

# O-387-16

## ORDER under the Companies Act 2006

In the matter of application No. 1085

By Capita Plc

for a change of company name of registration

No. 09868875

### DECISION

The company name CAPITA SUPPLIES LTD has been registered since 12 November 2015.

By an application filed on 20 January 2016, Capita Plc applied for a change of name of this registration under the provisions of section 69(1) of the Companies Act 2006 (the Act).

A copy of the application was sent to the primary respondent's registered office on 12 February 2016, in accordance with rule 3(2) of the Company Names Adjudicator Rules 2008. The copy of the application was sent by Royal Mail special delivery. On the same date, the tribunal wrote to Mr Henry Griffin to inform him that the applicant had requested that he be joined to the proceedings. Although neither of these letters was returned to the tribunal, a subsequent review of the Royal Mail's "Track your item" website, indicates the letters were signed for by "EURO COM" at 10.45am on 15 February 2016. There is nothing to indicate that EURO COM has any connection with the primary respondent or Mr Griffin.

As no comments were received from Mr Griffin in relation to the applicant's request, on 21 April 2016, Mr Griffin was joined as a co-respondent; he was granted a period of 14 days to request a hearing in relation to this matter. On the same date, the primary respondent and applicant were advised that no defence had been received to the application and so the adjudicator may treat the application as not being opposed. The parties were granted a period of 14 days to request a hearing in relation to this matter; the applicant did not respond to that letter. On 28 April 2016, the letters sent to the respondents were returned to the tribunal by the Royal Mail marked, inter alia, "Need Full address". Having confirmed that the address to which the letters had been sent corresponded to the primary respondent's registered office/Mr Griffin's correspondence address, the letters were re-issued to the registered office/correspondence address on 27 May 2016 (by both Royal Mail special delivery and ordinary post) with a period expiring on 10 June 2016 allowed for response. On 7 June 2016, the letters sent by special delivery were returned to the tribunal by the Royal Mail marked "addressee unknown"; the letters sent by ordinary post were not returned to the tribunal.

Despite all of the tribunal's letters to the respondents being sent to the correct address, the primary respondent did not file a defence within the one month period specified by the adjudicator under rule 3(3). Rule 3(4) states:

"The primary respondent, before the end of that period, shall file a counter-statement on the appropriate form, otherwise the adjudicator may treat it as not opposing the application and may make an order under section 73(1)."

Under the provisions of this rule, the adjudicator may exercise discretion so as to treat the respondent as opposing the application. In this case I can see no reason to exercise such discretion and, therefore, decline to do so.

As the primary respondent has not responded to the allegations made, it is treated as not opposing the application. Therefore, in accordance with section 73(1) of the Act I make the following order:

- (a) CAPITA SUPPLIES LTD shall change its name **within one month** of the date of this order to one that is not an offending name<sup>i</sup>;
- (b) CAPITA SUPPLIES LTD and Mr Henry Griffin shall:
  - (i) take such steps as are within their power to make, or facilitate the making, of that change;
  - (ii) not cause or permit any steps to be taken calculated to result in another company being registered with a name that is an offending name.

In accordance with s.73(3) of the Act, this order may be enforced in the same way as an order of the High Court or, in Scotland, the Court of Session

In any event, if no such change is made within one month of the date of this order, I will determine a new company name as per section 73(4) of the Act and will give notice of that change under section 73(5) of the Act.

All respondents, including individual co-respondents, have a legal duty under Section 73(1)(b)(ii) of the Companies Act 2006 not to cause or permit any steps to be taken calculated to result in another company being registered with an offending name; this includes the current company. *Non-compliance may result in an action being brought for contempt of court and may result in a custodial sentence.*

Capita Plc having been successful is entitled to a contribution towards its costs. I order CAPITA SUPPLIES LTD and Mr Henry Griffin being jointly and severally liable, to pay Capita Plc costs on the following basis

Fee for application:	£400
Statement of case:	£400
<b>Total:</b>	<b>£800</b>

This sum is to be paid within seven days of the expiry of the appeal period or within seven days of the final determination of this case if any appeal against this decision is unsuccessful.

Any notice of appeal against this decision to order a change of name must be given within one month of the date of this order. Appeal is to the High Court in England, Wales and Northern Ireland and to the Court of Session in Scotland.

The company adjudicator must be advised if an appeal is lodged, so that implementation of the order is suspended.

Dated this 12th day of August 2016

Christopher Bowen  
Company Names Adjudicator

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<sup>i</sup>An “offending name” means a name that, by reason of its similarity to the name associated with the applicant in which he claims goodwill, would be likely— to be the subject of a direction under section 67 (power of Secretary of State to direct change of name), or to give rise to a further application under section 69.