

Undertaking given by Laser Awards Ltd (Laser) to the Office of Qualifications and Examinations Regulation (Ofqual), in accordance with Condition B8 of the General Conditions of Recognition, September 2015 (the Conditions).

Undertaking:

In the context of an incident occurring at a Centre, the identity of which is known to Ofqual and to Laser, in respect of which incident Laser has established that malpractice has occurred, with a view to securing compliance with the Conditions on an ongoing basis in respect of its treatment of that incident:

1. With a view to securing compliance on an ongoing basis with Conditions A8.2(a) and A8.3(b), before 18th March 2016 Laser will:
 - a. Rigorously and effectively investigate the circumstances of every award made to every Learner, or purported Learner, to whom results and/or certificates for Laser qualifications delivered, or purportedly delivered, through the affected centre have been issued with a view to determining, as far as possible, the existence of any evidence that there was or was not an occurrence of malpractice or maladministration in the issue of such results and/or certificates. That investigation will include all necessary measures to promote fairness, including, but not limited to using best endeavours to:
 - i. Make contact with all potentially affected Learners; and
 - ii. Notify all potentially affected Learners of the nature of the allegations under investigation; and
 - iii. Permit all potentially affected Learners the opportunity to respond to such allegations before making a final decision.
 - b. Convene a Malpractice Committee in accordance with its policy, to interrogate the evidence obtained on a case by case basis and determine, on the balance of probabilities, whether the allegation that there was an occurrence of malpractice in respect of each potentially affected award has been made out.
2. With a view to securing ongoing compliance with Conditions A6.1, A6.2(a), A7.1 and H6.1 Laser will:
 - a. Refrain from taking any action to withdraw and / or revoke any qualification and / or certificate issued to any affected Learner until the

conclusion of the decision-making process identified at paragraph 1b. to this Undertaking.

3. With a view to assuring Ofqual that it has complied with paragraphs 1 and 2 to this Undertaking, on or before midday on 22nd March 2016 Laser will:
 - a. Provide to Ofqual a Statement of Assurance, signed by the Chair of its Governing Body and the responsible officer, confirming that it has completed the actions set out in paragraphs 1 and 2 to this Undertaking.


4. With a view to securing ongoing compliance with Condition A8 generally, and in view of the obligations on it as a consequence of Condition D3.2(b) in light of the feedback it has received from Ofqual in respect of its treatment of the incident at the affected centre, Laser will:
 - a. Before 1 March 2016, review its policy and approach in respect of the investigation of alleged and / or suspected malpractice and subsequently to assure itself that the approach remains appropriate; and
 - b. Before 14 March 2016, make any necessary revisions and / or amendments to its policy and approach and publish any such revised policy as revised.

5. With a view to securing compliance on an ongoing basis with Condition D3.3, in light of the Adverse Effects which have occurred and / or may have occurred at the affected Centre, Laser will:
 - a. Before 1 March 2016, review its policy and approach in respect of the approval of Centres, the monitoring of Centres, the moderation of assessments marked by Centres, the issue of results and the issue of certificates; and
 - b. Before 14 March 2016, make any necessary revisions and / or amendments to its policy and approach.

6. With a view to assuring Ofqual that it has complied with paragraphs 4 and 5 to this Undertaking, on or before midday on 15 March 2016 provide to Ofqual a Statement of Assurance, signed by the Chair of its Governing Body and the responsible officer, confirming that it has completed the actions set out in paragraphs 4 and 5 to this Undertaking.

In giving this Undertaking, I acknowledge on behalf of Laser that:

- (1) Ofqual will publish this Undertaking; and
- (2) Ofqual may take enforcement action in respect of any failure to comply with an Undertaking given to it which may include the issue of a Direction, the imposition of a fine, costs or other such action as it deems appropriate.

Signed.......... Date...01.02.2016.....

Responsible Officer