



Year 7 catch-up premium grant 2012 to 2013: conditions of grant

1. The Year 7 literacy and numeracy catch-up premium grant 2012-2013 will be paid pursuant to Section 14 of the Education Act 2002, and in accordance with Section 16 of that Act the Secretary of State lays down the following terms and conditions on which assistance is given in relation to this grant payable to the Authority for the financial year beginning 1 April 2012.
2. The Year 7 catch-up premium per pupil for 2012-2013 is as follows:

Type of pupil	Year 7 Catch-up premium per pupil
Year 7 pupils that did not achieve at least level 4 in reading and/or mathematics at Key Stage 2 in 2012	£500

3. The Year catch-up premium for 2012-13 will include all Year 7 pupils that did not achieve at least level 4 in reading and/or mathematics at Key Stage 2 based on the 2012 provisional Key Stage 2 data. These data have been matched with the autumn 2012 school census data to work out allocations for each local authority and school. For pupils in Alternative Provision lagged data will be used. The 2010/11 Key Stage 2 assessment results have been matched with the January 2012 Alternative Provision Census as there is no census of alternative provision in the autumn. A maximum of £500 for each pupil who has not achieved at least level 4 in reading and/or maths has been allocated.

The grant has been allocated as set out in sections A, B and C below.

A. The Year 7 Catch-up Premium for Year 7 pupils in Maintained Schools

4. This element of the catch-up will be allocated to local authorities on the basis of:
 - £500 per pupil for each pupil in Year 7 that did not achieve at least level 4 in reading and/or mathematics at Key Stage 2 in 2012 Key Stage 2 assessments.
5. The local authority must allocate to each school it maintains the following amounts:

- for each Year 7 pupil that did not achieve at least level 4 in reading and/or mathematics in the 2012 Key Stage 2 assessments, £500 per pupil.

6. The grant must be made available irrespective of the existence of any deficit relating to the expenditure of the school's budget share. The Year 7 catch-up premium is not part of schools' budget shares and is not part of the Individual Schools Budget. It is not to be counted for the purpose of calculating the Minimum Funding Guarantee.

Terms on which the Year 7 catch-up premium is allocated to schools

7. The grant may be spent by maintained schools for the purposes of the school; that is to say for the educational benefit of pupils registered at that school, or for the benefit of pupils registered at other maintained schools; and on community facilities, for example services whose provision furthers any charitable purpose for the benefit of pupils at the school or their families, or people who live or work in the locality in which the school is situated.

8. The grant does not have to be completely spent by schools in the financial year beginning 1 April 2012; some or all of it may be carried forward to future financial years.

Pupil numbers to be used in calculation of Year 7 catch-up premium for mainstream schools

9. The following pupil numbers will be used to allocate the Year 7 catch-up premium to maintained schools:

- the number of Year 7 pupils in each maintained school who did not achieve at least level 4 in reading and/or mathematics at Key Stage 2 in 2012.

B. Year 7 catch-up Premium for Year 7 Pupils in Academies or Free Schools

Basis of the allocations to academies or free schools

10. The Department has allocated £500 per pupil for the number of Year 7 pupils that did not achieve at least level 4 in reading and/or mathematics at Key Stage 2. This is based on 2012 Key Stage 2 data and the autumn 2012 school census data.

C. Year 7 catch-up Premium for Year 7 Pupils in alternative provision

11. The grant has also been allocated to each local authority for pupils in Alternative Provision (i.e. education arranged by local authorities for pupils who, because of exclusion, illness or other reasons, would not otherwise receive suitable education; education arranged by schools for pupils on a fixed-period exclusion; and pupils being directed by schools to off-site provision to improve their behaviour).

12. For pupils in Alternative Provision lagged data has been used. The 2010/11 Key Stage 2 assessment results have been matched with the January 2012 Alternative Provision Census as there is no census of alternative provision in the autumn.

13. The Department has allocated £500 per pupil for the number of pupils identified as not achieving at least level 4 in reading and/or mathematics in the 2010/11 Key Stage assessments who were also identified in the January 2012 Alternative Provision Census as being in alternative provision. As lagged data is used for the allocations in relation to pupils in alternative provision, this will provide a pot of money to use to provide catch-up support for any Year 7 pupils in alternative provision that did not achieve level 4 in reading and/or mathematics at the end of Key Stage 2.

Allocation and Payment arrangements

14. Allocations for the grant are confirmed in January 2013 once pupil data from the autumn 2012 School Census have been validated and agreed and matched to the 2012 Key Stage 2 assessment data. The 2012/2013 grant will be paid by the Secretary of State to the Authority by 31 January 2013.

Certification

15. Local authorities will be required to certify that they have passed on the correct amount of funding to schools or, where funding has been spent centrally, that it has been spent in line with the conditions of grant. We will issue details of this process alongside the certification arrangement for the Dedicated Schools Grant.

Variation

16. The basis for allocation of grant may be varied by the Secretary of State from those set out above, if so requested by the Authority.

Overpayments

17. Any overpayment of grant shall be repaid by the Authority to the Secretary of State.

Further information

18. That the books and other documents and records relating to the recipient's accounts shall be open to inspection by the Secretary of State and by the Comptroller and Auditor General. The Comptroller and Auditor General may, pursuant to Section 6 of the National Audit Act 1983, carry out examinations into the economy, efficiency and effectiveness with which the recipient has used its resources in discharging its grant-aided activities.

19. The Authority shall provide such further information as may be required by the Secretary of State for the purpose of determining whether it has complied with the conditions set out in this document.

Other conditions

20. If the Authority fails to comply with the conditions set out in the paragraphs above, the Secretary of State may require the repayment of the whole or any part of the grant monies paid to the Authority as may be determined by the Secretary of State and notified in writing to the Authority. Such sum as has been notified shall immediately become payable to the Secretary of State.

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