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FORM AR21

Trade Union and Labour Relations (Consolidation) Act 1992

ANNUAL RETURN FOR A TRADE UNION

Name of Trade Union:	Union of Democratic Mineworkers Nottingham Section	
Year ended:	31 st December 2013	
List no:	682	
Head or Main Office:	Miners' Offices Berry Hill Lane Mansfield Notts. NG18 4JU	
Website address (if available)		
Has the address changed during the year to which the return relates?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/> (Click the appropriate box)
General Secretary:	M.L. Stevens	
Telephone Number:	01623 626094	
Contact name for queries regarding	M.J. Corrigan- Bull Payne & Co.	
Telephone Number:	01623 624494	
E-mail:	mike@bullpayne.com	

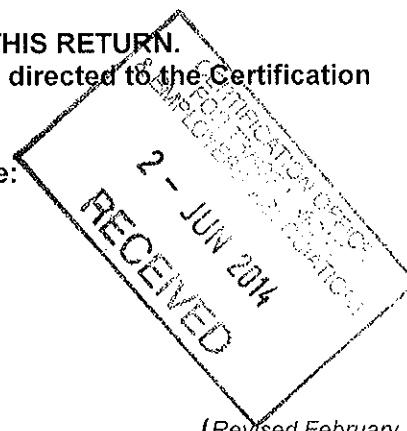
PLEASE FOLLOW THE GUIDANCE NOTES IN THE COMPLETION OF THIS RETURN.

Any difficulties or problems in the completion of this return should be directed to the Certification Officer as below or by telephone to: 020 7210 3734

The address to which returns and other documents should be sent are:

For Unions based in England and Wales:
Certification Office for Trade Unions and Employers' Associations
22nd Floor, Euston Tower, 286 Euston Road, London NW1 3JJ

For Unions based in Scotland:
Certification Office for Trade Unions and Employers' Associations
Melrose House, 69a George Street, Edinburgh EH2 2JG



(Revised February 2011)

RETURN OF MEMBERS

(see notes 10 and 11)

	NUMBER OF MEMBERS AT THE END OF THE YEAR				
	Great Britain	Northern Ireland	Irish Republic	Elsewhere Abroad (including Channel Islands)	TOTALS
MALE	444				444
FEMALE	10				10
TOTAL	454				A 454

Number of members included in totals box 'A' above for whom no home or authorised address is held:

	0
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Number of members at end of year contributing to the General Fund

	454
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OFFICERS IN POST

(see note 12)

Please attach as an annexe to this form a complete list of all officers in post at the end of the year to which this form relates, with the title of each persons office.

RETURN OF CHANGE OF OFFICERS

Please complete the following to record any changes of officers during the twelve months covered by this return.

Title of Office	Name of Officer ceasing to hold office	Name of Officer Appointed	Date

State whether the union is:

a. A branch of another trade union?

Yes No

If yes, state the name of that other union:

Union of Democratic Mineworkers National

b. A federation of trade unions?

Yes No

If yes, state the number of affiliated unions:

and names:

UNION OF DEMOCRATIC MINeworkERS (NOTTINGHAM SECTION)

LIST OF OFFICERS AS AT 31 DECEMBER 2013

President

J. Wood

General Secretary

M. Stevens

Executive

I Bircumshaw

GENERAL FUND

(see notes 13 to 18)

	£	£
INCOME		
From Members: Contributions and Subscriptions		83070
From Members: Other income from members (specify)		
Associate Members Subs	936	
Total other income from members		936
Total of all income from members		84006
Investment income (as at page 12)		23084
Other Income		
Income from Federations and other bodies (as at page 4)		
Income from any other sources (as at page 4)	40296	
Total of other income (as at page 4)		40296
		TOTAL INCOME
		147386
EXPENDITURE		
Benefits to members (as at page 5)		
Administrative expenses (as at page 10)		
Federation and other bodies (specify)		498966
UDM National Contributions	7941	
Total expenditure Federation and other bodies		7941
Taxation		
		TOTAL EXPENDITURE
		506907
		-359521
Surplus (deficit) for year		
		414965
Amount of general fund at beginning of year		
		55444
Amount of general fund at end of year		

ANALYSIS OF INCOME FROM FEDERATION AND OTHER BODIES AND OTHER INCOME

(see notes 19 and 20)

DESCRIPTION	£	£
Federation and other bodies		
TOTAL FEDERATION AND OTHER BODIES		
Other income		
Personal Accident Fund Commission	3101	
Legal & Medical Fees Compensation	7573	
Profit on Disposal of Investments	2375	
Profit on Disposal of Vehicles	27000	
Medical Welfare Subscriptions	190	
Profit on Sale of Memorabilia	57	
TOTAL OTHER INCOME		40296
TOTAL OF ALL OTHER INCOME		40296

ANALYSIS OF BENEFIT EXPENDITURE SHOWN AT GENERAL FUND

(see notes 21 to 23)

	£		£
Representation – Employment Related Issues		brought forward	
		Education and Training services	
Representation – Non Employment Related Issues			
		Negotiated Discount Services	
Communications			
		Salary Costs	
Advisory Services			
		Other Benefits and Grants (specify)	
Dispute Benefits			
Other Cash Payments			
carried forward		Total (should agree with figure in General Fund)	

(See notes 24 and 25)

FUND 2		Fund Account	
Name:	Benevolent Fund	£	£
Income			
	From members		
	Investment income (as at page 12)		5941
	Other income (specify)		
	Profit on Disposal of Investments	463	
	Total other income as specified		463
	Total Income		6404
Expenditure			
	Benefits to members	8500	
	Administrative expenses and other expenditure (as at page 10)	1200	
	Total Expenditure		9700
	Surplus (Deficit) for the year		-3296
	Amount of fund at beginning of year		333107
	Amount of fund at the end of year (as Balance Sheet)		329811
	Number of members contributing at end of year		0

FUND 3		Fund Account	
Name:	Medical Welfare Service Fund	£	£
Income			
	From members		
	Investment income (as at page 12)		18
	Other income (specify)		
	Contribution from UK Coal	5197	
	Total other income as specified		5197
	Total Income		5215
Expenditure			
	Benefits to members	1417	
	Administrative expenses and other expenditure (as at page 10)	4597	
	Total Expenditure		6014
	Surplus (Deficit) for the year		-799
	Amount of fund at beginning of year		19481
	Amount of fund at the end of year (as Balance Sheet)		18682
	Number of members contributing at end of year		0

(See notes 24 and 25)

FUND 4		Fund Account	
Name:		£	£
Income			
	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure			
	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

FUND 5		Fund Account	
Name:		£	£
Income			
	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure			
	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

(see notes 26 to 31)

POLITICAL FUND ACCOUNT 1		To be completed by trade unions which maintain their own fund		
		£	£	
Income	Members contributions and levies			
	Investment income (as at page 12)			
	Other income (specify)			
		Total other income as specified		
		Total income		
Expenditure	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)			
	Administration expenses in connection with political objects (specify)			
	Non-political expenditure			
			Total expenditure	
			Surplus (deficit) for year	
			Amount of political fund at beginning of year	
			Amount of political fund at the end of year (as Balance Sheet)	
			Number of members at end of year contributing to the political fund	
			Number of members at end of the year not contributing to the political fund	
			Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund	

POLITICAL FUND ACCOUNT 2		To be completed by trade unions which act as components of a central trade union		
		£	£	
Income	Contributions and levies collected from members on behalf of central political fund			
	Funds received back from central political fund			
	Other income (specify)			
		Total other income as specified		
		Total income		
Expenditure	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)			
	Administration expenses in connection with political objects (specify)			
	Non-political expenditure			
			Total expenditure	
			Surplus (deficit) for year	
			Amount held on behalf of trade union political fund at beginning of year	
			Amount remitted to central political fund	
			Amount held on behalf of central political fund at end of year	
			Number of members at end of year contributing to the political fund	
			Number of members at end of the year not contributing to the political fund	
		Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund		

ANALYSIS OF ADMINISTRATIVE EXPENSES AND OTHER OUTGOINGS EXCLUDING AMOUNTS CHARGED TO POLITICAL FUND ACCOUNTS

(see notes 32 and 33)

	£
Administrative Expenses	
Remuneration and expenses of staff	255400
Salaries and Wages included in above	£217427
Auditors' fees	4800
Legal and Professional fees	147564
Occupancy costs	23344
Stationery, printing, postage, telephone, etc.	101
Motor & Travel Expenses	4695
Bank Charges	30
Other administrative expenses (specify)	
General District Expenditure	10329
Branch Expenses	399
Branch Salaries	11411
Other Outgoings	
Interest payable:	
Bank loans (including overdrafts)	
Mortgages	
Other loans	
Depreciation	11058
Taxation	
Members Presentations	19238
Grant to UDM Notts Welfare & Covalescent Fund	4597
Other outgoings (specify)	
Admin Recharge From Subsidiary	11797
Total	504763
Charged to:	
General Fund (Page 3)	498966
Fund (Account 2)	1200
Fund (Account 3)	4597

	Total 504763
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ANALYSIS OF OFFICIALS SALARIES AND BENEFITS

(see notes 34 to 44 below)

Office held	Gross Salary £	Employers N.I. contributions £	Benefits			Total £
			Pension Contribution s £	Other Benefits		
				Description	Value £	
President	66973	7891	15335	See attached	7824	98023
General Secretary	83352	11343		See Attached	14213	108908
Executive:						
I Bircumshaw	2346					2346

UDM Nottingham Section
Officials Benefits Year Ended 31st December 2013

	<u>President</u>	<u>General Secretary</u>
Car	1610	5267
Telephone	13	30
Home Heat & Light	2478	5547
Home Water	383	433
Council Tax	1458	2628
Mortgage	1882	-
Sundry Home Expenses	<u>-</u>	<u>308</u>
Total	<u>7824</u>	<u>14213</u>

BALANCE SHEET as at 31/12/2013

(see notes 47 to 50)

Previous Year		£	£
160274	Fixed Assets (at page 14)		149216
	Investments (as per analysis on page 15)		
362503	Quoted (Market value £ 301201)	311278	
	Unquoted		
	Total Investments		311278
	Other Assets		
	Loans to other trade unions		
29547	Sundry debtors		23841
229355	Cash at bank and in hand		186347
	Income tax to be recovered		
964	Stocks of goods		816
2524	Others (specify) Prepayments		3201
262390	Total of other assets		214205
784717	TOTAL ASSETS		674699
414965	Fund (Account 1)		55444
333107	Fund (Account 2)		329811
19481	Fund (Account 3)		18682
	Superannuation Fund (Account)		
	Political Fund (Account)		
	Revaluation Reserve		
	LIABILITIES		
	Amount held on behalf of central trade union political fund		
	Loans: From other trade unions		
	Loans: Other		118000
	Bank overdraft		
	Tax payable		
9625	Sundry creditors		144162
7539	Accrued expenses		8600
	Provisions		
	Other liabilities		
784717	TOTAL LIABILITIES		674699
784717	TOTAL ASSETS		674699

FIXED ASSETS ACCOUNT

(see notes 51 to 55)

	Land and Buildings		Furniture and Equipment £	Motor Vehicles £	Not used for union business £	Total £
	Freehold	Leasehold				
Cost or Valuation						
At start of year	546689		21273	47164		615216
Additions						
Disposals				-47164		-47164
Revaluation/Transfers						
At end of year	546689		21273	0		567962
Accumulated Depreciation						
At start of year	386772		20916	47164		454852
Charges for year	10957		101			11058
Disposals				-47164		-47164
Revaluation/Transfers						
At end of year	397729		21017	0		418746
Net book value at end of year	148960		256	0		149216
Net book value at end of previous year	159917		357			160274

ANALYSIS OF INVESTMENTS

(see notes 56 and 57)

QUOTED	All Funds Except Political Funds £	Political Fund £
Equities (e.g. Shares)		
Government Securities (Gilts)		
UK Treasury Stock	80108	
Other quoted securities (to be specified)		
UK Bonds	195469	
Foreign Bonds	35701	
TOTAL QUOTED (as Balance Sheet)	311278	
Market Value of Quoted Investment	301108	
UNQUOTED		
Equities		
Government Securities (Gilts)		
Mortgages		
Bank and Building Societies		
Other unquoted investments (to be specified)		
TOTAL UNQUOTED (as Balance Sheet)		
Market Value of Unquoted Investments		

ANALYSIS OF INVESTMENT INCOME (CONTROLLING INTERESTS)

(see notes 58 and 59)

Does the union, or any constituent part of the union, have a controlling interest in any limited company?		YES <input checked="" type="checkbox"/>	NO <input type="checkbox"/>
If YES name the relevant companies:			
COMPANY NAME Vendside Limited (In Administration)	COMPANY REGISTRATION NUMBER (if not registered in England & Wales, state where registered) 3367773		
Welfare Financial Services Ltd	1984173		
Select Sales & Lettings Ltd.	6373534		
Efficient Property Services Group Ltd	6337246		
Essential Health & Safety Training Ltd	7005851		
Are the shares which are controlled by the union registered in the names of the union's trustees?		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
If NO, state the names of the persons in whom the shares controlled by the union are registered.			
COMPANY NAME Vendside Limited (In Administration)	NAMES OF SHAREHOLDERS D. Nettleship & A. Taylor		
Welfare Financial Services Ltd	M. Stevens & J. Wood		
Select Sales & Lettings Ltd.	D. McGarry		
Efficient Property Services Group Ltd	D. Nettleship & A. Taylor		
Essential Health & Safety Training Ltd	D. McGarry		

SUMMARY SHEET

(see notes 60 to 71)

	All funds except Political Funds £	Political Funds £	Total Funds £
INCOME			
From Members	84006		84006
From Investments	29043		29043
Other Income (including increases by revaluation of assets)	45956		45956
Total Income	159005		159005
EXPENDITURE (including decreases by revaluation of			
Total Expenditure	522621		522621
Funds at beginning of year (including reserves)	767553		767553
Funds at end of year (including reserves)	403937		403937
ASSETS			
Fixed Assets			149216
Investment Assets			311278
Other Assets			214205
		Total Assets	674699
LIABILITIES		Total Liabilities	270762
NET ASSETS (Total Assets less Total Liabilities)			403937

NOTES TO THE ACCOUNTS

(see notes 72 and 73)

All notes to the accounts must be entered on or attached to this part of the return.

See notes attached.

UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION

INDUSTRIAL FUND

NOTES TO THE ACCOUNTS

FOR THE YEAR ENDED 31ST DECEMBER 2013

1. Accounting Policies

a) **Accounting convention**

The accounts are prepared under the historical cost convention as modified by the revaluation of land and buildings and in accordance with applicable accounting standards and the Financial Reporting Standard for Smaller Entities (effective April 2008).

b) **Basis of accounting**

In accordance with the Rules of the Union separate accounts are prepared for the Political and Benevolent Funds. The accounts of the Industrial Fund include all the income and expenditure of the Nottingham Section for the year except that relating to the other two Funds.

c) Contributions are brought into account on the basis of the amounts receivable in the year.

d) **Fixed Assets**

Depreciation is provided on fixed assets, other than freehold land, and calculated on the straight line basis as follows :-

Freehold Property

5% per annum for first 10 years
2.1/2% per annum thereafter

Furniture and Equipment

10% per annum on cost

Motor Vehicles

25% per annum on cost

UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION

INDUSTRIAL FUND

NOTES TO THE ACCOUNTS

FOR THE YEAR ENDED 31ST DECEMBER 2013

e) **Staff Superannuation**

Welfare Financial Services Limited operates a Superannuation scheme for the whole of the U.D.M. Benefits are paid in line with those payable by the Mineworkers Pension Scheme.

The union operates a pension scheme providing benefits based on final pensionable pay. The assets of the scheme are held separately from those of the union, being invested in a separately administered fund. Contributions to the scheme are charged to the income and expenditure account so as to spread the cost of pensions over employees' working lives with the union. The contributions are determined by a qualified actuary on the basis of triennial valuations using the attained age method.

The pension charge for the year was £15,735 (2012 - £17,088)

The Union participates in a defined benefit scheme in the UK. A full actuarial valuation was carried out at 31st December 2011 by a qualified independent actuary.

UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION

INDUSTRIAL FUND

NOTES TO THE ACCOUNTS

FOR THE YEAR ENDED 31ST DECEMBER 2013

(e) Staff Superannuation Scheme (continued)

The scheme is being accounted for as a defined contribution scheme, under FRS17, as the assets of this multi-employer scheme cannot be separately identified. The assets in the main scheme and the expected long term rate of return were :-

	31 st December 2013 £000	31 st December 2012 £000	31 st December 2011 £000
Equities	5,470	5,133	4,246
Bonds	9,616	9,890	9,801
Cash	<u>544</u>	<u>582</u>	<u>591</u>
Total market Value of assets	15,630	15,605	14,638
Present value of Scheme liabilities	<u>13,837</u>	<u>13,517</u>	<u>13,108</u>
Surplus in the Scheme	<u>1,793</u>	<u>2,088</u>	<u>1,530</u>

At the actuarial valuation at 31st December 2011, the actuary recommended a Union contribution rate of 33% of Pensionable Pay, plus special contributions.

Under the projected unit method, the current service cost will increase as members approach retirement.

- (f) The Union has taken advantage of the exemption in Financial Reporting Standard No. 1 from the requirement to produce a cash flow statement on the grounds that it is a small entity.

UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION

INDUSTRIAL FUND

FIXED ASSETS AS AT 31ST DECEMBER 2013

Note 2 (a)

	<u>Freehold Property</u> £	<u>Furniture and Equipment</u> £	<u>Motor Cars</u> £	<u>Total</u> £
Cost at 1 st January 2013	301,689	21,273	47,164	370,126
Valuation at 1 st January 1982	245,000	-	-	245,000
Disposals	-	-	(47,164)	(47,164)
Cost at 31 st December 2013	<u>546,689</u>	<u>21,273</u>	<u>-</u>	<u>567,962</u>
Depreciation at 1 st January 2013	386,772	20,916	47,164	454,852
Charge for the Year	10,957	101	-	11,058
On Disposals	-	-	(47,164)	(47,164)
Depreciation at 31 st December 2013	<u>397,729</u>	<u>21,017</u>	<u>-</u>	<u>418,746</u>
Net Book Value at 31 st December 2013	<u>148,960</u>	<u>256</u>	<u>-</u>	<u>149,216</u>
Net Book Value at 31 st December 2012	<u>159,917</u>	<u>357</u>	<u>-</u>	<u>160,274</u>

UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION

INDUSTRIAL FUND

SCHEDULE OF PROPERTIES AS AT 31ST DECEMBER 2013

Note 2(b)

	At Valuation/ Cost Including Improvements		Book Value		Depreciation	
	Less Sales £	Depreciation Written Off To Date £	2013 £	2012 £	2013 £	2012 £
Offices, Flat & Garages Berry Hill, Mansfield	308,972	248,248	53,963	60,724	6,761	6,761
9 Angela Avenue Kirkby in Ashfield, Notts	30,445	22,685	7,058	7,760	702	702
30 Linwood Crescent, Ravenshead, Mansfield, Notts	54,689	33,134	20,625	21,555	930	930
143 Huthwaite Road, Sutton in Ashfield, Notts	<u>152,583</u>	<u>82,705</u>	<u>67,314</u>	<u>69,878</u>	<u>2,564</u>	<u>2,564</u>
	<u>546,689</u>	<u>386,772</u>	<u>148,960</u>	<u>159,917</u>	<u>10,957</u>	<u>10,957</u>
			As per Note 2(a)		As per Income and Expenditure Account	

The Union has adopted the transitional arrangements of the Financial Reporting Standard for Smaller Entities (effective April 2008) with respect to Tangible Fixed Assets shown at a valuation. At 1st January 1982 the UDM Offices were valued at £245,000. These valuations have not been updated and all additions have been recorded at cost. The Union does not intend to adopt a policy of revaluation in the future.

30 Linwood Crescent and 9 Angela Avenue are also subject to a charge in respect of Welfare Financial Services Limited to cover any potential shortfall on the UDM/Vendside pension scheme

UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION

INDUSTRIAL FUND

SCHEDULE OF INVESTMENTS AT 31ST DECEMBER 2013

Note 3

		<u>Market Value</u>	<u>Cost</u>
		£	£
UK Bonds			
£30,000	Rabobank Nederlands 4% MTN 19/09/2012	30,704	31,135
£50,000	London Stock Exchange 4.75% MTN 02/11/21	52,203	50,512
£43,000	B.A.T. International Finance 3.217 BDS17/06/22	<u>24,658</u>	<u>29,942</u>
		<u>£107,565</u>	<u>£111,589</u>

Note 4

Related Parties

Vendside Limited is a company formed by the Union to process Vibration White Finger and other compensation claims for U.D.M. Members and others. During 2013 an amount of £100,000 was loaned to the Union by Vendside Limited. At 31.12.13 £100,000 was outstanding.

Vendside Limited also charged the Union £10,000 for administration costs incurred on it's behalf.

The Union charged Vendside £17,000 rent in the year.


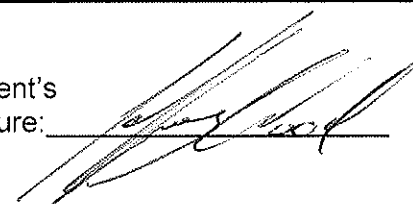
ACCOUNTING POLICIES

(see notes 74 and 75)

SIGNATURES TO THE ANNUAL RETURN

(see notes 76 and 77)

including the accounts and balance sheet contained in the return.

<p>MR M STEVENS IS ON SICKNESS LEAVE SIGNED BY MR T BARKER UDM NOTTS SECTION TRUSTEE</p> <p>General Secretary's Signature:  Notts Section Trustee.</p> <p>Name M. Stevens TREVOR BARKER</p> <p>Date: 28.5.14</p>	<p>President's Signature: </p> <p>Name J. Wood</p> <p>Date 28.5.14</p>
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CHECK LIST

(see notes 78 to 80)

(please tick as appropriate)

IS THE RETURN OF OFFICERS ATTACHED? (see Page 2 and Note 12)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE RETURN OF CHANGE OF OFFICERS BEEN COMPLETED? (see Page 2 and Note 12)	YES	<input type="checkbox"/>	NO	<input checked="" type="checkbox"/>
HAS THE RETURN BEEN SIGNED? (see Pages 19 and 21 and Notes 76 and 77)	YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE AUDITOR'S REPORT BEEN COMPLETED? (see Pages 20 and 21 and Notes 2 and 77)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
IS A RULE BOOK ENCLOSED? (see Notes 8 and 78)	YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
A MEMBER'S STATEMENT IS: (see Note 80)	ENCLOSE D	<input type="checkbox"/>	TO FOLLOW	<input type="checkbox"/>
HAS THE SUMMARY SHEET BEEN COMPLETED (see Page 17 and Notes 7 and 59)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>

AUDITOR'S REPORT

(see notes 81 to 86)

made in accordance with section 36 of the Trade Union and Labour Relations (Consolidation) Act 1992.

1. In the opinion of the auditors or auditor do the accounts they have audited and which are contained in this return give a true and fair view of the matters to which they relate?
(See section 36(1) and (2) of the 1992 Act and notes 83 and 84)

YES

If "No" please explain below.

2. Have the auditors or auditor carried out such investigations in the preparation of their audit report as will enable them to form an opinion as to:
- (a) whether the trade union has kept proper accounting records in accordance with section 28 of the 1992 Act;
 - (b) whether it has maintained a satisfactory system of control over its transactions in accordance with the requirements of that section; and
 - (c) whether the accounts to which the report relates agree with the accounting records?
- (See section 36(3) of the 1992 Act, set out in note 83)

YES

If "No" please explain below.

3. Are the auditors or auditor of the opinion that the union has complied with section 28 of the 1992 Act and has:
- (a) kept proper accounting records with respect to its transactions and its assets and liabilities; and
 - (b) established and maintained a satisfactory system of control of its accounting records, its cash holding and all its receipts and remittances.
- (See section 36(4) of the 1992 Act set out in rule 83)

YES

If "No" please explain below.

4. Please set out a copy of the report made by the auditors or auditor to the union on the accounts to which this AR21 relates. The report is to set out the basis upon which the audit has been conducted and/or such other statement as the auditor considers appropriate. Such a statement may be provided as a separate document.
(See note 85)

AUDITOR'S REPORT (continued)

See attached copy

Signature(s) of auditor or auditors:	<i>Bull Payne</i>	
Name(s):	Bull Payne & Co.	
Profession(s) or Calling(s):	Chartered Certified Accountants	
Address(es):	25 Brunts Street Mansfield Nottinghamshire NG18 1AX	
Date:	23 rd May 2014	
Contact name and telephone number:	M. Corrigan 01623 624494	

N.B. When notes to the accounts are referred to in the auditor's report a copy of those notes must accompany this return.

INDEPENDENT AUDITORS' REPORT TO THE MEMBERS OF

THE UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION

We have audited the financial statements of the Union of Democratic Mineworkers, Nottingham Section on pages 3 to 13. These financial statements have been prepared in accordance with Financial Reporting Standard for Smaller Entities (effective April 2008) (United Kingdom Generally Accepted Accounting Practice applicable to smaller entities)

RESPECTIVE RESPONSIBILITIES OF THE TRUSTEES AND AUDITORS

The Trustees are required to prepare financial statements for each financial year, which gives a true and fair view of the state of affairs of the Union and of the surplus or deficit of income over expenditure of the Union for that period. In preparing those financial statements, the Trustees are required to:

- select suitable accounting policies and then apply them, consistently ;
- make judgement and estimates that are reasonable and prudent ;
- prepare the financial statements on a going concern basis unless it is inappropriate to presume that the section will continue in existence.

The Trustees are responsible for keeping proper accounting records which disclose with reasonable accuracy at any time the financial position of the Union. They are also responsible for safeguarding the assets of the Union and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities. The Trustees are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view. Our responsibility is to audit the financial statements in accordance with applicable law and International Standards on Auditing (UK and Ireland). Those standards require us to comply with the Auditing Practice Board (APB's) Ethical Standards for Auditors.

SCOPE OF THE AUDIT OF THE FINANCIAL STATEMENTS

A description of the scope of the audit of financial statements is provided on the APB's website at www.frc.org.uk/apb/scope/UKNP.

OPINION ON FINANCIAL STATEMENTS

In our opinion the financial statements:-

- Give a true and fair view of the state of the Fund's affairs as at 31st December 2013 and of it's surplus of expenditure over income for the year then ended ;
- Have been properly prepared in accordance with United Kingdom Generally Accepted Practice applicable to smaller entities.

- Have been properly prepared in accordance with the requirements of the Trade Union and Labour Relations Consolidation Act 1992.

Matters on which we are required to report by exception

We have nothing to report in respect of the following matters where the Trade Union & Labour Relations (Consolidation) Act 1992 (amended) requires us to report to you, if, in our opinion:

- Adequate accounting records have not been kept, or returns adequate for our audit have not been received from branches not visited by us.
- A satisfactory system of control over transactions has not been maintained.
- The financial statements are not in agreement with the accounting records or returns.
- We have not received all the information and explanations we require for our audit.

Bull Payne & Co.

Bull Payne & Co.
Chartered Certified Accountants
Registered Auditors
25 Brunts Street
Mansfield
Notts
NG18 1AX

Date 23rd May 2014