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- PRINT ON ONE SIDE ONLY

# FORM AR21

Trade Union and Labour Relations (Consolidation) Act 1992

## ANNUAL RETURN FOR A TRADE UNION

Name of Trade Union:

Union of Democratic Mineworkers Nottingham Section

Year ended:

31<sup>st</sup> December 2015

List no:

682

Head or Main Office:

Rees House  
Nursery Street  
Mansfield  
Notts.  
NG18 2AG

Website address (if available)

Has the address changed during  
the  
year to which the return relates?

Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>
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(Click the appropriate box)

General Secretary:

Jeffrey Wood

Telephone Number:

Contact name for queries  
regarding

M.J. Corrigan

Telephone Number:

01623 624494

E-mail:

mike@bullpayne.com

**PLEASE FOLLOW THE GUIDANCE NOTES IN THE COMPLETION OF THIS RETURN.**

Any difficulties or problems in the completion of this return should be directed to the Certification Officer as below or by telephone to: 020 7210 3734

The address to which returns and other documents should be sent are:

For Unions based in England and Wales:

Certification Office for Trade Unions and Employers' Associations  
22<sup>nd</sup> Floor, Euston Tower, 286 Euston Road, London NW1 3JJ

For Unions based in Scotland:

Certification Office for Trade Unions and Employers' Associations  
Melrose House, 69a George Street, Edinburgh EH2 2JG



(Revised February 2011)

**UNION OF DEMOCRATIC MINeworkERS (NOTTINGHAM SECTION)**

**LIST OF OFFICERS AS AT 31 DECEMBER 2014**

President/General Secretary

J. Wood

Council Members

C. Hunter

S. Norwood

Trustees

T. Barker

D. Nettleship

# RETURN OF MEMBERS

(see notes 10 and 11)

	NUMBER OF MEMBERS AT THE END OF THE YEAR				
	Great Britain	Northern Ireland	Irish Republic	Elsewhere Abroad (including Channel Islands)	TOTALS
MALE	366				<b>366</b>
FEMALE	5				<b>5</b>
TOTAL	371				<b>A 371</b>

Number of members included in totals box 'A' above for whom no home or authorised address is held:

0
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Number of members at end of year contributing to the General Fund

371
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## OFFICERS IN POST

(see note 12)

Please attach as an annexe to this form a complete list of all officers in post at the end of the year to which this form relates, with the title of each persons office.

## RETURN OF CHANGE OF OFFICERS

Please complete the following to record any changes of officers during the twelve months covered by this return.

Title of Office	Name of Officer ceasing to hold office	Name of Officer Appointed	Date

State whether the union is:

a. A branch of another trade union?

Yes  No

If yes, state the name of that other union:

Union of Democratic Mineworkers National

b. A federation of trade unions?

Yes  No

If yes, state the number of affiliated unions:

and names:

# GENERAL FUND

(see notes 13 to 18)

	£	£
<b>INCOME</b>		
<b>From Members:</b> Contributions and Subscriptions		52702
<b>From Members:</b> Other income from members (specify)		
<b>Total other income from members</b>		
<b>Total of all income from members</b>		52702
<b>Investment income (as at page 12)</b>		3495
<b>Other Income</b>		
Income from Federations and other bodies (as at page 4)		
Income from any other sources (as at page 4)	7658	
<b>Total of other income (as at page 4)</b>		7658
	<b>TOTAL INCOME</b>	<b>60360</b>
<b>EXPENDITURE</b>		
<b>Benefits to members (as at page 5)</b>		78354
<b>Administrative expenses (as at page 10)</b>		325287
<b>Federation and other bodies (specify)</b>		
UDM National Contributions	5153	
<b>Total expenditure Federation and other bodies</b>		5153
<b>Taxation</b>		3093
	<b>TOTAL EXPENDITURE</b>	<b>411887</b>
		(348032)
		1248589
		900557
Surplus (deficit) for year		(348032)
Amount of general fund at beginning of year		1248589
Amount of general fund at end of year		900557

# ANALYSIS OF INCOME FROM FEDERATION AND OTHER BODIES AND OTHER INCOME

(see notes 19 and 20)

DESCRIPTION	£	£
<b>Federation and other bodies</b>		
<b>TOTAL FEDERATION AND OTHER BODIES</b>		
<b>Other income</b>		
Personal Accident Fund Commission	2225	
Legal & Medical Fees Compensation	4319	
Capital Distribution from Subsidiary	1114	
<b>TOTAL OTHER INCOME</b>		7658
<b>TOTAL OF ALL OTHER INCOME</b>		7658

# ANALYSIS OF BENEFIT EXPENDITURE SHOWN AT GENERAL FUND

(see notes 21 to 23)

	£		£
Representation – Employment Related Issues Legal & professional	77154	<b>brought forward</b> Education and Training services	
Representation – Non Employment Related Issues		Negotiated Discount Services	
Communications		Salary Costs	
Advisory Services		Other Benefits and Grants (specify) Benevolent Grants	1200
Dispute Benefits			
Other Cash Payments			
<b>carried forward</b>		<b>Total (should agree with figure in General Fund)</b>	<b>78354</b>

(See notes 24 and 25)

FUND 2		Medical Welfare Fund		Fund Account
Name:		£		£
<b>Income</b>				
	From members			
	Investment income (as at page 12)			5
	Other income (specify)			
	Contribution from UK Coal			2212
			<b>Total other income as specified</b>	
			<b>Total Income</b>	2217
<b>Expenditure</b>				
	Benefits to members			5153
	Administrative expenses and other expenditure (as at page 10)			35
			<b>Total Expenditure</b>	5153
			<b>Surplus (Deficit) for the year</b>	(2918)
			<b>Amount of fund at beginning of year</b>	10699
			<b>Amount of fund at the end of year (as Balance Sheet)</b>	7781
			<b>Number of members contributing at end of year</b>	0

FUND 3				Fund Account
Name:		£		£
<b>Income</b>				
	From members			
	Investment income (as at page 12)			
	Other income (specify)			
			<b>Total other income as specified</b>	
			<b>Total Income</b>	
<b>Expenditure</b>				
	Benefits to members			
	Administrative expenses and other expenditure (as at page 10)			
			<b>Total Expenditure</b>	
			<b>Surplus (Deficit) for the year</b>	
			<b>Amount of fund at beginning of year</b>	
			<b>Amount of fund at the end of year (as Balance Sheet)</b>	
			<b>Number of members contributing at end of year</b>	

(See notes 24 and 25)

<b>FUND 4</b>		<b>Fund Account</b>	
<b>Name:</b>		<b>£</b>	<b>£</b>
<b>Income</b>	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	<b>Total other income as specified</b>		
	<b>Total Income</b>		
<b>Expenditure</b>	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	<b>Total Expenditure</b>		
	<b>Surplus (Deficit) for the year</b>		
	<b>Amount of fund at beginning of year</b>		
	<b>Amount of fund at the end of year (as Balance Sheet)</b>		
	<b>Number of members contributing at end of year</b>		

<b>FUND 5</b>		<b>Fund Account</b>	
<b>Name:</b>		<b>£</b>	<b>£</b>
<b>Income</b>	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	<b>Total other income as specified</b>		
	<b>Total Income</b>		
<b>Expenditure</b>	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	<b>Total Expenditure</b>		
	<b>Surplus (Deficit) for the year</b>		
	<b>Amount of fund at beginning of year</b>		
	<b>Amount of fund at the end of year (as Balance Sheet)</b>		
	<b>Number of members contributing at end of year</b>		



<b>FUND 6</b>		<b>Fund Account</b>	
<b>Name:</b>		<b>£</b>	<b>£</b>
<b>Income</b>			
From members			
Investment income (as at page 12)			
Other income (specify)			
		<b>Total other income as specified</b>	
		<b>Total Income</b>	
<b>Expenditure</b>			
Benefits to members			
Administrative expenses and other expenditure (as at page 10)			
		<b>Total Expenditure</b>	
		<b>Surplus (Deficit) for the year</b>	
		<b>Amount of fund at beginning of year</b>	
		<b>Amount of fund at the end of year (as Balance Sheet)</b>	
		<b>Number of members contributing at end of year</b>	

<b>FUND 7</b>		<b>Fund Account</b>	
<b>Name:</b>		<b>£</b>	<b>£</b>
<b>Income</b>			
From members			
Investment income (as at page 12)			
Other income (specify)			
		<b>Total other income as specified</b>	
		<b>Total Income</b>	
<b>Expenditure</b>			
Benefits to members			
Administrative expenses and other expenditure (as at page 10)			
		<b>Total Expenditure</b>	
		<b>Surplus (Deficit) for the year</b>	
		<b>Amount of fund at beginning of year</b>	
		<b>Amount of fund at the end of year (as Balance Sheet)</b>	
		<b>Number of members contributing at end of year</b>	

(see notes 26 to 31)

<b>POLITICAL FUND ACCOUNT 1</b>		<b>To be completed by trade unions which maintain their own fund</b>		
		£	£	
<b>Income</b>	Members contributions and levies			
	Investment income (as at page 12)			
	Other income (specify)			
		Total other income as specified		
		Total income		
<b>Expenditure</b>	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)			
	Administration expenses in connection with political objects (specify)			
	Non-political expenditure			
			Total expenditure	
			Surplus (deficit) for year	
			Amount of political fund at beginning of year	
			Amount of political fund at the end of year (as Balance Sheet)	
			Number of members at end of year contributing to the political fund	
			Number of members at end of the year not contributing to the political fund	
		Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund		

<b>POLITICAL FUND ACCOUNT 2</b>		<b>To be completed by trade unions which act as components of a central trade union</b>		
		£	£	
<b>Income</b>	Contributions and levies collected from members on behalf of central political fund			
	Funds received back from central political fund			
	Other income (specify)			
		Total other income as specified		
		Total income		
<b>Expenditure</b>	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)			
	Administration expenses in connection with political objects (specify)			
	Non-political expenditure			
			Total expenditure	
			Surplus (deficit) for year	
			Amount held on behalf of trade union political fund at beginning of year	
			Amount remitted to central political fund	
			Amount held on behalf of central political fund at end of year	
			Number of members at end of year contributing to the political fund	
			Number of members at end of the year not contributing to the political fund	
		Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund		

# ANALYSIS OF ADMINISTRATIVE EXPENSES AND OTHER OUTGOINGS EXCLUDING AMOUNTS CHARGED TO POLITICAL FUND ACCOUNTS

(see notes 32 and 33)

		£
<b>Administrative Expenses</b>		
Remuneration and expenses of staff		137685
Salaries and Wages included in above	£81729	
Auditors' fees		5660
Legal and Professional fees		114656
Occupancy costs		30283
Stationery, printing, postage, telephone, etc.		7797
Expenses of Executive Committee (Head Office)		
Branch Expenditure		9318
Other administrative expenses (specify)		
Book Research Grant		5600
Travelling Expenses		910
General District Expenditure		7628
<b>Other Outgoings</b>		
Interest payable:		
Bank loans (including overdrafts)		
Mortgages		
Other loans		
Depreciation		5201
Taxation		
Outgoings on land and buildings (specify)		
Other outgoings (specify)		
Loss on Disposal of Investment		549
<b>Total</b>		<b>325287</b>
Charged to:		
	General Fund (Page 3)	325287
	Fund (Account )	
	Fund (Account )	
	Fund (Account )	
	Fund (Account )	
<b>Total</b>		

# ANALYSIS OF OFFICIALS SALARIES AND BENEFITS

(see notes 34 to 44 below)

Office held	Gross Salary £	Employers N.I. contributions £	Benefits			Total £
			Pension Contribution s £	Other Benefits		
				Description	Value £	
President	49990	4620	14912	Home Heat & Light	1618	71140
Council: Branch delegates	7008					7008

# ANALYSIS OF INVESTMENT INCOME

(see notes 45 and 46)

	Political Fund £		Other Fund(s) £
Rent from land and buildings			75
Dividends (gross) from:			
Equities (e.g. shares)			
Interest (gross) from:			
Government securities (Gilts)			
Mortgages			
Local Authority Bonds			
Bank and Building Societies			5
Other investment income (specify)			
UK Bonds			1835
Foreign Bonds			1585
		Total investment income	3500
		Credited to:	
		General Fund (Page 3)	3495
		Fund (Account 2)	5
		Fund (Account )	
		Fund (Account )	
		Fund (Account )	
		Fund (Account )	
		Political Fund	
		Total Investment Income	3500

# BALANCE SHEET as at

(see notes 47 to 50)

Previous Year		£	£
99841	<b>Fixed Assets</b> (at page 14)		94640
	<b>Investments</b> (as per analysis on page 15)		
69316	Quoted (Market value £ 72206)	68766	
	Unquoted		
	<b>Total Investments</b>	68766	68766
	<b>Other Assets</b>		
	Loans to other trade unions		
1442044	Sundry debtors	260163	
104737	Cash at bank and in hand	741482	
	Income tax to be recovered		
816	Stocks of goods	686	
1027	Others (specify) Prepayments	3991	
1548624	<b>Total of other assets</b>		1006322
1717781	<b>TOTAL ASSETS</b>		1169728
1248589	Fund (Account 1)		900557
10699	Fund (Account 2)		7781
	Fund (Account )		
	Superannuation Fund (Account )		
	Political Fund (Account )		
	Revaluation Reserve		
	<b>LIABILITIES</b>		
	Amount held on behalf of central trade union political fund		
	Loans: From other trade unions		
100000	Loans: Other		
	Bank overdraft		
53566	Tax payable		
297027	Sundry creditors		253290
7900	Accrued expenses		8100
	Provisions		
	Other liabilities		
458493	<b>TOTAL LIABILITIES</b>		261390
1717781	<b>TOTAL ASSETS</b>		1169728

# FIXED ASSETS ACCOUNT

(see notes 51 to 55)

	Land and Buildings Freehold		Furniture and Equipment	Motor Vehicles	Not used for union business	Total
	£	£	£	£	£	£
<b>Cost or Valuation</b>						
At start of year	237717		14902			252619
Additions						
Disposals						
Revaluation/Transfer s						
At end of year	237717		14902			252619
<b>Accumulated Depreciation</b>						
At start of year	146916		5862			152778
Charges for year	4196		1005			5201
Disposals						
Revaluation/Transfer s						
At end of year	151112		6867			157979
<b>Net book value at end of year</b>	86605		8035			94640
<b>Net book value at end of previous year</b>	90801		9040			99841

# ANALYSIS OF INVESTMENTS

(see notes 56 and 57)

<b>QUOTED</b>	All Funds Except Political Funds £	Political Fund  £
Equities (e.g. Shares)		
Government Securities (Gilts)		
Other quoted securities (to be specified)		
UK Bonds	50608	
Foreign Bonds	18158	
TOTAL QUOTED (as Balance Sheet)	68766	
Market Value of Quoted Investment	72206	
<b>UNQUOTED</b> Equities		
Government Securities (Gilts)		
Mortgages		
Bank and Building Societies		
Other unquoted investments (to be specified)		
TOTAL UNQUOTED (as Balance Sheet)		
Market Value of Unquoted Investments		



# ANALYSIS OF INVESTMENT INCOME (CONTROLLING INTERESTS)

(see notes 58 and 59)

<b>Does the union, or any constituent part of the union, have a controlling interest in any limited company?</b>		YES <input type="checkbox"/>	NO <input type="checkbox"/>
If YES name the relevant companies:			
<b>COMPANY NAME</b> Efficient Property Services Group Ltd	<b>COMPANY REGISTRATION NUMBER (if not registered in England &amp; Wales, state where registered)</b> 6337246		
<b>Are the shares which are controlled by the union registered in the names of the union's trustees?</b>		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
If NO, state the names of the persons in whom the shares controlled by the union are registered.			
<b>COMPANY NAME</b> Efficient Property Services Group Ltd	<b>NAMES OF SHAREHOLDERS</b> J. Stevens & D. Nettleship		

# SUMMARY SHEET

(see notes 60 to 71)

	All funds except Political Funds £	Political Funds £	Total Funds £
<b>INCOME</b>			
From Members	52702		52702
From Investments	3500		3500
Other Income (including increases by revaluation of assets)	9870		9870
<b>Total Income</b>	66072		66072
<b>EXPENDITURE</b> (including decreases by revaluation of			
<b>Total Expenditure</b>	417022		417022
<b>Funds at beginning of year</b> (including reserves)	1259288		1259288
<b>Funds at end of year</b> (including reserves)	908338		908338
<b>ASSETS</b>			
Fixed Assets			94640
Investment Assets			68766
Other Assets			1006322
		<b>Total Assets</b>	1169728
<b>LIABILITIES</b>			
		<b>Total Liabilities</b>	261390
<b>NET ASSETS (Total Assets less Total Liabilities)</b>			908338

# NOTES TO THE ACCOUNTS

(see notes 72 and 73)

All notes to the accounts must be entered on or attached to this part of the return.

See Notes Attached

**DRAFT**

**UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION**

**INDUSTRIAL FUND**

**NOTES TO THE ACCOUNTS**

**FOR THE YEAR ENDED 31st DECEMBER 2015**

1. **Accounting Policies**

a) **Accounting convention**

The accounts are prepared under the historical cost convention as modified by the revaluation of land and buildings and in accordance with applicable accounting standards and the Financial Reporting Standard for Smaller Entities (effective January 2015).

b) **Contributions are brought into account on the basis of the amounts receivable in the year.**

c) **Fixed Assets**

Depreciation is provided on fixed assets, other than freehold land, and calculated on the straight line basis as follows :-

Freehold Property

5% per annum for first 10 years  
2.1/2% per annum thereafter

Furniture and Equipment

10% per annum on cost

Motor Vehicles

25% per annum on cost

**UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION**  
**INDUSTRIAL FUND**  
**NOTES TO THE ACCOUNTS**  
**FOR THE YEAR ENDED 31st DECEMBER 2015**

**d) Staff Superannuation**

The Union of democratic Mineworkers officials and Permanent Staff Pension Scheme operates a Superannuation scheme for the whole of the U.D.M. Benefits are paid in line with those payable by the Mineworkers Pension Scheme.

The union operates a pension scheme providing benefits based on final pensionable pay. The assets of the scheme are held separately from those of the union, being invested in a separately administered fund. Contributions to the scheme are charged to the income and expenditure account so as to spread the cost of pensions over employees' working lives with the union. The contributions are determined by a qualified actuary on the basis of triennial valuations using the attained age method.

The pension charge for the year was £38,269 (2014 - £38,817)

The Union participates in a defined benefit scheme in the UK. A full actuarial valuation was carried out at 31<sup>st</sup> December 2014 by a qualified independent actuary.

**UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION**

**INDUSTRIAL FUND**

**NOTES TO THE ACCOUNTS**

**FOR THE YEAR ENDED 31st DECEMBER 2015**

**(e) Staff Superannuation Scheme (continued)**

As the Vendside Limited section of the scheme will be segregated at some point in the near future the information relating to assets in the scheme and the expected long term rates of return figures represents the UDM section only:

	31/12/2015 £000	31/12/2014 £000
Equities	1,157	1,229
Bonds	2,240	2,349
Cash	<u>138</u>	<u>142</u>
Total market Value of assets	3,535	3,720
Present value of Scheme liabilities	<u>3,478</u>	<u>3,900</u>
Surplus/(Deficit) in the Scheme	<u>57</u>	<u>(180)</u>

At the actuarial valuation at 31<sup>st</sup> December 2014, the actuary recommended a Union contribution rate of 30% of Pensionable Pay, plus special contributions.

Under the projected unit method, the current service cost will increase as members approach retirement.

- (f) The Union has taken advantage of the exemption in Financial Reporting Standard No. 1 from the requirement to produce a cash flow statement on the grounds that it is a small entity.

**UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION**

**INDUSTRIAL FUND**

**FIXED ASSETS AS AT 31st DECEMBER 2015**

**Note 2 (a)**

	<b><u>Freehold Property</u></b>	<b><u>Furniture and Equipment</u></b>	<b><u>Total</u></b>
	<b>£</b>	<b>£</b>	<b>£</b>
Cost at 1 <sup>st</sup> January 2015	237,717	14,902	252,619
Additions	-	-	-
Disposals	-	-	-
	<hr/>	<hr/>	<hr/>
Cost at 31 <sup>st</sup> December 2015	237,717	14,902	252,619
	<hr/>	<hr/>	<hr/>
Depreciation at 1 <sup>st</sup> January 2015	146,916	5,862	152,778
Charge for the Year	4,196	1005	5,201
Depreciation at 31 <sup>st</sup> December 2015	151,112	6,867	157,979
	<hr/>	<hr/>	<hr/>
Net Book Value at 31 <sup>st</sup> December 2015	86,605	8,035	94,640
	<hr/>	<hr/>	<hr/>
Net Book Value at 31 <sup>st</sup> December 2014	90,801	9,040	99,841
	<hr/>	<hr/>	<hr/>

**UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION**

**INDUSTRIAL FUND**

**SCHEDULE OF PROPERTIES AS AT 31st DECEMBER 2015**

Note 2(b)

	At Valuation/ Cost Including Improvements	Depreciation Written Off	Book Value		Depreciation	
	<u>Less Sales</u> £	<u>To Date</u> £	<u>2015</u> £	<u>2014</u> £	<u>2015</u> £	<u>2014</u> £
9 Angela Avenue Kirkby in Ashfield, Notts	30,445	24,791	5,654	6,356	702	702
30 Linwood Crescent, Ravenshead, Mansfield, Notts	54,689	35,924	18,765	19,695	930	930
143 Huthwaite Road, Sutton in Ashfield, Notts	<u>152,583</u>	<u>90,397</u>	<u>62,186</u>	<u>64,750</u>	<u>2,564</u>	<u>2,564</u>
	<u>237,717</u>	<u>151,112</u>	<u>86,605</u>	<u>90,801</u>	<u>4,196</u>	<u>4,196</u>
			As per Note 2(a)		As per Income and Expenditure Account	

30 Linwood Crescent and 9 Angela Avenue are also subject to a charge in respect of Welfare Financial Services Limited to cover any potential shortfall on the UDM/Vendside pension scheme



**UNION OF DEMOCRATIC MINEWORKERS NOTTINGHAM SECTION**

**INDUSTRIAL FUND**

**SCHEDULE OF INVESTMENTS AT 31st DECEMBER 2015**

**Note 3**

		<b><u>Market Value</u></b>	<b><u>Cost</u></b>
		<b>£</b>	<b>£</b>
UK Bonds			
£18,000	Rabobank Nederlands 4.625% MTN 13/01/2021	20,649	18,158
£21,000	London Stock Exchange 4.75% MTN 02/11/21	22,883	21,216
£43,000	B.A.T. International Finance 3.217 BDS17/06/22	28,673	29,392
		<u>72,205</u>	<u>68,766</u>

**Note 4**

**Related Parties**

Vendside Limited is a company formed by the Union to process Vibration White Finger and other compensation claims for U.D.M. Members and others. During 2013 an amount of £100,000 was loaned to the Union by Vendside Limited. At 31.12.14 Nil was outstanding (2014 - £100,000).



# ACCOUNTING POLICIES

(see notes 74 and 75)

## SIGNATURES TO THE ANNUAL RETURN

(see notes 76 and 77)

including the accounts and balance sheet contained in the return.

<p>Chairman/Secretary's Signature:</p>  <p>Name Jeffrey Wood</p> <p>Date: 20-06-16</p>	<p>Branch Secretary's Signature:</p>  <p>(or other official whose position should be stated)</p> <p>Name: S. Norwood</p> <p>Date: 20-6-16</p>
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## CHECK LIST

(see notes 78 to 80)

(please tick as appropriate)

IS THE RETURN OF OFFICERS ATTACHED? (see Page 2 and Note 12)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE RETURN OF CHANGE OF OFFICERS BEEN COMPLETED? (see Page 2 and Note 12)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE RETURN BEEN SIGNED? (see Pages 19 and 21 and Notes 76 and 77)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE AUDITOR'S REPORT BEEN COMPLETED? (see Pages 20 and 21 and Notes 2 and 77)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
IS A RULE BOOK ENCLOSED? (see Notes 8 and 78)	YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
A MEMBER'S STATEMENT IS: (see Note 80)	ENCLOSE D	<input checked="" type="checkbox"/>	TO FOLLOW	<input type="checkbox"/>
HAS THE SUMMARY SHEET BEEN COMPLETED (see Page 17 and Notes 7 and 59)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>

# AUDITOR'S REPORT

(see notes 81 to 86)

made in accordance with section 36 of the Trade Union and Labour Relations (Consolidation) Act 1992.

1. In the opinion of the auditors or auditor do the accounts they have audited and which are contained in this return give a true and fair view of the matters to which they relate?  
(See section 36(1) and (2) of the 1992 Act and notes 83 and 84)

YES/NO

If "No" please explain below.

2. Have the auditors or auditor carried out such investigations in the preparation of their audit report as will enable them to form an opinion as to:
- (a) whether the trade union has kept proper accounting records in accordance with section 28 of the 1992 Act;
  - (b) whether it has maintained a satisfactory system of control over its transactions in accordance with the requirements of that section; and
  - (c) whether the accounts to which the report relates agree with the accounting records?
- (See section 36(3) of the 1992 Act, set out in note 83)

YES/NO

If "No" please explain below.

3. Are the auditors or auditor of the opinion that the union has complied with section 28 of the 1992 Act and has:
- (a) kept proper accounting records with respect to its transactions and its assets and liabilities; and
  - (b) established and maintained a satisfactory system of control of its accounting records, its cash holding and all its receipts and remittances.
- (See section 36(4) of the 1992 Act set out in rule 83)

YES/NO

If "No" please explain below.

4. Please set out a copy of the report made by the auditors or auditor to the union on the accounts to which this AR21 relates. The report is to set out the basis upon which the audit has been conducted and/or such other statement as the auditor considers appropriate. Such a statement may be provided as a separate document.  
(See note 85)

# AUDITOR'S REPORT (continued)

See Attached

Signature(s) of auditor or auditors:

*Bull Payne & Co*

Name(s):

Bull Payne & Co.

Profession(s) or Calling(s):

Chartered Certified  
Accountants

Address(es):

25 Brunts Street  
Mansfield  
Notts.  
NG18 1AX

Date:

*13<sup>th</sup> June 2016*

Contact name and telephone number:

M. Corrigan  
01623 624494

N.B. When notes to the accounts are referred to in the auditor's report a copy of those notes must accompany this return.

**INDEPENDENT AUDITORS' REPORT TO THE MEMBERS OF  
THE UNION OF DEMOCRATIC MINeworkERS NOTTINGHAM SECTION**

We have audited the financial statements of the Union of Democratic Mineworkers, Nottingham Section for the year ended 31<sup>st</sup> December 2015 on pages 3 to 13. These financial statements have been prepared in accordance with Financial Reporting Standard for Smaller Entities (effective January 2015) (United Kingdom Generally Accepted Accounting Practice applicable to smaller entities)

**RESPECTIVE RESPONSIBILITIES OF THE TRUSTEES AND AUDITORS**

The Trustees are required to prepare financial statements for each financial year, which gives a true and fair view of the state of affairs of the Union and of the surplus or deficit of income over expenditure of the Union for that period. In preparing those financial statements, the Trustees are required to:

- select suitable accounting policies and then apply them, consistently ;
- make judgement and estimates that are reasonable and prudent ;
- prepare the financial statements on a going concern basis unless it is inappropriate to presume that the section will continue in existence.

The Trustees are responsible for keeping proper accounting records which disclose with reasonable accuracy at any time the financial position of the Union. They are also responsible for safeguarding the assets of the Union and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities. The Trustees are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view. Our responsibility is to audit the financial statements in accordance with applicable law and International Standards on Auditing (UK and Ireland). Those standards require us to comply with the Auditing Practice Board (APB's) Ethical Standards for Auditors.

**SCOPE OF THE AUDIT OF THE FINANCIAL STATEMENTS**

A description of the scope of the audit of financial statements is provided on the APB's website at [www.frc.org.uk/apb/scope/UKNP](http://www.frc.org.uk/apb/scope/UKNP).

**OPINION ON FINANCIAL STATEMENTS**

In our opinion the financial statements:-

- Give a true and fair view of the state of the Fund's affairs as at 31<sup>st</sup> December 2015 and of it's surplus of expenditure over income for the year then ended ;
- Have been properly prepared in accordance with United Kingdom Generally Accepted Practice applicable to smaller entities.

- Have been properly prepared in accordance with the requirements of the Trade Union and Labour Relations Consolidation Act 1992.

**Matters on which we are required to report by exception**

We have nothing to report in respect of the following matters where the Trade Union & Labour Relations (Consolidation) Act 1992 (amended) requires us to report to you, if, in our opinion:

- Adequate accounting records have not been kept, or returns adequate for our audit have not been received from branches not visited by us.
- A satisfactory system of control over transactions has not been maintained.
- The financial statements are not in agreement with the accounting records or returns.
- We have not received all the information and explanations we require for our audit.

*Bull Payne & Co*

Bull Payne & Co.  
Chartered Certified Accountants  
Registered Auditors  
25 Brunts Street  
Mansfield  
Notts  
NG18 1AX

Date : *13/06/2016*