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FORM AR21

Trade Union and Labour Relations (Consolidation) Act 1992

ANNUAL RETURN FOR A TRADE UNION

Name of Trade Union:	National Union of Mineworkers (Northumberland Area)
Year ended:	31 December 2015
List no:	215T
Head or Main Office:	National Union of Mineworkers Northumberland Area 7 Esther Court Wansbeck Business Park Ashington NE63 8QZ
Website address (if available)	
Has the address changed during the year to which the return relates?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> (Click the appropriate box)
General Secretary:	Denis Murphy
Telephone Number:	01670853300
Contact name for queries regarding	Denis Murphy
Telephone Number:	01670853300
E-mail:	dm@link-connect.co.uk

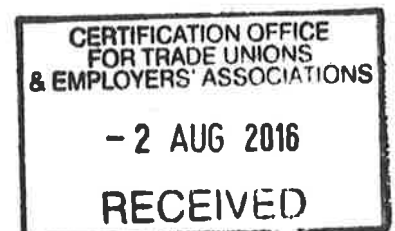
PLEASE FOLLOW THE GUIDANCE NOTES IN THE COMPLETION OF THIS RETURN.

Any difficulties or problems in the completion of this return should be directed to the Certification Officer as below or by telephone to: 020 7210 3734

The address to which returns and other documents should be sent are:

For Unions based in England and Wales:
Certification Office for Trade Unions and Employers' Associations
22nd Floor, Euston Tower, 286 Euston Road, London NW1 3JJ

For Unions based in Scotland:
Certification Office for Trade Unions and Employers' Associations
Melrose House, 69a George Street, Edinburgh EH2 2JG



(Revised February 2011)

Annexe 1

Annual Return for a Trade Union Form AR21

Name of Trade Union: National Union of Mineworkers (Northumberland Area)

Year ended: 31 December 2015

List no: **215T**

Officers in Post

Denis Murphy
Allan Stewart

Secretary
Chairman

RETURN OF MEMBERS

(see notes 10 and 11)

NUMBER OF MEMBERS AT THE END OF THE YEAR					
	Great Britain	Northern Ireland	Irish Republic	Elsewhere Abroad (including Channel Islands)	TOTALS
MALE	10				10
FEMALE					
TOTAL	10				A 10

Number of members included in totals box 'A' above for whom no home or authorised address is held:

None

Number of members at end of year contributing to the General Fund

10

OFFICERS IN POST

(see note 12)

Please attach as an annexe to this form a complete list of all officers in post at the end of the year to which this form relates, with the title of each persons office.

RETURN OF CHANGE OF OFFICERS

Please complete the following to record any changes of officers during the twelve months covered by this return.

Title of Office	Name of Officer ceasing to hold office	Name of Officer Appointed	Date

State whether the union is:

a. A branch of another trade union?

Yes

No

If yes, state the name of that other union:

National Union of Mineworkers (North East Area)

b. A federation of trade unions?

Yes

No

If yes, state the number of affiliated unions:

and names:

GENERAL FUND

(see notes 13 to 18)

	£	£
INCOME		
From Members: Contributions and Subscriptions		128
From Members: Other income from members (specify)		
Total other income from members		
Total of all income from members		128
Investment income (as at page 12)		88
Other Income		
Income from Federations and other bodies (as at page 4)	12354	
Income from any other sources (as at page 4)	622	
Total of other income (as at page 4)		12976
		TOTAL INCOME
		13192
EXPENDITURE		
Benefits to members (as at page 5)		
Administrative expenses (as at page 10)		86444
Federation and other bodies (specify)		
Affiliation fees		
Donations to other than members	1899	
Total expenditure Federation and other bodies		1899
Taxation		
		TOTAL EXPENDITURE
		88343
		Surplus (deficit) for year
		-75151
		Amount of general fund at beginning of year
		-43884
Transfer from Northumberland Mineworkers Provident and Benevolent Fund		73157
Transfer from Ellington Branch of North East Area of the NUM		107686
		Amount of general fund at end of year
		61808

ANALYSIS OF INCOME FROM FEDERATION AND OTHER BODIES AND OTHER INCOME

(see notes 19 and 20)

DESCRIPTION	£	£
Federation and other bodies		
Northumberland Mineworkers Provident and Benevolent Fund	12354	
TOTAL FEDERATION AND OTHER BODIES		12354
Other income		
Donation	233	
Donation from the Ellington Branch of the North East Area of NUM	389	
TOTAL OTHER INCOME		622
TOTAL OF ALL OTHER INCOME		12976

ANALYSIS OF BENEFIT EXPENDITURE SHOWN AT GENERAL FUND

(see notes 21 to 23)

	£		£
Representation – Employment Related Issues		brought forward	
		Education and Training services	
Representation – Non Employment Related Issues			
		Negotiated Discount Services	
Communications			
		Salary Costs	
Advisory Services			
		Other Benefits and Grants (specify)	
Dispute Benefits			
Other Cash Payments			
carried forward		Total (should agree with figure in General Fund)	

(See notes 24 and 25)

FUND 2		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

FUND 3		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

(See notes 24 and 25)

FUND 4		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

FUND 5		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

(See notes 24 and 25)

FUND 6		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

FUND 7		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

(see notes 26 to 31)

POLITICAL FUND ACCOUNT 1		To be completed by trade unions which maintain their own fund		
		£	£	
Income	Members contributions and levies			
	Investment income (as at page 12)			
	Other income (specify)			
		Total other income as specified		
		Total income		
Expenditure	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)			
	Administration expenses in connection with political objects (specify)			
	Non-political expenditure	40		
			Total expenditure	40
			Surplus (deficit) for year	-40
			Amount of political fund at beginning of year	44
			Transfer from Ellington Branch of the North East Area of the National Union of Mineworkers	48
			Amount of political fund at the end of year (as Balance Sheet)	52
			Number of members at end of year contributing to the political fund	0
			Number of members at end of the year not contributing to the political fund	10
Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund			0	

POLITICAL FUND ACCOUNT 2		To be completed by trade unions which act as components of a central trade union		
		£	£	
Income	Contributions and levies collected from members on behalf of central political fund			
	Funds received back from central political fund			
	Other income (specify)			
		Total other income as specified		
		Total income		
Expenditure	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)			
	Administration expenses in connection with political objects (specify)			
	Non-political expenditure			
			Total expenditure	
			Surplus (deficit) for year	
			Amount held on behalf of trade union political fund at beginning of year	
			Amount remitted to central political fund	
			Amount held on behalf of central political fund at end of year	
			Number of members at end of year contributing to the political fund	
			Number of members at end of the year not contributing to the political fund	
Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund				

ANALYSIS OF ADMINISTRATIVE EXPENSES AND OTHER OUTGOINGS EXCLUDING AMOUNTS CHARGED TO POLITICAL FUND ACCOUNTS

(see notes 32 and 33)

		£
Administrative Expenses		
Remuneration and expenses of staff		65646
Salaries and Wages included in above	£65646	
Auditors' fees		3936
Legal and Professional fees		0
Occupancy costs		2102
Stationery, printing, postage, telephone, etc.		1194
Expenses of Executive Committee (Head Office)		1681
Expenses of conferences		
Other administrative expenses (specify)		
Advertising		520
Bank charges		91
Miscellaneous		2703
Other Outgoings		
Interest payable:		
Bank loans (including overdrafts)		
Mortgages		
Other loans		
Depreciation		5842
Taxation (Paye from earlier periods)		
Donations		1704
Loss on sale of assets		100
Loan written off		-615
Picnic and figurine costs		1540
	Total	86444
Charged to:	General Fund (Page 3)	
	Fund (Account)	
	Fund (Account)	
	Fund (Account)	
	Fund (Account)	
	Total	86444

ANALYSIS OF OFFICIALS SALARIES AND BENEFITS

(see notes 34 to 44 below)

Office held	Gross Salary £	Employers N.I. contributions £	Benefits			Total £
			Pension Contribution s £	Other Benefits		
				Description	Value £	
General Secretary	45321	3240				48561
Member of the executive committee	11381	433				11814
Member of the executive committee	2370	0				2370
Member of the executive committee	2845	56				2901

ANALYSIS OF INVESTMENT INCOME

(see notes 45 and 46)

	Political Fund £		Other Fund(s) £
Rent from land and buildings			
Dividends (gross) from:			
Equities (e.g. shares)			
Interest (gross) from:			
Government securities (Gilts)			
Mortgages			
Local Authority Bonds			
Bank and Building Societies			
Other investment income (specify)			
HMRC			88
		Total investment income	88
Credited to:			
		General Fund (Page 3)	88
		Fund (Account)	
		Fund (Account)	
		Fund (Account)	
		Fund (Account)	
		Fund (Account)	
		Political Fund	
		Total Investment Income	88

BALANCE SHEET as at 31 December 2015

(see notes 47 to 50)

Previous Year		£	£
7840	Fixed Assets (at page 14)		1998
	Investments (as per analysis on page 15)		
	Quoted (Market value £)		
100	Unquoted		0
	Total Investments		
	Other Assets		
	Loans to other trade unions		
14172	Sundry debtors		22110
48270	Cash at bank and in hand		43696
	Income tax to be recovered		
391	Stocks of goods		11
	Others (specify) Other loans		
62833	Total of other assets		65817
70773	TOTAL ASSETS		67815
-43884	Fund (Account)		61808
	Fund (Account)		
	Fund (Account)		
	Superannuation Fund (Account)		
44	Political Fund (Account)		52
	Revaluation Reserve		
	LIABILITIES		
	Amount held on behalf of central trade union political fund		
109117	Loans: From other trade unions		0
615	Loans: Other		0
	Bank overdraft		
1738	Tax payable		924
	Sundry creditors		
3143	Accrued expenses		5031
	Provisions		
	Other liabilities		
114613	TOTAL LIABILITIES		5955
70773	TOTAL ASSETS		67815

FIXED ASSETS ACCOUNT

(see notes 51 to 55)

	Land and Buildings		Furniture and Equipment £	Motor Vehicles £	Not used for union business £	Total £
	Freehold	Leasehold £				
Cost or Valuation						
At start of year		54890	8031			62921
Additions						
Disposals						
Revaluation/Transfer s						
At end of year		54890	8031			62921
Accumulated Depreciation						
At start of year		49401	5680			55081
Charges for year		5489	353			5842
Disposals						
Revaluation/Transfer s						
At end of year		54890	6033			60923
Net book value at end of year		0	1998			1998
Net book value at end of previous year		5489	2351			7840

ANALYSIS OF INVESTMENTS

(see notes 56 and 57)

QUOTED	All Funds Except Political Funds £	Political Fund £
Equities (e.g. Shares)		
Government Securities (Gilts)		
Other quoted securities (to be specified)		
TOTAL QUOTED (as Balance Sheet)		
Market Value of Quoted Investment		
UNQUOTED		
Equities		
Co-operative Press Limited	0	
Government Securities (Gilts)		
Mortgages		
Bank and Building Societies		
Other unquoted investments (to be specified)		
TOTAL UNQUOTED (as Balance Sheet)	0	
Market Value of Unquoted Investments	0	

ANALYSIS OF INVESTMENT INCOME (CONTROLLING INTERESTS)

(see notes 58 and 59)

Does the union, or any constituent part of the union, have a controlling interest in any limited company?		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
If YES name the relevant companies:			
COMPANY NAME	COMPANY REGISTRATION NUMBER (if not registered in England & Wales, state where registered)		
Are the shares which are controlled by the union registered in the names of the union's trustees?		YES <input type="checkbox"/>	NO <input type="checkbox"/>
If NO, state the names of the persons in whom the shares controlled by the union are registered.			
COMPANY NAME	NAMES OF SHAREHOLDERS		

SUMMARY SHEET

(see notes 60 to 71)

	All funds except Political Funds £	Political Funds £	Total Funds £
INCOME			
From Members	128		128
From Investments	88		88
Other Income (including increases by revaluation of assets)	12976		12976
Total Income	13192		13192
EXPENDITURE (including decreases by revaluation of assets)	88343	40	88383
Total Expenditure	88343	40	88383
Funds at beginning of year (including reserves)	-43884	44	-43840
Transfer from Ellington Branch	107686	48	107734
Transfer from Provident and Benevolent Fund	73157		73157
Funds at end of year (including reserves)	61808	52	61860
ASSETS			
Fixed Assets			1998
Investment Assets			0
Other Assets			65817
		Total Assets	67815
LIABILITIES		Total Liabilities	5955
NET ASSETS (Total Assets less Total Liabilities)			61860

NOTES TO THE ACCOUNTS

(see notes 72 and 73)

All notes to the accounts must be entered on or attached to this part of the return.

See Attached

ACCOUNTING POLICIES

(see notes 74 and 75)

See attached

SIGNATURES TO THE ANNUAL RETURN

(see notes 76 and 77)

including the accounts and balance sheet contained in the return.

Secretary's Signature: <u><i>D. Murphy</i></u> Name: <u>DENIS MURPHY</u> Date: <u>28/07/2016</u>	Chairman's Signature: <u><i>Alan Stewart</i></u> (or other official whose position should be stated) Name: <u>ALAN STEWART</u> Date: <u>28/07/2016</u>
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CHECK LIST

(see notes 78 to 80)

(please tick as appropriate)

IS THE RETURN OF OFFICERS ATTACHED? (see Page 2 and Note 12)	YES	X	NO	<input type="checkbox"/>
HAS THE RETURN OF CHANGE OF OFFICERS BEEN COMPLETED? (see Page 2 and Note 12)	YES	X <input type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE RETURN BEEN SIGNED? (see Pages 19 and 21 and Notes 76 and 77)	YES	X	NO	<input type="checkbox"/>
HAS THE AUDITOR'S REPORT BEEN COMPLETED? (see Pages 20 and 21 and Notes 2 and 77)	YES	X	NO	<input type="checkbox"/>
IS A RULE BOOK ENCLOSED? (see Notes 8 and 78)	YES	X	NO	<input type="checkbox"/>
A MEMBER'S STATEMENT IS: (see Note 80)	ENCLOSE D	X	TO FOLLOW	<input type="checkbox"/>
HAS THE SUMMARY SHEET BEEN COMPLETED (see Page 17 and Notes 7 and 59)	YES	X	NO	<input type="checkbox"/>

AUDITOR'S REPORT

(see notes 81 to 86)

made in accordance with section 36 of the Trade Union and Labour Relations (Consolidation) Act 1992.

1. In the opinion of the auditors or auditor do the accounts they have audited and which are contained in this return give a true and fair view of the matters to which they relate?
(See section 36(1) and (2) of the 1992 Act and notes 83 and 84)

YES

If "No" please explain below.

2. Have the auditors or auditor carried out such investigations in the preparation of their audit report as will enable them to form an opinion as to:
- (a) whether the trade union has kept proper accounting records in accordance with section 28 of the 1992 Act;
 - (b) whether it has maintained a satisfactory system of control over its transactions in accordance with the requirements of that section; and
 - (c) whether the accounts to which the report relates agree with the accounting records?
- (See section 36(3) of the 1992 Act, set out in note 83)

YES

If "No" please explain below.

3. Are the auditors or auditor of the opinion that the union has complied with section 28 of the 1992 Act and has:
- (a) kept proper accounting records with respect to its transactions and its assets and liabilities; and
 - (b) established and maintained a satisfactory system of control of its accounting records, its cash holding and all its receipts and remittances.
- (See section 36(4) of the 1992 Act set out in rule 83)

YES

If "No" please explain below.

4. Please set out a copy of the report made by the auditors or auditor to the union on the accounts to which this AR21 relates. The report is to set out the basis upon which the audit has been conducted and/or such other statement as the auditor considers appropriate. Such a statement may be provided as a separate document.
(See note 85)

AUDITOR'S REPORT (continued)

NATIONAL UNION OF MINeworkERS (NORTHUMBERLAND AREA)
Independent auditors' report to the members of the National Union of
Mineworkers (Northumberland Area)

We have audited the financial statements of the National Union of Mineworkers (Northumberland Area) for the year ended 31 December 2015 which comprise the General and Political Fund Income and Expenditure Accounts, Balance Sheet and the related notes. The financial reporting framework that has been applied in the preparation is applicable law and the Financial Reporting Standard for Smaller Entities (effective January 2015).

This report is made solely to the union's members, as a body. Our audit work has been undertaken so that we might state to the union's members those matters we are required to state to them in an auditors' report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the union and the union's members as a body, for our audit work, for this report, or for the opinions we have formed.

Respective responsibilities of directors and auditors

As explained more fully in the statement of officer's responsibilities, the officer is responsible for the preparation of the accounts and for being satisfied that they give a true and fair view. Our responsibility is to audit and express an opinion on the accounts in accordance with applicable law and International Standards on Auditing (UK and Ireland). Those standards require us to comply with the Auditing Practices Board's (APB's) Ethical Standards for Auditors.

A description of the scope of an audit of financial statements is provided on the APB's website at www.frc.org.uk/apb/scope/private.cfm.

Opinion on the accounts

In our opinion the accounts:

- give a true and fair view of the state of the union's affairs as at 31 December 2015 and of its surplus or deficit for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice applicable to Smaller Entities; and
- have been prepared in accordance with the Trade Union and Labour Relations (Consolidation) Act 1992.


Opinion on other matters

In our opinion the information given in the Officer's Report for the financial year for which the financial statements are prepared is consistent with the financial statements.

Matters on which we are required to report by exception

We have nothing to report in respect of the following matters where the Trade Union and Labour Relations (Consolidation) Act 1992 requires us to report to you if, in our opinion:

- adequate accounting records have not been kept, or returns adequate for our audit have not been received from branches not visited by us; or
- the financial statements are not in agreement with the accounting records and returns; or
- we have not received all the information and explanations we require for our audit.

Signature(s) of auditor or auditors:		
Name(s):	Mai Mak	
Profession(s) or Calling(s):	Chartered Accountant	
Address(es):	Kinnair and Company Aston House, Redburn Road Newcastle upon Tyne NE5 1NB	
Date:	28/7/2016	
Contact name and telephone number:	Mai Mak 0191 2867777	

N.B. When notes to the accounts are referred to in the auditor's report a copy of those notes must accompany this return.

NATIONAL UNION OF MINeworkERS (NORTHUMBERLAND AREA)
Notes to the accounts for the year ended 31 December 2015

1 Accounting policies

The financial statements are drawn up under the historical cost convention and in accordance with the Financial Reporting standard for Smaller Entities (effective January 2015).

Tangible fixed assets

All tangible fixed assets are initially recorded at cost or valuation and depreciated over their useful economic life on a basis consistent with the depreciation policy.

Depreciation

The union's policy is to provide depreciation at a rate which is calculated to write off the cost less estimated residual value of each asset over its useful economic life, as follows;

Property Improvements	10% straight line
Fixtures, fittings and equipment	15% reducing balance

Investments

Investments are stated at cost.

Revenue Recognition

All income from members is recognised on a receivable basis except voluntary donations made by miners in compensation claims which are only recognised when received. Investment income and all other income is recognised when receivable.

Expenditure

Expenditure is accounted for when incurred on an accruals basis.

Fund accounting

The union operates a separate political fund which is used to collect funds on behalf of the National Union of Mineworkers.

2 Branches

These financial statements do not include the separate transactions and balances of any branch or section of the National Union of Mineworkers (Northumberland Area). Other branches appoint independent auditors and report separately.

NATIONAL UNION OF MINeworkERS (NORTHUMBERLAND AREA)
Notes to the accounts for the year ended 31 December 2015

3 Fixed assets

	Land & buildings £	Equipment £	Total £
Cost			
At 1 January 2015	54,890	8,031	62,921
Disposals	-	-	-
At 31 December 2015	<u>54,890</u>	<u>8,031</u>	<u>62,921</u>
Depreciation			
At 1 January 2015	49,401	5,680	55,081
Charge for the year	5,489	353	5,842
Depreciation	-	-	-
At 31 December 2015	<u>54,890</u>	<u>6,033</u>	<u>60,923</u>
Net book value			
At 31 December 2015	<u>-</u>	<u>1,998</u>	<u>1,998</u>
At 31 December 2014	<u>5,489</u>	<u>2,351</u>	<u>7,840</u>

4 Investments

	Unquoted equities 2015 £	Total 2014 £
Cost		
At 1 January 2015	100	100
Disposals	(100)	
At 31 December 2015	<u>-</u>	<u>100</u>
Market value of investments	<u>-</u>	<u>100</u>

5 Sundry debtors

	2015 £	2014 £
Other debtors	22,110	14,172
	<u>22,110</u>	<u>14,172</u>

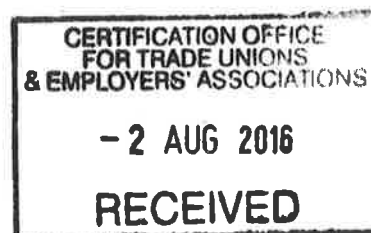
NATIONAL UNION OF MINeworkERS (NORTHUMBERLAND AREA)
Notes to the accounts for the year ended 31 December 2015

6 Loans from other trade unions			2015	2014
			£	£
NUM Ellington Branch			-	109,117
			<u>-</u>	<u>109,117</u>
7 Other loans payable			2015	2014
			£	£
NUM Bates Branch			-	615
			<u>-</u>	<u>615</u>
8 Tax payable			2015	2014
			£	£
Other taxes and social security costs			924	1,738
			<u>924</u>	<u>1,738</u>
9 Funds				
	1 Jan-15	Net deficit	Transfers	31 Dec-15
	£	£	£	£
General fund	(43,884)	(75,151)	180,843	61,808
Political fund	44	(40)	48	52
	<u>(43,840)</u>	<u>(75,191)</u>	<u>180,891</u>	<u>61,860</u>

**NATIONAL UNION OF
MINEWORKERS
(NORTHUMBERLAND AREA)**

Statement to members
for the year ended

31 December 2015



NATIONAL UNION OF MINeworkERS (NORTHUMBERLAND AREA)
Statement to the Members issued in connection with the Union's
Annual return for the year ended 31 December 2014 as required by
Section 32A of Trade Union and Labour Relations(Consolidation) Act 1992

Income and Expenditure

The total income of the union for the period was £13,192, £128 of which was in respect of membership of the union; and total expenditure of the union was £88,343. There was no income in respect of the union's political fund and £40 of expenditure.

Salary paid to and other benefits provided to the Secretary and members of the Executive

No salaries or pensions were paid to the Secretary or members of the executive committee during the year.

Irregularity Statement

A member who is concerned that some irregularity may be occurring, or have occurred, in the conduct of the financial affairs of the union may take steps with a view of investigating further, obtaining clarification and, if necessary, securing regularisation of that conduct.

The member may raise any such concern with such one or more of the following as it seems appropriate to raise it with: the official of the union, the trustees of the property of the union, the auditor or auditors of the union, the Certification Officer (who is an independent officer appointed by the Secretary of State) and the police.

Where a member believes that the financial affairs of the union have been or are being conducted in breach of the law or in breach of rules of the union and contemplates bringing civil proceedings against the union or responsible officials or trustees, he should consider obtaining independent legal advice.


.....
D Murphy - Secretary

28/7/2016
.....

NATIONAL UNION OF MINeworkERS (NORTHUMBERLAND AREA)

General fund

Income and Expenditure Account for the year ended 31 December 2015

	2015 £	2014 £
Income		
Contributions and subscriptions from members	128	428
Other income from members	-	-
Investment income	88	13
Income from other federations and bodies	12,743	22,808
Other income	233	47,607
	<u>13,192</u>	<u>70,856</u>
Expenditure		
Total expenditure	<u>88,343</u>	<u>121,453</u>
Retained general fund (deficit)/surplus for the year	(75,151)	(50,597)
Transfer from Ellington Branch of the North East Area of the National Union of Mineworkers	107,686	-
Transfer from the Northumberland Mineworkers Provident and Benevolent Fund	<u>73,157</u>	<u>-</u>
Retained general fund (deficit)/surplus for the year	<u>105,692</u>	<u>(50,597)</u>

Political fund

Income and Expenditure Account for the year ended 31 December 2015

	2015 £	2014 £
Income		
Members contributions and levies	<u>-</u>	<u>-</u>
	<u>-</u>	<u>-</u>
Expenditure		
Bank charges	40	-
	<u>40</u>	<u>-</u>
Retained political fund surplus for the year	<u>(40)</u>	<u>-</u>

Amounts included within general fund expenditure

	2015 £	2014 £
Remuneration and benefits of officials		
<i>Secretary</i>		
Salary	48,561	57,020
	<u>48,561</u>	<u>57,020</u>
<i>Executive committee members</i>		
Salaries	17,085	23,868
	<u>17,085</u>	<u>23,868</u>
Total Salaries and Benefits to Officials	<u>65,646</u>	<u>80,888</u>

NATIONAL UNION OF MINeworkERS (NORTHUMBERLAND AREA)

**Independent auditors report to the members of the National Union Of Mineworkers
Northumberland Area**

On 28 July 2016 we reported as auditors of the National Union of Mineworkers (Northumberland Area) to the members on the financial statements prepared under the Trade Union and Labour Relations (Consolidation) Act 1992 for the year ended 31 December 2015. Our audit report was as follows:

Auditor's report made in accordance with section 36 of the Trade Union and Labour Relations (Consolidation) Act 1992

In the opinion of the auditors or auditor do the accounts they have audited and which are contained in this return give a true and fair view of the matters to which they relate?

YES

Have the auditors or auditor carried out such investigations in the preparation of their audit report as will enable them to form an opinion as to:

- (a) Whether the trade union has kept proper accounting records in accordance with section 28 of the 1992 Act;
- (b) whether it has maintained a satisfactory system of control over its transactions in accordance with the requirements of that section; and
- (c) Whether the accounts to which the report relates agree with the accounting records?

YES

Are the auditors or auditor of the opinion that the union has complied with section 28 of the 1992 Act and has:

- (a) Kept proper accounting records with respect to its transactions and its assets and liabilities; and
- (b) established and maintained a satisfactory system of control of its accounting records, its cash holding and all its receipts and remittances.

YES

Please set out a copy of the report made by the auditors or auditor to the union on the accounts to which this AR21 relates. The report is to set out the basis upon which the audit has been conducted and/or such other statement as the auditor considers appropriate. Such a statement may be provided as a separate document.

**Independent auditors report to the members of the National Union Of Mineworkers
Northumberland Area**

We have audited the financial statements of the National Union of Mineworkers (Northumberland Area) for the year ended 31 December 2015 which comprise the General and Political Fund Income and Expenditure Accounts, Balance Sheet and the related notes. The financial reporting framework that has been applied in the preparation is applicable law and the Financial Reporting Standard for Smaller Entities (effective January 2015).

This report is made solely to the union's members, as a body. Our audit work has been undertaken so that we might state to the union's members those matters we are required to state to them in an auditors' report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the union and the union's members as a body, for our audit work, for this report, or for the opinions we have formed.

continued . . .

NATIONAL UNION OF MINeworkERS (NORTHUMBERLAND AREA)

Independent auditors report to the members of the National Union Of Mineworkers Northumberland Area

Respective Responsibilities of Officials and Auditors

As explained more fully in the statement of officers' responsibilities, the officer is responsible for the preparation of the accounts and for being satisfied that they give a true and fair view. Our responsibility is to audit and express an opinion on the accounts in accordance with applicable law and International Standards on Auditing (UK and Ireland). Those standards require us to comply with the Auditing Practices Board's (APB's) Ethical Standards for Auditors.

Scope of the audit of the accounts

A description of the scope of an audit of financial statements is provided on the APB's website at www.frc.org.uk/apb/scope/private.cfm.

In our opinion the accounts:

In our opinion the accounts:

- give a true and fair view of the state of the union's affairs as at 31 December 2014 and of its surplus or deficit for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice applicable to Smaller Entities; and
- have been prepared in accordance with the Trade Union and Labour Relations (Consolidation) Act 1992.

Opinion on other matters

In our opinion the information given in the Officers' Report for the financial year for which the financial statements are prepared is consistent with the financial statements.

Matters on which we are required to report by exception

We have nothing to report in respect of the following matters where the Trade Union and Labour Relations (Consolidation) Act 1992 requires us to report to you if, in our opinion:

- adequate accounting records have not been kept, or returns adequate for our audit have not been received from branches not visited by us; or
- the financial statements are not in agreement with the accounting records and returns; or
- we have not received all the information and explanations we require for our audit.

Kinnair & Company

Kinnair & Company
Chartered Accountants & Statutory Auditors
Newcastle Upon Tyne

28/7/2016