



## Education Data Division - Request for Change Form for CBDS

### Section 1 - Details of Change

(To be completed by the RFC Originator / CBDS Administrator)

<b>Project / Service:</b> CBDS	<b>Type of Change:</b> Amendment to codeset and attendant data item	<b>RFC 784</b>
<b>Name and team/company of RFC Originator:</b> Phil Dent DDU EDD		
<b>Originator Contact No:</b> 01325 735409	<b>Originator email address:</b> phil.dent@education.gsi.gov.uk	
<b>Date RFC Raised:</b> 18 February 2015	<b>Date change required:</b> For inclusion in the 2015/16 School Census	
<b>Priority:</b> 3	<b>1 = Top</b> - Ministerial or legislative requirement <b>2 = High</b> - Senior official customer requirement or clear net benefit / efficiency saving to EDD, department or MIS suppliers <b>3 = Medium</b> - Customer requirement, marginal net benefit <b>4 = Low</b> - Nice to have, net cost, does not affect functionality, cosmetic change	
<b>EDD Contact:</b> Queries.SUPPLIER@education.gsi.gov.uk		
<b>Change Title:</b> Amendment to child ethnicity codeset for Gypsy / Roma		

**Data item / Rule Number:**

Codeset D00005 and data item child ethnicity (100319)

**Description of change:**

The addition of three subsets to the Gypsy/Roma element of codeset D00005 and the allocation of a new codeset and resultant change to the attendant data item child ethnicity (100319).

New elements of the codeset have been highlighted in yellow:

D00NNN	Ethnicity				
DfES Extended Codes	Approved Extended Categories	DfES Main Code	Sub- Category	Main Category	Further Comments
WBRI	White - British	WBRI	White - British	White	Main code (WBRI) may not be used if any of the extended categories below (WCOR-WWEL) are used.
WCOR	White - Cornish	WBRI	White - British	White	
WENG	White - English	WBRI	White - British	White	
WSCO	White - Scottish	WBRI	White - British	White	
WWEL	White - Welsh	WBRI	White - British	White	

WOWB	Other White British	WBRI	White - British	White	If LEAs collect information for "White - British" pupils using any of the <b>extended</b> categories above (WCOR-WWEL), this category must be used as a catch all for all other White pupils within the main "White - British" category. If used, cannot have category "White - British" (WBRI).
WIRI	White - Irish	WIRI	White - Irish	White	
WIRT	Traveller of Irish Heritage	WIRT	Traveller of Irish Heritage	White	
WOTH	Any Other White Background	WOTH	Any Other White Background	White	Main code (WOTH) may not be used if any of the <b>extended categories</b> below (WALB-WWEU) are used.
WALB	Albanian	WOTH	Any Other White Background	White	Excluding Kosovan.
WBOS	Bosnian-Herzegovinian	WOTH	Any Other White Background	White	

WCRO	Croatian	WOTH	Any Other White Background	White	
WGRE	Greek/ Greek Cypriot	WOTH	Any Other White Background	White	If LEAs do not wish to distinguish between pupils of Greek and Greek Cypriot heritage they may place all Greek/ Greek Cypriot in this category. If used, cannot have categories "Greek" (WGRK) or "Greek Cypriot" (WGRC).
WGRK	Greek	WOTH	Any Other White Background	White	If used, cannot have category "Greek/ Greek Cypriot" (WGRE). If used, must also have category "Greek Cypriot" (WGRC).
WGRC	Greek Cypriot	WOTH	Any Other White Background	White	If used, cannot have category "Greek/ Greek Cypriot" (WGRE). If used, must also have category "Greek" (WGRK).

WITA	Italian	WOTH	Any Other White Background	White	
WKOS	Kosovan	WOTH	Any Other White Background	White	
WPOR	Portuguese	WOTH	Any Other White Background	White	
WSER	Serbian	WOTH	Any Other White Background	White	
WTUR	Turkish/ Turkish Cypriot	WOTH	Any Other White Background	White	If LEAs do not wish to distinguish between pupils of Turkish and Turkish Cypriot heritage they may place all Turkish/ Turkish Cypriot in this category. If used, cannot have categories "Turkish" (WTUK) or "Turkish Cypriot" (WTUC).
WTUK	Turkish	WOTH	Any Other White Background	White	If used, cannot have category "Turkish/ Turkish Cypriot" (WTUR). If used, must also have category "Turkish Cypriot" (WTUC).

WTUC	Turkish Cypriot	WOTH	Any Other White Background	White	If used, cannot have category "Turkish/ Turkish Cypriot" (WTUR). If used, must also have category "Turkish" (WTUK).
WEUR	White European	WOTH	Any Other White Background	White	If LEAs do not collect information on White European pupils on the basis of country of origin or East/ West European, they may place all White European pupils here.
WEEU	White Eastern European	WOTH	Any Other White Background	White	Including Russian, Latvian, Ukrainian, Polish, Bulgarian, Czech, Slovak, Lithuanian, Montenegrin and Romanian.
WWEU	White Western European	WOTH	Any Other White Background	White	Including Italian, French, German, Spanish, Portuguese and Scandinavian.

WOTW	White Other	WOTH	Any Other White Background	White	<p>If LEAs collect information for "Any Other White Background" pupils using any of the <b>extended</b> categories above (WALB-WWEU), this category must be used as a catch all for all other White pupils within the main "Any Other White Background" category.</p> <p>If used, cannot have category "Any Other White Background" (WOTH).</p>	
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<b>WROM</b>	<b>Gypsy / Roma</b>	<b>WROM</b>	<b>Gypsy / Roma</b>	<b>White</b>	<p>This category includes pupils who identify themselves as Gypsies and or Romanies, and or Travellers, and or Traditional Travellers, and or Romanichals, and or Romanichal Gypsies and or Welsh Gypsies / Kaale, and or Scottish Travellers / Gypsies, and or Roma. It includes all children of a Gypsy ethnic background or Roma ethnic background, irrespective of whether they are nomadic, semi nomadic or living in static accommodation. It should not include Fairground (Showman's) children; the children travelling with circuses; or the children of New Travellers or Bargees unless, of course, their ethnic status is that which is mentioned above. Schools would use this where they do not wish to identify Gypsy and Roma pupils separately</p>
WROG	Gypsy	WROM	Gypsy/Roma	White	<p>This category enables the separate identification of Gypsy pupils.</p> <p>Gypsy refers to: all pupils who identify themselves as Gypsies. This includes all children of a Gypsy ethnic background, irrespective of whether they are nomadic, semi-nomadic or living in static accommodation</p>

WROR	Roma	WROM	Gypsy/Roma	White	This category identifies the separate identification of Roma pupils. Roma refers to: all pupils who identify themselves as Roma or Romany, part of a diverse community of related groups whose ancestors are believed to originate from the Indian sub-continent but who have more recently migrated from Central and Eastern Europe. Many Roma speak a form of dialect of the Romani language as their first language and for many this is in addition to their national language (eg Czech or Romanian).
WROO	Other Gypsy/Roma	WROM	Gypsy/Roma	White	This category is for Gypsy/Roma who do not identify with one or the other of the above groups - eg pupils with mixed Gypsy/Roma heritage
MWBC	White and Black Caribbean	MWBC	White and Black Caribbean	Mixed / Dual Background	
MWBA	White and Black African	MWBA	White and Black African	Mixed / Dual Background	
MWAS	White and Asian	MWAS	White and Asian	Mixed / Dual Background	Main code (MWAS) may not be used if any of the extended categories below (MWAP-MWAI) are used.
MWAP	White and Pakistani	MWAS	White and Asian	Mixed / Dual Background	
MWAI	White and Indian	MWAS	White and Asian	Mixed / Dual Background	

MWAO	White and Any Other Asian Background	MWAS	White and Asian	Mixed / Dual Background	If LEAs collect information for "White and Asian" pupils using any of the <b>extended</b> categories above (MWAP-MWAI), this category must be used as a catch all for all other Mixed/Dual Background pupils within the main "White and Asian" category. If used, cannot have category "White and Asian" (MWAS).
MOTH	Any Other Mixed Background	MOTH	Any Other Mixed Background	Mixed / Dual Background	Main code (MOTH) may not be used if any of the <b>extended categories</b> below (MAOE-MWCH) are used.
MAOE	Asian and Any Other Ethnic Group	MOTH	Any Other Mixed Background	Mixed / Dual Background	
MABL	Asian and Black	MOTH	Any Other Mixed Background	Mixed / Dual Background	
MACH	Asian and Chinese	MOTH	Any Other Mixed Background	Mixed / Dual Background	

MBOE	Black and Any Other Ethnic Group	MOTH	Any Other Mixed Background	Mixed / Dual Background	
MBCH	Black and Chinese	MOTH	Any Other Mixed Background	Mixed / Dual Background	
MCOE	Chinese and Any Other Ethnic Group	MOTH	Any Other Mixed Background	Mixed / Dual Background	
MWOE	White and Any Other Ethnic Group	MOTH	Any Other Mixed Background	Mixed / Dual Background	
MWCH	White and Chinese	MOTH	Any Other Mixed Background	Mixed / Dual Background	
MOTM	Other Mixed Background	MOTH	Any Other Mixed Background	Mixed / Dual Background	If LEAs collect information for "Any Other Mixed Background" pupils using any of the <b>extended</b> categories above (MAOE-MWCH), this category must be used as a catch all for all other Mixed/Dual Background pupils within the main "Any Other Mixed Background" category. If used, cannot have category "Any Other Mixed Background" (MOTH).
<b>AIND</b>	<b>Indian</b>	<b>AIND</b>	<b>Indian</b>	<b>Asian or Asian British</b>	

<b>APKN</b>	<b>Pakistani</b>	<b>APKN</b>	<b>Pakistani</b>	<b>Asian or Asian British</b>	<b>Main code (APKN) may not be used if any of the extended categories below (AMPK-AKPA) are used.</b>
AMPK	Mirpuri Pakistani	APKN	Pakistani	Asian or Asian British	
AKPA	Kashmiri Pakistani	APKN	Pakistani	Asian or Asian British	
AOPK	Other Pakistani	APKN	Pakistani	Asian or Asian British	If LEAs collect information for "Pakistani" pupils using any of the <b>extended</b> categories above (AMPK-AKPA), this category must be used as a catch all for all other Pakistani pupils within the main "Pakistani" category. If used, cannot have category "Pakistani" (APKN).
<b>ABAN</b>	<b>Bangladeshi</b>	<b>ABAN</b>	<b>Bangladeshi</b>	<b>Asian or Asian British</b>	

<b>AOTH</b>	<b>Any Other Asian Background</b>	<b>AOTH</b>	<b>Any Other Asian Background</b>	<b>Asian or Asian British</b>	<b>Main code (AOTH) may not be used if any of the extended categories below (AAFR-ASRO) are used.</b>
AAFR	African Asian	AOTH	Any Other Asian Background	Asian or Asian British	Including East and South African Asians.
AKAO	Kashmiri Other	AOTH	Any Other Asian Background	Asian or Asian British	Kashmiri respondents not wishing to be classified under Asian Pakistani should use this category.
ANEP	Nepali	AOTH	Any Other Asian Background	Asian or Asian British	
ASNL	Sri Lankan Sinhalese	AOTH	Any Other Asian Background	Asian or Asian British	All other Sinhalese pupils should be placed wherever appropriate in the categories above. If used MUST also have categories 'Sri Lankan Tamil' (ASLT) and 'Sri Lankan Other' (ASRO).

ASLT	Sri Lankan Tamil	AOTH	Any Other Asian Background	Asian or Asian British	All other Tamil pupils should be placed wherever appropriate in the categories above. If used MUST also have categories 'Sri Lankan Sinhalese' (ASNL) and 'Sri Lankan Other' (ASRO).
ASRO	Sri Lankan Other	AOTH	Any Other Asian Background	Asian or Asian British	If used MUST also have categories 'Sri Lankan Sinhalese' (ASNL) and 'Sri Lankan Tamil' (ASLT).

AOTA	Other Asian	AOTH	Any Other Asian Background	Asian or Asian British	<p>If LEAs collect information for "Any Other Asian Background" pupils using any of the <b>extended</b> categories above (AAFR-ASRO), this category must be used as a catch all for all other Asian pupils within the main "Any Other Asian Background" category.</p> <p>If used, cannot have category "Any Other Asian Background" (AOTH).</p>	
BCRB	Black Caribbean	BCRB	Black Caribbean	Black or Black British	<p>Including Antigua and Barbuda, Bahamas, Barbados, Dominica, Grenada, Guyana, Jamaica, St Kitts and Nevis, St Lucia, St Vincent &amp; Grenadines, Trinidad and Tobago.</p>	



<b>BAFR</b>	<b>Black - African</b>	<b>BAFR</b>	<b>Black - African</b>	<b>Black or Black British</b>	<b>Main code (BAFR) may not be used if any of the extended categories below (BANN-BSUD) are used.</b>
BANN	Black - Angolan	BAFR	Black - African	Black or Black British	
BCON	Black - Congolese	BAFR	Black - African	Black or Black British	
BGHA	Black - Ghanaian	BAFR	Black - African	Black or Black British	
BNGN	Black - Nigerian	BAFR	Black - African	Black or Black British	
BSLN	Black - Sierra Leonean	BAFR	Black - African	Black or Black British	
BSOM	Black - Somali	BAFR	Black - African	Black or Black British	
BSUD	Black - Sudanese	BAFR	Black - African	Black or Black British	Including Sudanese of Egyptian origin.

BAOF	Other Black African	BAFR	Black - African	Black or Black British	Including Black South African, Zimbabwean, Ethiopian, Rwandan and Ugandan. If LEAs collect information for "Black - African" pupils using any of the <b>extended</b> categories above (BANN-BSUD), this category must be used as a catch all for all other Black African pupils within the main "Black - African" category. If used, cannot have category "Black - African" (BAFR).
<b>BOTH</b>	<b>Any Other Black Background</b>	<b>BOTH</b>	<b>Any Other Black Background</b>	<b>Black or Black British</b>	<b>Main code (BOTH) may not be used if any of the extended categories below (BEUR-BNAM) are used.</b>
BEUR	Black European	BOTH	Any Other Black Background	Black or Black British	
BNAM	Black North American	BOTH	Any Other Black Background	Black or Black British	Include Black North American and Canadian.

BOTB	Other Black	BOTH	Any Other Black Background	Black or Black British	If LEAs collect information for "Any Other Black Background" pupils using any of the <b>extended</b> categories above (BEUR-BNAM), this category must be used as a catch all for all other Black pupils within the main "Any Other Black Background" category. If used, cannot have category "Any Other Black Background" (BOTH).
CHNE	Chinese	CHNE	Chinese	Chinese	Main code (CHNE) may not be used if any of the <b>extended categories</b> below (CHKC-CTWN) are used.
CHKC	Hong Kong Chinese	CHNE	Chinese	Chinese	
CMAL	Malaysian Chinese	CHNE	Chinese	Chinese	
CSNG	Singaporean Chinese	CHNE	Chinese	Chinese	
CTWN	Taiwanese	CHNE	Chinese	Chinese	

COCH	Other Chinese	CHNE	Chinese	Chinese	If LEAs collect information for "Chinese" pupils using any of the <b>extended</b> categories above (CHKC-CTWN), this category must be used as a catch all for all other Chinese pupils within the main "Chinese" category. If used, cannot have category "Chinese" (CHNE).
<b>OOH</b>	<b>Any Other Ethnic Group</b>	<b>OOH</b>	<b>Any Other Ethnic Group</b>	<b>Any Other Ethnic Group</b>	<b>Main code (OOH) may not be used if any of the extended categories below (OAFG-OYEM) are used.</b>
OAFG	Afghan	OOH	Any Other Ethnic Group	Any Other Ethnic Group	
OARA	Arab Other	OOH	Any Other Ethnic Group	Any Other Ethnic Group	Include Palestinian, Kuwaiti, Jordanian and Saudi Arabian.
OEGY	Egyptian	OOH	Any Other Ethnic Group	Any Other Ethnic Group	
OFIL	Filipino	OOH	Any Other Ethnic Group	Any Other Ethnic Group	

OIRN	Iranian	OOTH	Any Other Ethnic Group	Any Other Ethnic Group	
OIRQ	Iraqi	OOTH	Any Other Ethnic Group	Any Other Ethnic Group	
OJPN	Japanese	OOTH	Any Other Ethnic Group	Any Other Ethnic Group	
OKOR	Korean	OOTH	Any Other Ethnic Group	Any Other Ethnic Group	
OKRD	Kurdish	OOTH	Any Other Ethnic Group	Any Other Ethnic Group	Include Kurdish pupils from Iraq, Iran and Turkey.
OLAM	Latin/ South/ Central American	OOTH	Any Other Ethnic Group	Any Other Ethnic Group	Include all pupils from Central/ South America, Cuba and Belize.
OLEB	Lebanese	OOTH	Any Other Ethnic Group	Any Other Ethnic Group	
OLIB	Libyan	OOTH	Any Other Ethnic Group	Any Other Ethnic Group	
OMAL	Malay	OOTH	Any Other Ethnic Group	Any Other Ethnic Group	Including Malaysian other than Malaysian Chinese.
OMRC	Moroccan	OOTH	Any Other Ethnic Group	Any Other Ethnic Group	
OPOL	Polynesian	OOTH	Any Other Ethnic Group	Any Other Ethnic Group	Including Fijian, Tongan, Samoan and Tahitian.
OTHA	Thai	OOTH	Any Other Ethnic Group	Any Other Ethnic Group	
OVIE	Vietnamese	OOTH	Any Other Ethnic Group	Any Other Ethnic Group	
OYEM	Yemeni	OOTH	Any Other Ethnic Group	Any Other Ethnic Group	

OOEG	Other Ethnic Group	OOTH	Any Other Ethnic Group	Any Other Ethnic Group	If LEAs collect information for "Any Other Ethnic Group" pupils using any of the <b>extended</b> categories above (OAFG-OYEM), this category must be used as a catch all for all other pupils within the main "Any Other Ethnic Group" category. If used, cannot have category "Any Other Ethnic Group" (OOTH).
REFU	Refused	REFU	Refused	Refused	
NOBT	Information Not Yet Obtained	NOBT	Information Not Yet Obtained	Information Not Yet Obtained	

**Reason for change (including benefits):**

This change is required by Policy to enable accurate representation of these subsets of the Gypsy/Roma community.

**Impact of not doing the change:**

Accurate identification of these minority groupings will not be able to be collected.

**ISB view of the proposed change:**

**Funding availability:**

Not applicable

**Impact assessment to be undertaken by:**

Core software suppliers

School census suppliers

CTF software suppliers

Working group

ISB

**Date consulted:**

3 March 2015

**Response requested by:**

17 March 2015

## Section 2 - Impact Analysis

### (To be completed by Impact Assessors)

#### Software Suppliers' Summary of Impact Assessment:

##### Supplier 1

I have no comments on this RFC.

##### Supplier 2

We have no objection to this change

##### Supplier 3

Having looked at the RFC, I have a couple of queries.

1. Has the Impact on WIRT , Traveller of Irish Heritage been evaluated as the WROM code appears to include travellers of any description. I.e. "This category includes pupils who identify themselves as Gypsies and or Romanies, and or Travellers, and or Traditional Travellers"
2. If WROG, WROR and WROO are subcategories of WROM will they be mutually exclusive as in the case of WBRI **Main code (WBRI) may not be used if any of the extended categories below (WCOR-WWEL) are used.**

I see no great problem including this change in our summer release if a decision is made quickly after the start of April we may struggle to get the change to customer in time.

##### Supplier 4

Re RFC 784 – Ethnicity, our comments are as follows:

- We would like to be clear about when the proposed update would take place (suggest around the time of the CTF 15 update).
- It will need to be clear what the expected position should be with respect to cross-border transfers between England and Wales (with clear rules for transfer in either direction) with respect to these new codes.
- In general, the required cross-border mapping of transferred Ethnicities could do with a review and clear statement of use in the CTF specification:

At present, Welsh CBDS does include some details of mappings, but these are not clear as to whether they refer to mappings that are required on transfer from Eng-Wal or from Wal-Eng.



Alternatively, the possible complexity of the mappings involved suggest that it may be worth considering whether all cross-border transfers should set Ethnicity to (e.g.) NOBT with a warning that ethnicity should be re-gathered.

### **Supplier 5**

Although we can see the need for this change and will be happy to make the modifications to our software to deal with this change, we suggest that the lead time for introducing this change should be longer than usual. In particular, once this RFC is accepted the DfE will need to contact LAs to find out the combination of codes that they will be using once this is in place. The combination of Ethnicity codes was available from TeacherNet, but I can't find it GOV UK. Once we know what combination is in use we will be able to provide ETHNIC.TXT files to cover the new situation.

We also need to understand the Welsh Government position as Ethnicity codes are include in the DfE/WG CTF files.

To sum up. Once the RFC has been accepted and the DfE has published the new Ethnicity code combinations for each LA, we can make the necessary changes to the structure of our Ethnicity code lookups for England and Wales and help LAs to provide revised ETHNIC.TXT files. If we aren't very cautious we will break CTF and distort the Censuses.

### **DfE Internal Colleagues' Summary of Impact Assessment:**

TDU – There are 15 validation rules that are potentially affected. Just under half of them are year on year checks that would require a minor amendment and a couple of other rules which again may need a minor change to accommodate the value change. We expect there to be around 8 rules affected though we have not been able to investigate them all in detail yet.

DDU – CTF 15 release.

I don't see any major implications from the other items that use this codeset. Pupils or teachers currently coded as WROM can remain in that category unless there is a desire to change to one of the subcategories.

### **Alternative Solutions / Workarounds (if appropriate):**

Implementation date of 01 September would ensure no impact on CTF.

### **Estimated Cost of Change:**

n/a

**Impact Assessed by (name):**

**Date:**

## Section 3 - Outcome / Decision

### (To be completed CBDS administrator)

**Review Meeting:** CBDS administrator review

**Attendees:** Gary Connell, Phil Dent, Kirsty Bennett, Louise Shutt, Iain King

Consulted : Gerard Hassett

**Date of Review Meeting:**

18/03/15

#### **Brief Summary of Discussion**

##### Supplier 3 Feedback

- 1) There is no impact on WIRT as there is separate coding with detailed descriptions of when these should be used.
- 2) We would expect the principles to be the same as other main/sub codes and the main code not to be used if any of the extended categories are used.

##### Supplier 4 Feedback

- 1) Introducing this change on 1 September would be best, to avoid complications with CTF
- 2) The simplest approach for cross border mapping would be to map all variants to WROM, because the Welsh subdivisions of WROM relate to what country the pupil came from, rather than what branch of Gypsy/Roma they identify with. This applies whatever direction people are crossing the border.

##### Supplier 5 Feedback

- 1) An updated codeset will be published within CBDS detailing the current values.
- 2) A review should take place with the Welsh office about the mapping of ethnic groups but this isn't a reason to delay implementation of this RFC

After considering all points raised. It was agreed to go ahead with the implementation date of 01 September 2015 and the RFC accepted.

**Accept / Reject:**

Accept

**Deferred to:**

n/a

**Type of Funding:**

n/a

**Fund Holder Agreement:**

n/a

**If Defer, provide details**

n/a

**If Accept, provide details:**

New CBDS:

Addition of new data items, 100563 Child Ethnicity, 400359 Ethnic code, 200668 Pupil Ethnic code, 200669 Ethnicity which supersede 100319, 400021, 200157, 200391 on 01 September 2015

Creation of new codeset D00250 Ethnicity, which supersedes D00005 from 01 September 2015. (RFC 784)

**If Reject, provide details:**

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