

Annual Public Meeting 2015

4pm	Welcome and introduction – William Shawcross
4.10pm	Review of the year – CEO and Directors
4.45pm	Q&A
5.10pm	Charity Commission Lecture: <i>'A giving society?'</i> by the Rt Hon Frank Field MP.
5.40pm	Discussion and debate from the floor
6pm	Drinks reception

16 September 2015



Annual Public Meeting 2015

Wi-Fi: CHCC-GUEST
Password: September2015
Twitter hashtag: #ccmtg

16 September 2015



Review of the year

Paula Sussex, CEO & acting COO

Kenneth Dibble, Director of Legal Services

Michelle Russell, Director of Investigations,
Monitoring & Enforcement

David Jones, Director of Corporate Services

Sarah Atkinson, Director of Policy &
Communications



Challenging environment - for us and for charities



- continued public scrutiny of charity and rising public expectations
- demand on Commission regulation continues rising
- CC funding remains challenging

Regulating with consensus

CHARITY
COMMISSION

Obligations on Commission:

- promote public trust and confidence in charities
- produce accessible and clear guidance
- set out requirements of being charity clearly
- regulate in public interest in a fair, proportionate, accountable manner
- intervene effectively, quickly when required
- light touch, supportive where trustees make honest mistakes and misjudgements
- respect independence of charities to run own affairs



Our regulatory relationship with charities

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COMMISSION

Obligations on charities:

- run your own affairs
- exercise discretion within objects, powers, legal requirements
- act exclusively in the best interest of the charity
- be honest and open with regulator
- follow our regulatory requirements - CC – you have right to challenge if you believe they are wrong
- be open and transparent and accountable
- safeguard your reputation, property, donors and beneficiaries



Volume of our work 2014-15

Registration

- **7,192** applications to register (8% > than last year)
- **4,648** registration applications approved
- **2,248** CIOs registered

Incoming traffic to First Contact

- **>120,000** calls, emails letters (95% resolved in FC)
- **2,129** reports of serious incidents
- **114** whistleblowing reports

Operations cases

- **c.2,200** new cases (of which >1,100 permissions cases)
- **2,550** cases concluded

NAO acknowledges our work

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COMMISSION

“The Commission has made good, early progress in addressing all of the recent recommendations made by the Committee of Public Accounts and the NAO.”

National Audit Office,
January 2015

We are making progress



We are:

- becoming **significantly more proactive** in tackling abuse and mismanagement in charities
- transforming use of data to help us **respond to risks facing individual charities and the sector**
- **improving robustness at registration stage**

Investigations work: key figures 2014-15

Investigations

- **133** pre-investigation assessments opened (115)
- **103** inquiries opened (64)
- **51** inquiries concluded (23)

Monitoring work

- **442** monitoring cases opened (96)
- **137** compliance and inspection visits
- **1,097** sets of accounts reviewed

Prevention

- **6** alerts issued
- **40** reports published with wider lessons
- **1,000** charities reached in workshops and outreach

Increase in use of our powers



uses of powers



2014-15



2013-14



2012-13



2011-12



Investigations work: key figures 2014-15

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Powers

- **8** suspensions and removals
- **4** new Interim Managers appointed
- **154** directions to take action

- **2131** exchanges with other agencies
- **30** witness statements

Outcomes

- **£44.6m** charity assets directly protected (£31.3m)
- **£1,426m** income supervised in compliance work
- **£60m** income more transparently accounted for

What's changing

More effective use of powers

Outcomes focused

Investigations with an increasing focus on

- public trust and confidence
- capacity, conduct and response of the trustees

More on

- understanding context charities work in
- Improving pace and case lengths
- trustee assurances and verification

Support for new powers for Charity Commission is universal

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- To disqualify unsuitable individuals from trusteeship
- To issue official warnings to charities

General Public

Charities



Support for new
powers being
introduced

82%

92%

Revised guidance: *The essential trustee*

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Manage your charity's resources responsibly

Comply with your charity's governing document and the law

Ensure your charity is carrying out its purposes for the public benefit

Act with reasonable care and skill

Act in your charity's best interests

Ensure your charity is accountable



The essential trustee: 6 main duties

We are increasing charities' accountability

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87%
Annual Returns
received within deadline



86%
Accounts
received within deadline



99%
Sector's income accounted
for in accounts filed

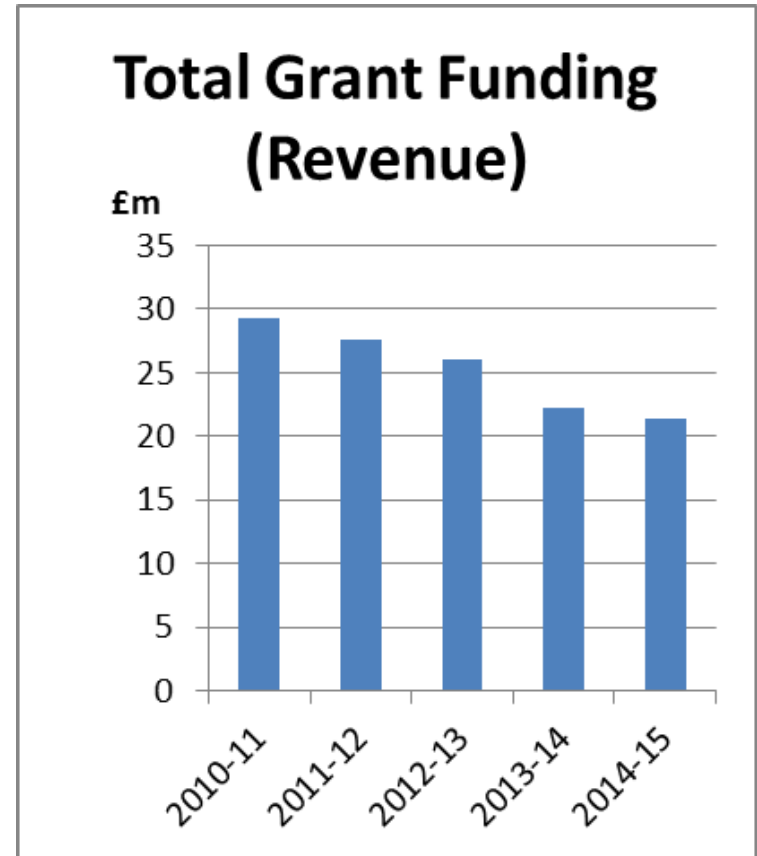
Our digital strategy



- **Better for charities:** more efficient services, reduced bureaucracy, less duplication when reporting to different parts of government
- **Better for the Commission:** freeing up capacity for high risk work, easier to interrogate data for regulatory purposes
 - **Already delivered:** third party submission portal, new charity search in beta
 - **Coming up:** improved and **intuitive registration online;** **web forms** to amend governing documents and change your charity's name

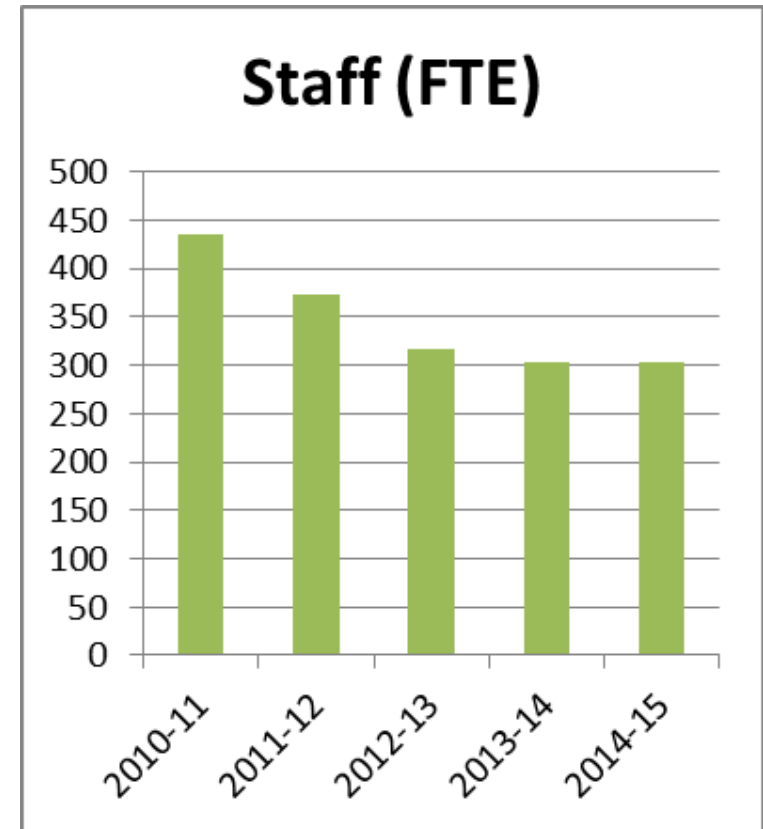
Financial accountability

- £21.4m expenditure plus £1.0m capital programme
- Continued **downward trend in resourcing**
- **Delivering efficiencies** in 2014-15: accommodation moves
- Transformation programme: future **productivity improvements**



Financial outlook

- **spending review 2016-19** - risk to future resourcing
- **achieving sustainable funding is priority** - considering options for contribution from charities



Trust & confidence in Commission high but no complacency

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General Public



Charities



Stakeholders

“There is no equivalent to the Charity Commission anywhere in the world. It is an important institution to support public trust and confidence in the charitable sector.”

Our strategic priorities

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Q&A

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Charity Commission APM 2015



The Charity Commission Lecture

A giving society?

Rt Hon Frank Field MP

Charity Commission APM 2015



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Charity Commission APM 2015

