

POLICE INNOVATION FUND 2016/17 – ASSESSMENT CRITERIA

**ASSESSMENT CRITERIA – IMPLEMENTATION-READY**

**Definition:** An implementation-ready bid seeks funding to bring a capability into service shortly after approval. The bid should clearly articulate the requirement and solution, demonstrate a good understanding of risks and justify the capability before committing costs to deliver it. The efficiencies are clear and well supported by evidence, and costings are based on robust estimates.

<b>CRITERION</b>	<b>0 Does not meet requirements of criterion</b>	<b>1 Meets some requirements of criterion</b>	<b>2-3 Meets / exceeds requirements of criterion</b>
<p><b>A. Improves outcomes for the public through innovation</b></p>	<ul style="list-style-type: none"> <li>• Bid does not set out an innovative approach and provides little/no evidence that proposed activity will contribute to a broader understanding of what works in delivering efficient and effective policing.</li> <li>• Bid only sets out minor adjustments to outcomes and replicates existing and well established ideas.</li> <li>• Insufficient evidence that proposed activity will contribute to efficiency, freeing up police time and/or improved outcomes for the public.</li> <li>• Expected impacts are primarily short term.</li> <li>• Proposal is already standard practice in other forces.</li> </ul>	<ul style="list-style-type: none"> <li>• Bid sets out some evidence of promoting activity that is new, innovative and will contribute to a broader understanding of what works in policing.</li> <li>• The bid shows evidence that it may lead to better outcomes or the variation of an existing idea.</li> <li>• Bid provides evidence that proposed activity will contribute to efficiency, freeing up police time, improved digital working and/or improved outcomes for the public.</li> <li>• Proposed activity is expected to have lasting long-term impact.</li> <li>• Proposal is being trialled in other forces.</li> </ul>	<ul style="list-style-type: none"> <li>• Bid outlines a genuine commitment to activity that drives innovation and will make a significant contribution to the evidence base on what works in policing.</li> <li>• The bid is an original concept that makes a fundamental change in outcomes that can be scaled up within the force and has the potential to be replicated by other forces.</li> <li>• Bid provides evidence that proposed activity will make a substantial contribution to efficiency, freeing up police time, improved digital working and/or improved outcomes for the public.</li> <li>• Bid provides good evidence that the proposed activity will have a lasting long-term impact.</li> <li>• Proposal is not in use in other forces.</li> </ul>
<p><b>B. Enhances collaboration and will have an impact at a bigger scale</b></p>	<ul style="list-style-type: none"> <li>• Bid sets out little evidence that proposed activity will enhance collaboration (either with other forces, emergency services, CJS agencies, wider local government or third sector organisations).</li> </ul>	<ul style="list-style-type: none"> <li>• Proposed activity will enhance collaboration with other forces, emergency services, CJS agencies, wider local government and/or third sector organisations.</li> <li>• Bid is signed off by all parties and/or involves a commitment to engage a</li> </ul>	<ul style="list-style-type: none"> <li>• Proposed activity will significantly enhance sustainable collaboration with other forces, emergency services, CJS agencies, wider local government and/or third sector organisations.</li> <li>• Bid is signed off by all parties and/or</li> </ul>

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		<p>private sector partner.</p> <ul style="list-style-type: none"> <li>• Bid sets out some evidence of transferability to other force areas.</li> </ul>	<p>involves a commitment to engage a private sector partner.</p> <ul style="list-style-type: none"> <li>• Proposed activity is highly likely to be applicable in other force areas, subject to outcome of evaluation.</li> </ul>
<b>C. Delivers efficiencies</b>	<ul style="list-style-type: none"> <li>• Plans are insufficiently clear and/or quantified</li> <li>• Benefits are not well identified, achievable, or represent an insufficient return on investment.</li> </ul>	<ul style="list-style-type: none"> <li>• Plans are broadly clear, with costs and savings quantified and profiled across financial years.</li> <li>• Benefits are clearly identified, realisable and represent a moderate return on investment.</li> <li>• Total initial investment expected to be recouped in medium to long-term.</li> </ul>	<ul style="list-style-type: none"> <li>• Bid sets out a clear and credible plan, with costs and savings fully quantified and profiled across financial years.</li> <li>• Benefits are clearly identified, realisable and represent a substantial return on investment.</li> <li>• Total initial investment expected to be recouped in short to medium-term</li> </ul>
<b>D. Will be delivered and sustained</b>	<ul style="list-style-type: none"> <li>• Bid provides insufficient evidence of a robust governance framework (i.e. bid lacks clear leadership, accountabilities, expenditure controls and progress measures).</li> <li>• Proposals for evaluation of the proposed activity are insufficiently detailed or credible. There is no academic partner.</li> <li>• No exit point identified beyond which activity will no longer require Innovation Fund money</li> </ul>	<ul style="list-style-type: none"> <li>• Bid sets out a broadly robust governance framework that includes clear leadership, accountabilities, expenditure controls and progress measures.</li> <li>• Bid provides clear details of all proposed funding sources.</li> <li>• Bid includes a credible plan for local evaluation of proposed activity with evidence of an academic partner.</li> <li>• Clear exit point identified beyond which Innovation Fund money will no longer be required.</li> </ul>	<ul style="list-style-type: none"> <li>• Bid sets out a robust and transparent governance framework that includes clear leadership, accountabilities, expenditure controls and public-facing reporting on progress.</li> <li>• Bid provides clear details of all proposed funding sources.</li> <li>• Evaluation plans are detailed and include information on the measures which will be used to assess the impact. Bid includes proposals to engage external experts in the evaluation process. The evaluation incorporates academic research or is University-led.</li> <li>• Clear exit point identified beyond which Innovation Fund money will no longer be required.</li> </ul>

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<p><b>E. PCC / Partner co-investment</b></p>	<ul style="list-style-type: none"> <li>• Bid sets out limited (less than <b>40%</b> of overall cost) financial co-investment by PCC(s) and/or local partners in each of the financial years for which funding is being requested, meaning that risk of failure falls substantially on the Home Office.</li> </ul>	<ul style="list-style-type: none"> <li>• Bid sets out a degree (<b>40-50%</b> of overall cost) of financial co-investment by the PCC(s) and/or local partners in each of the financial years for which funding is being requested, providing some mitigation to the risk of failure falling on the Home Office.</li> </ul>	<ul style="list-style-type: none"> <li>• Bid sets out a significant degree (more than <b>50%</b> of overall cost) of financial co-investment by the PCC(s) and/or local partners in each of the financial years for which funding is being requested, meaning the risk of failure is broadly shared at local and national levels.</li> </ul>
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**ASSESSMENT CRITERIA – PROOF-OF-CONCEPT**

**Definition:** A proof-of-concept bid seeks funding to undertake an assessment of options which can deliver a capability requirement. This includes an assessment of the risks and benefits of each option. If successful, these bids will seek to prove the concept, not to also bring it in to service - but should demonstrate how it would be brought into service once the proof-of-concept has been proved. Bids seeking to bring a capability straight in to service would be defined as implementation-ready. The proof-of-concept bid seeks funding to develop a partially formed idea into a fully formed idea. The assessment criteria therefore recognise that the bid for funding will be based on less mature data than the implementation-ready, particularly on costs and benefits. However, the proof-of-concept bids will be expected to have set out the potential costs and benefits of implementation. The bid must also demonstrate that the proof-of-concept phase itself can be achieved.

CRITERION	0 Does not meet requirements of criterion	1 Meets some requirements of criterion	2-3 Meets / exceeds requirements of criterion
<p><b>A. Potential to improve outcomes for the public through innovation</b></p>	<ul style="list-style-type: none"> <li>• Bid does not propose an innovative approach provides little/no evidence that the proposal could, if implemented, contribute to a broader understanding of what works in delivering efficient and effective policing.</li> <li>• Bid only proposes minor adjustments to outcomes and, if proved, would only replicate existing and well established ideas.</li> <li>• Based on the best available data, there is insufficient evidence that proposed activity could contribute to efficiency, freeing up police time and/or improved outcomes for the public.</li> <li>• If proved and implemented, expected impacts are primarily short-term.</li> </ul>	<ul style="list-style-type: none"> <li>• Bid sets out some evidence of promoting activity that is new, innovative and, if implemented, could contribute to a broader understanding of what works in policing.</li> <li>• The bid shows evidence that, if proved, may lead to better outcomes or the variation of an existing idea.</li> <li>• Based on the best available data, the bid provides evidence that proposed activity could contribute to efficiency, freeing up police time, improved digital working and/or improved outcomes for the public.</li> <li>• If proved and implemented, proposed activity could have lasting long-term impact.</li> </ul>	<ul style="list-style-type: none"> <li>• Bid outlines a genuine commitment to a proposal that, if implemented, could make a significant contribution to the evidence base on what works in policing.</li> <li>• The bid is a genuinely original concept that could make a fundamental change in outcomes and, if proved, be scaled up within the force and be replicated by other forces.</li> <li>• Based on the best available data, the bid provides evidence that proposed activity could, if proved and implemented, make a substantial contribution to efficiency, freeing up police time, improved digital working and/or improved outcomes for the public.</li> <li>• Bid provides good evidence that the proposed activity could, if implemented, have a lasting long-term impact.</li> </ul>

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<p><b>B. Potential to enhance collaboration and have an impact at a bigger scale</b></p>	<ul style="list-style-type: none"> <li>• Bid sets out little evidence that proposed activity could, if implemented, enhance collaboration (either with other forces, emergency services, CJS agencies, wider local government or third sector organisations).</li> </ul>	<ul style="list-style-type: none"> <li>• Proposed activity could, if implemented, enhance collaboration with other forces, emergency services, CJS agencies, wider local government and/or third sector organisations.</li> <li>• Bid is signed off by all parties and/or involves a commitment to engage a private sector partner to prove the concept.</li> <li>• Bid sets out some evidence of how the concept, if proved, could be transferred to other force areas.</li> </ul>	<ul style="list-style-type: none"> <li>• Proposed activity could, if implemented, significantly enhance sustainable collaboration with other forces, emergency services, CJS agencies, wider local government and/or third sector organisations.</li> <li>• Bid is signed off by all parties and/or involves a commitment to engage a private sector partner to prove the concept.</li> <li>• If the concept is proved, the proposed activity is highly likely to be applicable in other force areas, subject to outcome of evaluation.</li> </ul>
<p><b>C. Potential to deliver efficiencies</b></p>	<ul style="list-style-type: none"> <li>• The bid has not used the best available data to quantify Rough Order of Magnitude (ROM) costs and savings.</li> <li>• Indicative benefits are not well identified, achievable or represent an insufficient return on investment should the proof-of-concept go on to be implemented.</li> </ul>	<ul style="list-style-type: none"> <li>• Based on the best available data, the bid sets out quantified Rough Order of Magnitude (ROM) costs and savings (with a medium level of confidence) profiled across financial years to prove the concept and then to implement it</li> <li>• Indicative benefits of implementing the proved concept are clearly identified, realisable and represent a moderate return on total investment (proof-of-concept and implementation investment).</li> <li>• Total proof-of-concept investment is less than 25% of the estimated total projects costs (i.e. including implementation costs).</li> <li>• If implemented, total initial investment is expected to be recouped in medium to long-term.</li> </ul>	<ul style="list-style-type: none"> <li>• Based on the best available data, the bids sets out quantified Rough Order of Magnitude (ROM) costs and savings (with a high level of confidence) profiled across financial years to prove the concept and then to implement it</li> <li>• Indicative benefits of implementing the concept when proved are clearly identified, realisable and represent a substantial return on total investment (proof-of-concept and implementation investment).</li> <li>• Total proof-of-concept investment is less that 15% of the estimated total project costs (i.e. including implementation costs).</li> <li>• If implemented, total initial investment expected to be recouped in short to medium-term.</li> </ul>

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<p><b>D. Will be delivered and sustained</b></p>	<ul style="list-style-type: none"> <li>Plans to assess and prove the concept are insufficiently clear.</li> <li>Bid provides insufficient evidence of a robust governance framework (i.e. bid lacks clear leadership, accountabilities, expenditure controls and progress measures) to oversee the proving of the concept.</li> <li>Proposals for evaluation of the proof-of-concept are insufficiently detailed or credible. There is no academic partner.</li> <li>No exit point identified beyond which activity will no longer require Innovation Fund money.</li> </ul>	<ul style="list-style-type: none"> <li>Plans to assess and prove the concept are clear, but look unrealistic.</li> <li>Bid sets out a broadly robust governance framework that includes clear leadership, accountabilities, expenditure controls and progress measures to oversee the proving of the concept.</li> <li>Bid provides clear details of all proposed funding sources.</li> <li>Bid includes a credible plan for local evaluation of the proof-of-concept with evidence of an academic partner.</li> <li>Clear exit point identified beyond which Innovation Fund money will no longer be required.</li> </ul>	<ul style="list-style-type: none"> <li>Plans to assess and prove the concept are clear and realistic.</li> <li>Bid sets out a robust and transparent governance framework that includes clear leadership, accountabilities, expenditure controls and public-facing reporting on progress to oversee the proving of the concept.</li> <li>Bid provides clear details of all proposed funding sources.</li> <li>Evaluation plans are detailed and include information on the measures which will be used to assess the success of the proof-of-concept. Bid includes proposals to engage external experts in the evaluation process. The evaluation incorporates academic research or is University-led.</li> <li>Clear exit point identified beyond which Innovation Fund money will no longer be required.</li> </ul>
<p><b>E. PCC / Partner co-investment</b></p>	<ul style="list-style-type: none"> <li>Bid sets out limited (less than <b>40%</b> of proof-of-concept cost) financial co-investment by PCC(s) and/or local partners in each of the financial years for which funding is being requested, meaning that risk of failure falls substantially on the Home Office</li> </ul>	<ul style="list-style-type: none"> <li>Bid sets out a degree (<b>40-50%</b> of proof-of-concept cost) of financial co-investment by the PCC(s) and/or local partners in each of the financial years for which funding is being requested, providing some mitigation to the risk of failure falling on the Home Office.</li> </ul>	<ul style="list-style-type: none"> <li>Bid sets out a significant degree (more than <b>50%</b> of proof-of-concept) of financial co-investment by the PCC(s) and/or local partners in each of the financial years for which funding is being requested, meaning the risk of failure is broadly shared at local and national levels.</li> </ul>