

To: The Board

For meeting on: 25 March 2015

Agenda item: 4

Report by: Miranda Carter, Executive Director of Provider Appraisal

Report on: Provider Appraisal Update

Introduction:

1. The Provider Appraisal directorate currently has five active assessments, three ongoing transaction reviews and one quality governance review due to complete this month.
2. We expect to publish the updated Well Led Framework later this month and we are about to commence a transactions lessons learned review and aim to publish the results of this work in early summer.
3. Work is ongoing on the Cambridgeshire and Peterborough FT transaction, we have completed the first phase high level review and we plan to hold a meeting with the trust and the CCG next week to discuss key issues.

A. Developments

Well Led Update

4. The updated to the Well Led framework is now complete and the Policy team is going through the final processes to prepare it for publication later this month. It is also planned publish an updated joint statement to the sector with the NHS TDA and Care Quality Commission (CQC) describing the updated framework and how it will be applied in assessment.

Transactions Lessons learned

5. Cass Business School have been commissioned to undertake the lessons learned review in respect of recent transactions, and are expected to begin work this month. A kick off meeting with Cass Business School is scheduled for later in March 2015.

B. Update on assessments

6. A full list of the active assessments is provided in Annex.

Active assessments (exception reporting)

7. The assessment of Royal Liverpool and Broadgreen University Hospitals NHS Trust, previously paused after phase 1 in April 2014 pending CQC inspection, has been re-activated this month.

Surrey and Sussex Healthcare NHS Trust

8. A two week piece of work to identify any key authorisation issues at Surrey and Sussex Healthcare NHS Trust on behalf of the Provider Regulation directorate has been satisfactorily completed.

Quality governance reviews in the NHS TDA phase

9. A review of South West London and St George's Mental Health NHS Trust is expected to complete by the end of this month.

Assessment Pipeline

10. An overview of the assessment pipeline is provided in Annex 2.

C. Update on transactions

Active projects

11. Work has begun on the full business cases of:

- South Devon Healthcare NHSFT's proposed acquisition of Torbay and Southern Devon Health and Care NHS Trust; and
- Chelsea and Westminster Hospital NHS Foundation Trust's proposed acquisition of West Middlesex Hospital NHS Trust.

Cambridgeshire Joint Venture

12. A review of Cambridgeshire and Peterborough NHS Foundation Trust's (CPFT)'s significant transaction is underway which involves entering into a joint venture with Cambridge University Hospital NHS Foundation Trust to deliver integrated care to older people across Cambridgeshire and Peterborough and encompasses the transfer of an older persons community contract to CPFT. A meeting between the trust and Monitor executives will be scheduled within the next week to discuss key issues to date.

Miranda Carter,
Executive Director of Provider Appraisal

Making a difference for patients:

Monitor's mission is to make the health sector work better for patients. This report provides an update on the Provider Appraisal team. The key focus of this team is to assess if providers are well led and can therefore deliver sustainable high quality services to patients.

Public Sector Equality Duty:

Monitor has a duty under the Equality Act 2010 to have due regard to the need to eliminate unlawful discrimination, advance equality of opportunity and foster good relations between people from different groups. In drafting this report consideration has been given to the impact that the issues dealt with might have on these requirements and on the nine protected groups identified by this Act. It is anticipated that the issues dealt with in this paper are not likely to have any particular impact upon the requirements of or the protected groups identified by the Act.

This report considers the activity of the Provider Appraisal team, whose key focus is to authorise NHS Trusts as Foundation Trusts. The assessment process considers how representative of the population that it serves the membership of an applicant NHS FT actually is, and therefore has had regard to the duties required by the Equality Act. It is not considered that there are any decisions within this paper which would impact on protected groups identified by the Act.

Exempt information:

Some of the information in this report (confidential annex) is exempt from publication under the Freedom of Information Act 2000.

The information is exempt as it falls under section 36 of the Freedom of Information Act 2000. Disclosure of that information would, or would be likely to, inhibit the free and frank provision of advice, or exchange of views for the purposes of deliberation. In applying these exemptions, we have considered the public interest test; disclosure of this information will not enhance the accountability or transparency of Monitor as its disclosure would inhibit the free frank disclosure of information.

Annex 1: Current status of NHS trusts with Monitor for assessment

Active assessments					
Trust name	Status detail	Start	Exec meeting	Target Board to Board	Decision
Birmingham Community Healthcare	Reactivated (postponed)	Oct 2014	n/a	24 Feb 15	Mar 15
Bradford District Care	Referral	Dec 2014	n/a	Mar 15	Apr 15
Dudley and Walsall Mental Health Partnership	Reactivated (deferral)	Feb 15	n/a	28 Apr 15	May 15
Royal Liverpool & Broadgreen University Hospitals	Reactivated (paused)	Mar 15	n/a	[June 2015]	[July 15]
<i>Paused in short-term</i>					
Oxford University Hospitals	Referral	Nov 2014	12 Jan 15	late June 2015	Aug 15

Annex 2: Pipeline information

(i) Monitor pipeline

	At 12 Feb 2015	Pipeline progress				At 12 Mar 2015
Total Foundation Trusts (FTs)	149	2	-	-	-	151
Monitor pipeline						
Active Assessments - decisions due this month	2	(2)	1			1
Active Assessments - in progress	4		(1)	1	(1)	3
Assessments paused in the short-term	-				1	1
Assessments paused post phase 1	4			(1)		3
Deferrals & postponements	2					2
Total Monitor pipeline	12	(2)	-	-	-	10
NHS TDA pipeline	80	-	-	-	-	80
TOTAL NUMBER OF TRUSTS	241	-	-	-	-	241

(ii) Pipeline analysis

	Acute	Mental Health	Community	Ambulance	Total
Foundation Trusts	101	42	3	5	151
Monitor pipeline	2	4	3	1	10
With TDA	53	10	13	4	80
Total trusts	157	56	19	10	241

(iii) QG reviews in TDA phase

Ongoing	Timing
South West London and St George's Mental Health	Jan – Mar 2015
Completed	
Worcestershire Health and Care NHS Trust	Oct - Dec 2014
Oxford University Hospitals NHS Trust	Aug - Oct 2014
Wirral Community NHS Trust	July - Sept 2014
Liverpool Community Health (PILOT)	Jan - June 2014
Bradford District Care (PILOT)	Jan - April 2014