

Tribunals and Gender Recognition Certificate Statistics Quarterly

July to September 2015

Including the annual Special Educational Needs and Disability (SEND) Tribunals 2014-15

Ministry of Justice Statistics bulletin

10 December 2015

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Introduction

Tribunals are specialist judicial bodies which decide disputes in particular areas of law. Appeals to tribunals are generally against a decision made by a Government department or agency. The main exception to this is the Employment Tribunal where cases are on a party v party basis (specifically, employee versus employer). There are tribunals in England, Wales, Scotland and Northern Ireland covering a wide range of areas affecting day-to-day life. Her Majesty's Courts & Tribunals Service (HMCTS) administers many of them although some are the responsibility of the devolved governments in Scotland, Wales and Northern Ireland.

This report focuses on information on receipts (e.g. the acceptance of a case by HMCTS), the outcome of cases by category (e.g. cases disposed of at hearing) and the caseload outstanding for the three largest tribunals (Employment, Immigration and Asylum and Social Security and Child Support). These three largest tribunals accounted for over 70% of tribunal receipts in 2014/15. Statistics relating to Gender Recognition Certificates, which were previously in a separate publication, are now provided in this report. Annex C provides monthly and regional breakdowns of receipts for Employment Tribunals. Annex D provides experimental statistics on Employment Tribunal Fees.

This edition includes Special Educational Needs and Disability Tribunals statistics for the academic year 2014-15.

Excel tables that accompany this report contain details of the smaller volume tribunals which are not covered in the text presented here. Please note that this publication does not include data on tribunals not covered by HMCTS. The accompanying CSV files contain the same data as in the Excel tables, but in a machine readable format. This allows users to conduct their own analysis, and is part of the Ministry of Justice's commitment to open data.

Further information on Civil and Administrative Justice statistics, including information on the data sources and terminology used in this report, can be found in the **Guide to Civil and Administrative Justice Statistics**. www.gov.uk/government/statistics/guide-to-civil-and-administrative-justice-statistics

The next issue of Tribunal and Gender Recognition Certificate Statistics Quarterly is scheduled to be published on 10 March 2016, covering the period October to December 2015.

Users of the statistics

The main users of these statistics are Ministers and officials in central government responsible for developing policy with regards to tribunals. Other users include lawyers and academics, other central government departments such as the Department for Education, the Department for Business, Innovation and Skills (BIS), the Home Office and the Department for Work and Pensions (DWP), and non-governmental bodies, including various voluntary organisations, with an interest in administrative justice.

Key Findings

This report presents the latest statistics on type and volume of tribunal cases that are received, disposed of or outstanding as of the second quarter of the financial year 2015/16 (July to September 2015). There is also a chapter presenting the latest trends in Gender Recognition Certificates awarded and a chapter providing annual statistics for the Special Educational Needs and Disability Tribunal.

Receipts and disposals

In July to September 2015, HMCTS tribunals recorded a 21% increase in receipts (to 102,000) and a 5% decrease in disposals (to 93,000) compared to July to September 2014. The increase in receipts is driven by the number of SSCS appeals which was up 43% on the same period last year and a 70% increase in Employment Tribunal claims. The large increase in Employment Tribunal claims is due to the receipt of two large multiple claims cases which total 9,508 claims.

Caseload outstanding

For all tribunals combined, the caseload outstanding at the end of September 2015 was 371,000, down 39% on last year, driven by the reduction in number of receipts overall in 2013/14, the large number of Employment Tribunal multiple claims disposals in the financial year 2014/15 and a review of outstanding Employment Tribunal cases which closed around 10% of existing single cases from the outstanding caseload.

Timeliness

In July to September 2015, the average age of an SSCS Tribunal appeal at disposal was 19 weeks, which is down 9 weeks on the same period in the previous year.

For First-tier Tribunal Immigration and Asylum Chamber cases, the average clearance time for cases disposed of in July to September 2015 was 33 weeks, 3 weeks longer than last year.

For Employment Tribunals, the average clearance time of a single claim was 31 weeks, 8 weeks less than in the same period last year. The average clearance time for multiple claim cases was 143 weeks in July to September 2015, down from 215 weeks in the previous year.

Gender Recognition Panel

In July to September 2015, 99 applications were received by the Gender Recognition Panel. The number of applications in this quarter is being compared to the number of applications prior to the introduction of the Marriage and Civil Partnerships (Scotland) Act 2014, which coincided with an increase in the number of applications for GRCs overall. Of the 83 disposals, 76 (92%) were granted full Gender Recognition Certificates.

Special Educational Needs and Disability Tribunals

In the academic year 2014-15, HMCTS tribunals recorded 3,100 registered Special Education Needs (SEN) appeals, down 23% on last year. There were 3,300 recorded outcomes for SEN appeals; 24% of all outcomes were decided by tribunal, of which 86% were in favour of the appellant.

There were 115 appeals registered in relation to Disability Discrimination in the academic year 2014-15. SEND tribunals disposed of 114 claims in the same year, 59% of which were decided.

Employment Tribunal Fees

In July to September 2015, there were 5,200 Employment Tribunal issue fees requested. Of these, 3,500 (67%) cases had the full issue fee paid outright whilst 1,200 (23%) cases were awarded either a full or partial issue fee remission. For the remaining 500 (10%) cases, it appears that the claim was not taken further.

1. Receipts

HMCTS Tribunals recorded 101,569 receipts in July to September 2015, which is up 21% when compared with the same period of 2014. Social Security and Child Support (SSCS) accounts for 35% of these receipts, a further 23% were in Employment Tribunals (ET) and 19% were in the First-tier Tribunal Immigration and Asylum Chamber (FTTIAC).

Figure 1 shows trends in both receipts and disposals since Q1 2009/10. Typically the number of receipts has been greater than the number of disposals. However, between Q2 2013/14 and Q3 2014/15, HMCTS has disposed of more tribunal claims than it received. Note that these figures are receipts and disposals in the quarter being reported, and receipts in one period are likely to be dealt with in subsequent periods.

400 350 Number of receipts and disposals 300 250 (thousands) 200 150 100 50 0 Q1 | Q2 | Q3 | Q4 | Q1 | Q3 | Q4 | Q1 | Q2 | Q3 | Q4 | Q1 | Q2 | Q3 | Q4 | Q1 | Q2 | Q3 | Q4 | Q1 | Q3 | Q 2013/14 2015/16 2009/10 2010/11 2011/12 2012/13 2014/15 Receipts — Disposals

Figure 1: Receipts and disposals for all tribunals, 2009/10 to Q2 2015/16¹

What has driven this?

Figure 2 illustrates the receipts by the largest tribunals. As SSCS is the largest tribunal, this drove the overall trend up to Q1 2014/15, when the number of SSCS appeals fell to its lowest. This fall in SSCS appeals was due to a number of factors, one of which may be the Government's welfare reforms, including the introduction of mandatory reconsideration of

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¹ The peak in Q3 2014/15 is due to 243,000 Employment Tribunal multiple disposals relating to one case.

an initial decision made by the Department for Work and Pensions (DWP) before an appeal can be lodged with the Tribunal. After this point, the overall trend has followed a gradual increase that can be seen across all three tribunals.

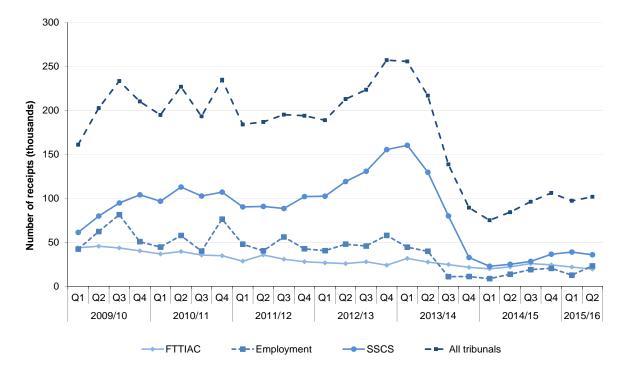


Figure 2: Tribunal receipts by jurisdiction, 2009/10 to Q2 2015/16

Employment Tribunals (Table 1.2)

Claims are counted as received in the Employment Tribunal once the relevant issue fee has been paid or remitted, and the tribunal have accepted the claim as valid. Table D1 in Annex D shows the total number of cases (see below for definitions) where a fee request was issued to the claimant, and tracks through the fee activity that occurs subsequently. In most cases the fee is paid or remitted, but not all. Those that do not pay the fee, or balance of it, will not be passed to the Employment Tribunal and will not appear in the cases received total. Those that do pay, or are remitted, are then vetted by the Employment Tribunal to ensure they have a valid claim, if they do not the claim will be rejected. Those that do have a valid claim are accepted and acknowledged by the Employment Tribunal and show in Table 1.2 as receipts on the date they are accepted. Cases received in Table 1.2 will, therefore, not correspond to Table D1.

Claims in employment tribunals can be classified into either single² or multiple³ claims. Single claims are made by a sole employee/worker,

² A claim may be brought under more than one jurisdiction or subsequently amended or clarified in

the course of proceedings, but will be counted as a claim only once.

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relating to alleged breaches of employment rights. Multiple claims are where two or more people bring proceedings arising out of the same facts, usually against a common employer. Both single and multiple claims can involve one or more jurisdictional complaints. Where claims are grouped as multiples, they are processed administratively and managed judicially together. We call these groups of claims 'multiple claims cases'.

A claim (either single or multiple) can be brought under one or more of different jurisdictions, for example under Age Discrimination or Equal Pay. Therefore the number of jurisdictional complaints is always greater than the total tribunal claims accepted. On average in July to September 2015, there were 2.4 jurisdictional complaints per claim accepted.

The number of single claims received in July to September 2015 was 4,345 – an increase of 4% on the same period of 2014. The trend in single claims had been gradually declining since 2009/10 up until Q2 2013/14, and then saw a sharp drop in Q3 2013/14 following the introduction of Employment Tribunal fees in July 2013 (further information on this can be found in Policy Changes section, page 41). There was a small increase in single claims in Q4 2013/14 which was then followed by a historically low number of claims in Q1 2014/15 when ACAS introduced Early Conciliation.

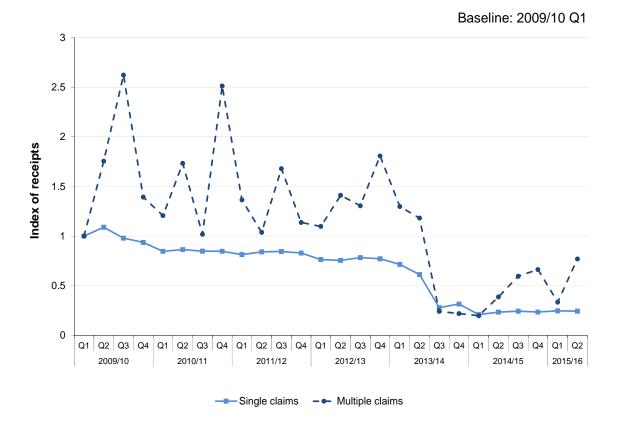
The number of multiple claims received in July to September 2015 was 18,727, almost double the amount received the same quarter last year. However, the claims related to 247 multiple claim cases, down 42% (133) over the same time period. The increase seen in multiple claims is due to two large multiple cases received in the South West in July to September 2015, totalling 9,508 claims for both Age Discrimination and Equal Pay.

The trend in multiple claims is more volatile than single claims due to large numbers of claims against a single employer which can skew the national figures. This was particularly acute prior to October 2013 when a small number of multiple cases against the airline industry had to be resubmitted each quarter. This is illustrated in Figure 3 below. As the number of single and multiple claims are on such different scales, they have been indexed with a baseline of Q1 2009/10 = 1.

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³ Multiple cases are where two or more people bring claims, involving one or more jurisdiction(s) usually against a single employer but not necessarily so, and always arising out of the same or very similar circumstances. As a multiple, the cases are processed together.

Figure 3: Index of Employment Tribunals multiple and single claim receipts⁴, 2009/10 to Q2 2015/16



Immigration and Asylum (Tables 1.3a and 1.3b)

The First-tier Tribunal (Immigration and Asylum Chamber) is an independent Tribunal which deals with appeals against decisions made by the Home Office in immigration, asylum and nationality matters. The Upper Tribunal (Immigration and Asylum Chamber) is a superior court of record dealing with appeals against decisions made by the First-tier Tribunal (Immigration and Asylum Chamber).

In the period July to September 2015, there were 19,422 First-tier Tribunal Immigration and Asylum Chamber (FTTIAC) receipts; a decrease of 11% when compared with the same period in 2014. In the Upper Tribunal Immigration and Asylum Chamber (UTIAC) there were 2,576 receipts, up 30% (587 receipts) when compared with the same period in 2014.

Immigration Act 2014

The Immigration Act 2014 removed a number of existing appeal rights against Home Office decisions. Refused applicants can now only appeal by first asserting a fundamental right to enter or remain in the UK. These

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⁴ The index compares the number of receipts with the baseline quarter. It shows the trend in single and multiple receipts but does not enable comparisons of the *numbers* of each.

rights are Protection, Removal of Refugee Status, Human Rights, or European Free Movement. Where appeal rights were removed and the applicant asserts the Home Office has made an error in its decision, there is now a right to an Administrative Review by the Home Office. Deprivation of Citizenship and Deportation were not affected by the implementation of the Act as the appeal right is given by European law.

The removal of appeal rights under the Immigration Act has been phased. In October 2014 in country points based applications from Tier 4 Students and their dependents plus non-European Foreign National Offenders had their appeal rights removed, followed by the remaining in country points based decisions in March 2015. The remaining decisions fell under the Immigration Act from April 2015.

There are now additional Home Office stages to go through before an appeal right can be exercised and the Immigration Act was commenced for the majority of Home Office decisions after 6 April 2015. In July to September 2015, a total of 8,178 cases were registered under the new post Act categories in the FTTIAC, 5,948 of which were Human Rights appeals. A total of 166 cases were registered under the post Act categories in the UTIAC in July to September 2015.

Due to the phasing in of the new appeal rights under the Immigration Act 2014, there has been an overall decline in receipts recorded under Asylum, Managed Migration, Entry Clearance and Family Visit Visa.

Managed Migration appeals are generated by people already in the UK who have been refused permission to extend their stay. In the FTTIAC, this type of case accounted for 40% of all receipts in July to September 2015 and was down 30% on the same period in the previous year. Managed Migration accounted for 53% of all appeals in the UTIAC and saw a 24% increase compared to the same period in the previous year, to 1,375 receipts.

Following changes made under the Immigration Act 2014, Asylum appeals in the FTTIAC and UTIAC are now recorded under the Protection and Revocation of Protection categories. In July to September 2015, there were a total of 2,866 Asylum appeals received in the FTTIAC (down 9% last year), 1,009 Protection and 52 Revocation of Protection appeals received. For the UTIAC, the number of Asylum appeals more than doubled, increasing by 128% (to 747 appeals) over the same period and there were 20 Protection and 2 Revocation of Protection appeals received.

In this quarter, the number of Entry Clearance appeals in the FTTIAC was 484, which is down 89% on July to September 2014. In the UTIAC, the number of Entry Clearance appeals was 239, a decrease of 22% when compared to the same period last year. Family Visit Visas decreased by 98% in the FTTIAC and was also down by 52% to 49 in the UTIAC.

On 1 November 2013, 3,230 Immigration and Asylum Judicial Reviews (JRs) transferred from the Administrative Court to the Upper Tribunal in a bulk transfer. However since then, the transferred JRs are re-registered by the importing office (because the Upper Tribunal and Administrative Court have separate case management systems), so a case may show up in both sets of figures if it started the process in one and was concluded in the other. The table below shows the impact for 2013 and 2014 annual data – note that this includes all JRs, Civil (Immigration and Asylum), Civil (other) and Criminal.

Table 1: Total number of JRs across all courts

	Total JRs (Admin court and UTIAC)	Of which Admin court JRs transferred to UTIAC	Of which UTIAC JRs transferred to Admin Court	Total JRs removing transfers
2013	18,797	3,755	13	15,029
2014	20,063	105	336	19,622

Source: Administrative Court data

In the most recent quarter there were 3,746 Immigration and Asylum JR receipts at the UTIAC. The number of Immigration and Asylum JR cases that are dealt with by the Administrative court, can be found in the Civil Justice Statistics Quarterly publication⁵.

Social Security and Child Support (Table 1.4)

Following the staged introduction of mandatory reconsideration from April 2013, the number of appeals declined in 2013/14, reaching their lowest in April to June 2014. Since then, the number of appeals have been gradually increasing. In July to September 2015, 35,682 appeals against decisions were received, which is an increase of 43% when compared with the same period in 2014.

Personal Independence Payment (PIP) was introduced in April 2013 and is gradually replacing⁶ Disability Living Allowance for people aged between 16 and 64 who need assistance with personal care or mobility as a result of a physical or mental disability. PIP appeals now account for 38% of all SSCS appeal receipts and the number of appeals has been steadily increasing - from 2,145 in July to September 2014 to 13,568 in the most recent quarter.

Employment Support Allowance accounted for 36% of the total SSCS Tribunal receipts in July to September 2015 and saw a 26% increase from 10,357 in the same period in 2014 to 13,020 in the current quarter.

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⁵ www.gov.uk/government/collections/civil-justice-statistics-quarterly

⁶ Personal Independent Payment Official Statistics from DWP show an increase in registrations and clearances: www.gov.uk/government/statistics/personal-independence-payment-april-2013-to-july-2015

Housing/Council Tax benefit accounted for 6% of SSCS appeals in July to September 2015, which has decreased by 26% to 2,195 when compared to the same period in 2014.

Job Seekers Allowance accounted for 4% of SSCS appeals in July to September 2015 and was almost equal to the same period in 2014 (down by 15 appeals).

2. Disposals

A disposal is the closure of a case when work is complete. This can be through a claim being withdrawn, settled, dismissed, transferred or being decided at a hearing (either orally or on paper).

There were a total of 93,062 cases or claims disposed of in July to September 2015, representing a decrease of 5% on the same period in 2014.

What has driven this?

Figure 4 shows disposals by the main tribunals. Social Security and Child Support (SSCS) Tribunal disposals in July to September 2015 were up 7% on July to September 2014 and accounted for 42% of the total disposals in this quarter.

400 350 Number of disposals (thousands) 250 200 150 100 50 0 Q1 Q2 Q3 Q4 Q1 Q2 2009/10 2010/11 2011/12 2012/13 2013/14 2014/15 2015/16 FTTIAC ---- Employment → SSCS - - All tribunals

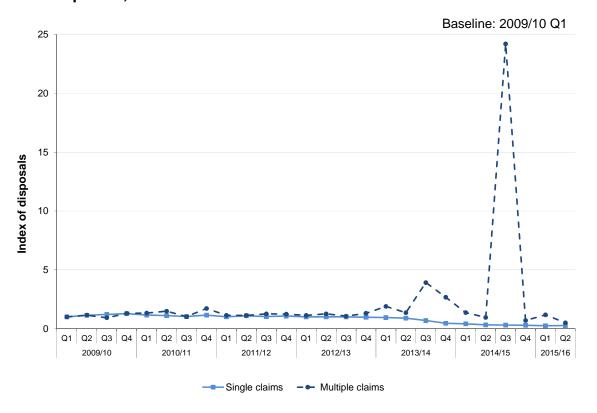
Figure 4: Disposals by Tribunal, 2009/10 to Q2 2015/16

Employment Tribunal (Tables 2.1, 2.2 and 2.3)

The Employment Tribunal disposed of 9,157 claims during July to September 2015, a decrease of 39% on the same period in 2014. This is the lowest number of quarterly disposals since this statistical series began in 2009/10 and is partly due to fewer tribunal sessions. Multiple claims accounted for 59% of Employment Tribunals disposals, and are down 48% on July to September 2014. Single claims accounted for 41% of all disposals, and are down 18% on the same period last year.

The disposal rates for multiple claims tend to be more volatile, and can be affected by disposals of a few large cases. The peak in Figure 5 for multiple claims in Q3 2014/15 is due to the disposal of a large resubmitted Airline multiple claims case in that quarter. The 5,384 multiple claims disposed of in July to September 2015 related to 239 multiple claim cases, giving an average of 22.5 claims per multiple claims case.

Figure 5: Index of Employment Tribunal single and multiple disposals, 2009/10 to Q2 2015/16



In July to September 2015, 19,931 jurisdictional complaints were disposed of which is 38% less than same period of the previous year. On average, 2.2 jurisdictions were disposed of per claim.

Of the jurisdictional complaints that were disposed of in July to September 2015, 18% were for Unauthorised Deductions (formerly the Wages Act), 15% were for Equal Pay and 14% were for Unfair Dismissal.

The largest changes in jurisdictional complaints in comparison to the same period last year were seen in Equal Pay disposals, which was down 59% from 7,099 to 2,924 and Part Time Workers Regulations, which was down 78% from 147 to 32.

The largest proportion of outcomes were 'ACAS Conciliated Settlements', accounting for 27% of disposed claims, 22% of cases were Withdrawn and 17% of cases were Dismissed Upon Withdrawal.

Immigration and Asylum (Tables 2.4a, 2.4b, 2.5a and 2.5b)

The First-tier Tribunal Immigration and Asylum Chamber (FTTIAC) disposed of 20,605 appeals, down 13% on July to September 2014.

The Upper Tribunal Immigration and Asylum Chamber (UTIAC) disposed of 1,867 appeals in July to September 2015, a decrease of 25% on the same quarter last year.

Managed Migration accounted for just over half (52%) of the First-tier disposals in July to September 2015, with Entry Clearance Officer and Asylum appeals accounting for 20% and 17% respectively. Of the 20,605 disposals, 75% were determined i.e. a decision was made by a judge at a hearing or on the papers; 13% were withdrawn; 9% were invalid or out of time, and 3% were struck out. Rules to allow cases to be struck out were introduced following the introduction of fee charging.

Managed Migration accounted for 58% of the Upper Tribunal disposals in July to September 2015, with Asylum and Entry Clearance Officer appeals accounting for 21% and 13% respectively. Of the 1,867 disposals, 76% were determined i.e. a decision to allow or dismiss the appeal was made by a judge at a hearing; 5% were withdrawn and 19% were remitted to the First-tier Tribunal.

Of the 15,499 cases that were determined at hearing or on paper (Table 2.5) in the First-tier Tribunal in July to September 2015, 60% were dismissed and 40% allowed. For the Upper Tribunal, of the 1,424 cases determined during the same period, 69% were dismissed and 31% allowed.

There were 5,433 Immigration and Asylum Judicial Reviews disposed of in July to September 2015, of which 73% were determined and 3% were transferred to the Administrative Court.

Social Security and Child Support (Tables 2.6 and 2.7)

The Social Security and Child Support (SSCS) disposed of 38,904 cases in July to September 2015, which is an increase of 7% on the same period in the previous year.

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⁷ Appeal closed administratively where the fee has not been paid, remitted or exempted.

Personal Independence Payment disposals accounted for 39% of the total in July to September 2015, 33% were Employment Support Allowance (ESA) disposals and 6% were related to Housing/Council Tax Benefit.

Of the total 38,904 SSCS disposals, 84% (32,631) were cleared at a hearing. The overturn rate⁸ for those cleared at hearing was 55%, i.e. 55% had the initial decision revised in favour of the claimant. This has increased from 47% in the same quarter last year.

The overturn rate varies by benefit type with 58% of ESA cases, 40% of Job Seekers Allowance cases and 24% of Housing/Council Tax benefit were cleared at hearing having the original decision revised in favour of the claimant.

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⁸ The overturn rate is the rate of decisions by the original body that are reversed.

3. Caseload Outstanding

At the end of September 2015, the caseload outstanding was 371,348. This is 39% lower than the same period last year (see Figure 6). This is driven by the number of outstanding cases in Employment tribunals falling by more than half (54%).

The live caseload (caseload outstanding) is based on a snapshot of live cases at a specific point in time, once taken it cannot be revised or revisited. Because of this approach the caseload outstanding is currently based on a snapshot of the caseload on a specific day, and the change is not simply calculated by subtracting receipts and disposals.

1,000 900 800 Caseload outstanding (thousands) 700 600 500 400 300 200 100 0 Q1 Q2 Q3 Q4 Q1 Q2 2009/10 2010/11 2011/12 2012/13 2013/14 2014/15 2015/16 FTTIAC ---- Employment --- SSCS --- All tribunals

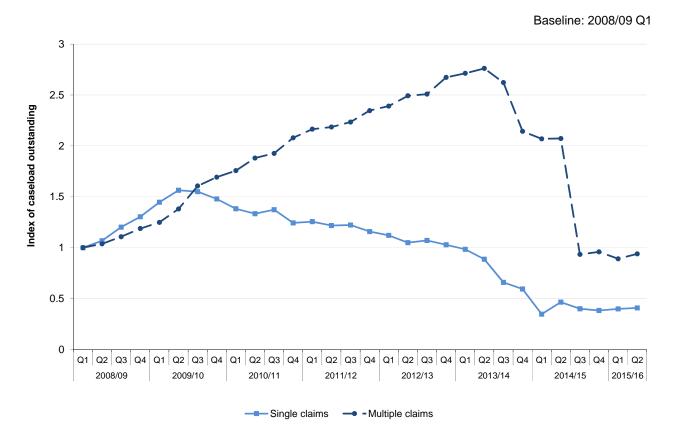
Figure 6: Caseload outstanding as at the end of each quarter, June 2009 to September 2015

Caseload Outstanding (Table 3.1)

The majority (56%) of the outstanding caseload at the end of the quarter related to 'multiple' claims in Employment Tribunals. Multiple claims are often legally and factually complex and it is common for action on such claims to be deferred ('stayed', or 'sisted' in Scotland), for example pending the outcome of proceedings in appellate courts/tribunals on case management or other interim matters. This means that such claims are not yet ready to have a final hearing in the Employment Tribunal, and so the claim cannot be progressed to disposal. Resubmitting some large multiple claims on a quarterly basis also skewed the data up to September 2013. Figure 7 clearly shows the increase of multiples since 2008/09; with many cases being 'stayed' and remaining outstanding in contrast to the disposal

of single claims. The decrease seen from Q2 2013/14 is mainly due to the disposal of a large number of multiple claims relating to a multiple working time regulation airline case, and those claims no longer being resubmitted as new receipts. In addition, during October to December 2014, there was a review of all Employment Tribunals cases and around 10% of existing single cases were closed and removed from the outstanding caseload.

Figure 7: Index of Employment Tribunals single and multiple claims outstanding, 2008/09 to Q2 2015/16



The caseload outstanding in the First-tier Tribunal Immigration and Asylum Chamber accounted for 14% (52,628) of the overall cases outstanding and has increased by 23% compared to the same point in 2014. The caseload outstanding for Upper Tribunal Immigration and Asylum Chamber accounted for 1% (4,259) of the overall total and increased by 68% in comparison to the same period in 2014.

There were 50,472 SSCS cases outstanding at the end of September 2015, accounting for 14% of all cases outstanding and an increase of 15% when compared to the same period in 2014.

4. Timeliness

This section provides information about the operation of tribunals to assist users to assess the efficiency and effectiveness of public services. The timeliness measures generally examine the process from receipt to the point at which the appellant is notified of the outcome of the hearing (or when a case settles, is withdraw or struck out). When examining the measures, a number of points should be noted:

- The clearance times (expressed in weeks or years) are highly dependent upon the processes that take place within a tribunal and the type and complexity of a case. In some instances, appeals can be stayed because a judgement is awaited from another body (for example the Court of Appeal, Supreme Court or Administrative Court), or may be legally complex.
- The measures cannot be directly compared between one Tribunal and another because of the different processes and very diverse nature and requirements of individual jurisdictions. Thus, it is better to compare a specific Tribunal over time.

The information provided is based on the age at which cases were cleared.

Summary of Timeliness Measures (Tables 4.1 – 4.3)

The measures examine the age of a case when it is cleared or disposed of and gives the point at which 25%, 50% (the median), and 75% of cases were cleared, along with the average (mean). For example, for the Social Security and Child Support (SSCS) Tribunal, 75% of cases that were cleared in July to September 2015 were aged 20 weeks or less.

Table 2: Cumulative percentage of clearances in July to September 2015, by age of case at clearance

Tribunal	25% point	50% point	75% point	Average (mean)
First-tier Tribunal Immigration and Asylum	20 weeks or less	31 weeks or less	42 weeks or less	33 weeks
Employment (single)	15 weeks or less	22 weeks or less	33 weeks or less	31 weeks
Employment (multiple)	32 weeks or less	1-2 years or less	4-5 years or less	143 weeks
SSCS (all)	10 weeks or less	14 weeks or less	20 weeks or less	19 weeks

Employment Tribunals

For Employment Tribunals, the timescale recorded is from the date the claim was received by the tribunal to when details of the final judgement are given. The distribution for all Employment Tribunal cases is heavily influenced by the age of multiple cases (which can be stayed or await decisions from Higher Courts).

The mean age of a single claim at disposal in July to September 2015 was 31 weeks which is 8 weeks less than the same period in 2014. The mean age of a multiple claim case at disposal was 143 weeks, which is down by 72 weeks on the same period in 2014.

Clearances for Employment Tribunals were also further broken down by jurisdictional group. The results for July to September 2015 showed that Equal Pay cases had the longest mean clearance time of over five years (276 weeks), while Sexual Orientation cases had the shortest average clearance time of 28 weeks.

First-tier Tribunal Immigration and Asylum Chamber

The time is recorded from receipt by the tribunal to the time that a decision was notified to the appellant. The mean age of a case at disposal was 33 weeks in July to September 2015, which is 3 weeks longer than the same period last year.

Variations in clearance times between case types are due to different processing timescales which apply to each of the Immigration and Asylum jurisdictions. For example in July to September 2015, 75% of Asylum cases were completed in 28 weeks or less, whereas 75% of Entry Clearance Officer appeals were completed in 51 weeks or less. Figure 8 shows the distribution of timeliness by jurisdiction. Timeliness will also vary according to disposal method e.g. an appeal struck-out for non-payment will be disposed far quicker than a case determined at hearing.

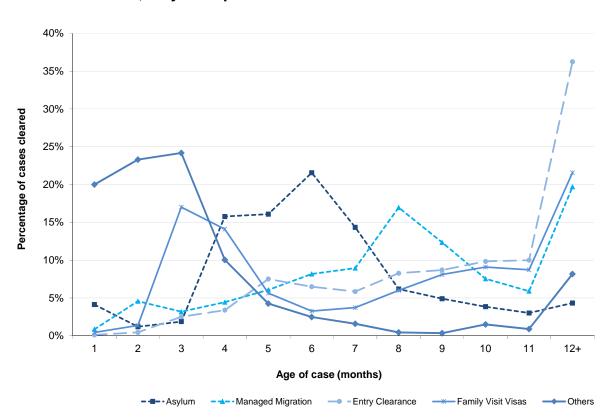


Figure 8: Timeliness of First-tier Tribunal Immigration and Asylum Chamber, July to September 2015⁹

Social Security and Child Support (SSCS)

For SSCS, the time is recorded from receipt by the tribunal to the time that a final decision was notified to the appellant. Of those cases disposed of by SSCS in July to September 2015, the mean age of a case at disposal was 19 weeks, 9 weeks less than the same period in 2014.

From April 2013, changes to the appeal process through the Welfare Reform Act 2012 began to be introduced. There were three changes:

- Department for Work and Pensions (DWP) will reconsider all decisions before an appeal (known as mandatory reconsideration);
- appeals must be sent directly to HMCTS (known as direct lodgement);
- there are time limits for DWP to return responses to HMCTS¹⁰.

⁹ The 'Others' category includes Deport, Deprivation of Citizenship and new categories that were introduced as part of the Post Immigration Act 2014: Protection, Human Rights, EEA Free Movement and Revocation of Protection

¹⁰ Introduced October 2014

Mandatory reconsideration and direct lodgement were introduced for Personal Independence Payment and Universal Credit appeals in April 2013. On 28 October 2013, they were introduced for all other DWP-administered benefits and child maintenance cases, and for appeals against decisions made by HM Revenue and Customs (HMRC) on 1 April 2014.

Direct lodgement means that up to 28 days (42 days in child maintenance cases) is now **included in** the HMCTS processing time, as appeal responses now need to be requested from the DWP and HMRC, rather than timeliness being counted from receipt of the response. Therefore current timeliness measures are not directly comparable with timeliness before the changes were implemented.

There are several factors that can affect how long it takes for cases to be cleared including the complexity of the case, the evidence required and requests for adjournment. The composition of the Tribunal panel also varies between appeal type and there are some differences in length of clearance time for each type of appeal. Those appeals which can be heard by a Judge sitting alone (such as appeals against decisions on Job Seekers' Allowance) can take less time to clear than appeals where a panel including Medical Member (MM), Senior Medical Member (SMM) or Disability Qualified Tribunal Member (DQTM) is required (see Figure 9).

35%
30%
25%
20%
10%
10%
10%
Age of case (months)

Judge and MM
Judge and MM

Figure 9: Timeliness of SSCS Tribunals, July to September 2015

5. Gender Recognition Certificate Statistics

The Gender Recognition Panel (GRP) was established under the Gender Recognition Act 2004 (GRA), which enables transsexual people to change their gender legally and gain the rights and responsibilities of their acquired gender. All applications are determined by the Panel and applicants who meet the GRA's requirements are granted a Gender Recognition Certificate.

The GRP is part of Her Majesty's Courts and Tribunals Service (HMCTS) and comprises of legal and medical members, supported by an administrative team. The panel sit in private and consider the documentary evidence supplied by the applicant in support of their application to have their gender recognised.

The introduction of the Marriage (Same Sex Couples) Act 2013 and the Marriage and Civil Partnerships (Scotland) Act 2014 changed the law in England & Wales and Scotland, meaning it is now possible for some married applicants to remain married while obtaining gender recognition. Prior to December 2014, if an applicant was married or in a civil partnership, they had to annul or dissolve the marriage/ civil partnership before being granted a full Gender Recognition Certificate (GRC).

For further background information on the Gender Recognition process please refer to 'A Guide to Civil and Administrative Justice Statistics', which is available at:

www.gov.uk/government/statistics/guide-to-civil-and-administrative-justice-statistics

Applications for Gender Recognition Certificates

A total of 99 applications were received by the Gender Recognition Panel (GRP) in July to September 2015 (see Tables 5.1 and 5.2), up by 30% on the same period last year. The number of applications in this quarter is being compared to the number of applications prior to the introduction of the Marriage and Civil Partnerships (Scotland) Act 2014, which coincided with an increase in the number of applications for GRCs overall.

There are 3 types of application process; standard, alternative and overseas. The standard application is completed by individuals who have been living permanently in the acquired gender for 2 years or more, have or have had gender dysphoria and intend to live permanently in the acquired gender. Of the total applications in July to September 2015, 95 were standard track, up by 25% on the same period in 2014.

The alternative application track commenced on 10 December 2014 (for Scottish protected marriages and civil partnerships this date is 16 December 2014) and is completed by individuals who have lived permanently in the acquired gender for 6 years or more prior to this date

and intend to continue to do so permanently, have or have had gender dysphoria or have undergone surgical to modify sexual characteristics and were in a protected marriage or protected civil partnership on or before the date of application. The alternative track is for individuals who are ordinarily resident in England, Wales and Scotland. In July to September 2015, there were 2 alternative track applications.

Finally, there is the overseas track which is for individuals whose acquired gender has been legally accepted in an approved country or territory outside the United Kingdom; 2 of the total applications in July to September 2015 were overseas track.

105 90 Number of applications 75 60

Figure 10: Applications received by the Gender Recognition Panel, April 2009 to September 2015

At the end of September 2015, a total of 126 applications were in progress awaiting final resolution, some of which are from earlier periods. This has increased by 54% since the same period last year, which is in line with the increased number of applications across the various tracks.

Q1 Q2 Q3 Q4 Q1 Q2

2012/13

2013/14

2014/15

2015/16

2011/12

Initial outcome of applications (Table 5.1)

2010/11

45

30

15

0

2009/10

A total of 83 applications were disposed of by the GRP during the period July to September 2015, whereby a decision had been taken to grant either a full or interim Gender Recognition Certificate (GRC), the applicant was refused or withdrew their application, the applicant didn't pay the fee, or there was an error in the application process.

Of the applications disposed of in July to September 2015, a full GRC was granted in 76 cases (92% of the total, see Figure 11), reflecting a 77% increase on July to September 2014. In these cases the person was judged by the GRP to have satisfied the criteria for legal recognition in

their acquired gender. The proportion of cases where a full GRC was granted has been largely stable over the last five years.

Interim certificates are granted to applicants who meet the criteria for gender recognition, but who:

- are not eligible to remain married following their gender recognition because they were married under the law of Northern Ireland or
- are eligible but either they and/or their spouse have decided that they do not wish to remain married after the issue of their full Gender Recognition Certificate or
- are in a protected civil partnership¹¹ or Scottish protected civil partnership¹², where only one partner has applied for gender recognition (or where both have applied and only one is successful) or
- are in a civil partnership but one which is not a protected or Scottish protected civil partnership.

In these circumstances, once the successful applicant has ended their marriage or civil partnership, they can then be issued a full GRC. There was 1 interim certificate granted in July to September 2015.

No fee was paid for 1 application in July to September 2015, 4 applications were refused and 1 application was withdrawn.

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¹¹ **Protected civil partnership:** means a civil partnership under the law of England and Wales. It would include a civil partnership contracted on UK consular premises or on an armed forces base, where the couple elected England and Wales as the relevant part of the UK.

¹² **Scottish protected civil partnership:** is a civil partnership registered in Scotland. It would include a civil partnership contracted on UK consular premises or on an armed forces base, where a couple elected Scotland as the relevant part of the UK.

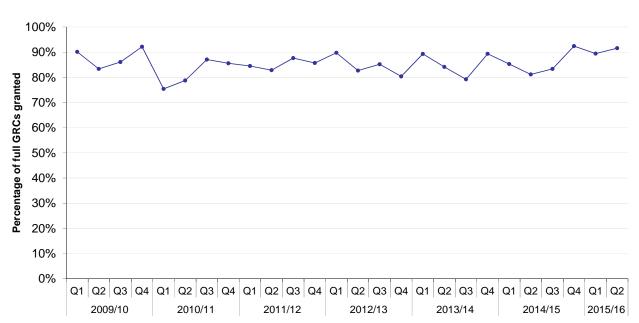


Figure 11: Proportion of applications dealt with where a full Gender Recognition Certificate was granted, April 2009 to September 2015

Gender Recognition Certificates granted – demographic statistics (Table 5.4)

Of the 76 full GRCs granted in July to September 2015, 52 (68%) were granted to individuals who were registered male at birth (who thus became legally female as a result). The remaining 24 (32%) were granted to individuals who were registered female at birth.

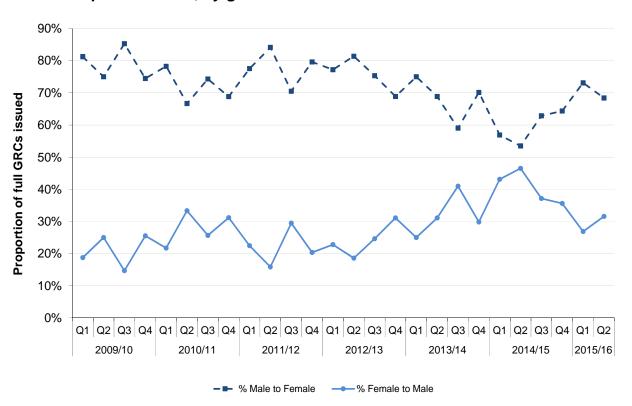


Figure 12: Full Gender Recognition Certificates granted, April 2009 to September 2015, by gender

Full GRCs were granted to applicants of a range of ages. In July to September 2015, the highest number of certificates (19, 25%) was granted to applicants born between the years 1960-1969 (see Figure 13).

The introduction of the Marriage (Same Sex Couples) Act 2013 and the Marriage and Civil Partnerships (Scotland) Act 2014 changed the law in England & Wales and Scotland, meaning it is now possible for some married applicants to remain married while obtaining gender recognition. Prior to December 2014, if an applicant was married or in a civil partnership, they had to annul or dissolve the marriage/civil partnership before being granted a full GRC. Of the full GRCs granted, 13 (17%) applicants were married and 63 (83%) were single.

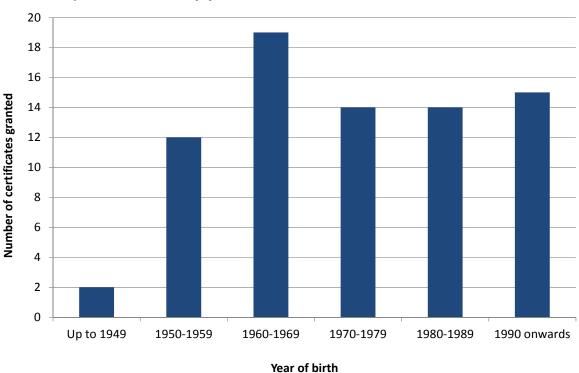


Figure 13: Full Gender Recognition Certificates granted in July to September 2015, by year of birth

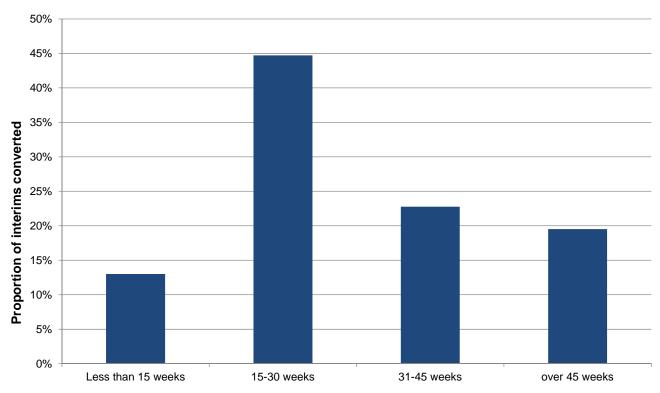
Conversion of Interim to Full Gender Recognition Certificates

This analysis looks at the conversion of interim to full GRCs. The timeliness figures are based on cases where full details of the dates are available.

Between 1 April 2005 and 30 September 2015 there were 184 interim certificates issued by the GRP (see Table 5.5). As of 30 September 2015, 67% of these had been converted to a full GRC. Please note this proportion may change in subsequent periods as more data become available.

For those people who converted interim certificates to full certificates, the majority (58%) of people converted within 30 weeks.

Figure 14: Time for conversion from interim to full Gender Recognition Certificates between April 2005 and September 2015



Time taken to convert

6. Special Educational Needs and Disability (SEND) Tribunals – academic year 2014-15

This section focuses on Special Educational Needs and Disability Discrimination Tribunals and data are presented in academic years (1 September to 31 August). This information is published on an annual basis each year in December.

The chapter is divided into two sections;

- Special Educational Needs
- 2. Disability Discrimination claims

The First-tier Tribunal Special Educational Needs and Disability (SEND) jurisdiction hears appeals against the decision of local authorities in England relating to statements of Special Educational Needs. It also hears Disability Discrimination claims against schools.

In this time period the Tribunal have dealt with appeals relating to the SEN legal framework under the old legislation contained in the Education Act 1996 and the new legislation contained in the Children and Families Act 2014.

The information provided in this chapter covers appeals and decisions in relation to Special Educational Needs, broken down by grounds for appeal, outcomes and ethnicity of the child involved and local authority information. There is also information on Disability Discrimination Claims, broken down by grounds for appeal, outcomes and nature of the appeal.

For further information on children with special educational needs, please see the publication by the Department for Education below:

<u>www.gov.uk/government/statistics/special-educational-needs-in-england-january-2015</u>

Special Educational Needs (SEN)

The First-tier Special Educational Needs and Disability (SEND) jurisdiction hears appeals against decisions of local authorities in England regarding special educational needs. Children or young people with Special Educational Needs may need extra help because of the effect of their needs on them accessing education. The law does not categorise special educational needs in types of needs but for statistical purposes these include profound and multiple learning difficulty; behavioural, emotional and social difficulty; and speech, language and communication needs.

Prior to the reforms introduced under the Children and Families Act 2014 in September last year the different services responsible for identifying and supporting the education, health and social care of a child or young person with Special Educational Needs operated independently of each other. From an educational perspective children or young people with special educational needs were supported in one of three possible approaches:

- School Action where extra or different help is given from that provided as part of the school's usual curriculum.
- School Action Plus where the class teacher and the school special educational needs coordinator¹³ receive advice or support from outside specialists (a specialist teacher, an educational psychologist, a speech or language therapist or other health professionals).
- Statement of Educational Needs a child or young person has a statement of special educational needs when a formal assessment has been made. A document setting out the individual's needs and the extra help they should receive is in place.

It should be noted that the categories 'School Action' or 'School Action Plus' have been combined and replaced by the category 'SEN support', whereby a more graduated approach to identifying and supporting children and young people with SEN is taken.

Appeals to the Tribunal can be made in relation to a number of different decisions a Local Authority would make relating to that system which included the refusal to assess a child with special educational needs, the refusal to issue a statement of SEN following that assessment or the contents of the statement once it has been finalised.

The Children and Families Act 2014 reformed the system of support across education, health and social care to ensure that each of the

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¹³ A special educational needs coordinator (SENCO) is a member of staff at school who has responsibility for coordinating special educational need provision within that school.

services were organised with the needs and preferences of the child and their family firmly at the centre, from birth up to the age of 25. The Act introduced Education, Health and Care (EHC) plans – legal documents that set out the education health and social care support a child or young person with SEN requires when their needs cannot be met by resources available to mainstream early years providers, schools and post-16 institutions. They are focused on the outcomes the child or young person wants to achieve and set out how the services will work together to support those outcomes. Any child brought to the attention of the local authority as potentially having a special educational need after 1 September 2014 falls under the new scheme.

Children with statements of special educational needs will gradually go through a transition process to move them into the new EHC system over the course of the next few years.

For the period of transition, when both systems are being run in parallel, appeals to the SEND tribunal can therefore arise in relation to either SEN Legal Framework.

Registered SEN appeals

In the decade from 1994 to 2004 there was a steep increase in the number of registered SEN appeals, from 1,161 in 1994-95¹⁴ to 3,532 in 2002-03. Since 2008-09 the number of registered appeals has been gradually increasing and peaked at 4,063 in 2013-14, the highest number of appeals since the statistical series began in 1994-95.

¹⁴ Between 1994 and 2005 the SEND tribunal was part of what is now the Department for Education. After 2005, the tribunal was moved to become part of HMCTS. The tables attached to this edition of the publication only include data from 2011-12 onwards; for previous years please refer to Tribunal and Gender Recognition Certificate Statistics Quarterly, July to September 2014: https://www.gov.uk/government/statistics/tribunals-and-gender-recognition-certificate-statistics-quarterly-july-to-september-2014

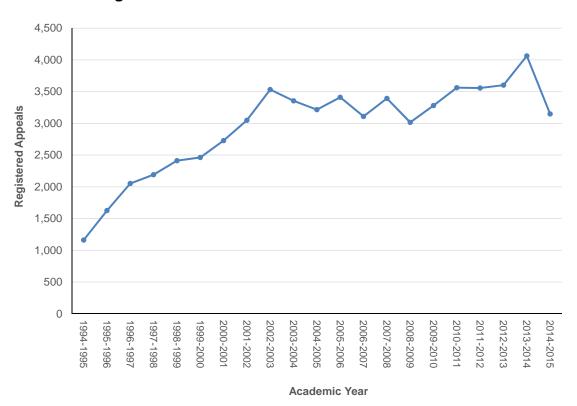


Figure 15: Registered appeals to the SEN Tribunal, September 1994 to August 2015

What has driven this?

There are a number of factors that could have contributed to the overall increase in SEN appeals between 1994 and 2015. These include;

- Greater understanding of special educational needs
- More provision of services
- Parents more willing to challenge local authorities
- Stronger push to keep children with special educational needs in mainstream schools.

In the academic year 2014-15 HMCTS tribunals recorded 3,147 registered appeals in relation to SEN, 23% lower than in the previous year. This decrease could be due to the introduction of the new legislation; the impact of this will be assessed.

Registered appeals by type (Table 2)

In 2014-15, appeals against 'refusal to assess' or 'secure an EHC assessment' accounted for approximately one third of appeals received and appeals against the content of statements or contents of EHC plans accounted for more than half of appeals registered.

Appeals are registered under 'refusal to assess' or 'secure an EHC assessment' when a local authority refuses to have a child or young person assessed for special educational needs whilst appeals against the content of statements or EHC plans are made against either the description, educational provisions required and/or type of school named in the statement or EHC plan. These appeal categories have historically been the most common types of appeal.

Registered appeals by nature of special educational need (Table 3)

In 2014-15, the most common type of need identified in appeals was Autistic Spectrum Disorder, accounting for 35% of appeals. The number of appeals in this category has increased over time; it accounted for 13% of appeals in 1998-99 and has shown a steady increase since 2000-01. This change may be due to more awareness of this issue.

Ethnicity of children involved in special educational tribunals (Table 4)

In around 19% of SEND appeals, the child involved was from a white ethnic background ¹⁵. In 4% of cases, the child was from a Black, Asian or minority ethnic background. However in the majority of cases, (76%), the ethnicity of the child was not reported.

Local Authority Breakdowns (Tables 5 and 6)

SEND appeals can be broken down by local authority area in England, however due to the differences in sizes in local authorities a rate of appeals per 10,000 school children is calculated. For 2014-15, the rate of appeals is highest in the Inner London region with 5.9 appeals per 10,000. The highest rate of appeals in a local authority is Lambeth - 14.1 appeals per 10,000 school children.

Outcomes of SEN appeals (Tables 7 – 9)

In 2014-15, HMCTS Tribunals recorded 3,318 outcomes in relation to SEN appeals, which is broadly in line with the previous years.

In 2014-15, 24% of cases were decided by a tribunal which is 3 percentage points lower than last year. The remaining 76% of cases were withdrawn or conceded. These proportions vary by the nature of the appeal (see Table 8). Of the cases decided, 86% were decided in favour of the appellant.

Disability Discrimination Claims (Tables 10 – 13)

Disability discrimination claims relate to appeals against a school or academy in England. Schools or academies must not discriminate against

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¹⁵ Ethnicity is self-reported and therefore these figures should be viewed with caution as a large proportion of appellants did not complete or disclose their ethnic background

a child on grounds of disability¹⁶ in relation to admissions, the provision of education and access to any benefit, facility or service; or exclusions. It is also unlawful for a school to harass or victimise an applicant or child because of disability.

Disability discrimination may be:

- discrimination arising from disability;
- failure to provide a reasonable adjustment for a disabled child;
- direct discrimination;
- indirect discrimination;
- · harassment; or
- victimisation.

Received and Registered Disability Discrimination appeals

In 2014-15, there were 115 registered appeals in relation to disability discrimination, down from 126 (9%) compared to the previous year. Of these appeals, 17% (20) were related to permanent exclusion from school and the remainder were uncategorised.

The SEND tribunals disposed of 114 claims in 2014-15, up from 99 (5%) compared to the previous year. Of these disposals, 47 were withdrawn or conceded prior to the hearing taking place. Of the 67 claims decided at hearing 34 were upheld and 33 dismissed.

Of the 13 exclusion cases disposed of in the year, 6 were withdrawn or conceded in advance of the hearing, 4 were upheld and 3 were dismissed.

35

¹⁶ The Equality Act 2010 defines a disability as a physical or mental impairment which has a substantial (more than minor or trivial) and long-term adverse effect (to last for at least a year or for the rest of their life) on the disabled person's ability to carry out normal day-to-day activities.

Annex A: Data quality and sources

Information presented in this report is management information drawn from a number of different administrative sources. Although care is taken when processing and analysing the data, the details are subject to inaccuracies inherent in any large-scale recording system and it is the best data that is available at the time of publication. HMCTS is examining the quality of management information. Thus, it is possible that some revisions may be issued in future publications.

The statistics are based on case management systems where a number of processes are recorded throughout the life of an appeal. In some instances, a case can re-enter the process or have a number of outcomes, meaning that there is not necessarily one receipt or one disposal per case. Thus, care should be taken when comparing receipts and disposals.

Further information on HMCTS and other court statistics, including information on the data sources and terminology used in this report can be found in **A Guide to Civil and Administrative Justice Statistics**: www.gov.uk/government/statistics/guide-to-civil-and-administrative-justice-statistics

Differences with Home Office statistics

Asylum appeals data published by Home Office Migration Statistics are sourced from the Home Office Case Information Database (CID) and relate to main asylum applicants at the First-tier Tribunal Immigration and Asylum Chamber. Records on the database are updated from record-level data provided by HMCTS, who produce similar statistics for main appellants. This procedure provides consistent data across all datasets relating to asylum published in the release Immigration Statistics, but it is different from those published by The Ministry of Justice.

The Ministry of Justice published statistics provide counts of principal appellants sourced from the HMCTS database. Within these statistics there tend to be higher numbers of principal appellants than main asylum applicant appeals because:

- 1) HMCTS has a wider definition of asylum appeals, including some human rights cases and appeals on extensions of asylum, humanitarian protection and discretionary leave; and
- 2) Principal appellants include some individuals classed as dependants by the Home Office.

The Home Office statistics on immigration and asylum appeals at First-tier Tribunal and subsequent stages are available from:

www.gov.uk/government/collections/immigration-statistics-quarterly-release

Revisions

As part of an annual data reconciliation exercise, the data have been refreshed and figures revised accordingly.

Historical disposal figures for Social Security and Child Benefit were revised in October to December 2014 (Tables S.1, 2.1, 2.6 and 2.7). Investigations into the methodology used within the Social Security and Child Benefit Database established that there were some cases that had been double counted - cases that had been Withdrawn or Struck Out prior to hearing but subsequently reinstated and cleared at hearing. This has now been corrected and the disposal numbers revised.

All Upper Tribunal Immigration and Asylum Chamber (UTIAC) Judicial Review figures were revised in October to December 2014; historical figures previously only included cases which took place in London and excluded cases which took place in regional courts.

From 2014, new information has been included on the UTIAC; all relevant totals have been revised accordingly. This is usually around 2,000 receipts and disposals per quarter, or one per cent of the total tribunals' workload. Totals have been revised back to 2010/11 when the UTIAC was created.

The methodology for obtaining the outstanding snapshot data for UTIAC Judicial Reviews was improved in January 2015 and now reflects a more accurate representation of the caseload.

Explanatory Notes

Notation

The following symbols have been used throughout the tables in this bulletin:

- .. = Not available
- = Nil
- (r) = Revised data

Spreadsheet files of the tables contained in this document are also available to download along with csv files of historical information.

Annex B: Tribunal and Jurisdiction List

In the accompanying tables a full list of Tribunals and Jurisdictions is given (Table B.1), alongside the date they were created or, if applicable, what they were formally known as and the date in which they changed.

Annex C: Monthly and Regional Employment Tribunal Receipts

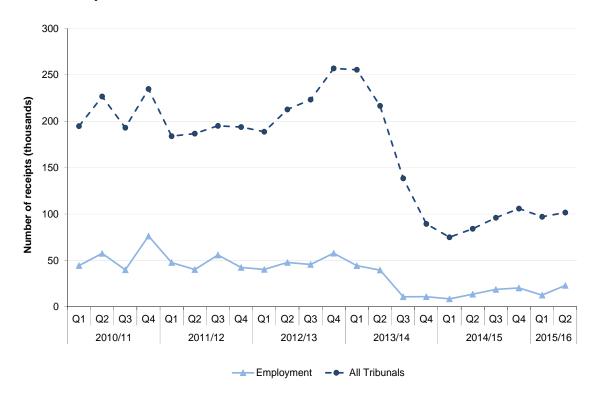
This information is additional management information that has been drawn from a live administrative system managed by HMCTS. The data presented in this section include historical information at the regional level, with a monthly breakdown. This information was a bespoke extraction for the purpose of this report.

Trend in Employment Tribunal Receipts

In 2012/13, the Employment Tribunal received on average 52,000 new claims per quarter, which declined to an average of 26,500 in 2013/14. The average of new claims per quarter in 2014/15 was 15,500, showing a further decline in volume of claims over the financial year.

The number of receipts in Q1 2014/15 was the lowest on record in this series and has since increased gradually throughout the financial year. Figures for July to September 2015 show there were 23,072 new claims.

Figure 1: Employment Tribunal Receipts (quarterly), April 2010 to September 2015



This Annex also includes a monthly breakdown from January 2012 to September 2015 (Figure 2). This shows that the data are volatile and can change dramatically from month to month. Please note these data include both single and multiple claims.

Following the introduction of fees on 29 July 2013, there are 26 months of data available post fees (August 2013 to September 2015). Users are advised that a claim is not considered as accepted until the fee is paid, or remission granted, which may increase the time it takes to enter cases onto the system.

25,000 25,000 15,000 10,000

2013

Figure 2: Employment Tribunal Receipts (monthly, January 2012 to September 2015)

Single and multiple claims

2012

Employment Tribunal receipts can be broken down into single and multiple claims. Figure 2 above shows the total number of receipts, but this is not the same as the number of cases (where a number of multiple receipts can be counted as one case as they are bought against one employer ¹⁷ by a number of people).

2014

2015

1. Single cases

A single case and single claim is the same thing, they involve one individual bringing a claim against an employer. In effect the alignment of case to claims is 1:1. Data shows that the number of single cases was volatile from April to October 2012, after October 2012 they began to gradually decrease from nearly 5,000 in October 2012 to just under 4,000 in June 2013. The number rose to just over 6,500 in July 2013, possibly as

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5.000

¹⁷ Occasionally, more than one employer can be involved.

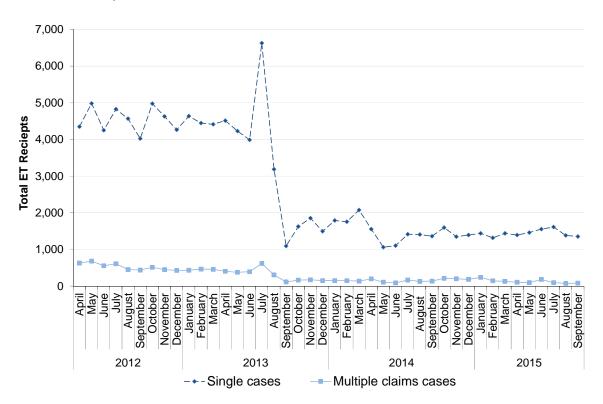
more claims were submitted prior to the introduction of fees. The number of single cases then fell sharply to 1,000 cases in September 2013, and averaged around 1,500 cases between October 2013 and September 2015.

2. Multiple claims cases

Multiple claims that are grouped, processed and managed together are called, collectively, a multiple claims case. Within a multiple claims case there will be two or more claims presented by individuals against a common employer (or, in some circumstances, employers). The alignment of case to claims is one to many, where a multiple claims case could range from two individual claims to over 100, or even 1,000. Typically employment receipts show the number of individual *claims*, not *cases*, which can result in a lot of volatility (see Figure 2).

When looking at the number of multiple claims cases, regardless of the number of individuals involved, there is a broadly flat trend from August 2012 to June 2013. There is an increase in multiple claims cases for July 2013, up 57% compared to June 2013, possibly due to people wishing to submit cases before the introduction of fees. There is then a decline in cases in September 2013 and an average of 145 cases per month since then (see Figure 3).

Figure 3: Single and multiple claims cases (April 2012 to September 2015)



Users are advised that these figures need to be treated with extreme caution for two reasons

- 1. All figures after January 2012 have been re-extracted from the administrative data system for this publication. As such these figures have not been through the same Quality Assurance process as the Official Tribunals Statistics publication.
- 2. Under the business processes to facilitate fee-charging, a claim is not entered onto the internal case management system from which statistical data are extracted until the relevant fee is paid or remission application granted. This means there may be a number of claims presented post July 2013, but formally accepted at a later stage (for example after a remission application is granted). Please see annex D for more information and figures on employment tribunal fees.

Employment Tribunals claims by region

Claims can be submitted online or to an Employment Tribunal Office. Once a claim is received and acknowledged by HMCTS, it is recorded on the case management system and case managed through, ultimately, to disposal (for example, by listing for a hearing before the tribunal). The geographical data below relates to where the case was submitted and heard by the Tribunal panel, in most cases this will be the same location as the employer or the party that bought the claim.

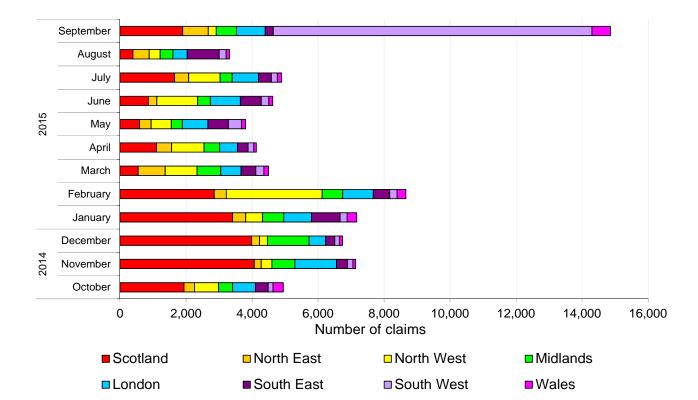


Figure 4: Claims by region (October 2014 to 30 September 2015)

Data Quality and Limitations

There are a number of issues for users to be aware of when considering these data.

- The monthly data for employment tribunal receipts shows a great deal of volatility. As such the figures should be treated with caution. Early analysis suggests this volatility is driven by the number of individual people involved in multiple claims cases.
- The monthly and regional data breakdowns in this report are not routine and have been extracted specifically to produce Annex C; as such it is provisional and subject to revisions.
- The data have been extracted from a live administrative database in August 2015, and as such historical monthly data may aggregate to different figures to those already published. This will be reconciled at a later date.
- The introduction of fees may have resulted in a longer time lag for claims to be entered onto HMCTS' case management system, ETHOS. This is because claims are now not entered onto ETHOS until the fee has been paid or a remission has been granted. This means that data are likely to be revised upward in subsequent months.

- Figures may vary from previously published figures for a number of reasons, including:
 - revision to the administrative system, including claims being entered onto the system outside of the month they were lodged;
 - submission or re-submission of large numbers of multiple claims;
 - multiple claims disbanding and being re-submitted as single claims or vice-versa;
 - claims being re-submitted with a different jurisdictional breakdown and,
 - Additional information received from the tribunals that had not been entered onto the ICT system at the time of extraction.

Annex D: Experimental statistics – Employment Tribunal Fees

Introduction

This section covers the fourth series of quarterly experimental statistics on Employment Tribunal Fees. Data for claimant characteristics are produced annually and the statistics for 2014/15 can be found here: www.gov.uk/government/uploads/system/uploads/attachment_data/file/43 4176/tribunal-gender-statistics-jan-mar-2015.pdf.

Fees were introduced for claims submitted to an Employment Tribunal on or after 29 July 2013. Claimants pay two separate fees: the first to issue the claim and the second to have it heard. The fee levels vary according to the nature of the claim. Respondents may also pay fees for a number of applications they may make although these are far less common.

Claims can be separated into two distinct types, each attracting a different fee. 'Type A' claims tend to be more straightforward, and so have a lower fee. These include claims about unpaid wages, payment in lieu of notice and redundancy payments. 'Type B' claims involve more complicated issues, and therefore attract a higher fee. These types of claim tend to be those involving unfair dismissal or discrimination complaints.

Single claim cases have standard fees associated with them, whereas multiple claim cases have different fees depending on the number of claimants they contain. The fee levels for different case types are outlined in Figure 1.

When a claim (either single or multiple) has more than one jurisdictional complaint and at least one of the complaints is a Type B, a Type B fee is payable.

Figure 1: Fee levels in single and multiple cases

Single cases	Type A	Type B
Issue fee	£160	£250
Hearing fee	£230	£950

Multiple cases	Number of claimants 2-10 11-200 Over 200		
	2-10	11-200	Over 200
Type A			
Issue fee	£320	£640	£960
Hearing fee	£460	£920	£1,380
Type B			
Issue fee	£500	£1,000	£1,500
Hearing fee	£1,900	£3,800	£5,700

Employment Appeal Tribunals (EAT)

The main function of the Employment Appeal Tribunal (EAT) is to hear appeals from decisions made by Employment Tribunals. An appeal must be on a point of law, i.e. it must identify flaws in the legal reasoning of the original decision.

The associated fees for the Employment Appeal Tribunal are £400 to lodge the appeal and £1200 to have it heard.

Fee remission

Claimants for both single and multiple Employment Tribunal claims may qualify for a fee remission depending on their circumstances, and this may cover whole or part of the fee. Fee remission may also be awarded for Employment Appeal Tribunal fees.

Separate remission applications must be submitted for the issue/lodgement and hearing fees. Claimants in receipt of certain benefits (including income support and income-based jobseeker's allowance) are entitled to full remission. Full or partial remissions may also be granted depending on the claimant's gross monthly income. Remission applications may be unsuccessful for a number of reasons, such as if they do not meet the remission award criteria or if insufficient evidence is provided.

Data sources and key caveats

The statistics in this annex are classified as experimental because the data and analyses are in a developmental stage. Additional analyses will be carried out to assess their quality and that of the administrative system the data are extracted from. The statistics are still subject to testing in terms of their volatility and ability to meet customer needs and they do not yet meet the rigorous quality standards of National Statistics.

However, it is our view that the experimental statistics have considerable immediate value to users. Since the introduction of fees for Employment Tribunals, there has been a significant user demand for related statistics - the Ministry of Justice has received a high number of both parliamentary questions and requests under the Freedom of Information Act on this subject. This annex has also been included to invite feedback from the public about what information regarding Employment Tribunal fees is of specific interest. For feedback on the Employment Tribunal Fees chapter, please email statistics.enquiries@justice.gsi.gov.uk.

The data on fees and remissions is sourced from the online Employment Tribunal Fees administrative system, which is used for case management and the processing of remission applications and fee payments.

It is not currently possible to identify when single claims are subsequently grouped into multiple claim cases. These cases have associated single issue fee requests but will appear not to progress past the issue fee stage, even though it is possible they have had a multiple case hearing. This may partially account for difference between the number of fees paid/remissions granted and the numbers of hearing fees requested for single cases.

This experimental annex gives quarterly figures on fees requested and tracks through the subsequent fee activity. Therefore, the fees paid/remission applications submitted/remissions granted shown in a given quarter correspond to the date the fee requests were made, not the date the remission application was made or granted, unless it was in that same quarter. As a result, the figures in this publication may vary slightly from those published previously.

Results

The following results are based on initial analysis of the progression of cases through the Employment Tribunal fees system, for each quarter since the introduction of the fees on 29 July 2013 up to the end of September 2015.

Initial findings

Issue fee (Table D.1)

Before a claim will be considered, the relevant issue fee must be paid. Figure 2 shows the total number of cases submitted to the Employment Tribunal website since 29 July 2013. It shows the number of cases where a full issue fee was paid or a full or partial remission was awarded. The 'other' category includes cases where an issue fee was expected but there is no record of a subsequent payment or remission. These figures include both single and multiple claim cases, and cover type A and type B claims.

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¹⁸ An issue fee is required when applicant submits a completed claim unless a remission application is made.

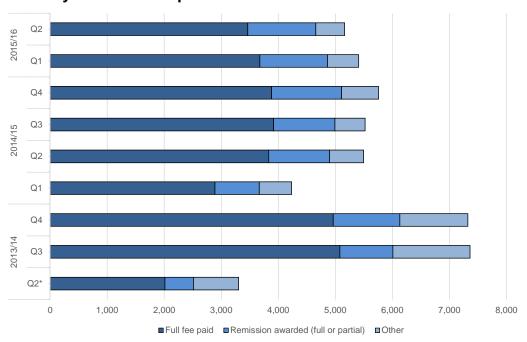


Figure 2: Number of Employment Tribunal issue fees by outcome, 29 July 2013 to 30 September 2015

In July to September 2015, there were 5,161 cases on which an issue fee was expected. Of these, 3,465 (67%) cases had the full issue fee paid outright whilst 1,191 (23%) cases were awarded either a full or partial issue fee remission. This is an increase of four percentage points on the proportion of cases awarded remission in the same period last year and is the highest proportion recorded since Employment Tribunal fees were introduced. For the remaining 505 (10%) cases, it appears that the claim was not taken further. It is possible that some of these cases are yet to progress through the system and additional analyses are required to confirm the specific outcomes of these issue fee requests. In those cases where the issue fee was fully paid it took on average 3 days for the payment to be made in July to September 2015, compared to an average of 4 days in the same period last year.

For single claims, the vast majority of issue fee remissions awarded were full remissions - partial fee remissions accounted for around 4% of all remissions awarded in July to September 2015. Due to data limitations, it is not currently possible to identify the proportion of partially remitted issues fees for multiple claim cases. Table D.1 (in the accompanying tables) gives a breakdown of the issue fees by type. In July to September 2015, 66% of all Type B claimants (both multiple and single claims) paid the issue fee in full, compared with 70% of Type A claims. In the same period, 59% of Type B claimants who applied received a full or partial remission of the issue fee, compared with 46% of Type A claimants.

^{*} The figures for Q2 2013/14 (which cover July, August and September 2013) do not include any pre-fee claims – those submitted before 29th July 2013.

The vast majority of fees requested, full issue fee paid and full or partial remission awarded were for single claims (as opposed to multiple claims). These accounted for 95% 94% and 98% respectively.

Hearing fee (Table D.2)

If a case requires a hearing, a hearing fee will be requested. This will be after the issue fee is paid and the case is accepted by the Employment Tribunal.

Figure 3 shows the total number of hearing fee requests made each quarter and of those, the number where the fee was fully paid, a full or partial remission was awarded and those that were subsequently withdrawn, struck out or settled before being heard. Cases may have also been withdrawn, struck out or settled before reaching the hearing fee stage, in which case a hearing fee will not have been requested. The 'other' category includes cases where a hearing fee was requested but there is no record of a specific outcome. Figure 3 covers requests for hearing fees for both single and multiple claim cases of both Type A and Type B.

In July to September 2015, a total of 3,443 hearing fees were requested – at the time the data was extracted 1,026 (30%) requests had the full hearing fee paid outright, taking on average 57 days for payment to be made and 554 (16%) had been awarded either a full or partial remission. A further 467 (14%) settled before reaching a hearing, 138 (4%) were subsequently withdrawn and 41 (1%) were struck out. For the remaining 1,217 (35%) cases, there was no specific outcome recorded. This may be in part due to some cases not yet progressing through the system, as the most recent quarter shows the biggest proportion in the 'other' category. As the cases progress, the data is updated each quarter and for this reason, we do not currently compare proportionate changes in hearing fees in comparison to the previous year.

To illustrate this, the proportion of cases in the 'other' category in April to June 2015 published in Q1 was 42% and following the update in the current quarter, the proportion of for the same time period was 18%.

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¹⁹ A hearing fee is payable only if the case is listed for hearing.

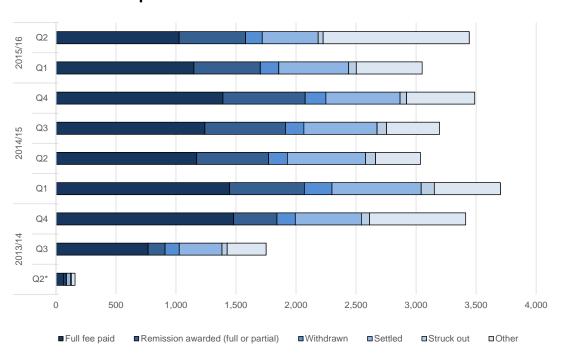


Figure 3: Number of hearing fees requested by outcome; 29 July 2013 to 30 September 2015

Table D.2 also gives a breakdown of the hearing fee requests by type. In July and September 2015, 24% of all Type B claimants (both single and multiple claims) paid the hearing fee in full, compared with 47% of Type A claimants. In the same period, 85% of all Type B claimants who applied received a full or partial remission of the hearing fee, compared with 65% of Type A claimants.

As with issue fees, single claim cases (both Type A and B claims) accounted for the majority of cases with either full hearing fee payment or those awarded a remission (96% and 99% respectively). The majority of the single case hearing fee remissions awarded were full remissions - partial fee remission accounted for only around 12% of all remissions awarded in July to September 2015.

Remission applications (Tables D.1 and D.2)

Figure 4 shows the quarterly volumes of remission applications, as well as the numbers granted, for both issue and hearing fees. These figures cover both single and multiple cases and are limited to one remission application per case. In July to September 2015, 2,107 remission applications were submitted for the issue fee and 669 for the hearing fee. During this period, 57% of remission applications for the issue fee were either fully or partially successful (13 percentage points higher than the same quarter last year) compared with over 83% of hearing fee remission applications (seven percentage points higher than last year).

^{*} The figures for Q2 2013/14 (which cover July, August and September 2013) do not include any pre-fee claims – those submitted before 29th July 2013.

In July to September 2015, on average it took 4 days for a decision to be made on remission applications. Due to data limitations it is not possible to assess the timeliness of issue and hearing fee remission applications separately.

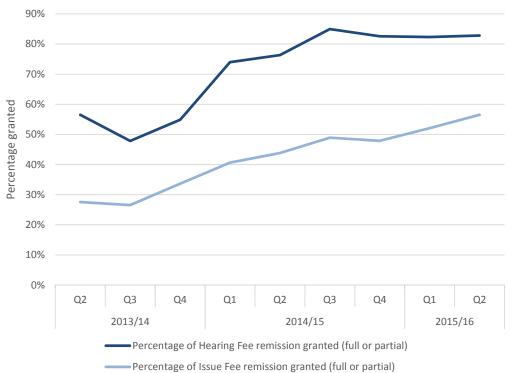


Figure 4: Remission applications and proportion granted, 29 July 2013 to 30 September 2015

Employment Appeal Tribunal (EAT) – (Table D.4)

Figure 5 gives the quarterly number of EAT lodgement fees that were requested, with the proportions that were fully paid and remitted before reaching the hearing fee stage ²⁰. In July to September there were 255 EAT lodgement fees requested. Of these, 137 (54%) had the full fee paid outright and 92 (36%) had full or partial fee remission awarded. For the remaining 26 (10%) cases, there is no specific outcome currently recorded, which may be due to cases not yet progressing through the system.

Figure 6 shows the number of EAT hearing fees that were requested, with the proportions that were fully paid or had a remission awarded. In July to September 2015, there were 88 EAT hearing fees requested. Of these, 61

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^{*} The figures for Q2 2013/14 (which cover July, August and September 2013) do not include any pre-fee claims – those submitted before 29th July 2013.

²⁰ Due to issues with data quality, the number of struck out or withdrawn cases has been removed. These issues are currently being investigated.

(69%) had the full fee paid outright and 18 (20%) had full or partial fee remission awarded. For the remaining 9 (10%) cases, there is no specific outcome currently recorded, which may be due to cases not yet progressing through the system.

Figure 5: Number of Employment Appeal Tribunal (EAT) lodgement fees requested by outcome; 29 July 2013 to 30 September 2015



^{*} The figures for Q2 2013/14 (which cover July, August and September 2013) do not include any pre-fee claims – those submitted before 29th July 2013

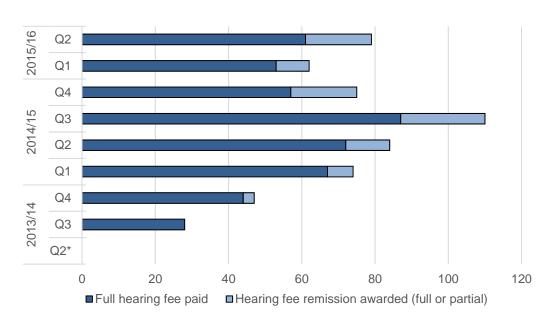


Figure 6: Number of Employment Appeal Tribunal (EAT) hearing fees requested by outcome; 29 July 2013 to 30 September 2015

Figure 7 gives the quarterly volumes of remission applications, as well as the proportion granted, for the EAT lodgement and hearing fees. These figures are limited to one remission application per case. In July to September 2015, 104 remission applications were submitted for the lodgement fee and 20 for the hearing fee. During this period, 88% of remission applications for the lodgement fee were either fully or partially successful compared with 90% of hearing fee remission applications.

^{*} The figures for Q2 2013/14 (which cover July, August and September 2013) do not include any pre-fee claims – those submitted before 29th July 2013.



Figure 7: Employment Appeal Tribunal (EAT) remission applications and proportion granted, 29 July 2013 to 30 June 2015

Limitations of the analyses and future work

The statistics in this annex are based on initial analyses of data extracted from the Employment Tribunal Fees administrative system and are classified as experimental. Further work is required to quality assure and verify these statistics.

Future work may enable identification of the specific outcomes of cases, for example whether they are settled/struck out/withdrawn before the hearing fee stage (or after the hearing fee stage for EAT cases). There may also be further work possible in the following areas:

- Number of fees paid by the respondent
- Breakdown of remission applications by grounds for remission (salary, benefits or net disposable income)
- Reasons for remission applications being unsuccessful

^{*} The figures for Q2 2013/14 (which cover July, August and September 2013) do not include any pre-fee claims – those submitted before 29th July 2013.

Annex E: Accompanying Tables and files

The following supplementary tables and CSV datasets are available alongside this publication, allowing users to analyse the data themselves.

Main Tables

Summary

S.1 Annual total number of receipts, disposals and caseload outstanding by jurisdiction, 2007/08 to 2014/15

Receipts

- 1.1 Annual and quarterly total number of tribunal receipts by jurisdiction, 2007/08 to Q2 2015/16
- 1.2 Employment Tribunal Total number of receipts by jurisdiction, 2007/08 to Q2 2015/16
- 1.3a First Tier Tribunal (Immigration and Asylum Chamber) Total number of receipts by case type, 2007/08 to Q2 2015/16
- 1.3b Upper Tribunal (Immigration and Asylum Chamber) Total number of receipts by case type, 2010/11 to Q2 2015/16
- 1.4 Social Security and Child Support Total number of receipts by benefit type, 2009/10 to Q2 2015/16

Disposals

- 2.1 Annual and quarterly total number of tribunal disposals by jurisdiction, 2007/08 to Q2 2015/16
- 2.2 Employment Tribunal Total number of disposals by jurisdiction, 2007/08 to Q2 2015/16
- 2.3 Employment Tribunal Percentage of disposals by outcome and jurisdiction, 2007/08 to Q2 2015/16
- 2.4a First Tier Tribunal (Immigration and Asylum Chamber) Number of appeals disposed of by category and by case type, 2007/08 to Q2 2015/16
- 2.4b Upper Tribunal (Immigration and Asylum Chamber) Number of appeals disposed of by category and by case type, 2010/11 to Q2 2015/16

- 2.5a First Tier Tribunal (Immigration and Asylum Chamber) Number of appeals determined at hearing or on paper, by outcome category and case type, 2007/08 to Q2 2015/16
- 2.5b Upper Tribunal (Immigration and Asylum) Number of appeals determined at hearing or on paper, by outcome category and case type, 2010/11 to Q2 2015/16
- 2.6 Social Security and Child Support Number of disposals by category and benefit type, 2009/10 to Q2 2015/16
- 2.7 Social Security and Child Support Number of disposals cleared at hearing by outcomes and benefit type, 2007/08 to Q2 2015/16

Caseload outstanding

3.1 Annual and quarterly total number of tribunals caseload outstanding by jurisdiction, 2007/08 to Q2 2015/16

Timeliness

- 4.1 Cumulative percentage of clearances that took place in 2013/14 and 2014/15, by age of case at clearance
- 4.2 Percentage of clearances that took place in July to September 2015, by age of case at clearance
- 4.3 Percentage of clearances that took place in July to September 2015, by age of case at clearance by Jurisdiction

Gender Recognition

- 5.1 Applications received and disposed of by the Gender Recognition Panel, by outcome, 2004/05 to Q2 2015/16
- 5.2 Applications received by the Gender Recognition Panel, by type of track, Q1 2009/10 to Q2 2015/16
- 5.3 Applications disposed of by the Gender Recognition Panel, by type of track and outcome, Q1 2009/10 to Q2 2015/16
- 5.4 Gender at birth, year of birth and marital status for full Gender Recognition Certificates granted, Q1 2005/06 to Q2 2015/16
- 5.5 Interim certificates converted to full certificates, by time taken, Q1 2005/06 to Q2 2015/16

Special Educational Needs and Disability Discrimination Claims Tables

SEND.1	Appeals registered and outcomes in England, 2011-12 to 2014-15
SEND.2	Registered appeals by type in England, 2011-12 to 2014-15
SEND.3	Appeals registered by nature of educational need in England, 2011-12 to 2014-15
SEND.4	Appeals broken down of child's ethnic origin, 2011-12 to 2014-15
SEND.5	Appeals registered per local authority in England, 2013-14 to 2014-15
SEND.6	Outcomes of appeals; decided, withdrawn, conceded, 2011-12 to 2014-15
SEND.7	Decisions by Special Educational Need (SEN), 2011-12 to 2014-15
SEND.8	Outcomes by Special Educational Need (SEN) category, 2011-12 to 2014-15
SEND.9	Appeals registered and outcomes, 2011-12 to 2014-15
SEND.10	Registered appeals by type, 2011-12 to 2014-15
SEND.11	Outcomes of claims by type, 2011-12 to 2014-15

Annex B

B.1 Change of Names of Tribunals

Management Information on Employment Tribunal Receipts (Annex C) Tables

Table C.1	Monthly Total Number of Employment Tribunal Receipts by Single and Multiple cases, January 2012 to September 2015
Table C.2	Monthly Total Number of Employment Tribunal Receipts by Jurisdiction, January 2012 to September 2015
Table C.3	Monthly Total Number of Employment Tribunal Receipts by Region, January 2012 to September 2015

Table C.4 Monthly Total Number of Employment Tribunal Receipts by Jurisdiction and by Region, January 2013 to September 2015

Experimental Statistics: Employment Tribunal Fees (Annex D) Tables

- Table D.1 Employment Tribunal Fees Issue fees requested, fees paid in full and remissions awarded, Q2 2013/14 to Q2 2015/16
- Table D.2 Employment Tribunal Fees Hearing fees requested, fees paid in full and remissions awarded, Q2 2013/14 to Q2 2015/16
- Table D.3 Employment Tribunal Fees Fully or partially granted remissions on single cases, Q2 2013/14 to Q2 2015/16
- Table D.4 Employment Tribunal Fees Employment Appeal Tribunal, Q2 2013/14 to Q2 2015/16

Annex F: Policy Changes

Employment Tribunals

Fees for Employment Tribunals and the Employment Appeals Tribunal were introduced for claims received on or after 29 July 2013, alongside wider reform of procedural rules (following the Underhill Review of Employment Tribunal Rules).

For background information on the reforms please see:

www.justice.gov.uk/tribunals/employment

www.gov.uk/government/publications/employment-tribunal-receiptstatistics-management-information-july-to-september-2013

<u>www.gov.uk/government/consultations/employment-tribunal-rules-review-by-mr-justice-underhill</u>

As a result of the Underhill Review of Employment Tribunal Rules (see Introduction), three new outcome types (Table 2.3) came into effect. These were:

- Dismissed Rule 27 complaints dismissed by an Employment Judge after initial consideration of claim and response. An Employment Judge can dismiss a claim, or any part of a claim, if s/he considers that it has no reasonable prospect of success, or that the tribunal has no jurisdiction to hear the claim (or part thereof). This is a new provision introduced on 29th July 2013.
- Dismissed upon withdrawal under new rule 52, an employment tribunal shall issue a judgment dismissing a claim where the claimant withdraws it, unless certain criteria are satisfied. The operation and impact of this new provision is being monitored through these statistics.
- Case discontinued this records complaints dismissed under rule 40(1) where a party has not satisfied requirements in respect of paying a tribunal fee or demonstrating a case for remission.

On 6 April 2014, the Advisory, Conciliation and Arbitration Service (ACAS) introduced Early Conciliation²¹. This means that anybody wishing to make an Employment Tribunal Claim must notify ACAS first and be offered the chance to settle their dispute without going to court. A report on the first quarter of the service can be found here:

www.acas.org.uk/index.aspx?articleid=4960

²¹ www.acas.org.uk/index.aspx?articleid=4028

Social Security and Child Support

From April 2013, changes to the appeal process through the Welfare Reform Act 2012 began to be introduced. There were three changes:

- DWP will reconsider all decisions before an appeal can be lodged (known as mandatory reconsideration);
- appeals must be sent directly to HMCTS (known as direct lodgement);
- there are time limits for DWP to return its responses to HMCTS²².

Mandatory reconsideration and direct lodgement were introduced for Personal Independence Payment and Universal Credit appeals in April 2013. On 28 October 2013, they were introduced for all other DWP-administered benefits and child maintenance cases, and for appeals against decisions made by HMRC on 1 April 2014. Robust data is not yet available to assess the impact of these changes on tribunal receipts. DWP are looking to publish Mandatory Reconsiderations data when they judge it is of suitable quality to be published as Official Statistics.

For further information, see:

www.gov.uk/government/publications/appeals-process-changes-for-dwp-benefits-and-child-maintenance

Immigration and Asylum

In the last three years, there have been changes to the Family Visit Visa appeal rights. Firstly, the Immigration Appeals (Family Visitor) Regulations 2012 which came into force on 9 July 2012, which restricted the right of appeal to a narrower definition of family visitor²³. Secondly, the Crime and Courts Act 2013 removed the full right of appeal for family visitors and this change came into effect for new visa applicants on 25 June 2013. A limited right of appeal still remains on Human Rights or Race Discrimination grounds.

The Immigration Act 2014 removed a number of existing appeal rights against Home Office decisions. Refused applicants can now only appeal by asserting a fundamental right to enter or remain in the UK. These are Protection, Deprivation of Citizenship, Removal of Refugee Status, Human Rights or European Free Movement. It is a refusal of this decision that has an appeal right under the Act. Where appeal rights were removed and the applicant asserts the Home Office has made an error in its decision, there is now a right to an Administrative Review by the Home Office.

²² Introduced October 2014

²³ www.ukba.homeoffice.gov.uk/sitecontent/newsarticles/2012/june/25-family-visit-visa-appeal

Gender Recognition Certificates (GRC)

The introduction of the Marriage (Same Sex Couples) Act 2013 and the Marriage and Civil Partnerships (Scotland) Act 2014 changed the law in England & Wales and Scotland, meaning it is now possible for some married applicants to remain married while obtaining gender recognition. Prior to December 2014, if an applicant was married or in a civil partnership, they had to annul or dissolve the marriage/ civil partnership before being granted a full GRC.

Special Educational Needs and Disability Reforms

The Children and Families Act 2014 reformed the system of support across education, health and social care to ensure that each of the services were organised with the needs and preferences of the child and their family firmly at the centre, from birth up to the age of 25. The Act introduced Education, Health and Care (EHC) plans – legal documents that set out the education health and social care support a child or young person with SEN requires when their needs cannot be met by resources available to mainstream early years providers, schools and post-16 institutions. They are focused on the outcomes the child or young person wants to achieve and set out how the services will work together to support those outcomes. Any child brought to the attention of the local authority as potentially having a special educational need after 1 September 2014 falls under the new scheme.

Children with statements of special educational needs will gradually go through a transition process to move them into the new EHC system over the course of the next few years.

Annex G: Useful Publications

For previous publications of all Tribunals Statistics please see: www.gov.uk/government/organisations/ministry-of-justice/series/tribunals-statistics

For more information on the context for this publication please see: www.justice.gov.uk/about/hmcts/tribunals

A diagram showing the structure of the tribunal system can be found here: www.judiciary.gov.uk/about-the-judiciary/the-justice-system/court-structure/

For information on Tribunal judgements please see: www.bailii.org/databases.html#uk

Non-MoJ Scotland Tribunals

For information on non-MoJ Mental Health Tribunals Scotland please see:

www.mhtscotland.gov.uk/mhts/Annual_Reports/Annual_Reports_main

For Additional Support Needs Tribunals in Scotland please see: www.asntscotland.gov.uk/asnts/181.25.141.html

Information on the Private Rented Housing Panel (prhp), which help Scottish tenants and landlords resolve their differences can be found at the following link:

www.prhpscotland.gov.uk/

Non-MoJ Northern Ireland Tribunals

For information on Tribunals in Northern Ireland not covered by this report please see the following publications:

www.courtsni.gov.uk/en-

GB/Services/Statistics%20and%20Research/Pages/default.aspx

Employment Tribunals

The Department for Business, Innovation and Skills (BIS) have recently published a study on claimants who had been successful at the employment tribunal and were awarded money:

www.gov.uk/government/publications/payment-of-employment-tribunal-awards

The BIS Survey of Employment Tribunal Applicants can be found here: www.gov.uk/government/statistics/employment-tribunal-applications-2013-survey-findings

Social Security and Child Support

The Department for Work and Pensions (DWP) have carried out analysis on the overturn rates for Employment and Support Allowance (ESA) by region and health condition, for further information see:

<u>www.gov.uk/government/publications/employment-and-support-allowance-6</u>

Contacts

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Queries regarding the work of the Gender Recognition Panel should be directed to:

Gender Recognition Panel

PO Box 9300 Leicester LE1 8DJ

Tel: 0845 355 5155

Email: grpenquiries@hmcts.gsi.gov.uk

Other enquiries about these statistics should be directed to the Justice Statistics Analytical Services division of the MoJ:

Alison Colquhoun

Ministry of Justice 102 Petty France London SW1H 9AJ

Email: statistics.enquiries@justice.gsi.gov.uk

General enquiries about the statistics work of the MoJ can be e-mailed to statistics.enquiries@justice.gsi.gov.uk

General information about the official statistics system of the UK is available from statisticsauthority.gov.uk/about-the-authority/uk-statistical-system