

# Data Provision Notice

## Out of Area Placements (OAPs) collection (Private providers)

Information Asset Owner: Alyson Whitmarsh

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## Background

The Health and Social Care Act 2012 (the Act) gives the Health and Social Care Information Centre now known as NHS Digital and hereafter referred to by this name, statutory powers, under section 259(1)(b), to request data from any person, where it has been directed to establish an information system by the Department of Health (DH) (on behalf of the Secretary of State) or NHS England.

The data, as specified by NHS Digital in this published Data Provision Notice, is required to support a direction from DH to NHS Digital. Therefore, organisations that are in scope of the notice are requested, to provide the data in the form and manner specified.

## Purpose of the collection

NHS Digital has developed an Out of Area Placements (OAPs) collection (previously known as Out of Area Treatments). This has been developed to capture the details of all OAPs in mental health services for adults in acute inpatient care in England by both the NHS and private providers. The Department of Health directed NHS Digital to carry out this work.

The OAPs collection has been designed to record the details of any individual, who has been referred for an out of area placement in England. An “*out of area placement*” for acute mental health inpatient care is defined as happening when:

*A person with assessed acute mental health needs, who requires adult mental health acute inpatient care, is admitted to a unit that does not form part of the usual local network of services. By this we mean an inpatient unit that does not usually admit people living in the catchment of the person’s local community mental health service, and where the person cannot be visited regularly by their care co-ordinator to ensure continuity of care and effective discharge planning.*

A fuller explanation is available on the following website:

<https://www.gov.uk/government/publications/oaps-in-mental-health-services-for-adults-in-acute-inpatient-care>

The OAPs data collection is an interim 12 month collection via the Clinical Audit Platform (CAP) that will take place between October 2016-October 2017, with first reports planned for December 2016 and final reports expected December 2017.

NHS Digital is to publish monthly reports on the numbers of OAPs. Figures are to be suppressed where the number of placements being reported is five or fewer, therefore individuals cannot be identified.

## Benefits of the collection

The Government set a national ambition to eliminate inappropriate OAPs in mental health services for adults in acute inpatient care by 2020-21. Inappropriate OAPs are where patients are sent out of area because no bed is available for them locally which can delay

their recovery. It is essential to introduce a collection of OAPs data in order to monitor progress towards achieving the ambition and to understand where and why OAPs are happening. Having this information is critical to improving patient care and ultimately eliminating the practice of inappropriately sending patients out of area to receive acute inpatient care.

## Legal basis for the collection, handling, publication and dissemination

NHS Digital has been directed by DH under section 254 of the Health and Social Care Act 2012 to establish and operate a system for the collection and analysis of the information specified for this service. A copy of the Directions have been published here:

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/556671/OATS\\_Direction\\_Letter.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/556671/OATS_Direction_Letter.pdf)

Under section 254 of the 2012 Act, NHS Digital is required to:

- Collect data that includes administrative information, information about the reasons for admission, and diagnostic information about adults who receive acute inpatient care in mental health services. This data will be aggregated at provider and CCG level and all data, in accordance with NHS Digital's mandatory standards or Anonymisation Standard for Publishing Health and Social Care Data, will be anonymised when published on NHS Digital's website. The Department has agreed for this information to be published on a monthly basis for 12 months.
- Share aggregate data at provider and CCG level collected under this direction (in accordance with NHS Digital's mandatory standards or Anonymisation Standard for Publishing Health and Social Care Data) with the Department of Health, NHS England, NHS Improvement, NHS organisations and Independent Sector Providers responsible for commissioning and providing acute mental health services in England.

This information is requested by NHS Digital under section 259(1)(b) of the Health and Social Care Act 2012. All Independent Sector providers (private providers), in England are requested to provide information to NHS Digital in the form, manner and period specified in this Data Provision Notice.

However, under section 259(2)(b), where Independent Sector providers are performing NHS funded care, providers **must** comply under section 259(1)(b), with the requirement and provide information to NHS Digital in the form, manner and period specified in this Data Provision Notice.

NHS Digital must take steps to ensure that no data collected under these Directions are shared or published by any means which may lead to the identification of an individual.

This Notice is issued in accordance with the procedure published as part of NHS Digital's duties under section 259(8).

## Persons consulted

Following receipt of a Direction to establish a data collection for out of area placements, NHS Digital has, as required under section 258 of the Health and Social Care Act 2012, consulted with the following persons.

The OAPs definition has been extensively tested with providers, commissioners, experts and users to ensure the definition and the reasons for OAPs are easy to understand and reflect providers understanding.

Key data items have been tested with:

- NHS Improvement.
- Department of Health.
- NHS Digital.
- NHS England.
- The data collection data items have also been tested with providers and commissioners through a number of workshops and online demonstrations to ensure that these data items would be possible for providers to submit. In addition, the list of bed types included in the data collection has been tested through an NHS Benchmarking consultation. A list of providers who have been involved are below:
  - Tees, Esk and Wear Valleys NHS FT
  - Rotherham Doncaster and South Humber NHS FT
  - Isle of Wight NHS Trust
  - Avon and Wiltshire MH Partnership NHS Trust
  - Hertfordshire Partnership University NHS FT
  - Bradford District Care NHS FT
  - Central and North West London NHS FT
  - South London and Maudsley NHS FT
  - Merseycare NHS Trust
  - Northumberland, Tyne and Wear NHS Trust
  - West London MH NHS Trust
  - Norfolk and Suffolk NHS FT
  - Oxleas NHS FT
  - Camden and Islington NHS FT
  - Sheffield health and social care NHS FT
  - Birmingham and Solihull MH NHS FT.

Following consultation the data set has been reviewed by:

- Department of Health.
- NHS Digital.
- NHS England.

## Scope of the collection

Under section 259(1) of the Health and Social Care Act 2012, this Notice is served in accordance with the procedure published as part of the NHS Digital duty under section 259(8) on the following persons:

- Independent Sector Service Providers in England that place NHS funded patients **must** submit data.
- Independent Sector Service Providers in England are requested to provide placement information on patients whose care is non-NHS funded.

Under section 259(5) of the Health and Social Care Act 2012, the organisation types specified in the above Scope are requested to comply with the Form, Manner and Period requirements below:

## Form of the collection

A list of the data items to be collected can be found on the right hand side of the OAPs webpage by clicking on the *Data Items Specification* document at:  
<http://content.digital.nhs.uk/oaps>

The following patient identifiable information is included in the collection:

- NHS Number
- First name
- Surname
- Gender
- Ethnicity
- Date of birth
- Post code

## Manner of the collection

Data will be submitted by providers using the NHS Digital secure online Clinical Audit Platform (CAP).

A link to the system is available here: <https://clinicalaudit.hscic.gov.uk>

Surgeons and administrative staff submitting data to CAP will be required to register to use the system by creating a Single Sign On (SSO) account with NHS Digital and completing and submitting a Registration form which needs to be signed off by the provider organisation's Caldicott Guardian.

The registration process form can be found under *Provider Documents* on the right hand side of the OAPs webpage: <http://digital.nhs.uk/oaps>

An operational guidance note is also available under *Provider Documents* on the right hand side of the webpage to help providers submit data: <http://digital.nhs.uk/oaps>

There is also a Paper Data Collection Form available under *User Documents*, for providers to record the required information, in the unlikely event of the CAP system not being available. It is advised that data is captured electronically on CAP, as close as possible to the placement commencing and before the submission window closes (see Period of the Collection). Local processes should be agreed as to how the data collection is to be completed.

All data items are mandatory except for ethnicity. All data items should be known by the sending provider at the time of entering data into CAP. The exceptions are the cost per bed type and discharge date which will need to be obtained by the receiving provider/responsible CCG. Until the information is known, a dummy date (01/01/1900 to be used) and zero cost can be submitted. Sending providers will need to update these records in CAP once the information is known, otherwise the OAP will remain active.

## Period of the collection

The OAPs collection was launched on Monday 17<sup>th</sup> October 2016 and will be an ongoing monthly collection until October 2017 (12 month collection). Local processes will need to be agreed as to when data is entered into the system before each monthly submission deadline date. A timetable of the monthly cut-off dates can be found under *Provider Documents* on the right hand side of the OAPs webpage: <http://content.digital.nhs.uk/oaps>

NHS Digital is running this bespoke OAPs data collection via CAP for a 12 month period only. This is to allow necessary changes to the Mental Health Services Dataset (MHSDS) to be implemented as part of the MHSDS v2.0 release, for which data collection commences April 2017. The MHSDS is the chosen mechanism for the long term collection of this data and the interim collection will aid early understanding of data quality issues to inform MHSDS guidance. It is expected that this collection will move to the Mental Health Minimum Data Set (MHMDS) collection with a planned date of April 2017 for this to commence. Therefore, there is potential and flexibility around a period of dual collection (April 2017 to October 2017 as a maximum) but efforts will be made to try and keep this to a minimum.

## Data quality

The quality of the data within CAP will be reviewed on a monthly basis during report production and any data quality issues will be fed back to providers. Any user guidance will also be reviewed and updated.

Data across CAP and the MHMDS will be reviewed during the dual collection period (April 2017-October 2017). This will help validate the quality of data across both systems so this can be fully integrated into MHSDS.

# Burden of the collection

## Steps taken by NHS Digital to minimise the burden of collection

In discharging its statutory duty to seek to minimise the burden it imposes on others, NHS Digital has consulted on the data requirements of the OAPs collection with the Department of Health and NHS England and identified what information is mandatory.

In seeking to minimise the burden it imposes on others, in line with sections 253 (2a) and 265(3) of the Health and Social Care Act 2012, NHS Digital has an assessment process to validate and challenge the level of burden incurred through introducing new information standards, collections and extractions.

This assessment is carried out by the Burden Advice and Assessment Service (BAAS) which carries out a Detailed Burden Assessment (DBA) and reports findings and recommendations, as part of the overarching process for the Standardisation Committee for Care Information (SCCI). This Committee oversees the development, assurance and acceptance of information standards, data collections and data extractions for the health and social care system in England.

## Detailed burden assessment findings

The collection owner is recommended to:

1. Consider revising the period of overlap between the OAPs Interim Collection and the Mental Health Services Data Set (MHSDS) collection once the relevant data items have been incorporated into the MHSDS.
2. Report to SCCI regarding data quality following the first month of duplication with MHSDS, and potential next steps to improve quality and expedite closure of this collection.
3. To demonstrate the value of the collection and provide trusts with meaningful results which can be utilised and shared: consult with data providers on the content and format of the published data.



## Assessed costs

The associated burden of the data collection is:

Burden on providers	£563.2k	Includes all providers.
Set up costs for the data collection	£97.7k	Includes NHS Digital and supplier costs, representing a maximum estimate.
Other costs of the data collection	£56k	Training costs (Clinical Audit Platform)

These provider costs cover total time taken per month per trust to provide the data based on initial assessments carried out with a sample of providers by NHS Digital.

## Help us to identify inappropriate collections

NHS Digital's Burden Advice and Assessment Service (BAAS) offers a Collection Referral Service which is a simple and confidential way to allow data providers to refer data collections they feel would benefit from further scrutiny.

For more details and information on how to refer a collection, please visit:  
<http://www.digital.nhs.uk/article/6183/Collection-Referral-Service>

More about the Burden Advice and Assessment Service can be found at:  
<http://digital.nhs.uk/baas>

**For further information**

**[www.digital.nhs.uk](http://www.digital.nhs.uk)**

**0300 303 5678**

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