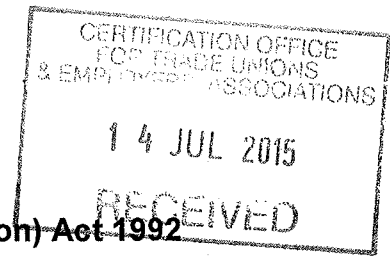


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- PRINT ON ONE SIDE ONLY

FORM AR21

Trade Union and Labour Relations (Consolidation) Act 1992



ANNUAL RETURN FOR A TRADE UNION

Name of Trade Union:

National Union of Mineworkers (Northumberland Area)

Year ended:

31 December 2014

List no:

215T

Head or Main Office:

National Union of Mineworkers
Northumberland Area
7 Esther Court
Wansbeck Business Park
Ashington NE63 8QZ

Website address (if available)

Has the address changed during
the
year to which the return relates?

Yes	<input type="checkbox"/>
-----	--------------------------

No	<input checked="" type="checkbox"/>
----	-------------------------------------

(Click the appropriate box)

General Secretary:

Denis Murphy

Telephone Number:

01670853300

Contact name for queries
regarding

Denis Murphy

Telephone Number:

01670853300

E-mail:

dm@link-connect.co.uk

PLEASE FOLLOW THE GUIDANCE NOTES IN THE COMPLETION OF THIS RETURN.

Any difficulties or problems in the completion of this return should be directed to the Certification Officer as below or by telephone to: 020 7210 3734

The address to which returns and other documents should be sent are:

For Unions based in England and Wales:

Certification Office for Trade Unions and Employers' Associations
22nd Floor, Euston Tower, 286 Euston Road, London NW1 3JJ

For Unions based in Scotland:

Certification Office for Trade Unions and Employers' Associations
Melrose House, 69a George Street, Edinburgh EH2 2JG

(Revised February 2011)

Annexe 1

Annual Return for a Trade Union Form AR21

Name of Trade Union: National Union of Mineworkers (Northumberland Area)

Year ended: 31 December 2014

List no: **215T**

Officers in Post

Denis Murphy
Allan Stewart

General Secretary
Chairman

RETURN OF MEMBERS

(see notes 10 and 11)

	NUMBER OF MEMBERS AT THE END OF THE YEAR				
	Great Britain	Northern Ireland	Irish Republic	Elsewhere Abroad (including Channel Islands)	TOTALS
MALE	10				10
FEMALE					
TOTAL	10				A 10

Number of members included in totals box 'A' above for whom no home or authorised address is held:

None
10

Number of members at end of year contributing to the General Fund

OFFICERS IN POST

(see note 12)

Please attach as an annexe to this form a complete list of all officers in post at the end of the year to which this form relates, with the title of each persons office.

RETURN OF CHANGE OF OFFICERS

Please complete the following to record any changes of officers during the twelve months covered by this return.

Title of Office	Name of Officer ceasing to hold office	Name of Officer Appointed	Date

State whether the union is:

a. A branch of another trade union?

Yes

No

If yes, state the name of that other union:

National Union of Mineworkers (North East Area)

b. A federation of trade unions?

Yes

No

If yes, state the number of affiliated unions:

and names:

GENERAL FUND

(see notes 13 to 18)

	£	£
INCOME		
From Members: Contributions and Subscriptions		428
From Members: Other income from members (specify)		
Total other income from members		
Total of all income from members		428
Investment income (as at page 12)		13
Other Income		
Income from Federations and other bodies (as at page 4)	22808	
Income from any other sources (as at page 4)	47607	
Total of other income (as at page 4)		70415
		TOTAL INCOME
		70856
EXPENDITURE		
Benefits to members (as at page 5)		
Administrative expenses (as at page 10)		131133
Federation and other bodies (specify)		
Affiliation fees	100	
Donations to other than members	1980	
Total expenditure Federation and other bodies		2080
Taxation		-11760
		TOTAL EXPENDITURE
		121453
		Surplus (deficit) for year
		-50597
		Amount of general fund at beginning of year
		6713
		Amount of general fund at end of year
		-43884

ANALYSIS OF BENEFIT EXPENDITURE SHOWN AT GENERAL FUND

(see notes 21 to 23)

	£		£
Representation – Employment Related Issues		brought forward	
		Education and Training services	
Representation – Non Employment Related Issues			
		Negotiated Discount Services	
Communications			
		Salary Costs	
Advisory Services			
		Other Benefits and Grants (specify)	
Dispute Benefits			
Other Cash Payments			
carried forward		Total (should agree with figure in General Fund)	

(See notes 24 and 25)

FUND 2		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
Amount of fund at the end of year (as Balance Sheet)			
Number of members contributing at end of year			

FUND 3		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
Amount of fund at the end of year (as Balance Sheet)			
Number of members contributing at end of year			

(See notes 24 and 25)

FUND 4		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

FUND 5		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

(See notes 24 and 25)

FUND 6		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

FUND 7		Fund Account	
Name:		£	£
Income	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

(see notes 26 to 31)

POLITICAL FUND ACCOUNT 1		To be completed by trade unions which maintain their own fund	
		£	£
Income	Members contributions and levies		
	Investment income (as at page 12)		
	Other income (specify)		
		Total other income as specified	
		Total income	
Expenditure	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)		
	Administration expenses in connection with political objects (specify)		
	Non-political expenditure		
			Total expenditure
		Surplus (deficit) for year	
		Amount of political fund at beginning of year	44
		Amount of political fund at the end of year (as Balance Sheet)	44
		Number of members at end of year contributing to the political fund	0
		Number of members at end of the year not contributing to the political fund	10
		Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund	0

POLITICAL FUND ACCOUNT 2		To be completed by trade unions which act as components of a central trade union	
		£	£
Income	Contributions and levies collected from members on behalf of central political fund		
	Funds received back from central political fund		
	Other income (specify)		
		Total other income as specified	
		Total income	
Expenditure	Expenditure under section 82 of the Trade Union and Labour Relations (Consolidation) Act 1992 (specify)		
	Administration expenses in connection with political objects (specify)		
	Non-political expenditure		
			Total expenditure
		Surplus (deficit) for year	
		Amount held on behalf of trade union political fund at beginning of year	
		Amount remitted to central political fund	
		Amount held on behalf of central political fund at end of year	
		Number of members at end of year contributing to the political fund	
		Number of members at end of the year not contributing to the political fund	
		Number of members at end of year who have completed an exemption notice and do not therefore contribute to the political fund	

ANALYSIS OF ADMINISTRATIVE EXPENSES AND OTHER OUTGOINGS EXCLUDING AMOUNTS CHARGED TO POLITICAL FUND ACCOUNTS

(see notes 32 and 33)

		£
Administrative Expenses		
Remuneration and expenses of staff		80888
Salaries and Wages included in above	£80888	
Auditors' fees		7836
Legal and Professional fees		945
Occupancy costs		1964
Stationery, printing, postage, telephone, etc.		2516
Expenses of Executive Committee (Head Office)		5073
Expenses of conferences		700
Other administrative expenses (specify)		
Advertising		520
Bank charges		113
Miscellaneous		1911
Other Outgoings		
Interest payable:		
Bank loans (including overdrafts)		
Mortgages		
Other loans		
Depreciation		5905
Taxation (Paye from earlier periods)		1691
Outgoings on land and buildings (specify)		
Loss on sale of assets		7500
Loan written off		10919
Picnic and figurine costs		2652
	Total	131133
Charged to:	General Fund (Page 3)	
	Fund (Account)	
	Fund (Account)	
	Fund (Account)	
	Fund (Account)	
	Total	131133

ANALYSIS OF INVESTMENT INCOME

(see notes 45 and 46)

	Political Fund £		Other Fund(s) £
Rent from land and buildings			
Dividends (gross) from:			
Equities (e.g. shares)			
Interest (gross) from:			
Government securities (Gilts)			
Mortgages			
Local Authority Bonds			
Bank and Building Societies			
Other investment income (specify)			
		Total investment income	
		Credited to:	
		General Fund (Page 3)	
		Fund (Account)	
		Fund (Account)	
		Fund (Account)	
		Fund (Account)	
		Fund (Account)	
		Political Fund	
		Total Investment Income	

BALANCE SHEET as at 31 December 2014

(see notes 47 to 50)

Previous Year		£	£
13745	Fixed Assets (at page 14)		7840
	Investments (as per analysis on page 15)		
	Quoted (Market value £)		
100	Unquoted		100
	Total Investments		
	Other Assets		
	Loans to other trade unions		
23438	Sundry debtors		14172
106357	Cash at bank and in hand		48270
	Income tax to be recovered		
506	Stocks of goods		391
521	Others (specify) Other loans		
	Total of other assets		62833
144667	TOTAL ASSETS		70773
6713	Fund (Account)		-43884
	Fund (Account)		
	Fund (Account)		
	Superannuation Fund (Account)		
44	Political Fund (Account)		44
	Revaluation Reserve		
	LIABILITIES		
	Amount held on behalf of central trade union political fund		
119695	Loans: From other trade unions		109117
615	Loans: Other		615
	Bank overdraft		
14404	Tax payable		1738
	Sundry creditors		
3196	Accrued expenses		3143
	Provisions		
	Other liabilities		
137910	TOTAL LIABILITIES		114613
144667	TOTAL ASSETS		70773

FIXED ASSETS ACCOUNT

(see notes 51 to 55)

	Land and Buildings		Furniture and Equipment £	Motor Vehicles £	Not used for union business £	Total £
	Freehold	Leasehold				
Cost or Valuation						
At start of year		90890	8031			98921
Additions						
Disposals		-36000				-36000
Revaluation/Transfer s						
At end of year		54890	8031			62921
Accumulated Depreciation						
At start of year		43912	5264			49176
Charges for year		5489	416			5905
Disposals						
Revaluation/Transfer s						
At end of year		49401	5680			55081
Net book value at end of year						
		5489	2351			7840
Net book value at end of previous year						
		10978	2767			13745

ANALYSIS OF INVESTMENTS

(see notes 56 and 57)

QUOTED	All Funds Except Political Funds £	Political Fund £
Equities (e.g. Shares)		
Government Securities (Gilts)		
Other quoted securities (to be specified)		
TOTAL QUOTED (as Balance Sheet)		
Market Value of Quoted Investment		
UNQUOTED		
Equities		
Co-operative Press Limited	100	
Government Securities (Gilts)		
Mortgages		
Bank and Building Societies		
Other unquoted investments (to be specified)		
TOTAL UNQUOTED (as Balance Sheet)	100	
Market Value of Unquoted Investments	100	

ANALYSIS OF INVESTMENT INCOME (CONTROLLING INTERESTS)

(see notes 58 and 59)

Does the union, or any constituent part of the union, have a controlling interest in any limited company?		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
If YES name the relevant companies:			
COMPANY NAME	COMPANY REGISTRATION NUMBER (if not registered in England & Wales, state where registered)		
Are the shares which are controlled by the union registered in the names of the union's trustees?		YES <input type="checkbox"/>	NO <input type="checkbox"/>
If NO, state the names of the persons in whom the shares controlled by the union are registered.			
COMPANY NAME	NAMES OF SHAREHOLDERS		

SUMMARY SHEET

(see notes 60 to 71)

	All funds except Political Funds £	Political Funds £	Total Funds £
INCOME			
From Members	428		428
From Investments	13		13
Other Income (including increases by revaluation of assets)	70415		70415
Total Income	70856		70856
EXPENDITURE (including decreases by revaluation of assets)			
Total Expenditure	121453		121453
Funds at beginning of year (including reserves)	6713	44	6757
Funds at end of year (including reserves)	-43884	44	-43840
ASSETS			
Fixed Assets			7840
Investment Assets			100
Other Assets			62833
		Total Assets	70773
LIABILITIES		Total Liabilities	114613
NET ASSETS (Total Assets less Total Liabilities)			-43840

NOTES TO THE ACCOUNTS

(see notes 72 and 73)

All notes to the accounts must be entered on or attached to this part of the return.

Officers in post (page 2)

Mr D Murphy (general secretary)

Mr A Stewart

Mr J Sawyer (deceased 12.3.15)

Notes to the accounts

See attached

ACCOUNTING POLICIES

(see notes 74 and 75)

See attached

SIGNATURES TO THE ANNUAL RETURN

(see notes 76 and 77)

including the accounts and balance sheet contained in the return.

Secretary's Signature: <u>D. Murphy</u> Name: <u>DENIS MURPHY</u> Date: <u>2/7/2015</u>	Chairman's Signature: <u>A. Stewart</u> <small>(or other official whose position should be stated)</small> Name: <u>ALAN STEWART</u> Date: <u>2/7/2015</u>
--	--

CHECK LIST

(see notes 78 to 80)

(please tick as appropriate)

IS THE RETURN OF OFFICERS ATTACHED? <small>(see Page 2 and Note 12)</small>	YES	X	NO	<input type="checkbox"/>
HAS THE RETURN OF CHANGE OF OFFICERS BEEN COMPLETED? <small>(see Page 2 and Note 12)</small>	YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE RETURN BEEN SIGNED? <small>(see Pages 19 and 21 and Notes 76 and 77)</small>	YES	X	NO	<input type="checkbox"/>
HAS THE AUDITOR'S REPORT BEEN COMPLETED? <small>(see Pages 20 and 21 and Notes 2 and 77)</small>	YES	X	NO	<input type="checkbox"/>
IS A RULE BOOK ENCLOSED? <small>(see Notes 8 and 78)</small>	YES	X	NO	<input type="checkbox"/>
A MEMBER'S STATEMENT IS: <small>(see Note 80)</small>	ENCLOSED	X	TO FOLLOW	<input type="checkbox"/>
HAS THE SUMMARY SHEET BEEN COMPLETED <small>(see Page 17 and Notes 7 and 59)</small>	YES	X	NO	<input type="checkbox"/>

AUDITOR'S REPORT

(see notes 81 to 86)

made in accordance with section 36 of the Trade Union and Labour Relations (Consolidation) Act 1992.

1. In the opinion of the auditors or auditor do the accounts they have audited and which are contained in this return give a true and fair view of the matters to which they relate?
(See section 36(1) and (2) of the 1992 Act and notes 83 and 84)

YES

If "No" please explain below.

2. Have the auditors or auditor carried out such investigations in the preparation of their audit report as will enable them to form an opinion as to:
- (a) whether the trade union has kept proper accounting records in accordance with section 28 of the 1992 Act;
 - (b) whether it has maintained a satisfactory system of control over its transactions in accordance with the requirements of that section; and
 - (c) whether the accounts to which the report relates agree with the accounting records?
- (See section 36(3) of the 1992 Act, set out in note 83)

YES

If "No" please explain below.

3. Are the auditors or auditor of the opinion that the union has complied with section 28 of the 1992 Act and has:
- (a) kept proper accounting records with respect to its transactions and its assets and liabilities; and
 - (b) established and maintained a satisfactory system of control of its accounting records, its cash holding and all its receipts and remittances.
- (See section 36(4) of the 1992 Act set out in rule 83)

YES

If "No" please explain below.

4. Please set out a copy of the report made by the auditors or auditor to the union on the accounts to which this AR21 relates. The report is to set out the basis upon which the audit has been conducted and/or such other statement as the auditor considers appropriate. Such a statement may be provided as a separate document.
(See note 85)

AUDITOR'S REPORT (continued)

NATIONAL UNION OF MINeworkERS (NORTHUMBERLAND AREA)
Independent auditors' report to the members of the National Union of
Mineworkers (Northumberland Area)

We have audited the financial statements of the National Union of Mineworkers (Northumberland Area) for the year ended 31 December 2014 which comprise the General and Political Fund Income and Expenditure Accounts, Balance Sheet and the related notes. The financial reporting framework that has been applied in the preparation is applicable law and the Financial Reporting Standard for Smaller Entities (effective April 2008).

This report is made solely to the union's members, as a body. Our audit work has been undertaken so that we might state to the union's members those matters we are required to state to them in an auditors' report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the union and the union's members as a body, for our audit work, for this report, or for the opinions we have formed.

Respective responsibilities of directors and auditors

As explained more fully in the statement of officer's responsibilities, the officer is responsible for the preparation of the accounts and for being satisfied that they give a true and fair view. Our responsibility is to audit and express an opinion on the accounts in accordance with applicable law and International Standards on Auditing (UK and Ireland). Those standards require us to comply with the Auditing Practices Board's (APB's) Ethical Standards for Auditors.

A description of the scope of an audit of financial statements is provided on the APB's website at www.frc.org.uk/apb/scope/private.cfm.

Opinion on the accounts

In our opinion the accounts:

- give a true and fair view of the state of the union's affairs as at 31 December 2014 and of its surplus or deficit for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice applicable to Smaller Entities; and
- have been prepared in accordance with the Trade Union and Labour Relations (Consolidation) Act 1992.

Opinion on other matters

In our opinion the information given in the Officer's Report for the financial year for which the financial statements are prepared is consistent with the financial statements.

Matters on which we are required to report by exception

We have nothing to report in respect of the following matters where the Trade Union and Labour Relations (Consolidation) Act 1992 requires us to report to you if, in our opinion:

- adequate accounting records have not been kept, or returns adequate for our audit have not been received from branches not visited by us; or
- the financial statements are not in agreement with the accounting records and returns; or
- we have not received all the information and explanations we require for our audit.

Signature(s) of auditor or auditors:		
Name(s):	Mai Mak	
Profession(s) or Calling(s):	Chartered Accountant	
Address(es):	Kinnair and Company Aston House, Redburn Road Newcastle upon Tyne NE5 1NB	
Date:		
Contact name and telephone number:	Mai Mak 0191 2867777	

NATIONAL UNION OF MINeworkERS (NORTHUMBERLAND AREA)
Notes to the accounts for the year ended 31 December 2014

1 Accounting policies

The financial statements are drawn up under the historical cost convention and in accordance with the Financial Reporting standard for Smaller Entities (effective April 2008).

Tangible fixed assets

All tangible fixed assets are initially recorded at cost or valuation and depreciated over their useful economic life on a basis consistent with the depreciation policy.

Depreciation

The union's policy is to provide depreciation at a rate which is calculated to write off the cost less estimated residual value of each asset over its useful economic life, as follows;

Property Improvements	10% straight line
Fixtures, fittings and equipment	15% reducing balance

Investments

Investments are stated at cost.

Revenue Recognition

All income from members is recognised on a receivable basis except voluntary donations made by miners in compensation claims which are only recognised when received. Investment income and all other income is recognised when receivable.

Expenditure

Expenditure is accounted for when incurred on an accruals basis.

Fund accounting

The union operates a separate political fund which is used to collect funds on behalf of the National Union of Mineworkers.

2 Branches

These financial statements do not include the separate transactions and balances of any branch or section of the National Union of Mineworkers (Northumberland Area). Other branches appoint independent auditors and report separately.

NATIONAL UNION OF MINeworkERS (NORTHUMBERLAND AREA)

Notes to the accounts for the year ended 31 December 2014

3 Fixed assets

	Land & buildings £	Equipment £	Total £
Cost			
At 1 January 2014 - restated	90,890	8,031	98,921
Disposals	(36,000)	-	(36,000)
At 31 December 2014	<u>54,890</u>	<u>8,031</u>	<u>62,921</u>
Depreciation			
At 1 January 2014	43,912	5,264	49,176
Charge for the year	5,489	416	5,905
Depreciation	-	-	-
At 31 December 2014	<u>49,401</u>	<u>5,680</u>	<u>55,081</u>
Net book value			
At 31 December 2014	<u>5,489</u>	<u>2,351</u>	<u>7,840</u>
At 31 December 2013 - restated	<u>46,978</u>	<u>2,767</u>	<u>49,745</u>

4 Investments

	Unquoted equities 2014 £	Total 2013 £
Cost		
At 1 January 2014	100	100
At 31 December 2014	<u>100</u>	<u>100</u>
Market value of investments	<u>100</u>	<u>100</u>

5 Other loans receivable

	2014 £	2013 £
Northumberland Mineworkers Provident and Benevolent Fund	-	521
	<u>-</u>	<u>521</u>

6 Sundry debtors

	2014 £	2013 £
Other debtors	14,172	23,133
Prepayments and accrued income	-	305
	<u>14,172</u>	<u>23,438</u>

NATIONAL UNION OF MINeworkERS (NORTHUMBERLAND AREA)

Notes to the accounts for the year ended 31 December 2014

6 Loans from other trade unions	2014	2013
	£	£
NUM Ellington Branch	109,117	119,695
	<u>109,117</u>	<u>119,695</u>

7 Other loans payable	2014	2013
	£	£
NUM Bates Branch	615	615
	<u>615</u>	<u>615</u>

8 Tax payable	2014	2013
	£	£
Corporation tax	-	14,404
Other taxes and social security costs	1,738	-
	<u>1,738</u>	<u>14,404</u>

10 Funds	1 Jan-14	Net deficit	Transfers	31 Dec-14
	£	£	£	£
General fund	6,713	(50,597)	-	(43,884)
Political fund	44	-	-	44
	<u>6,757</u>	<u>(50,597)</u>	<u>-</u>	<u>(43,840)</u>

11 Going Concern

These accounts have been prepared on the going concern basis, which assumes that the company will continue in operating existence for the foreseeable future.

The officers have reviewed the financial situation of the company and they expect to receive sufficient monies from the wind up of the Northumberland Mineworkers Provident and Benevolent Fund to allow the company to operate for a period in excess of 12 months.

12 Prior period adjustment

These accounts include a prior period adjustment which reclassifies £36,000 from debtors to tangible fixed assets at the previous balance sheet date. This adjustment does not affect reserves.