

The General Conditions of Recognition



Part 1: The awarding organisation

A: Governance		B: The awarding organisation and Ofqual		C: Third parties	
A1	Suitability for continuing recognition ●	B1	The role of the responsible officer ●	C1	Arrangements with third parties ●
A2	Establishment in the EU or the EFTA	B2	The annual statement to Ofqual	C2	Arrangements with Centres ●
A3	Safeguards on change of control	B3	Notification to Ofqual of certain events ●	C3	Arrangements with publishers ●
A4	Conflicts of interest ●	B4	Notice to provide information to Ofqual		
A5	Availability of adequate resources and arrangements ●	B5	Representations regarding qualifications ●		
A6	Identification and management of risks ●	B6	Cooperation with Ofqual		
A7	Management of incidents	B7	Compliance with Regulatory Documents		
A8	Malpractice and maladministration ●	B8	Compliance with undertakings given to Ofqual		

Part 2 – The regulated qualification

D: General requirements for regulated qualifications		E: Design and development of qualifications		F: Providing qualifications to purchasers		G: Setting and delivering the assessment		H: From marking to issuing results		I: Appeals and certificates	
D1	Fitness for purpose of qualifications	E1	Qualifications having an objective and support ●	F1	Information on fees and features of a qualification	G1	Setting the assessment ●	H1	Marking the assessment	I1	Appeals process
D2	Accessibility of qualifications ●	E2	Requirements on qualification titling ●	F2	Packaging qualifications with other products or services ●	G2	Language of the assessment	H2	Moderation where an assessment is marked by a Centre	I2	Compliance with Ofqual's appeals and complaints process
D3	Reviewing approach ●	E3	Publication of a qualification specification ●	F3	Invoicing	G3	Use of language and stimulus materials ●	H3	Monitoring the specified levels of attainment for a qualification	I3	The design and content of certificates ●
D4	Responding to enquiries and complaints procedures	E4	Ensuring an assessment is fit for purpose and can be delivered			G4	Maintaining confidentiality of assessment materials ●	H4	Adjudication by Ofqual of specified levels of attainment for a qualification	I4	Issuing certificates and replacement certificates
D5	Compliance of qualifications with Regulatory Documents	E5	Assurance that qualifications comply with the conditions ●			G5	Registration of Learners	H5	Results for a qualification must be based on sufficient evidence		
D6	Compliance of units developed by others with Regulatory Documents	E6	Submitting qualifications to the Register			G6	Arrangements for Reasonable Adjustments ●	H6	Issuing results		
D7	Management of the withdrawal of qualifications ●	E7	Total Qualification Time ●			G7	Arrangements for Special Consideration ●				
D8	Making available information to meet Teachers' needs ●	E8	Credit			G8	Completion of the assessment under required conditions ●				
		E9	Qualification and Component levels ●			G9	Delivering the assessment ●				
		E10	Recognition of Prior Learning ●								

J: Interpretation and definitions	
J1	Interpretation and definitions

Key	
●	Guidance published
●	New/revised guidance published for consultation on 7 December 2015
●	Supported by additional requirements document

