

## **Information Released under Freedom of Information Act**

**Subject:** Request for statistics on the number of Late Filing Penalty appeals referred to the Independent Adjudicator and the Registrar

**Date Released:** 12 January 2016

**Summary of request:** The enquirer requested statistics on the number of Late Filing Penalty appeals referred to the Independent Adjudicator and the Registrar

### **Information Released**

You asked:

**1 (i) In each of the last 5 Calendar years (including the current one) how many cases of representations have been considered by the Independent Adjudicator relating to penalties for late filing**

**1 (ii) in respect of (i) above how many such cases has he rejected**

**2 (i) In respect of the numbers of cases rejected as in 1 (ii) above how many have been subsequently been the subject of formal appeal to the Registrar of Companies**

**2 (ii) in respect of the number of appeals to the Registrar of Companies as in 2(i) above, in each of the 5 years how many have been allowed and how many have been successful**

The information you require is already published on our website in the Independent Adjudicators (IA) Report. Under the FOIA information that is reasonably accessible to you is exempt from disclosure under section 21 of that Act.

However, to be of assistance, I have enclosed a copy of each of the reports for the last 5 years. These reports are published in financial years. We do not hold or collate this information in calendar years.

The reports show:

> the number of appeals dealt with by the IA

> the number that were upheld in favour of the appellant (the number rejected/not upheld can be deduced by subtracting this number from the numbers of appeals dealt with)

> the number of cases referred to the registrar (I should explain that generally the Registrar does not consider an appeal until it has been considered by the adjudicator)

> the number of cases upheld by the Registrar in the appellant's favour (the number rejected can be deduced by subtracting this number from the number of referrals to the Registrar)

I believe that the enclosed reports provide the answers to your questions above.