



CHARITY COMMISSION
FOR ENGLAND AND WALES

ANNUAL PUBLIC MEETING

- 10.00 Introduction and welcome - William Shawcross
- 10.05 Review of the year – CEO and Directors
- 10.45 Questions
- 11.15 Keynote speech – introduced by William Shawcross
- 11.40 Questions
- 12.00 Event close

Date: 9 January 2017



CHARITY COMMISSION
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REVIEW OF THE YEAR

William Shawcross, Chairman

Paula Sussex, CEO

Michelle Russell, Director of Investigations, Monitoring & Enforcement

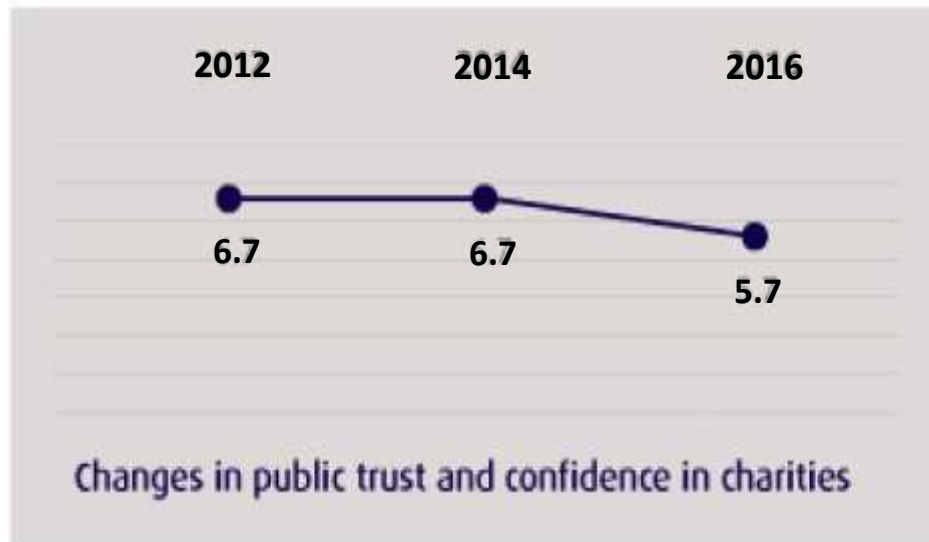
David Holdsworth, Chief Operating Officer

Kenneth Dibble, Director of Legal Services

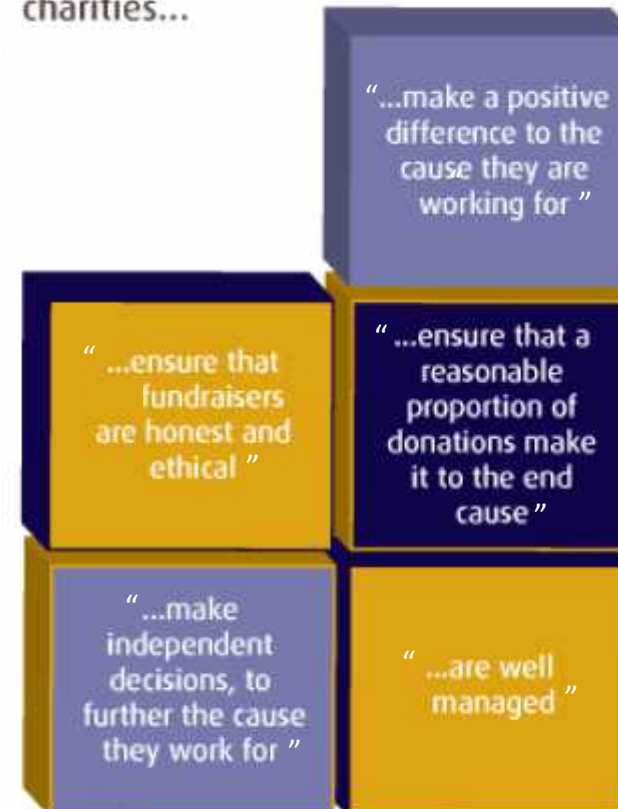
Sarah Atkinson, Director of Policy & Communications



Public trust and confidence



Building blocks for trust and confidence – charities...





Charity Commission's statement of regulatory approach

- Our statement has been revised to place new emphasis on enablement
- Enablement will include further support to trustees

The screenshot shows the GOV.UK website page for the Charity Commission statement of mission, regulatory approach and values. The page includes a search bar, navigation links for Departments, Worldwide, How government works, Get involved, Policies, Publications, Consultations, Statistics, and Announcements. The main heading is "Policy paper: Charity Commission statement of mission, regulatory approach and values". It is dated "Updated January 2017" and "10 July 2014". The page content includes a section titled "How the commission approaches its work to fulfil its role under the Charities Act." and a "Document" section with a link to the "Charity Commission statement of mission, regulatory approach and values" document. A "Detail" section provides information about the commission's mission and its objectives under the Charities Act.



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Compliance and enforcement



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Compliance casework

Serious incidents



2117
reported to us
by charities

Facts and figures about our compliance case work in 2015-16

Cases opened

The number of cases
we opened to assess
concerns about charities



1804
compared to 1569 in 2014-5

Legal Powers

Number of incidents
where we used our
legal powers

1248



Charities in England and Wales

165,000
registered charities



950,000
trusteeships



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Charities Act 2016



- New powers to disqualify and administer official warnings
- Automatic disqualification changes
- Fundraising agreements – new terms, new accounting requirements
- Power to make social investments



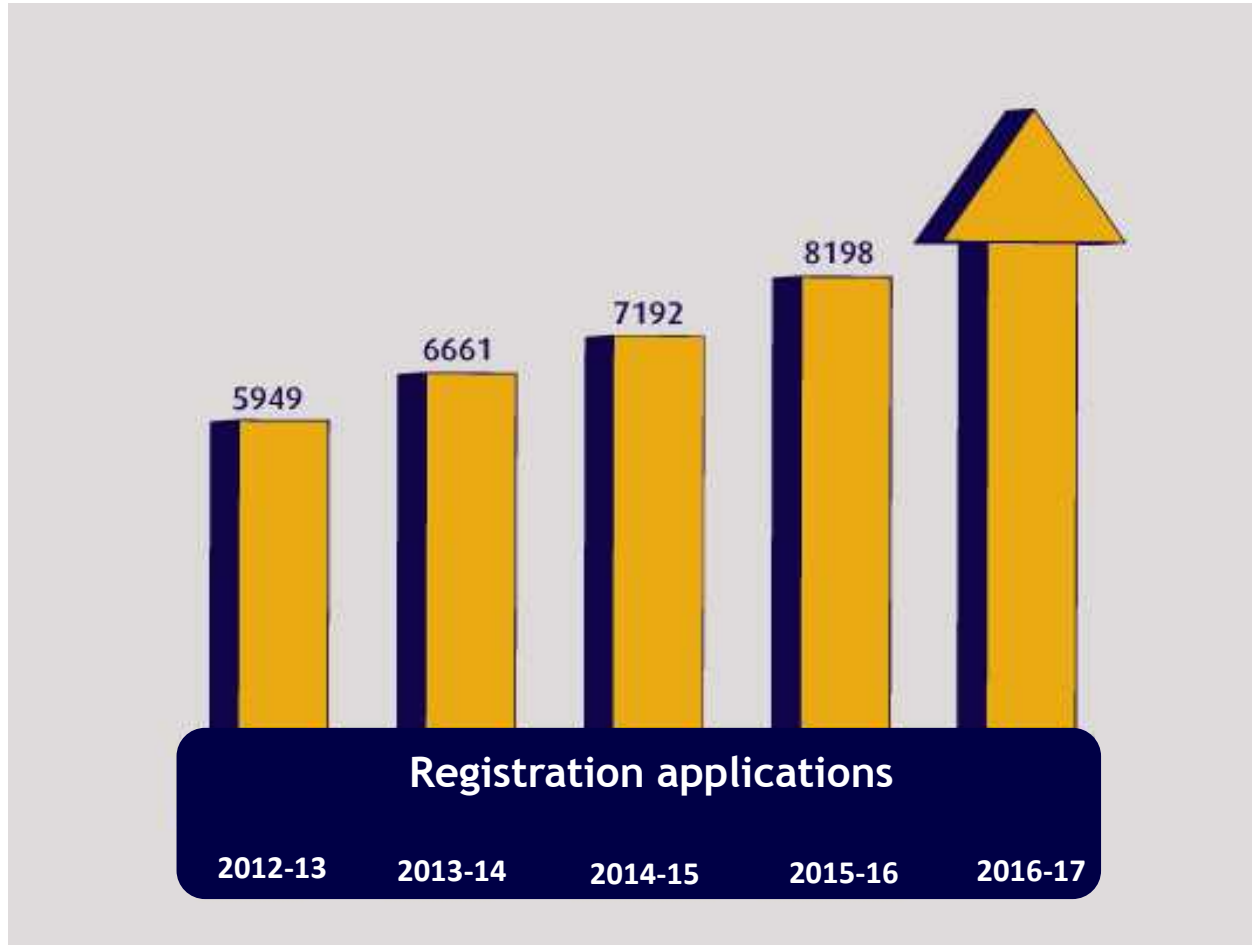
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Enablement



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Registration





Apply to Register a Charity

GOV.UK Apply to register a charity : Animal sanctuary

18+ This is a new service - your feedback will help us to improve it. [Feedback](#)

[Back](#) [Spell Check](#) [Print a copy](#) [Flag an issue](#)

Animal sanctuary

Page 10 of 24

When considering applications to register a charity for the care and protection of sick, neglected, abandoned, lost and mistreated animals, we will expect to see evidence that the organisation either has, or has realistic plans to ensure the following:

- the physical and financial resources and expertise to look after the animals properly (particularly those who may have been neglected);
- facilities available which are of an acceptable standard; and
- sufficient funds to meet any veterinary and associated expenses.

How will the trustees ensure that those providing care or treatment for the animals have the necessary skills and experience to do so?

How will the trustees ensure that the facilities available are of an acceptable standard (eg inspected regularly by a veterinary professional, appropriate size, cleanliness, etc.)?

- Improving the process
- Improving the data



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Caseworking productivity





Portal Prototype

ALPHA This service is a prototype for user testing

Signed in as Glyn Maxwell

The Aid Foundation

Charity Number 1234567, registered 12/04/1993

Regulators issue joint alert about compliance with data protection law

Annual public meeting 9 January 2017, the Royal Society, London

This charity's financial return for 2016 is due on 31/01/2016

[Complete now](#)

Charity name	Type	Last changed on	
The Aid Foundation	Main name	6/4/2008	Change
Aid for the Needy	Working name	1/2/2007	Delete

[Add a new working name](#)

Charity Trustees	Last changed on	
Professor Jane Nesbit	6/4/2008	Delete Change
Ellen May Ngethwa	6/4/2008	Delete Change
Barry Humphries	6/4/2008	Delete Change
Glyn Maxwell	6/4/2008	Delete Change

[Add a new trustee](#)

Charity Services

Register Particulars

[Update the register particulars](#)

Submissions

[Annual Return](#)

[Accounts](#)

Permissions

[Amend Governing Document](#)

[Pay a Trustee](#)

[Disposal of Land/Property](#)

Cause for Concern

[Reporting Serious Incidents](#)

[Whistleblowing](#)

[Raise a Concern](#)



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Governance in charities



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Trustees and governance

- The importance of the role and responsibilities of charity trustees in the effective administration of charities
- Poor governance is at the root of mismanagement and misconduct in charities
- The Commission plays an important role in improving governance in the sector by leading, supporting and guiding trustees to run their charities effectively



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'The Essential Trustee'





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The way forward - challenges

- Providing accessible quality guidance and training and support for trustees
- Providing a supportive regulatory framework for charities
- Focusing on better governance structures and behaviours to ensure compliance and effectiveness in charities
- Improving numbers and diversity in trustee boards



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Promoting good trusteeship

Increasing reach and engagement

New methods and channels of communication, especially for key guidance (e.g. financial distress, fundraising):

- Charity Services portal
- Commission blog
- More articles and speaking engagements
- Webinars



GOV.UK

Blog

Charity Commission

Organisations: The Charity Commission

Celebrating local charities and trustees

Today is Local Charities Day, an initiative launched by the Department for Culture, Media and Sport to help small, local charities and community groups thrive and demonstrate the great work they do.

**I'M SUPPORTING LOCAL CHARITIES
16 DECEMBER**

[Read more](#)



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Raising Trustee Awareness Project

- Along with the Cass Business School and the Cranfield Trust, we will report on our research to determine trustees' awareness of their duties and obligations (Spring 2017)
- We are issuing a survey to 19,000 trustees to understand their views on the challenges they face in their role
- Why? An in-depth understanding of the current state of charity trusteeship and who our trustees are
- Enable the Commission and wider society to know whether current expectations of trustees are appropriate and how they can best be supported to fulfil their roles



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Any questions?