

The Sustainability and Transformation Fund and financial control totals for 2016/17: methodology

March 2016

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1. Introduction

NHS Improvement (Monitor and the NHS Trust Development Authority) wrote to NHS trusts and NHS foundation trusts about their financial outturn for 2015/16 and plans for 2016/17 on 15 January 2016. These letters set out provisional allocations of the recently announced Sustainability and Transformation Fund (STF) and an indicative financial control total for each provider.

The provisional allocation of the STF has been calculated by NHS Improvement and agreed with NHS England. Sustainability and Transformation funding will be paid by clinical commissioning groups (CCGs), but approved by NHS Improvement, NHS England, the Department of Health and HM Treasury on delivery of quarterly milestones for agreed control totals, access standards and transformation. CCGs will not be allowed to attach any additional conditions to payment of the fund. Sustainability and Transformation funding will be ringfenced as pass-through payments to providers that are in addition to normal contractual payments.

NHS Improvement is in the process of reviewing draft operating plans submitted on 8 February 2016, and will consider any representations made by providers before confirming the final allocations and control totals in time for the final plan submissions on 11 April 2016.

This methodology paper provides a brief overview of the principles and method used to determine provisional allocations of the STF and calculate indicative financial control totals. It is divided into sections on principles, allocation of the fund, and control totals. Alongside this document, we will also publish questions and answers in [*The Sustainability and Transformation Fund and financial control totals for 2016/17: your questions answered.*](#)

2. Principles

The planning guidance, [*Delivering the Forward View: NHS planning guidance 2016/17 – 2020/21*](#), stated that £1.8 billion of income from the 2016/17 Sustainability and Transformation Fund would be distributed to providers based on an allocation determined by NHS Improvement and then agreed with NHS England.¹ The STF replaces the need for the current scale of direct Department of Health cash funding for providers.

To ensure a robust basis for allocation of the STF, NHS Improvement applied four principles when developing and agreeing the allocation and conditions of the fund. These principles required that the fund should:

¹ *Delivering the Forward View: NHS planning guidance 2016/17 – 2020/21*, paragraph 32. Available at: www.england.nhs.uk/ourwork/futurenhs/deliver-forward-view/

1. support the provision of emergency services and address the financial and operational challenges of providers in connection with the provision of those services
2. support the objectives set out in the planning guidance, including the requirement that during 2016/17 the NHS trust and foundation trust sector must, in aggregate, return to financial balance
3. support the overall sustainability of the provider sector by incentivising greater efficiency savings in future without rewarding past poor- or under-performance compared to plan
4. be explained to stakeholders as clearly and transparently as practicable.

Based on these principles, NHS Improvement has agreed with NHS England that a 'general element' of £1.6 billion of the STF will be allocated to providers of emergency care that have been under the greatest financial pressure. We have also agreed that a 'targeted element' of £0.2 billion will be used to support providers to achieve overall sustainability by driving maximum efficiencies, delivering a greater than one-for-one benefit as a result of additional funding.

The overall split between the general element and the targeted element of the fund was determined taking into account the pressure faced by providers of emergency services and the need to provide as much certainty as possible on the allocation of the fund ahead of the submission of 2016/17 draft operating plans on 8 February 2016. This split means that majority of the fund is provisionally allocated based on a simple and replicable formula.

3. Sustainability and Transformation Fund allocation

General element of the fund

The general element of the fund was provisionally allocated based on the provision of emergency services. The share allocated to each provider was calculated based on the cost of providing emergency services as reported in 2014/15 reference costs.²

Table 1 below shows the Point of Delivery codes that were used to identify the cost of emergency services using reference costs.

Table 1: Cost data for emergency spend using 2014/15 reference costs

Point of Delivery code	Description
EM	Emergency medicine
NEL	Non-elective inpatients – long stay
NEL_XS	Non-elective inpatients – excess bed days
NES	Non-elective inpatients – short stay

Source: NHS Improvement analysis

² www.gov.uk/government/publications/nhs-reference-costs-2014-to-2015

Reference cost data were used without any adjustment for the market forces factor (MFF). This approach was adopted so that allocations would take into account the regional differences in costs which are reflected in un-adjusted reference costs. Where a provider has merged with another organisation since 2014/15, we have reassigned the costs reported in reference costs to the new merged organisation.

To calculate the provisional allocation of the general element of the fund, we applied the following formula for each provider:

$$\left(\frac{\text{Emergency services cost}}{\text{Total emergency services cost}} \right) \times \text{£1.6 billion} = \text{Provisional allocation}$$

Annex 1 sets out our calculations for all NHS trusts and foundations trusts.

The provisional allocation method is based on publicly available data and is replicable.

The allocation is linked to the cost of providing emergency services and not historic performance against plan or deficits. The provisional allocation method will support the provision of emergency services and help to address the financial and operational challenges associated with the provision of those services.

Regional variation in costs is taken into account by using reference costs that are not adjusted for the market forces factor. This also means that providers with higher costs, either as a result of structurally higher costs or inefficiency, will get a proportionally larger share. This ensures both that those with higher structural costs are treated fairly and that resources are targeted at providers with the greatest opportunity for efficiency improvement. We expect this funding to help these organisations deliver greater efficiencies in future.

Monitor's research on why NHS trusts and foundation trusts failed to meet the 95% four-hour waiting-time standard for A&E departments in 2014/15 shows that high occupancy rates in other hospital departments were the main driver of poor performance.³ We expect the allocation of funding based on the cost of emergency services to incentivise providers to reduce deficits and increase surpluses, improve performance against access standards, and make progress on transformation, as set out in the conditions attached to the fund.

Targeted element of the fund

The targeted element will be allocated on a case-by-case basis, where it can be used to support the greatest efficiency savings by providers. NHS Improvement will provide further guidance on the allocation of the targeted element of the fund later in the planning process. Providers who receive funds from the targeted element of the

³ www.gov.uk/government/publications/ae-delays-why-did-patients-wait-longer-last-winter

fund will be required to agree revised control totals that reflect the additional funding and the efficiencies agreed.

4. Control totals

Access to the STF is conditional on providers agreeing to financial control totals for 2016/17 that will allow the NHS trust and foundation trust sector to return to aggregate financial balance. These control totals will be part of the new financial oversight regime that NHS Improvement will put in place for 2016/17. The control totals apply to 2016/17 and are predicated on a 2015/16 aggregate deficit of £1.8 billion for the NHS trust and foundation trust sector. They will not be adjusted for under-performance in 2015/16.

The control totals reflect the minimum improvement in financial position that NHS Improvement expects each provider to be able to achieve in 2016/17, given their provisional allocation of the STF. This takes into account each provider's current financial position and opportunities for efficiencies. The control totals have been calculated using an impact assessment model developed by NHS Improvement. The model takes into account a range of known factors at an individual provider level. The mechanics of the model are explained below.

Baseline for 2016/17

The 2016/17 financial plan for each provider will be contingent on its 2015/16 year-end financial position. For the purpose of setting control totals, NHS Improvement has calculated a baseline using 2015/16 Month 6 forecast net surplus/deficit for each provider.⁴ Any further deterioration in this position will require the relevant providers to deliver higher efficiency levels to achieve their 2016/17 control totals.

The forecast net surplus/deficit for each provider was then adjusted as follows:

- non-recurrent cost improvement programmes are removed
- known asset sales or acquisitions are normalised
- fines and sanctions are added back⁵ to align with national policy
- any deterioration in financial performance after Month 6 is assumed to be recovered by the end of the financial year
- efficiency savings in Q4 as a result of agency controls and other recurrent savings are assumed to equal 0.5% of non-pass-through clinical income and are added to the baseline⁶

⁴ For NHS trusts we use the adjusted financial performance metric. For foundation trusts we use net surplus/deficit before impairments and transfers on absorption.

⁵ This adjustment is based on data from NHS Improvement's special data collection on fines and sanctions as at Month 8.

- accounting adjustments in Q4 are assumed to be non-recurrent and are not included in the baseline
- activity growth is assumed to impact both operating costs and revenue equally so the net impact on the bottom line position is neutral.

These adjustments calculate a normalised baseline for 2016/17 while also factoring in the additional expected efficiency savings from measures undertaken in Q4 2015/16.

Incremental policy impacts for 2016/17

The baseline was then adjusted for known incremental impacts in 2016/17 as follows:

- the net efficiency factor and cost inflation uplift of +1.1% is applied to non-pass-through clinical income
- other tariff impacts, including:
 - the net impact of Clinical Negligence Scheme for Trust (CNST) uplifts in the tariff and increases in CNST contributions by provider
 - the impact of a limited number of changes to relative prices (excluding CNST) between the 2015/16 Enhanced Tariff Option (ETO) and the 2016/17 tariff prices that have been proposed by Monitor and NHS England
 - suspending the risk share for prescribed specialised services (for ETO providers)
 - the effect of moving from 2014/15 national prices to ETO-based national prices (for Default Tariff Rollover (DTR) providers)
 - the assumed impact of updating local prices to be in line with the cumulative net efficiency factor and cost inflation uplift for 2015/16 and 2016/17 (for DTR providers)
 - the increase in the marginal rate for emergency admissions from 30% to 70% (for DTR providers)
 - the reinstatement of Commissioning for Quality and Innovation (CQUIN) (for DTR providers)
- changes to Care Quality Commission (CQC) fees and transition payments on education and training tariffs.

⁶ This includes expected savings of £160m in the second half of 2015/16 due to spending controls for agency staff and management consultancy. See: <https://www.gov.uk/government/news/nhs-clampdown-on-staffing-agencies-saves-millions-of-pounds>

These adjustments take into account known national policy impacts in 2016/17 so that the control total more accurately reflects the financial pressures faced by each provider.

Expected performance for 2016/17

Expected efficiency savings and cost inflation are applied, consistent with the assumptions set out in the tariff. Additional adjustments are then applied to ensure that providers are held to account for delivery of non-recurrent savings in 2015/16 and previous agreements. The following adjustments were applied:

- efficiency savings equal to 2.0% of non-pass-through clinical income
- cost inflation equal to 3.1% of non-pass-through clinical income
- adjustments are made for providers that are expected to benefit, if not already reflected in local pricing arrangements, from the introduction of sparsity adjustments into the CCG allocation formula
- forecast non-recurrent cost improvement programmes (CIPs) as at Month 6 are expected to be delivered recurrently in 2016/17. This adjustment does not include accounting adjustment delivered in Q4 2015/16
- any providers that are projected, after the general element of the STF is allocated, to have a deficit of 0.5% or less are expected to deliver additional savings to reach a breakeven position
- the maximum level of savings required from any provider compared to their forecast outturn at Month 8 2015/16 is capped at 5.0%, taking into account any deterioration in forecast outturn between Month 6 and Month 8 2015/16 and non-recurrent CIPs. This ensures that providers impacted by under-performance in 2015/16 will be set a stretching but achievable control total
- additional efficiencies that have previously been agreed as part of a financial recovery plan or other agreement with Monitor or TDA are added.

These adjustments reflect the expected efficiency requirements in 2016/17 as set out in the tariff, while also holding providers to account for their performance in 2015/16.

General element of the Sustainability and Transformation Fund

The general element of the fund is allocated as described above. These payments are expected to directly improve the net surplus/deficit of NHS trusts and foundation trusts by £1.6 billion in aggregate.

Control totals

Indicative provider control totals are calculated net of the general element of the STF, but before distribution of the targeted element of the STF.

Both the setting of the baselines and the control totals, and the measurement of performance versus control totals, will exclude gains on disposals of assets.

For NHS foundation trusts the original control totals, communicated to trusts on 15 January 2016, assumed the same level of donations in 2016/17 as forecast at Month 6 outturn for 2015/16. Due to the volatility in donations received for individual providers from one year to the next, NHS Improvement has issued adjusted control totals for NHS foundation trusts excluding the impact of donations received for property, plant and equipment and intangible assets, and depreciation on donated assets.

The adjusted control total has been communicated to NHS foundation trusts by email through their final annual plan review operational planning template. This adjustment is only for the purposes of setting and monitoring control totals and does not replace the existing financial performance measures in place for NHS foundation trusts.

For NHS trusts the impact of donations and depreciation on donated assets is not included in the adjusted bottom-line financial performance measure so no further adjustment is required.

Targeted element of the Sustainability and Transformation Fund

The targeted element of the STF has not been allocated to specific providers for the purpose of calculating control totals. This money will be allocated on a case-by-case basis where it can deliver the greatest benefits. To be eligible to access the targeted element of the STF providers must have accepted an agreed control total and the conditions of the fund. Individual control totals will be updated as required for those who receive additional funds.

Annex 1: Provisional allocation of the general element of the Sustainability and Transformation Fund

Table A1: NHS foundation trusts

Provider	Code	Reference costs £m	Share of fund %	Allocation of fund £m
2gether NHS Foundation Trust	RTQ	£0.0	0.000%	£0.0
5Boroughs Partnership NHS Foundation Trust	RTV	£1.9	0.010%	£0.2
Aintree University Hospitals NHS Foundation Trust	REM	£107.3	0.591%	£9.5
Airedale NHS Foundation Trust	RCF	£59.9	0.330%	£5.3
Alder Hey Children's NHS Foundation Trust	RBS	£42.2	0.232%	£3.7
Ashford and St Peter's Hospitals NHS Foundation Trust	RTK	£95.0	0.523%	£8.4
Barnsley Hospital NHS Foundation Trust	RFF	£75.0	0.413%	£6.6
Basildon & Thurrock University Hospitals NHS Foundation Trust	RDD	£131.5	0.724%	£11.6
Berkshire Healthcare NHS Foundation Trust	RWX	£4.3	0.024%	£0.4
Birmingham and Solihull Mental Health NHS Foundation Trust	RXT	£0.0	0.000%	£0.0
Birmingham Children's Hospital NHS Foundation Trust	RQ3	£55.2	0.304%	£4.9
Birmingham Women's NHS Foundation Trust	RLU	£23.1	0.127%	£2.0
Blackpool Teaching Hospitals NHS Foundation Trust	RXL	£113.2	0.623%	£10.0
Bolton NHS Foundation Trust	RMC	£104.6	0.576%	£9.2
Bradford District Care NHS Foundation Trust	TAD	£0.0	0.000%	£0.0
Bradford Teaching Hospitals NHS Foundation Trust	RAE	£125.2	0.690%	£11.0
Bridgewater Community Healthcare NHS Foundation Trust	RY2	£7.1	0.039%	£0.6
Burton Hospitals NHS Foundation Trust	RJF	£70.6	0.389%	£6.2
Calderdale & Huddersfield NHS Foundation Trust	RWY	£128.1	0.706%	£11.3
Calderstones Partnership NHS Foundation Trust	RJX	£0.0	0.000%	£0.0
Cambridge University Hospitals NHS Foundation Trust	RGT	£177.0	0.975%	£15.6
Cambridgeshire and Peterborough NHS Foundation Trust	RT1	£0.0	0.000%	£0.0
Camden and Islington NHS Foundation Trust	TAF	£0.0	0.000%	£0.0
Central and North West London NHS Foundation Trust	RV3	£0.9	0.005%	£0.1
Central Manchester University Hospitals NHS Foundation Trust	RW3	£228.8	1.260%	£20.2
Chelsea and Westminster NHS Foundation Trust (includes Middlesex)	RQM	£167.9	0.925%	£14.8
Cheshire and Wirral Partnership NHS Foundation Trust	RXA	£0.0	0.000%	£0.0
Chesterfield Royal Hospital NHS Foundation Trust	RFS	£78.8	0.434%	£6.9
City Hospitals Sunderland NHS Foundation Trust	RLN	£120.6	0.664%	£10.6
Colchester Hospital University NHS Foundation Trust	RDE	£113.8	0.627%	£10.0
Cornwall Partnership NHS Foundation Trust	RJ8	£0.0	0.000%	£0.0
Countess of Chester Hospital NHS Foundation Trust	RJR	£66.5	0.366%	£5.9

Provider	Code	Reference costs £m	Share of fund %	Allocation of fund £m
County Durham and Darlington NHS Foundation Trust	RXP	£177.3	0.976%	£15.6
Cumbria Partnership NHS Foundation Trust	RNN	£10.1	0.056%	£0.9
Derby Teaching Hospitals NHS Foundation Trust	RTG	£154.3	0.850%	£13.6
Derbyshire Community Health Services NHS Foundation Trust	RY8	£5.7	0.032%	£0.5
Derbyshire Healthcare NHS Foundation Trust	RXM	£0.0	0.000%	£0.0
Doncaster & Bassetlaw Hospitals NHS Foundation Trust	RP5	£134.0	0.738%	£11.8
Dorset County Hospital NHS Foundation Trust	RBD	£53.7	0.296%	£4.7
Dorset Healthcare University NHS Foundation Trust	RDY	£4.8	0.026%	£0.4
East Kent Hospitals University NHS Foundation Trust	RVV	£182.4	1.004%	£16.1
East London NHS Foundation Trust	RWK	£4.3	0.023%	£0.4
Frimley Health NHS Foundation Trust	RDU	£246.9	1.360%	£21.8
Gateshead Health NHS Foundation Trust	RR7	£71.0	0.391%	£6.3
Gloucestershire Hospitals NHS Foundation Trust	RTE	£146.4	0.806%	£12.9
Great Ormond Street Hospital for Children NHS Foundation Trust	RP4	£27.8	0.153%	£2.4
Great Western Hospitals NHS Foundation Trust	RN3	£100.8	0.555%	£8.9
Greater Manchester West Mental Health NHS Foundation Trust	RXV	£0.0	0.000%	£0.0
Guy's & St Thomas' Hospital NHS Foundation Trust	RJ1	£218.3	1.202%	£19.2
Hampshire Hospitals NHS Foundation Trust	RN5	£117.1	0.645%	£10.3
Harrogate and District NHS Foundation Trust	RCD	£51.8	0.285%	£4.6
Heart of England NHS Foundation Trust	RR1	£263.9	1.453%	£23.3
Hertfordshire Partnership University NHS Foundation Trust	RWR	£0.0	0.000%	£0.0
Homerton University Hospital NHS Foundation Trust	RQX	£68.9	0.380%	£6.1
Humber NHS Foundation Trust	RV9	£7.4	0.041%	£0.7
James Paget University Hospitals NHS Foundation Trust	RGP	£65.9	0.363%	£5.8
Kent Community Health NHS Foundation Trust	RYY	£5.8	0.032%	£0.5
Kettering General Hospital NHS Foundation Trust	RNQ	£86.2	0.475%	£7.6
King's College Hospital NHS Foundation Trust	RJZ	£340.7	1.876%	£30.0
Kingston Hospital NHS Foundation Trust	RAX	£91.6	0.505%	£8.1
Lancashire Care NHS Foundation Trust	RW5	£0.0	0.000%	£0.0
Lancashire Teaching Hospitals NHS Foundation Trust	RXN	£112.4	0.619%	£9.9
Leeds and York Partnership NHS Foundation Trust	RGD	£0.0	0.000%	£0.0
Lincolnshire Partnership NHS Foundation Trust	RP7	£0.0	0.000%	£0.0
Liverpool Heart and Chest Hospital NHS Foundation Trust	RBQ	£24.6	0.135%	£2.2
Liverpool Women's NHS Foundation Trust	REP	£32.3	0.178%	£2.8
Luton and Dunstable University Hospital NHS Foundation Trust	RC9	£102.8	0.566%	£9.1
Medway NHS Foundation Trust	RPA	£95.1	0.524%	£8.4
Mid Cheshire Hospitals NHS Foundation Trust	RBT	£73.5	0.405%	£6.5
Milton Keynes Hospital NHS Foundation Trust	RD8	£82.9	0.457%	£7.3
Moorfields Eye Hospital NHS Foundation Trust	RP6	£12.5	0.069%	£1.1
Norfolk and Norwich University Hospitals NHS	RM1	£163.7	0.902%	£14.4

Provider	Code	Reference costs £m	Share of fund %	Allocation of fund £m
Foundation Trust				
Norfolk and Suffolk NHS Foundation Trust	RMV	£0.0	0.000%	£0.0
North East Ambulance Service NHS Foundation Trust	RX6	£0.0	0.000%	£0.0
North East London NHS Foundation Trust	RAT	£2.7	0.015%	£0.2
North Essex Partnership University NHS Foundation Trust	RRD	£0.0	0.000%	£0.0
North Tees and Hartlepool NHS Foundation Trust	RVW	£89.7	0.494%	£7.9
Northamptonshire Healthcare NHS Foundation Trust	RP1	£0.0	0.000%	£0.0
Northern Lincolnshire and Goole Hospitals NHS Foundation Trust	RJL	£131.1	0.722%	£11.5
Northumberland, Tyne & Wear NHS Foundation Trust	RX4	£0.0	0.000%	£0.0
Northumbria Healthcare NHS Foundation Trust	RTF	£123.3	0.679%	£10.9
Nottinghamshire Healthcare NHS Foundation Trust	RHA	£0.0	0.000%	£0.0
Oxford Health NHS Foundation Trust	RNU	£2.0	0.011%	£0.2
Oxleas NHS Foundation Trust	RPG	£1.0	0.005%	£0.1
Papworth Hospital NHS Foundation Trust	RGM	£25.3	0.140%	£2.2
Pennine Care NHS Foundation Trust	RT2	£1.9	0.011%	£0.2
Peterborough and Stamford Hospitals NHS Foundation Trust	RGN	£122.2	0.673%	£10.8
Poole Hospital NHS Foundation Trust	RD3	£89.2	0.491%	£7.9
Queen Elizabeth Hospital King's Lynn NHS Foundation Trust	RCX	£73.3	0.404%	£6.5
Queen Victoria Hospital NHS Foundation Trust	RPC	£10.7	0.059%	£0.9
Rotherham Doncaster and South Humber NHS Foundation Trust	RXE	£0.0	0.000%	£0.0
Royal Berkshire NHS Foundation Trust	RHW	£112.7	0.621%	£9.9
Royal Brompton and Harefield NHS Foundation Trust	RT3	£54.6	0.301%	£4.8
Royal Devon and Exeter NHS Foundation Trust	RH8	£113.3	0.624%	£10.0
Royal Free London NHS Foundation Trust	RAL	£207.2	1.141%	£18.3
Royal Surrey County Hospital NHS Foundation Trust	RA2	£87.0	0.479%	£7.7
Royal United Hospitals Bath NHS Foundation Trust	RD1	£99.8	0.550%	£8.8
Salford Royal NHS Foundation Trust	RM3	£129.0	0.710%	£11.4
Salisbury NHS Foundation Trust	RNZ	£71.9	0.396%	£6.3
Sheffield Children's NHS Foundation Trust	RCU	£23.3	0.128%	£2.1
Sheffield Health and Social Care NHS Foundation Trust	TAH	£0.0	0.000%	£0.0
Sheffield Teaching Hospitals NHS Foundation Trust	RHQ	£219.1	1.207%	£19.3
Sherwood Forest Hospitals NHS Foundation Trust	RK5	£116.4	0.641%	£10.3
Somerset Partnership NHS Foundation Trust	RH5	£12.8	0.070%	£1.1
South Central Ambulance Service NHS Foundation Trust	RYE	£0.0	0.000%	£0.0
South Devon Healthcare NHS Foundation Trust (INCLUDES TORBAY)	RA9	£76.0	0.418%	£6.7
South East Coast Ambulance Service NHS Foundation Trust	RYD	£0.0	0.000%	£0.0
South Essex Partnership University NHS Foundation Trust	RWN	£0.0	0.000%	£0.0

Provider	Code	Reference costs £m	Share of fund %	Allocation of fund £m
South London and Maudsley NHS Foundation Trust	RV5	£0.0	0.000%	£0.0
South Staffordshire and Shropshire Healthcare NHS Foundation Trust	RRE	£0.0	0.000%	£0.0
South Tees Hospitals NHS Foundation Trust	RTR	£165.7	0.913%	£14.6
South Tyneside NHS Foundation Trust	RE9	£55.5	0.306%	£4.9
South Warwickshire NHS Foundation Trust	RJC	£66.1	0.364%	£5.8
South West Yorkshire Partnership NHS Foundation Trust	RXG	£0.0	0.000%	£0.0
South Western Ambulance Service NHS Foundation Trust	RYF	£0.6	0.003%	£0.1
Southend University Hospital NHS Foundation Trust	RAJ	£93.4	0.514%	£8.2
Southern Health NHS Foundation Trust	RW1	£9.1	0.050%	£0.8
St George's University Hospitals NHS Foundation Trust	RJ7	£200.3	1.103%	£17.6
Stockport NHS Foundation Trust	RWJ	£95.5	0.526%	£8.4
Surrey and Borders Partnership NHS Foundation Trust	RXX	£0.0	0.000%	£0.0
Sussex Partnership NHS Foundation Trust	RX2	£0.0	0.000%	£0.0
Tameside Hospital NHS Foundation Trust	RMP	£78.4	0.432%	£6.9
Taunton & Somerset NHS Foundation Trust	RBA	£85.3	0.470%	£7.5
Tavistock and Portman NHS Foundation Trust	RNK	£0.0	0.000%	£0.0
Tees, Esk and Wear Valleys NHS Foundation Trust	RX3	£0.0	0.000%	£0.0
The Black Country Partnership NHS Foundation Trust	TAJ	£0.0	0.000%	£0.0
The Christie NHS Foundation Trust	RBV	£18.6	0.102%	£1.6
The Clatterbridge Cancer Centre NHS Foundation Trust	REN	£6.0	0.033%	£0.5
The Dudley Group NHS Foundation Trust	RNA	£119.5	0.658%	£10.5
The Hillingdon Hospitals NHS Foundation Trust	RAS	£75.7	0.417%	£6.7
The Newcastle Upon Tyne Hospitals NHS Foundation Trust	RTD	£220.6	1.215%	£19.4
The Robert Jones and Agnes Hunt Orthopaedic Hospital NHS Foundation Trust	RL1	£5.8	0.032%	£0.5
The Rotherham NHS Foundation Trust	RFR	£73.3	0.404%	£6.5
The Royal Bournemouth and Christchurch Hospitals NHS Foundation Trust	RDZ	£86.5	0.476%	£7.6
The Royal Marsden NHS Foundation Trust	RPY	£17.1	0.094%	£1.5
The Royal Orthopaedic Hospital NHS Foundation Trust	RRJ	£2.7	0.015%	£0.2
The Walton Centre NHS Foundation Trust	RET	£14.2	0.078%	£1.3
University College London Hospitals NHS Foundation Trust	RRV	£167.2	0.921%	£14.7
University Hospital of South Manchester NHS Foundation Trust	RM2	£125.4	0.691%	£11.0
University Hospital Southampton NHS Foundation Trust	RHM	£197.0	1.085%	£17.4
University Hospitals Birmingham NHS Foundation Trust	RRK	£189.3	1.042%	£16.7
University Hospitals Bristol NHS Foundation Trust	RA7	£147.1	0.810%	£13.0
University Hospitals of Morecambe Bay NHS Foundation Trust	RTX	£116.1	0.639%	£10.2
Warrington and Halton Hospitals NHS Foundation Trust	RWW	£90.7	0.499%	£8.0

Provider	Code	Reference costs £m	Share of fund %	Allocation of fund £m
West Midlands Ambulance Service NHS Foundation Trust	RYA	£0.0	0.000%	£0.0
West Suffolk NHS Foundation Trust	RGR	£69.7	0.384%	£6.1
Western Sussex Hospitals NHS Foundation Trust	RYR	£149.3	0.822%	£13.2
Wirral University Teaching Hospital NHS Foundation Trust	RBL	£111.9	0.616%	£9.9
Wrightington, Wigan and Leigh NHS Foundation Trust	RRF	£90.2	0.497%	£7.9
Yeovil District Hospital NHS Foundation Trust	RA4	£51.0	0.281%	£4.5
York Teaching Hospital NHS Foundation Trust	RCB	£154.6	0.851%	£13.6
Total NHS foundation trusts		£10,581.0	58.270%	£932.5

Table A2: NHS trusts

Provider	Code	Reference costs £m	Share of fund %	Allocation of fund £m
Avon and Wiltshire Mental Health Partnership NHS Trust	RVN	£0.0	0.000%	£0.0
Barking, Havering and Redbridge University Hospitals NHS Trust	RF4	£227.6	1.253%	£20.1
Barnet, Enfield and Haringey Mental Health NHS Trust	RRP	£0.0	0.000%	£0.0
Barts Health NHS Trust	R1H	£430.6	2.371%	£37.9
Bedford Hospital NHS Trust	RC1	£66.2	0.365%	£5.8
Birmingham Community Healthcare NHS Trust	RYW	£0.6	0.003%	£0.0
Brighton and Sussex University Hospitals NHS Trust	RXH	£163.5	0.900%	£14.4
Buckinghamshire Healthcare NHS Trust	RXQ	£106.4	0.586%	£9.4
Cambridgeshire Community Services NHS Trust	RYV	£4.1	0.023%	£0.4
Central London Community Healthcare NHS Trust	RYX	£9.7	0.054%	£0.9
Coventry and Warwickshire Partnership NHS Trust	RYG	£0.0	0.000%	£0.0
Croydon Health Services NHS Trust	RJ6	£111.7	0.615%	£9.8
Dartford and Gravesham NHS Trust	RN7	£102.0	0.561%	£9.0
Devon Partnership NHS Trust	RWV	£0.0	0.000%	£0.0
Dudley and Walsall Mental Health Partnership NHS Trust	RYK	£0.0	0.000%	£0.0
East and North Hertfordshire NHS Trust	RWH	£121.8	0.671%	£10.7
East Cheshire NHS Trust	RJN	£52.7	0.290%	£4.6
East Lancashire Hospitals NHS Trust	RXR	£142.1	0.783%	£12.5
East Midlands Ambulance Service NHS Trust	RX9	£0.0	0.000%	£0.0
East of England Ambulance Service NHS Trust	RYC	£0.0	0.000%	£0.0
East Sussex Healthcare NHS Trust	RXC	£118.5	0.653%	£10.4
Epsom and St Helier University Hospitals NHS Trust	RVR	£128.3	0.706%	£11.3
George Eliot Hospital NHS Trust	RLT	£48.9	0.269%	£4.3
Hertfordshire Community NHS Trust	RY4	£0.4	0.002%	£0.0
Hinchingbrooke Health Care NHS Trust	RQQ	£45.7	0.252%	£4.0
Hounslow and Richmond Community Healthcare NHS Trust	RY9	£7.3	0.040%	£0.6
Hull and East Yorkshire Hospitals NHS Trust	RWA	£159.2	0.877%	£14.0
Imperial College Healthcare NHS Trust	RYJ	£273.5	1.506%	£24.1
Ipswich Hospital NHS Trust	RGQ	£79.2	0.436%	£7.0
Isle Of Wight NHS Trust	R1F	£40.0	0.220%	£3.5
Kent and Medway NHS and Social Care Partnership Trust	RXY	£0.0	0.000%	£0.0
Leeds Community Healthcare NHS Trust	RY6	£0.0	0.000%	£0.0
Leeds Teaching Hospitals NHS Trust	RR8	£258.2	1.422%	£22.8
Leicestershire Partnership NHS Trust	RT5	£0.0	0.000%	£0.0
Lewisham and Greenwich NHS Trust	RJ2	£187.9	1.035%	£16.6
Lincolnshire Community Health Services NHS Trust	RY5	£10.7	0.059%	£0.9
Liverpool Community Health NHS Trust	RY1	£7.1	0.039%	£0.6
London Ambulance Service NHS Trust	RRU	£0.0	0.000%	£0.0
London North West Healthcare NHS Trust	R1K	£244.1	1.344%	£21.5
Maidstone and Tunbridge Wells NHS Trust	RWF	£141.4	0.779%	£12.5
Manchester Mental Health and Social Care Trust	TAE	£0.0	0.000%	£0.0
Mersey Care NHS Trust	RW4	£0.0	0.000%	£0.0
Mid Essex Hospital Services NHS Trust	RQ8	£104.5	0.576%	£9.2

Provider	Code	Reference costs £m	Share of fund %	Allocation of fund £m
Mid Yorkshire Hospitals NHS Trust	RXF	£189.8	1.045%	£16.7
Norfolk Community Health and Care NHS Trust	RY3	£0.0	0.000%	£0.0
North Bristol NHS Trust	RVJ	£161.3	0.888%	£14.2
North Cumbria University Hospitals NHS Trust	RNL	£99.0	0.545%	£8.7
North Middlesex University Hospital NHS Trust	RAP	£110.7	0.609%	£9.8
North Staffordshire Combined Healthcare NHS Trust	RLY	£0.0	0.000%	£0.0
North West Ambulance Service NHS Trust	RX7	£0.0	0.000%	£0.0
Northampton General Hospital NHS Trust	RNS	£110.1	0.606%	£9.7
Northern Devon Healthcare NHS Trust	RBZ	£41.6	0.229%	£3.7
Nottingham University Hospitals NHS Trust	RX1	£274.9	1.514%	£24.2
Oxford University Hospitals NHS Trust	RTH	£231.4	1.274%	£20.4
Pennine Acute Hospitals NHS Trust	RW6	£232.5	1.281%	£20.5
Plymouth Hospitals NHS Trust	RK9	£135.4	0.746%	£11.9
Portsmouth Hospitals NHS Trust	RHU	£165.2	0.910%	£14.6
Royal Cornwall Hospitals NHS Trust	REF	£103.6	0.570%	£9.1
Royal Liverpool and Broadgreen University Hospitals NHS Trust	RQ6	£109.8	0.605%	£9.7
Royal National Orthopaedic Hospital NHS Trust	RAN	£11.2	0.061%	£1.0
Sandwell and West Birmingham Hospitals NHS Trust	RXK	£128.3	0.706%	£11.3
Shrewsbury and Telford Hospital NHS Trust	RXW	£118.9	0.655%	£10.5
Shropshire Community Health NHS Trust	R1D	£1.8	0.010%	£0.2
Solent NHS Trust	R1C	£1.0	0.006%	£0.1
South West London and St George's Mental Health NHS Trust	RQY	£0.0	0.000%	£0.0
Southport and Ormskirk Hospital NHS Trust	RVY	£69.6	0.384%	£6.1
St Helens and Knowsley Hospitals NHS Trust	RBN	£115.1	0.634%	£10.1
Staffordshire and Stoke On Trent Partnership NHS Trust	R1E	£8.2	0.045%	£0.7
Surrey and Sussex Healthcare NHS Trust	RTP	£110.1	0.606%	£9.7
Sussex Community NHS Trust	RDR	£6.4	0.035%	£0.6
The Gloucestershire Care Services National Health Service Trust	R1J	£4.9	0.027%	£0.4
The Princess Alexandra Hospital NHS Trust	RQW	£90.0	0.495%	£7.9
The Royal Wolverhampton NHS Trust	RL4	£119.8	0.660%	£10.6
The Whittington Hospital NHS Trust	RKE	£74.0	0.408%	£6.5
United Lincolnshire Hospitals NHS Trust	RWD	£183.1	1.008%	£16.1
University Hospital Of North Midlands NHS Trust	RJE	£236.6	1.303%	£20.9
University Hospitals Coventry and Warwickshire NHS Trust	RKB	£195.0	1.074%	£17.2
University Hospitals Of Leicester NHS Trust	RWE	£265.4	1.462%	£23.4
Walsall Healthcare NHS Trust	RBK	£95.2	0.524%	£8.4
West Hertfordshire Hospitals NHS Trust	RWG	£135.8	0.748%	£12.0
West London Mental Health NHS Trust	RKL	£0.0	0.000%	£0.0
Weston Area Health NHS Trust	RA3	£40.7	0.224%	£3.6
Wirral Community NHS Trust	RY7	£3.7	0.020%	£0.3
Worcestershire Acute Hospitals NHS Trust	RWP	£148.9	0.820%	£13.1
Worcestershire Health and Care NHS Trust	R1A	£2.3	0.013%	£0.2
Wye Valley NHS Trust	RLQ	£52.4	0.289%	£4.6
Yorkshire Ambulance Service NHS Trust	RX8	£0.0	0.000%	£0.0
Total NHS trusts		£7,577.4	41.730%	£667.5