financial position deteriorates suddenly, and about the scope of any internal audits or reviews designed to ascertain the reasons for that.
- b. Monitor officials should be able to give free and frank advice to Monitor's Board, to enable it to make effective regulatory and policy decisions
- c. Monitor officials should be able to have a free and frank exchange of views about the contents of any press release accompanying regulatory action or about how to answer information requests in relation to such action.
- d. Monitor and other bodies, such as the NHS Trust Development Authority and the Care Quality Commission, should be able to exchange views and advice about regulatory matters freely and frankly, to assist the carrying out of their respective functions, particularly where the regulatory issue is on-going.
- e. In each of these cases, the likely effect of disclosure would be to reduce the detail and candour with which advice or views were expressed in such cases in the future, with the result that less effective regulatory or policy decisions would be made.
- f. In the case of information from national bodies, disclosure may damage the relationship of trust and confidence between Monitor and those bodies, as well as inhibit the free flow of information necessary to facilitate the performance by Monitor of its regulatory functions.

#### *Public interest*

The exemption under section 36 of the FOI Act is subject to a public interest balancing test. This means that the information should be disclosed if the public interest factors in support of disclosure outweigh those in favour of withholding the information.

As indicated above, Monitor recognises that there is a general public interest in fostering transparency in its activities. It recognises that, as a public authority, it should consequently be transparent and open in the conduct of its public functions.

However, Monitor is of the view that those involved in regulatory matters, such as those relating to St George's, need to be able to provide detailed, free and frank advice to senior officers and to the Board of Monitor, to have candid discussions and express themselves openly during deliberations about regulatory matters, and (in case of those outside of Monitor) to be able to engage in a free and frank exchange of views with other bodies involved in the regulation of NHS foundation trusts.

Furthermore, as mentioned above Monitor has commissioned an internal review of the authorisation and subsequent financial deterioration of the Trust. Monitor intends to publish the findings of this review and publication of information at this stage would therefore be premature and potentially misleading.

On balance, Monitor has therefore decided that the public interest in disclosure is outweighed by the need to safeguard the free and frank exchange of views and provision of advice.

#### **Section 40 – personal information**

Where documents have been disclosed, some of the redacted information is withheld from disclosure under section 40(2) of the FOI Act on the grounds that it is personal data and that the first condition of section 40(3)(a) is satisfied, namely, that disclosure would amount to a breach of the first data protection principle (personal data should be processed fairly and lawfully).

This is because the redacted information is the personal data of Monitor's employees and third parties, who would have a reasonable expectation that their personal information would be withheld. This is an absolute exemption and consideration of the public interest in disclosure is not required.

#### **Section 42 – legal professional privilege**

Where indicated in the Annex, Monitor has also withheld information in respect of which a claim to legal professional privilege could be maintained. This applies in particular to information which involves the provision of legal advice in connection with the regulatory issues at St. George's and to the application of exemptions to information requested under the FOI Act.

##### *Public interest*

There is a strong public interest in safeguarding openness in all communications between client and lawyer to ensure access to full and frank legal advice, which in turn is fundamental to the administration of justice. Although there is a public interest in transparency and accountability of public authorities, we are satisfied that this does not outweigh the strong public interest in maintaining the section 42 exemption.

#### **Advice and assistance under section 16 FOIA**

In addition, I thought it may be helpful to direct you to information that Monitor has already published in relation to St. George's.

Please note the following link to the Trust authorisation document;

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/400382/St\\_George\\_s\\_Healthcare\\_NHS\\_Foundation\\_Trust\\_authorisation\\_document\\_pdf\\_-\\_Adobe\\_Acrobat\\_2\\_.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/400382/St_George_s_Healthcare_NHS_Foundation_Trust_authorisation_document_pdf_-_Adobe_Acrobat_2_.pdf)

The Trust's provider licence;

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/454437/St\\_George\\_s\\_University\\_Hospital\\_NHS\\_Foundation\\_Trust\\_licence\\_.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/454437/St_George_s_University_Hospital_NHS_Foundation_Trust_licence_.pdf)

And a side letter from Monitor to the Trust, dated 2 February 2015:

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/400377/St\\_George\\_s\\_Healthcare\\_NHS\\_Trust\\_-\\_side\\_letter.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/400377/St_George_s_Healthcare_NHS_Trust_-_side_letter.pdf)

I have also attached an email from Monitor to the Trust, dated 2 February 2015, regarding its application for NHS foundation trust status, and the authorisation letter from Monitor to the Trust, dated 30 January 2015. You will find these at the end of the attachment sent with this letter.

The following news story and press release are also relevant:

[News story 1 May 2015](#)

[Press release 30 July 2015](#)

### **Review rights**

If you consider that your request for information has not been properly handled or if you are otherwise dissatisfied with the outcome of your request, you can try to resolve this informally with the person who dealt with your request. If you remain dissatisfied, you may seek an internal review within Monitor of the issue or the decision. A senior member of Monitor's staff, who has not previously been involved with your request, will undertake that review.

If you are dissatisfied with the outcome of any internal review conducted by Monitor, you may complain to the Information Commissioner for a decision on whether your request for information has been dealt with in accordance with the FOI Act.

A request for an internal review should be submitted in writing to FOI Request Reviews, Monitor, Wellington House, 133-155 Waterloo Road, London SE1 8UG or by email to [foi@monitor.gov.uk](mailto:foi@monitor.gov.uk).

### **Publication**

Please note that this letter [and the attached information] will shortly be published on our website. This is because information disclosed in accordance with the [Freedom of Information Act 2000] is disclosed to the public at large. We will, of course, remove your personal information (e.g. your name and contact details) from the version of the letter published on our website to protect your personal information from general disclosure.

Yours sincerely,

A handwritten signature in blue ink, appearing to read 'Miranda Carter'.

**Miranda Carter**

Executive Director of Provider Appraisal

## ANNEX

No.	Description	Date(s)	Decision	Applicable exemption(s)
<b>Information released/released in part</b>				
1	Email re authorisation	15 January 2015	Release in part	40 – personal data
2	Email re authorisation	27 January 2015	Release in part	40 – personal data
3	Email re authorisation	28 January 2015	Release in part	40 – personal data
4	Email re authorisation	29 January 2015	Release in part	40 – personal data
5	Emails re authorisation	30 January 2015	Release in part	40 – personal data
6	Email re authorisation	2 February 2015, 09:04	Release in part	40 – personal data
7	Email re authorisation	2 February 2015, 20:13	Release in part	40 – personal data
8	Emails re authorisation	3 February 2015	Release in part	40 – personal data
9	Email re authorisation	9 February 2015	Release in part	40 – personal data
10	Emails re regulatory matters	21 April 2015	Release in part	40 – personal data
11	Emails re regulatory matters	22 April 2015	Release in part	31, 40
12	Email re regulatory matters	1 May 2015, 12:55	Release in part	40 – redactions for personal detailed 31, 33 - withhold attachments
13	Email re regulatory matters	1 May 2015, 14:43	Release in part	40 – personal data 31, 33 – withhold attachment
14	Emails re regulatory matters	20 May 2015	Release in part	31, 33 – withhold attachments 40 – personal data
15	Email re regulatory matters	5 August 2015	Release in part	40 – personal data
16	Email re regulatory matters	6 August 2015	Release in part	40 – personal data
<b>Withheld information</b>				
17	Emails regarding Monitor's internal audit into St George's	13 August 2015 – 7 September 2015	Withhold	36
18	Monitor internal emails and external emails with other public bodies regarding the authorisation of St George's as an FT	6 January 2015 – 30 January 2015	Withhold	33, 36

19	Emails and attachments between Monitor and St George's regarding authorisation as an FT	15 January 2015 – 16 January 2015	Withhold	31, 33, 36
20	Monitor internal emails and attachments regarding regulatory matters, including briefing pack on St George's financial position in 2014-15	11 March 2015 – 6 August 2015	Withhold	31, 33, 36
21	Monitor internal emails regarding previous FOI requests in relation to St George's	14 April 2015 – 19 August 2015	Withhold	36, 42
22	Monitor internal emails regarding communications in relation to St George's	29 January 2015 – 31 July 2015	Withhold	36, 42



## Miranda Carter

---

**From:** David Bennett  
**Sent:** 06 August 2015 09:06  
**To:** Stephen Hay  
**Cc:** Miranda Carter; Mark Turner  
**Subject:** Re: PwC report

Agreed.

david  
Sent from my iPhone

> On 6 Aug 2015, at 08:58, Stephen Hay <[REDACTED]@Monitor.gov.uk> wrote:

>

> I have read the executive summary of PwC's report. It doesn't provide any further insight beyond our conversation yesterday. In my opinion we should go ahead with the meeting with the advisers tomorrow.

>

> Stephen

>

>

> Sent from my iPad

## Miranda Carter

---

**From:** [REDACTED]  
**Sent:** 05 August 2015 14:03  
**To:** Miranda Carter  
**Cc:** Mark Turner  
**Subject:** St George's: PwC forensic review  
**Attachments:** PwC\_Georges\_Report\_DRAFT\_23 July 2015.pdf

Hi Miranda

I hope you are well. Mark asked me to forward you a draft copy of the PwC report into St George's. It's very long and I'm not sure when you are planning to get through it, however I'm very happy to speak to you and attempt to clarify any questions you may have.

Kind regards

[REDACTED]  
[REDACTED] Senior Enforcement Manager

**Monitor**

Direct Line [REDACTED] Mobile [REDACTED] [www.monitor.gov.uk](http://www.monitor.gov.uk)  
133-155 Waterloo Road, London, SE1 8UG

**[Follow us on Twitter](#) | [Watch us on YouTube](#) | [Follow us on LinkedIn](#)**

**For the latest career opportunities visit: <http://www.joinmonitor.com>**

## Miranda Carter

---

**From:** Jason Dorsett  
**Sent:** 20 May 2015 08:56  
**To:** Miranda Carter; David Hoppe  
**Cc:** Stephen Hay; Kate Moore  
**Subject:** Fwd: St George's briefing pack  
**Attachments:** St Georges - 201415 Financial Position - MASTER (internal).pptx; ATT00001.htm

Miranda, David,

As discussed on Monday I attach the briefing pack on St. George's that has gone to David. As I suggested, in a very long pack there is only a little on the assessment process which is in the first few pages. It's mainly the timeline so David can construct a narrative.

Kind regards,

Jason

Begin forwarded message:

**From:** [REDACTED]  
**To:** "Stephen Hay" <[REDACTED]@Monitor.gov.uk>, "David Bennett" <[REDACTED]@Monitor.gov.uk>  
**Cc:** "Mark Turner" <[REDACTED]@Monitor.gov.uk>, "Jason Dorsett" <[REDACTED]@Monitor.gov.uk>

**Subject:** St George's briefing pack

Dear David and Stephen

Following our brief update on St George's last Friday, please find attached a more detailed briefing pack on the trust's financial position in 2014/15.

[REDACTED] – Stephen has asked that we find some time to take David and Stephen together through the pack. This should ideally be either on Friday or on Tuesday (before our Board meeting in any case) and we need to set aside approximately 40 minutes. Please feel free to call me tomorrow to discuss logistics.

Kind regards

[REDACTED]  
[REDACTED]  
**Monitor**

Direct Line [REDACTED] Mobile [REDACTED] [www.monitor.gov.uk](http://www.monitor.gov.uk)  
133-155 Waterloo Road, London, SE1 8UG

[Follow us on Twitter](#) | [Watch us on YouTube](#) | [Follow us on LinkedIn](#)

For the latest career opportunities visit: <http://www.joinmonitor.com>

**Miranda Carter**

---

**From:** [REDACTED]  
**Sent:** 01 May 2015 14:43  
**To:** Mark Turner; [REDACTED]  
**Cc:** Miranda Carter; David Hoppe  
**Subject:** St Georges Investigation Launched  
**Attachments:** St Georges Letter - Decision to Investigate.pdf

Dear all,

We opened the investigation into St George's today and I am sending you some of the key documents for your reference. I have attached the letter we sent to the trust and below the Monitor and St George's press releases.

Thank you for your help to date.

(If you do not want to be included on these weekly investigation updates for George's please let [REDACTED] know who is the Regional Manager on the Trust)

Kind regards,  
[REDACTED]

## **MONITOR PRESS RELEASE**

**For immediate release: Friday 1 May 2015**

### **Investigation launched at South London teaching hospital**

Monitor has opened an investigation into the sudden deterioration in finances at St George's University Hospitals NHS Foundation Trust.

The investigation will examine how and why this situation has happened, and identify possible solutions.

Monitor granted St George's foundation trust status in February 2015 on the basis it would break even in 2014-15 and make a small surplus in 2015-16. The regulator is now concerned as the trust has recorded a £16.8m loss for 2014-15 and may record a larger deficit in 2015-16.

The regulator also wants to understand why St George's has failed to sustain its progress in treating patients more promptly, as requested at the time of its foundation trust authorisation.

Monitor will announce the outcome of the investigation in due course.

**ENDS**

**Notes to editors:**

- For further information, please contact the Monitor press office via 020 3747 0800 or [press.office@monitor.gov.uk](mailto:press.office@monitor.gov.uk)
- Monitor is the sector regulator of NHS-funded health care services.
- Under the Health and Social Care Act 2012 its main duty is to protect and promote the interests of people who use them.
- Information about Monitor's role can be found [here](#).
- Follow Monitor on twitter [@MonitorUpdate](#)

## **St George's reactive media statement:**

***Towards the end of 2014/15 there was a significant divergence from plan ending the year with a £16m deficit instead of the small planned surplus.***

***As a major trauma centre, the trust has been particularly affected by the operational pressures that have been seen nationally. During the winter we were faced with unprecedented demand from very ill patients and fixed capacity in terms of beds and operating theatres. This resulted in a cancellation of planned operations and longer waiting times for some patients. Financially it led to reduced income from planned activity combined with increased costs of staff and supplies.***

***The St George's Board is committed to maintaining quality and safety and takes its responsibility seriously for addressing these issues.***

***We will work with Monitor to understand fully how and why this situation has happened, and to identify possible solutions.***



### **Monitor**

Direct Line  [www.gov.uk/monitor](http://www.gov.uk/monitor)  
Wellington House, 133 Waterloo Road, London, SE1 8UG

**Monitor is the sector regulator for health services in England.  
Our job is to protect and promote the interests of patients by ensuring that the whole sector works for their benefit.**

---

This email is intended only for use by the named addressee. It may contain confidential and/or privileged information. If you are not the intended recipient, you should contact us immediately and should not disclose, use or rely on this email. We do not accept any liability arising from a third party taking action, or refraining from taking action, on the basis of information contained in this e-mail. Thank you.

---

[REDACTED]

---

**From:** [REDACTED]  
**Sent:** 01 May 2015 12:55  
**To:** Executive Committee; Non-Exec Directors; RDs F & E; Wider Leadership Team  
**Cc:** Communications Mail; Press Office  
**Subject:** Investigation launched at South London teaching hospital/Monitor news alert/1 May 2015

Good afternoon

We will be issuing the following press release later today.

Regards,  
[REDACTED]



**For immediate release: Friday 1 May 2015**

## **Investigation launched at South London teaching hospital**

Monitor has opened an investigation into the sudden deterioration in finances at St George's University Hospitals NHS Foundation Trust.

The investigation will examine how and why this situation has happened, and identify possible solutions.

Monitor granted St George's foundation trust status in February 2015 on the basis it would break even in 2014-15 and make a small surplus in 2015-16. The regulator is now concerned as the trust has recorded a £16.8m loss for 2014-15 and may record a larger deficit in 2015-16.

The regulator also wants to understand why St George's has failed to sustain its progress in treating patients more promptly, as requested at the time of its foundation trust authorisation.

Monitor will announce the outcome of the investigation in due course.

**ENDS**

**Notes to editors:**

- For further information, please contact the Monitor press office via 020 3747 0800 or [press.office@monitor.gov.uk](mailto:press.office@monitor.gov.uk)
- Monitor is the sector regulator of NHS-funded health care services.
- Under the Health and Social Care Act 2012 its main duty is to protect and promote the interests of people who use them.
- Information about Monitor's role can be found [here](#).
- Follow Monitor on twitter [@MonitorUpdate](#)



**Miranda Carter**

---

**From:** David Bennett  
**Sent:** 22 April 2015 18:17  
**To:** Miranda Carter  
**Cc:** Philippa Harding; [REDACTED]  
**Subject:** Re: George's

Looks fine to me.

david  
Sent from my iPad

On 22 Apr 2015, at 18:15, Miranda Carter <[REDACTED]@Monitor.gov.uk> wrote:

David,

We can pick this up at our meeting tomorrow if you prefer, but I wanted to let you know that having spoken with Stephen I proposed to add the text below into the Provider Appraisal confidential annex on George's. We are conscious that when the investigation is launched there will be a press release and we want to make sure the board are aware of the investigation in advance, particularly as there is likely to be media interest. Could you let me know if you are content with this.

Many thanks  
Miranda

#### **St George's NHS Foundation Trust**

- 1. St George's NHS foundation trust, which was authorised on 1 February 2015, has informed Monitor that it is now projecting a loss for 14/15 which is significantly in excess of the view expressed at the time of authorisation [REDACTED]. It is anticipated that Provider Regulation will open an investigation into the trust to understand what has caused the significant deterioration in financial performance and to understand why these issues were not known at the time of authorisation. The Provider Appraisal team will work with Provider Regulation to understand any lessons learned for the assessment process from the investigation.**

Miranda Carter | Executive Director of Provider Appraisal

#### **Monitor**

Direct Line [REDACTED] [www.GOV.UK/monitor](http://www.GOV.UK/monitor)  
PA – [REDACTED]  
Wellington House, 133 – 155 Waterloo Road, London SE18UG

**Monitor is the sector regulator for health services in England.  
Our job is to protect and promote the interests of patients by ensuring that the whole sector works for their benefit.**

**[Follow us on Twitter](#) | [Watch us on YouTube](#) | [Follow us on LinkedIn](#) | [Latest career opportunities](#)**

not the intended recipient, you should contact us immediately and should not disclose, use or rely on this email. We do not accept any liability arising from a third party taking action, or refraining from taking action, on the basis of information contained in this e-mail. Thank you.

---

---

## Miranda Carter

---

**From:** Miranda Carter  
**Sent:** 21 April 2015 09:39  
**To:** David Hoppe  
**Subject:** RE: Georges

David,

Thank you for the update, I won't disturb you on your day off, I will try to either catch Mark today or we can chat later in the week.

Have a good day today

Kind regards

Miranda

Miranda Carter | Executive Director of Provider Appraisal

Monitor

Direct Line  [!\[\]\(f276343e5e0d2402c20fdc9e8443c0dd\_img.jpg\) www.GOV.UK/monitor](http://www.GOV.UK/monitor)  Wellington House, 133 –  
155 Waterloo Road, London SE18UG

Monitor is the sector regulator for health services in England.

Our job is to protect and promote the interests of patients by ensuring that the whole sector works for their benefit. Follow us on Twitter | Watch us on YouTube | Follow us on LinkedIn | Latest career opportunities

---

This email is intended only for use by the named addressee. It may contain confidential and/or privileged information. If you are not the intended recipient, you should contact us immediately and should not disclose, use or rely on this email. We do not accept any liability arising from a third party taking action, or refraining from taking action, on the basis of information contained in this e-mail. Thank you.

---

-----Original Message-----

**From:** David Hoppe  
**Sent:** 20 April 2015 19:55  
**To:** Miranda Carter  
**Subject:** Georges

Miranda

Mark turner came up this evening and provided an update on where they are getting to on georges. He is out tomorrow but may be able to catch you at the end of the day. They are probably launching an investigation and considering putting forensic accountants in. He wanted a sense of whether you wanted the scope to include any lessons for our approach.

I can update you further if you want to call me. On the train now but at home in morning before 11.30 on 

David

Sent from my iPad

**Miranda Carter**

---

**From:** [REDACTED]  
**Sent:** 09 February 2015 17:28  
**To:** [REDACTED] STGEORGES.NHS.UK  
**Cc:** Miranda Carter; [REDACTED]  
**Subject:** Letter from David Flory  
**Attachments:** St George's FT letter 2015 02 06 v2.pdf

Please find attached a letter from David Flory

Kind regards

[REDACTED]  
[REDACTED]  
[REDACTED] Executive Office for David Flory  
Chief Executive  
NHS Trust Development Authority (NHS TDA)  
9<sup>th</sup> Floor  
Southside  
Victoria Street  
London  
SW1E 6QT

\*\*\*\*\*  
\*\*\*\*\*

This message may contain confidential information. If you are not the intended recipient please inform the sender that you have received the message in error before deleting it. Please do not disclose, copy or distribute information in this e-mail or take any action in reliance on its contents: to do so is strictly prohibited and may be unlawful.

Thank you for your co-operation.

NHSmail is the secure email and directory service available for all NHS staff in England and Scotland  
NHSmail is approved for exchanging patient data and other sensitive information with NHSmail and GSi recipients  
NHSmail provides an email address for your career in the NHS and can be accessed anywhere

\*\*\*\*\*  
\*\*\*\*\*

9th February 2015

Miles Scott  
Chief Executive  
St George's Healthcare NHS Trust  
Blackshaw Road  
Tooting  
London  
SW17 0QT

Dear Miles

#### **Foundation Trust authorisation**

I would like to express my warmest congratulations to you and team for your achievement in being authorised by Monitor as an NHS Foundation Trust with effect from 1 February 2015.

This is an important public recognition of the progress you have made in improving the quality of your services, your financial performance, and in demonstrating your longer term sustainability. It recognises the strength of Board leadership, both executive and non-executive.

Your finance team should liaise with David Meek with regards to your final financial accounts for 2014/15. However, I confirm that with effect from 1 February 2015, the NHS Trust Development Authority has no other role in the oversight of your organisation.

I hope that you will be willing to share your reflections on the Foundation Trust application process with other applicant Trusts in the future.

As a teaching Foundation Trust, your organisation has an important leadership role in the development of options to deliver clinically and financially sustainable services across south west London. Alwen and her team look forward to continuing to engage with you in this capacity, in partnership with tripartite colleagues.

I wish you and your organisation every success in the future.

Yours sincerely



**David Flory**  
**Chief Executive**

Copy:

Alwen Williams, Director of Delivery and Development (London), NTDA  
Miranda Carter, Executive Director Provider Appraisal, Monitor.

## Miranda Carter

---

**From:** Steve Bolam <[REDACTED]@stgeorges.nhs.uk>  
**Sent:** 03 February 2015 10:04  
**To:** Miranda Carter  
**Subject:** Re: Authorisation

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

Many thanks miranda. Much appreciated

Sent from my Samsung Galaxy smartphone.

----- Original message -----

**From:** Miranda Carter <[REDACTED]@Monitor.gov.uk>  
**Date:** 03/02/2015 09:50 (GMT+00:00)  
**To:** Steve Bolam <[REDACTED]@stgeorges.nhs.uk>  
**Cc:**  
**Subject:** Authorisation

Dear Steve,

I mentioned this on the call to [REDACTED] but I did want to say that we really appreciated how hard you and your team worked during January to address the issues in the deferral letter. I know that a lot of work fell to you personally and I know from the team that you put in a lot of hours to do the work over and above other commitments.

I was very glad to make the call to [REDACTED] on Friday evening and I wish you every success as an FT.

With kind regards

Miranda

Miranda Carter | Executive Director of Provider Appraisal

### Monitor

Direct Line [REDACTED] [www.GOV.UK/monitor](http://www.GOV.UK/monitor)

Wellington House, 133 – 155 Waterloo Road, London SE18UG

**Monitor is the sector regulator for health services in England.**

**Our job is to protect and promote the interests of patients by ensuring that the whole sector works for their benefit.**

**[Follow us on Twitter](#) | [Watch us on YouTube](#) | [Follow us on LinkedIn](#) | [Latest career opportunities](#)**

---

This email is intended only for use by the named addressee. It may contain confidential and/or privileged information. If you are not the intended recipient, you should contact us immediately and should not disclose, use or rely on this email. We do not accept any liability arising from a third party taking action, or refraining from taking action, on the basis of information contained in this e-mail. Thank you.

---

---

**Disclaimer:**

This e-mail and any files transmitted with it are confidential. If you are not the intended recipient, any reading, printing, storage, disclosure, copying or any other action taken in respect of this e-mail is prohibited and may be unlawful. If you are not the intended recipient, please notify the sender immediately by using the reply function and then permanently delete what you have received.

Incoming and outgoing e-mail messages are routinely monitored for compliance with the Department of Health's policy on the use of electronic communications. For more information on the Department of Health's e-mail policy click here <http://www.doh.gov.uk/emaildisclaimer.htm>



## Miranda Carter

---

**From:** Bevington, Jay (UK - Manchester) [REDACTED]@deloitte.co.uk>  
**Sent:** 02 February 2015 20:13  
**To:** Miranda Carter  
**Subject:** St George's

**Follow Up Flag:** Follow up  
**Flag Status:** Completed

Hi Miranda. Just wanted to drop you a quick email to say that it is fantastic news that St George's have been authorised. We've been supporting them for a couple of years now so we know how much achieving FT status means to them. Speak soon. ■

Dr Jay Bevington  
Partner  
[REDACTED]

Sent from a mobile device

### IMPORTANT NOTICE

This communication is from Deloitte LLP, a limited liability partnership registered in England and Wales with registered number OC303675. Its registered office is 2, New Street Square, London EC4A 3BZ, United Kingdom. Deloitte LLP is the United Kingdom member firm of Deloitte Touche Tohmatsu Limited ("DTTL"), a UK private company limited by guarantee, whose member firms are legally separate and independent entities. Please see [www.deloitte.co.uk/about](http://www.deloitte.co.uk/about) for a detailed description of the legal structure of DTTL and its member firms.

This communication contains information which is confidential and may also be privileged. It is for the exclusive use of the intended recipient(s). If you are not the intended recipient(s), please (1) notify [it.security.uk@deloitte.co.uk](mailto:it.security.uk@deloitte.co.uk) by forwarding this email and delete all copies from your system and (2) note that disclosure, distribution, copying or use of this communication is strictly prohibited. Email communications cannot be guaranteed to be secure or free from error or viruses. All emails sent to or from a Deloitte UK email account are securely archived and stored by an external supplier within the European Union

To the extent permitted by law, Deloitte LLP does not accept any liability for use of or reliance on the contents of this email by any person save by the intended recipient(s) to the extent agreed in a Deloitte LLP engagement contract.

Opinions, conclusions and other information in this email which have not been delivered by way of the business of Deloitte LLP are neither given nor endorsed by it.

## Miranda Carter

---

**From:** Miranda Carter  
**Sent:** 02 February 2015 09:04  
**To:** Coulbeck Ralph (NHS TRUST DEVELOPMENT AUTHORITY)  
**Cc:** alexander bob (NHS TRUST DEVELOPMENT AUTHORITY) ([REDACTED]@nhs.net)  
**Subject:** In case you havent heard

Hi Ralph,

Just to say that I am very pleased to tell you that PAE approved an authorisation decision for St George's on Friday which means they were authorised with effect from 1 February. They will have a side letter requiring monthly monitoring on A&E.

Kind regards  
Miranda

Miranda Carter | Executive Director of Provider Appraisal

### Monitor

Direct Line [REDACTED] | [www.GOV.UK/monitor](http://www.GOV.UK/monitor)

Wellington House, 133 – 155 Waterloo Road, London SE18UG

**Monitor is the sector regulator for health services in England.  
Our job is to protect and promote the interests of patients by ensuring that the whole sector works for their benefit.**

**[Follow us on Twitter](#) | [Watch us on YouTube](#) | [Follow us on LinkedIn](#) | [Latest career opportunities](#)**

---

This email is intended only for use by the named addressee. It may contain confidential and/or privileged information. If you are not the intended recipient, you should contact us immediately and should not disclose, use or rely on this email. We do not accept any liability arising from a third party taking action, or refraining from taking action, on the basis of information contained in this e-mail. Thank you.

---

## Miranda Carter

---

**From:** Miranda Carter  
**Sent:** 30 January 2015 13:18  
**To:** David Hoppe  
**Subject:** FW: St George's Healthcare NHS Trust - Letter of 'central confirmation'  
**Attachments:** 20150130 St George's Healthcare NHS Trust FT Pre-authorisation Letter Monitor vFinal.pdf

**Importance:** High

FYI

Miranda Carter | Executive Director of Provider Appraisal

### Monitor

Direct Line 020 3747 [REDACTED] | [www.GOV.UK/monitor](http://www.GOV.UK/monitor)

[REDACTED]  
Wellington House, 133 – 155 Waterloo Road, London SE18UG

**Monitor is the sector regulator for health services in England.  
Our job is to protect and promote the interests of patients by ensuring that the whole sector works for their benefit.**

[Follow us on Twitter](#) | [Watch us on YouTube](#) | [Follow us on LinkedIn](#) | [Latest career opportunities](#)

---

This email is intended only for use by the named addressee. It may contain confidential and/or privileged information. If you are not the intended recipient, you should contact us immediately and should not disclose, use or rely on this email. We do not accept any liability arising from a third party taking action, or refraining from taking action, on the basis of information contained in this e-mail. Thank you.

---

---

**From:** FT Assurance Managers [<mailto:FTAssuranceManagers@cqc.org.uk>]  
**Sent:** 30 January 2015 13:11  
**To:** [REDACTED]  
**Cc:** Miranda Carter; [REDACTED]  
**Subject:** FYI: St George's Healthcare NHS Trust - Letter of 'central confirmation'  
**Importance:** High

Dear [REDACTED]

I attach a letter from CQC in relation to the authorisation decision for St George's Healthcare NHS Trust for the attention of Miranda Carter and [REDACTED].

Kind regards,  
Russell

[REDACTED] Acute Intelligence Monitoring, Provider Analytics  
Strategy and Intelligence Directorate  
The Care Quality Commission  
103-105 Bunhill Row  
London EC1Y 8TG

Email: [FTAssuranceManagers@cqc.org.uk](mailto:FTAssuranceManagers@cqc.org.uk)

The Care Quality Commission is the independent regulator of all health and adult social care in England. [www.cqc.org.uk](http://www.cqc.org.uk). For general enquiries, telephone the National Contact Centre: 03000 616161.

Statutory requests for information made under access to information legislation such as the Data Protection Act 1998 and the Freedom of Information Act 2000 should be sent to: [information.access@cqc.org.uk](mailto:information.access@cqc.org.uk).

---

The contents of this email and any attachments are confidential to the intended recipient. They may not be disclosed to or used by or copied in any way by anyone other than the intended recipient. If this email is received in error, please notify us immediately by clicking "Reply" and delete the email. Please note that neither the Care Quality Commission nor the sender accepts any responsibility for viruses and it is your responsibility to scan or otherwise check this email and any attachments. Any views expressed in this message are those of the individual sender, except where the sender specifically states them to be the views of the Care Quality Commission

---

## Miranda Carter

---

**From:** [REDACTED]  
**Sent:** 29 January 2015 14:57  
**To:** Miranda Carter  
**Subject:** RE: St George's announcement, 2nd Feb

Great, thanks Miranda – they are all consistent and based on the original template you signed off. We will not send these out until Monday – assuming the decision to authorise is made in the PAE tomorrow.

Thanks,

---

**From:** Miranda Carter  
**Sent:** 29 January 2015 14:52  
**To:** [REDACTED]  
**Subject:** Fwd: St George's announcement, 2nd Feb

I am happy for Comms to do the PDF of these files assuming they are all consistent with the first letter that I have reviewed below. [REDACTED] will inform you for the outcome of the PAE tomorrow please make sure they do not go out until you here from us this is a chance the decision may not get made tomorrow.

Kind regards  
Miranda

Sent from my iPad

Begin forwarded message:

**From:** [REDACTED]  
**To:** "Miranda Carter" [REDACTED] <[REDACTED]@Monitor.gov.uk>  
**Subject:** FW: St George's announcement, 2nd Feb

---

**From:** [REDACTED]  
**Sent:** 28 January 2015 09:00  
**To:** [REDACTED]  
**Cc:** [REDACTED]  
**Subject:** St George's announcement, 2nd Feb

Hi [REDACTED]

I have prepared letters for MPs, LHW and CCGs in advance of the likely authorisation of St George's Healthcare NHS Trust on the 1<sup>st</sup> February 2015. The comms announcement is planned for Monday 2<sup>nd</sup> of February, so I have drafted the letters to reflect these dates. I have used the template letters Miranda signed off last time we announced authorisations.

The letters are all saved – [Here](#) and attached.

I am away on Monday, so I have copied [REDACTED] in who will coordinate the letters being sent out from the stakeholder team.

As I mentioned before, the new process is for me to send any letters relating to assessments to the relevant team for sign off and then they return these to me as PDF copies to send out.

Can you arrange for the signed off PDF copies of the letters to be sent to [REDACTED] by COP Friday 30<sup>th</sup> January (this week) so that we are ready to send them out early on Monday.

**FYI the following people will receive letters:**

Ms Jane Ellison MP
The Rt Hon Justine Greening MP
Mr Stephen Hammond MP
The Rt Hon Sadiq Khan MP
Ms Siobhain McDonagh MP
Mr Chuka Umunna MP
[REDACTED] Healthwatch Wandsworth
[REDACTED] Healthwatch Merton
[REDACTED] Merton CCG
[REDACTED] Wandsworth CCG
[REDACTED] Kingston CCG
[REDACTED] Lambeth CCG

Kind regards,

[REDACTED]

**Monitor**

Direct Line [REDACTED] | [www.GOV.UK/monitor](http://www.GOV.UK/monitor)  
Wellington House, 133-155 Waterloo Road, London, SE1 8UG

**Monitor is the sector regulator for health services in England.  
Our job is to protect and promote the interests of patients by ensuring that the whole sector works for their benefit.**

[Follow us on Twitter](#) | [Watch us on YouTube](#) | [Follow us on LinkedIn](#) | [Latest career opportunities](#)

**Miranda Carter**

---

**From:** [REDACTED]  
**Sent:** 28 January 2015 09:38  
**To:** Miranda Carter  
**Subject:** FW: St George's announcement, 2nd Feb  
**Attachments:** Letter for [REDACTED] - St Georges Healthcare NHS Trust.docx; Letter for [REDACTED] - St Georges Healthcare NHS Trust.docx; Letter for [REDACTED] - St Georges Healthcare NHS Trust.docx; Letter for [REDACTED] - St Georges Healthcare NHS Trust.docx; Letter for [REDACTED] - St Georges Healthcare NHS Trust.docx; Letter for [REDACTED] - St Georges Healthcare NHS Trust.docx; Letter for [REDACTED] - St Georges Healthcare NHS Trust.docx; Letter for Ms Jane Ellison MP - St George's Healthcare NHS Trust granted foundation trust status.docx; Letter for Ms Siobhain McDonagh MP - St George's Healthcare NHS Trust granted foundation trust status.docx; Letter for The Rt Hon Justine Greening MP - St George's Healthcare NHS Trust granted foundation trust status.docx; Letter for The Rt Hon Sadiq Khan MP - St George's Healthcare NHS Trust granted foundation trust status.docx; Letter for Mr Chucka Umunna - St George's Healthcare NHS Trust granted foundation trust status.docx; Letter for Mr Stephen Hammond MP - St George's Healthcare NHS Trust granted foundation trust status.docx; Letter for [REDACTED] - St George's Healthcare NHS Trust granted foundation trust status.docx





## Miranda Carter

---

**From:** [REDACTED]  
**Sent:** 27 January 2015 17:38  
**To:** [REDACTED]  
**Cc:** Miranda Carter; David Hoppe; [REDACTED]  
**Subject:** RE: St George's January PAE paper

Thanks [REDACTED]

Best wishes,

---

**From:** [REDACTED]  
**Sent:** 27 January 2015 17:22  
**To:** [REDACTED]  
**Cc:** Miranda Carter; David Hoppe; [REDACTED]  
**Subject:** St George's January PAE paper

Hi [REDACTED]

Please find attached the St George's paper for Friday's PAE meeting. We have included the Nov and Dec PAE papers for reference as annexes 4 (Nov) and 5 (Dec). Please let me know if you have any queries.

Kind regards

[REDACTED]  
[REDACTED] Provider Appraisal

### Monitor

Direct Line: [REDACTED] | [www.gov.uk/monitor](http://www.gov.uk/monitor)  
Wellington House, 133-139 Waterloo Road, London, SE1 8UG

**Monitor is the sector regulator for health services in England.  
Our job is to protect and promote the interests of patients by ensuring that the whole sector works for their benefit.**

**[Follow us on Twitter](#) | [Watch us on YouTube](#) | [Follow us on LinkedIn](#) [Latest career opportunities](#)**

---

This email is intended only for use by the named addressee. It may contain confidential and/or privileged information. If you are not the intended recipient, you should contact us immediately and should not disclose, use or rely on this email. We do not accept any liability arising from a third party taking action, or refraining from taking action, on the basis of information contained in this e-mail. Thank you.

---

**From:** [REDACTED]  
**Sent:** 27 January 2015 17:08  
**To:** [REDACTED]  
**Subject:** Papers for the annex

Hi [REDACTED]

The three annex documents are attached.

The November paper was pdf'd as two documents (part 1 – paper, part 2 – annex)

The December paper was pdf'd as one document (includes the annex)

Kind regards



Provider Appraisal

## Monitor

Direct Line  [www.gov.uk/monitor](http://www.gov.uk/monitor)  
Wellington House, 133-155 Waterloo Road, London, SE1 8UG

**Monitor is the sector regulator for health services in England.  
Our job is to protect and promote the interests of patients by ensuring that the whole sector works for their benefit.**

**[Follow us on Twitter](#) | [Watch us on YouTube](#) | [Follow us on LinkedIn](#) | [Latest career opportunities](#)**

---

This email is intended only for use by the named addressee. It may contain confidential and/or privileged information. If you are not the intended recipient, you should contact us immediately and should not disclose, use or rely on this email. We do not accept any liability arising from a third party taking action, or refraining from taking action, on the basis of information contained in this e-mail. Thank you.

---

## Miranda Carter

---

**From:** Miranda Carter  
**Sent:** 15 January 2015 13:27  
**To:** Philippa Harding  
**Cc:** David Hoppe; [REDACTED]  
**Subject:** St Georges

Philippa,

Theoretically if we can get St Georges ready for 30 Jan PAE could they be authorised from 1 February? Ie would there be any protocol around informing the board as it would be after the board meeting.

Could you let me and the team know your thoughts.

Many thanks

Miranda

Miranda Carter | Executive Director of Provider Appraisal

## Monitor

Direct Line [REDACTED] [www.GOV.UK/monitor](http://www.GOV.UK/monitor)

[REDACTED]  
Wellington House, 133 – 155 Waterloo Road, London SE18UG

**Monitor is the sector regulator for health services in England.  
Our job is to protect and promote the interests of patients by ensuring that the whole sector works for their benefit.**

**[Follow us on Twitter](#) | [Watch us on YouTube](#) | [Follow us on LinkedIn](#) | [Latest career opportunities](#)**

---

This email is intended only for use by the named addressee. It may contain confidential and/or privileged information. If you are not the intended recipient, you should contact us immediately and should not disclose, use or rely on this email. We do not accept any liability arising from a third party taking action, or refraining from taking action, on the basis of information contained in this e-mail. Thank you.

---

## Miranda Carter

---

**From:** Miranda Carter  
**Sent:** 06 August 2015 12:21  
**To:** Mark Turner  
**Cc:** [REDACTED]  
**Subject:** George's - HTA contact

**Importance:** High

Dear Mark,

I have just had a call from Caroline at the HTA (see contact details below), she is head of regulation with a focus on Mortuaries, she would like to speak to the relationship team and is also asking for a suitable contact at the CQC for this trust, could I ask you or perhaps [REDACTED] to call Caroline to discuss.

Many thanks  
Miranda

Caroline Browne  
Head of Regulation  
Human Tissue Authority  
151 Buckingham Palace Road  
Victoria  
London SW1W 9SZ  
Tel [REDACTED]  
Email [REDACTED]@hta.gov.uk  
Web [www.hta.gov.uk](http://www.hta.gov.uk)

Miranda Carter | Executive Director of Provider Appraisal

### Monitor

Direct Line [REDACTED] [www.GOV.UK/monitor](http://www.GOV.UK/monitor)  
PA – [REDACTED]  
Wellington House, 133 – 155 Waterloo Road, London SE18UG

**Monitor is the sector regulator for health services in England.  
Our job is to protect and promote the interests of patients by ensuring that the whole sector works for their benefit.**

**[Follow us on Twitter](#) | [Watch us on YouTube](#) | [Follow us on LinkedIn](#) | [Latest career opportunities](#)**

---

This email is intended only for use by the named addressee. It may contain confidential and/or privileged information. If you are not the intended recipient, you should contact us immediately and should not disclose, use or rely on this email. We do not accept any liability arising from a third party taking action, or refraining from taking action, on the basis of information contained in this e-mail. Thank you.

---

[REDACTED]

---

**From:** [REDACTED] on behalf of Licensing FT  
**Sent:** 02 February 2015 09:44  
**To:** [REDACTED]  
**Subject:** St George's Healthcare Trust - Application for NHS foundation trust status  
**Attachments:** St George's Healthcare NHS Foundation Trust authorisation document.pdf; St George's Healthcare Trust - authorisation letter.pdf; St George's Healthcare NHS Foundation Trust licence.pdf; St George's Healthcare NHS Trust - side letter.pdf

Dear [REDACTED]

Please find attached electronic copies of your Authorisation Letter, Authorisation, Side Letter and NHS Provider Licence. An original hardcopy of your Authorisation, Authorisation Letter and Side Letter will also be couriered to you today.

Now that the trust has been authorised as an NHS foundation trust, your engagement with the Provider Appraisal team will cease. A new relationship team, from our Provider Regulation Directorate has been assigned to you to be your primary point of contact within Monitor. They will contact you shortly to introduce themselves.

Their contact details are:

[REDACTED] Senior Regional Manager: [REDACTED]  
[REDACTED] Regional Manager: [REDACTED]

[REDACTED] report to [REDACTED] Regional Director. [REDACTED] who is responsible for the London Region within the Provider Regulation Directorate.

The trust will have access to the Monitor MARS portal, an e-mail will be sent to users whose names were provided to Monitor recently by the trust, notifying them of their user name and passwords to access the portal. The MARS portal will be used to receive quarterly and annual monitoring templates and submit them back to us once completed. Your NHS Provider Licence is also available on the portal.

Finally, congratulations on becoming an NHS foundation trust. If you have any queries regarding the attached documents please do not hesitate to contact me. For all other requests, please contact your Provider Regulation relationship team.

Regards,  
[REDACTED]

[REDACTED] Licensing Manager

[REDACTED] [www.GOV.UK/monitor](http://www.GOV.UK/monitor)  
Wellington House, 133-155 Waterloo Road, London, SE1 8UG

**Monitor is the sector regulator for health services in England.  
Our job is to protect and promote the interests of patients by ensuring that the whole sector works for their benefit.**

**[Follow us on Twitter](#) | [Watch us on YouTube](#) | [Follow us on LinkedIn](#) | [Latest career opportunities](#)**

---

This email is intended only for use by the named addressee. It may contain confidential and/or privileged information. If you are not the intended recipient, you should contact us immediately and should not disclose, use or rely on this email. We do not accept any liability arising from a third party taking action, or refraining from taking action, on the basis of information contained in this e-mail. Thank you.

---

30 January 2015

Mr Christopher Smallwood  
Chair  
St George's Healthcare Trust  
Blackshaw Road  
Tooting  
London  
SW17 0QT

Wellington House  
133-155 Waterloo Road  
London SE1 8UG

T: 020 3747 0000  
E: [enquiries@monitor.gov.uk](mailto:enquiries@monitor.gov.uk)  
W: [www.GOV.UK/monitor](http://www.GOV.UK/monitor)

Dear Christopher

## **Application for NHS foundation trust status**

I am pleased to inform you that Monitor has reviewed your trust's application for authorisation to become an NHS foundation trust, and is satisfied that it meets the relevant criteria.

Accordingly, I enclose your trust's Authorisation as an NHS foundation trust and your trust's constitution as well as your trust's NHS Provider Licence (the "Licence"). Please review the Licence carefully. For the avoidance of doubt, this letter does not form part of the Authorisation, or the Licence.

As a consequence of receiving your Authorisation, from (and including) 1 February 2015 your trust ceases to be an NHS trust and is an NHS foundation trust. The trust's NHS trust order made under section 25(1) of the National Health Service Act 2006 (the "2006 Act") is hereby revoked. Authorisation as an NHS foundation trust does not affect the continuity of the body or of its property or liabilities (including criminal liabilities).

NHS foundation trusts must be familiar and up-to-date with the obligations imposed under the 2006 Act, as amended by the Health and Social Care Act 2012, the Licence, and with Monitor guidance, manuals and published best practice. These documents are available on our website: [www.gov.uk/monitor](http://www.gov.uk/monitor).

Monitor may take action against an NHS foundation trust if the NHS foundation trust is in breach of its Licence conditions.

Finally, congratulations on achieving NHS foundation trust status. If we can assist you in respect of any of the matters addressed in this letter or in any other way, please do not hesitate to contact our offices.

Yours sincerely



David Bennett  
**Chief Executive**

cc: Mark Turner – Regional Director – London, Provider Regulation  
David Flory – Chief Executive, NHS Trust Development Authority