

To: The Board

For meeting on: 25 November 2015

Agenda item: 8

Report by: Keith Palmer, Audit and Risk Committee Chair

Report on: Report of the Audit and Risk Committee meeting on 19/11/15

Background

1. The Chair of Audit and Risk is required to report on its work to the Board after every meeting of the Committee. This report highlights the key issues considered by the Committee.

Issues

Internal audit progress update

2. The Committee discussed progress made to date and noted that delivery of the annual plan was on track.

Audit planning report (Monitor and NHSFT Consolidated Accounts)

3. The Committee reviewed the reports and noted the key risks highlighted, including risks associated with the planned integration of Monitor with the TDA and functions from NHS England. The Committee also discussed disclosures likely to be made in the accounts of NHS foundation trusts given their current financial position.

Q2 risk report

4. The Committee discussed the Q2 risk report, and made suggestions for amendments ahead of submission to the Board. The Board is due to discuss the report at its meeting on 25 November 2015.

Draft Annual Governance Statement

5. The Committee reviewed the Draft Annual Governance Statement for 2015/16, and noted that changes may be required before it is finalised, to reflect any changes to governance that may occur in the final quarter.

Implementation of Information Governance arrangements

6. The Committee discussed the Information Governance initiatives which had been implemented since to completion of the most recent audit in this area, and noted that the internal audit would follow up to ensure all recommended actions were completed.

Keith Palmer
Audit and Risk Committee Chair

Public Sector Equality Duty

Monitor has a duty under the Equality Act 2010 to have due regard to the need to eliminate unlawful discrimination, advance equality of opportunity and foster good relations between people from different groups. In relation to the issues set out in this paper, consideration has been given to the impact that the recommendations might have on these requirements and on the nine protected groups identified by the Act (age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion and belief, gender and sexual orientation).

As it is for information, it is anticipated that none of the recommendations of this paper will have an impact upon the requirements of or the protected groups identified by the Equality Act.

Exempt information:

None of this report is exempt under the Freedom of Information Act 2000.

Annex – Minutes of the Audit and Risk Committee meeting on 19 November 2015
(to follow)