Appendix H – Learning Aim Class Codes for 2016 to 2017

Title	Appendix H: Learning aim class codes for 2016 to 2017
Purpose	To provide a technical specification of the classification and coding system to be used to record non-regulated provision in
	the ILR for 2016 to 2017.
Intended Audience	This technical document is aimed at those responsible for making data returns; data specification implementation; and MI system design (including MI managers, commercial software suppliers and own software writers).
Version	2

Document History

Version 1: Published April 2016. Changes from 2015 to 2016 are highlighted in yellow

Version 2: Published December 2016. Changes from version 1 are highlighted in green

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1. Introduction

This document contains details of the generic learning aim codes that are available for use in the Individualised Learner Record (ILR) in 2016 to 2017 to record non regulated provision. You should only use non regulated provision in areas already identified and agreed by the funding agencies as detailed in their published funding documentation.

Non-regulated learning aims that are at notional level 3 or above, starting on or after 1 August 2016 will not be funded by the Skills Funding Agency (SFA). Funding will be available for continuing learners only.

The categories of generic learning aim codes for use in the ILR Learning aim reference field (field LearnAimRef) are described but are not all listed in this document. The full set of codes can be found in the Learning Aims Reference Service (LARS). The LARS holds details of all learning aims and their funding eligibility which is determined by the funding policies of the SFA and Education Funding Agency (EFA). The (LARS) refers to the Learning Aim search on the Hub and the downloadable databases.

The generic learning aim codes are sequential numeric codes prefixed by the letter 'Z', for example: Z0000353.

The Learning aims search function offers a category search filter, which can used to search for codes in each of the categories listed in this document. The category search filter can be found within the 'Additional filters' section on the Learning Aims search page.

2. Overview of Generic Learning Aim Codes

The table below provides an overview of the categories of generic learning aim codes to be used, and identifies the type of provision they should be used for. The provision can be either:

- funded by the EFA using the 16-19 EFA Funding model (FundModel=25 or 82), or
- funded by the SFA using the:
 - Adult Skills funding model (FundModel=35)
 - Community Learning funding model (FundModel=10) or (FundModel=99 and SOF=108)
 - o ESF funding model (FundModel=70) or
 - Other SFA funding model (FundModel= 81)
 - Apprenticeships from 1 May 2017 (FundModel = 36)
- available for non-funded learning aims (FundModel=99)

		Availability by LARS Validity Category / Funding Model					
Category	LARS Category Ref		16-19 EFA	Adult Skills and OLASS	Community Learning ₁	ESF	Other
			FundModel = 25 or 82	FundModel = 35	FundModel =10	FundModel = 70	FundModel = <mark>36,</mark> 81 or 99
			Validity Category = 16-19_EFA	Validity Category = ADULT_SKILLS; OLASS_ADULT	Validity Category = COMM_LEARN	Validity Category = ESF	Validity Category = ANY
A	23	Non Regulated Provision (SFA Formula funded)		Yes		Yes	
В	<mark>24</mark>	Non regulated English, Maths and ESOL Provision (SFA Formula funded)		Yes		Yes	
D	<mark>26</mark>	Innovation Code		Continuing learners only			Continuing learners only
E	27	Non Regulated Provision (not Community Learning or SFA Formula Funded)	Yes				Yes
F	28	Non Regulated Provision (Community Learning)			Yes		Yes ¹ (except FundModel = 36)
G	<mark>29</mark>	Non regulated English, Maths and ESOL Provision (not SFA Formula Funded)	Yes		Yes		Yes (except FundModel = 36)
Н	<mark>30</mark>	Units of NQF qualifications					Continuing learners only
1	<mark>31</mark>	Work experience/placement	Yes	Yes Traineeships only		Yes	
J	<mark>32</mark>	Supported Internship	Yes				
K	<mark>33</mark>	Programme aim	Yes	Yes			Yes
L	<mark>34</mark>	ESF				Yes	
М	<mark>35</mark>	Conversion Codes between Higher National Qualifications					Yes (except FundModel = 36)
0	<mark>36</mark>	Education Assessments		Yes OLASS only			

¹ Also available for Community learning provision that is sub-contracted to a college and recorded using funding model 99 Aims listed on the LARS in the Unemployed Validity category are only available for continuing learners.

Category C: NQF Units of Adult Basic Skills Certificates (SFA Formula funded), has been removed for 2016 to 2017.

3. Skills Funding Agency Adult Education Budget Funded Provision

As part of local flexibilities, non-regulated learning aims can be used in 2016 to 2017 as set out in the Skills Funding Agency: common funding rules section A10.2.

There are four elements associated with each of the generic learning aim codes for Adult Skills funded provision.

- 1) Notional Level of learning the level of learning, for example: Entry, Level 1 and Level 2.
- **2) Sector** the Sector Subject Area (SSA) of the provision using the tier 2 classification. See Section 6 for a listing of the tier 2 sector subject areas.
- 3) Funding Band Non regulated learning aims that are funded through the Adult Skills funding methodology will be funded using rates drawn down from the single activity matrix which can be found in the 'Funding Rates and Formula 2016 to 2017' document:
 https://www.gov.uk/government/collections/sfa-funding-rates
- **4) Programme weighting** The Programme weighting is determined by the Sector Subject Area (SSA) tier 2 category of the provision

Providers should choose the appropriate code from the LARS depending upon the level of learning, the sector subject area, the funding band hours and the programme weighting of the learning aim being delivered.

For example: If a provider is delivering 150 hours of learning at entry level in Work skills, then the following code would be used:

Z0001543 - Non regulated SFA formula funded provision, Entry Level, Preparation for Work, 101 to 196 hrs, PW A – Base

Category A: Non Regulated Provision (SFA Formula funded)

You should use the codes in this category for non-regulated provision funded through the Adult Skills funding model (FundModel 35) or ESF funding model (FundModel 70), unless it is English, Maths or ESOL. Use the distinct codes from Category B detailed below to record English, Maths and ESOL. Each code includes the level of learning (Entry level to Level 2), Sector Subject Area (tier 2), funding band hours and Programme Weighting of the learning aim.

For example:

Z0001543	Non regulated SFA formula funded provision, Entry Level, Preparation for Work, 101 to 196 hrs, PW A
Z0003079	Non regulated SFA formula funded provision, Level 1, Crafts, Creative Arts and Design, 45 to 68 hrs, PW C

Category B: Non Regulated English, Maths and ESOL Provision (SFA Formula funded)

You should use the codes in this category for non-regulated English, Maths and ESOL provision that is funded through the Adult Skills funding model (FundModel 35) or ESF funding model (FundModel 70).

There are separate codes for English, Maths and ESOL provision. Each code includes the level of learning (Pre-Entry level to Level 2) and funding band hours of the learning aim.

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For example:

Z0004301	Non regulated SFA formula funded provision, Pre-Entry Level, ESOL, 197 to 292 hrs	
Z0004407	Non regulated SFA formula funded provision, Entry Level, Maths, 93 to 100 hrs	

Category C: NQF Units of Adult Basic Skills Certificates (SFA Formula funded), has been removed for 2016 to 2017.

Category D: Innovation Code

You can only use the Innovation codes for learners who started prior to 1 August 2016. They are not available for new starters in 2016 to 2017.

4. EFA Funded, Skills Funding Agency Funded (not SFA Formula funded) and Non funded provision

The following categories of codes should be used to record non regulated provision funded through the following funding models:

- 16-19 EFA funding models (FundModel 25 or 82)
- Other SFA funding model (FundModel 81). This includes non-regulated learning delivered as part of an apprenticeship standard.
- Community Learning funding model (FundModel 10)
- Non funded learning aims (FundModel 99)

There are three categories of generic learning aim codes. These codes follow a similar structure to those used for SFA formula funded provision but do not contain details of a funding band or programme weighting.

Category E: Non Regulated Provision (EFA funded, Other SFA funded, Apprenticeships, Non-funded)

You should use the codes in this category for non-regulated provision that is funded through the EFA funding models (FundModel 25 or 82), Other SFA funding model (FundModel 81), Apprenticeships funding model (FundModel 36) or for non-funded learning aims (FundModel 99), unless it is English, Maths or ESOL. Use the distinct codes from Category G detailed below to record English, Maths and ESOL, if applicable.

This category of codes is not available for Community Learning funded provision, which should be recorded using codes from category F.

These codes include the level (Entry level to Level 6) and Sector Subject Area (tier 2) of the learning aim.

For example:

20001729 Non regulated provision, Entry Level, Medicine and Dentistry	Z0001729	Non regulated provision, Entry Level, Medicine and Dentistry
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Category F: Non Regulated Provision (Community Learning)

Codes in this category should be used for the majority of non-regulated provision delivered under Community learning funding unless it is English, Maths or ESOL, which should be recorded using codes from category G. These codes do not have a notional level of learning and describe only the Sector Subject Area (tier 2) of the learning aim.

For example:

	Non regulated Community Learning provision, Crafts, Creative Arts and
Z0002105	Design

Category G: Non regulated English, Maths and ESOL Provision (EFA funded, Other SFA funded, Community Learning funded, Non-funded)

Codes in this category should be used to record non regulated English, Maths and ESOL provision that is based on national standards for adult literacy and numeracy.

For EFA funded learners these codes should only be used when this provision meets the needs of learners. The EFA expects that all learners who are capable of taking approved qualifications to be on substantial programmes that include these.

There are separate codes for English, Maths and ESOL provision for each level of learning (Pre-Entry level to Level 3)

For example:

Z0002130 Non regulated provision, Entry Level, ESOL

Category H: Units of NQF qualifications

You can only use the codes in this category for units of NQF qualifications for learners who started them prior to 1 August 2016. They are not available for new starters in 2016 to 2017 and will be removed completely for 2017 to 2018.

Units of QCF qualifications and Components of RQF qualifications

The <u>Regulated Qualification Framework (RQF)</u> was introduced from the 1 October 2015 and replaces both the Qualifications and Credit Framework (QCF) and the National Qualifications Framework (NQF).

Components of RQF qualifications that are eligible for Skills Funding Agency funding are listed individually on LARS. If you need to record an RQF component for non-funded provision that is not listed on LARS then you should contact the Central Delivery Service team to request that this is added to LARS.

5. Other Class Codes

Category I: Work experience/placement

Work experience within an EFA funded study programme or a traineeship

These codes should be used to record periods of time spent on work experience or work placements that are undertaken as part of an EFA funded study programme or a traineeship, where the learner is placed with an employer to develop job-specific skills and gain an awareness of a particular sector. Internal work placements that take place within a college environment should also be recorded using these codes. Non regulated work preparation activity should be recorded using codes from Category A or E.

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Providers should use the applicable code that describes the total number of hours undertaken by the learner during their work experience/work placement.

Learning Aim Reference	Learning Aim Title	Validity
Z0007834	Work experience/placement, 0 to 49 hrs	16-19 EFA
Z0007835	Work experience/placement, 50-99 hrs	16-19 EFA
Z0007836	Work experience/placement, 100-199 hrs	16-19 EFA, Adult Skills (Traineeships only)
Z0007837	Work experience/placement, 200-499 hrs	16-19 EFA, Adult Skills (Traineeships only)
Z0007838	Work experience/placement, 500+ hrs	16-19 EFA, Adult Skills (Traineeships only)

You must also record further details of the work experience/placement using the Work Placement data entity in the ILR. See the ILR Specification for further details.

ESF funded work experience

The following codes have been added to record work experience or work placements that are funded as part of an ESF contract, and are only valid for the ESF funding model (code 70).

Learning Aim Reference	Learning Aim Title
Z0009067	Work experience/placement, Up to 2 hrs, PW A
Z0009068	Work experience/placement, 3 to 4 hrs, PW A
Z0009069	Work experience/placement, 5 to 6 hrs, PW A
Z 0009070	Work experience/placement, 7 to 12 hrs, PW A
Z0007861	Work experience/placement, 13 to 20 hrs, PW A
Z0007862	Work experience/placement, 21 to 44 hrs, PW A
Z0007863	Work experience/placement, 45 to 68 hrs, PW A
Z0007864	Work experience/placement, 69 to 92 hrs, PW A
Z0007865	Work experience/placement, 93 to 100 hrs, PW A
Z0007866	Work experience/placement, 101 to 196 hrs, PW A
Z0007867	Work experience/placement, 197 to 292 hrs, PW A
Z0007868	Work experience/placement, 293 to 388 hrs, PW A
Z0007869	Work experience/placement, 389 to 580 hrs, PW A
Z0007870	Work experience/placement, 581 to 1060 hrs, PW A
Z0007871	Work experience/placement, 1061 + hrs, PW A

Learning aim reference – Z0007860 ('Work experience/placement, Up to 12 hrs, PW A') can only be used by learners who started the aim prior to 1 August 2016. It is not available for new starters in 2016 to 2017.

Category J: Supported Internship

Code Z0002347 should be used for EFA funded learners to record a study programme which is delivered mainly on an employer's premises, for young people aged 16-24 who have learning difficulties and/or disabilities and are subject to a learning difficulty assessment (education, health and care plan in the future); for whom an apprenticeship is not a realistic option.

Providers must also record further details of the supported internship using the Work Placement data entity in the ILR. See the ILR Specification for further details

Category K: Programme Aims

The code ZPROG001 is used in the Learning aim reference field, for apprenticeship and traineeship programme aims.

Category L: ESF funded

The following learning aim reference must be recorded for all ESF funded learners.

Learning Aim Reference	Learning Aim Title
ZESF0001	ESF learner start and assessment

Non-regulated learning across multiple sector subject areas

If a learner is following a programme of learning that involves learning across several sector subject areas then you should record one of the learning aims in the range (Z0007848 - Z0007859). However, if the learner is undertaking learning in a single sector subject area then you should use a learning aim reference from Category A.

For example:

70007849	Non regulated SFA formula funded provision, Mixed Sector Subject Areas, 13 to 20 hrs
	1 11011 10 galacoa di 71 10111 alia 141140a provincion, Milkoa dobitor dabjobi 711040, 10 to 20 1110

Non-regulated learning - Preparation for work or Foundations for learning and life

If a learner is undertaking learning in sector subject area 14.1 (Foundations for Learning and Life) or 14.2 (Preparation for work) and the learning has no defined indicative level, then you should record one of the learning aims in the range (Z0007872 - Z0007895). Where the level of learning is known, you should record an aim from Category A instead.

For example:

		Non regulated SFA formula funded provision, No defined level, Preparation for Work, 69
Z00078	376	to 92 hrs, PW A
		Non regulated SFA formula funded provision, No defined level, Foundations for Learning
Z00078	888	and Life, 69 to 92 hrs, PW A

The learning aim reference XESF0001 is not valid for use in the 2014-20 programme.

Category M: Conversion Codes between Higher National Qualifications

The following codes should be used to record the conversion from a Higher National Certificate to a Higher National Diploma.

These codes have the following characteristics:

Learning Aim Reference	00283xxx
Title	HNC to HND Conversion Code – zzzzzzzzzzzz
	where zzzzzzzzz is the subject matter.
Learning Aim Type	1454 (Higher National Certificate to Higher
	National Diploma (HNC to HND) Conversion
	Code

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Category O: Education Assessments

These codes should be used to record education assessments that are carried out by a provider.

Learning Aim Reference	Learning Aim Title	Validity
Z0007842	Education assessment in Maths	OLASS
Z0007843	Education assessment in English	OLASS

These codes should only be used for OLASS education assessments.

6. Sector Subject Areas

The sector subject areas (also called sector subject categories) are a single framework of sectors and subjects used to categorise qualifications. This common framework was developed for use across relevant education agencies and bodies in England, Wales and Northern Ireland.

SSA Tier 1 Code	SSA Tier 1 Description	SSA Tier 2 Code	SSA Tier 2 Description
01	Health, Public Services and Care	01.1	Medicine and Dentistry
		01.2	Nursing and Subjects and Vocations Allied to Medicine
		01.3	Health and Social Care
		01.4	Public Services
		01.5	Child Development and Well Being
02	Science and Mathematics	02.1	Science
		02.2	Mathematics and Statistics
03	Agriculture, Horticulture and Animal Care	03.1	Agriculture
		03.2	Horticulture and Forestry
		03.3	Animal Care and Veterinary Science
		03.4	Environmental Conservation
04	Engineering and Manufacturing Technologies	04.1	Engineering
		04.2	Manufacturing Technologies
		04.3	Transportation Operations and Maintenance
05	Construction, Planning and the Built Environment	05.1	Architecture
		05.2	Building and Construction
		05.3	Urban, Rural and Regional Planning
06	Information and Communication Technology	06.1	ICT Practitioners
		06.2	ICT for Users
07	Retail and Commercial Enterprise	07.1	Retailing and Wholesaling

SSA Tier 1 Code	SSA Tier 1 Description	SSA Tier 2 Code	SSA Tier 2 Description
		07.2	Warehousing and Distribution
		07.3	Service Enterprises
		07.4	Hospitality and Catering
08	Leisure, Travel and Tourism	08.1	Sport, Leisure and Recreation
		08.2	Travel and Tourism
09	Arts, Media and Publishing	09.1	Performing Arts
		09.2	Crafts, Creative Arts and Design
		09.3	Media and Communication
		09.4	Publishing and Information Services
10	History, Philosophy and Theology	10.1	History
		10.2	Archaeology and Archaeological Sciences
		10.3	Philosophy
		10.4	Theology and Religious Studies
11	Social Sciences	11.1	Geography
		11.2	Sociology and Social Policy
		11.3	Politics
		11.4	Economics
		11.5	Anthropology
12	Languages, Literature and Culture	12.1	Languages, Literature and Culture of the British Isles
		12.2	Other Languages, Literature and Culture
		12.3	Linguistics
13	Education and Training	13.1	Teaching and Lecturing
		13.2	Direct Learning Support
14	Preparation for Life and Work	14.1	Foundations for Learning and Life
		14.2	Preparation for Work

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SSA Tier 1 Code	SSA Tier 1 Description	SSA Tier 2 Code	SSA Tier 2 Description
15	Business, Administration and Law	15.1	Accounting and Finance
		15.2	Administration
		15.3	Business Management
		15.4	Marketing and Sales
		15.5	Law and Legal Services