

**The process for imposing**

**monetary penalties for breaches**

**of financial sanctions:**

**Consultation response form**

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| Name: Click here to enter text. |
| Organisation: Click here to enter text. |
| Please tick if you are responding on behalf an organisation |
| Name of organisation (if applicable) Click here to enter text. |
| Address: Click here to enter text.  Email: Click here to enter text. Telephone: Click here to enter text. |
| 1. Do these introductory sections give you enough information to understand the scope of the law on monetary penalties?  Yes  No   What else would be useful?  Click here to enter text. |
| 1. What are your views on OFSI’s compliance and enforcement approach?   Click here to enter text. |
| 1. Is there anything else you would expect a compliance model to tackle?   Yes  No  (if yes please explain what below)  Click here to enter text. |
| 1. Do you understand our proposed case assessment approach?   Yes  No  (if no please explain why below)  Click here to enter text. |
| 1. What are your views on our proposed case assessment approach?   Click here to enter text. |
| 1. Does this guidance give you enough information to help you understand how a penalty is calculated?   Yes  No  (if no please explain why below)  Click here to enter text. |
| 1. OFSI will reduce the level of penalty if there is voluntary disclosure. What are your views on OFSI’s approach to this?   Click here to enter text. |
| 1. Is the process for imposing a penalty and making representations clear from this guidance?  Yes  No   (if no please explain why below)  Click here to enter text. |
| 1. Do you understand the guidance on seeking a Ministerial review?   Yes  No |
| 1. What are your views on the process for seeking a Ministerial review?   Click here to enter text. |
| 1. Does this guidance clearly explain why and how OFSI will publish information on penalties imposed for breaches of financial sanctions regulations?  Yes  No   What are your views on the level of information OFSI will publish?  Click here to enter text. |
| 1. Considering the document as a whole, does this guidance help you clearly understand OFSI’s approach to imposing monetary penalties?   Click here to enter text. |
| Please e-mail this form to: [OFSIConsultation@hmtreasury.gsi.gov.uk](mailto:OFSIConsultation@hmtreasury.gsi.gov.uk) |

Or post to OFSI Consultation, HM Treasury, 1 Horse Guards Road, London SW1A 2HQ